# Deep Reconstruction-Classification Networks for Unsupervised Domain Adaptation 

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## Unsupervised Domain Adaptation

- Dataset bias [TOR'2011]
- Domain adaptation (DA): solve dataset bias between source and target domains
- Unsupervised domain adaptation (uDA): DA without labeled target data
- Deep learning has played an important role [DON'14, GAN'15], but still awaiting more effective approaches to come

[TOR'11] A. Torralba and A. Efros. Unbiased look at dataset bias. In CVPR, 2011.
[DON'14] J. Donahue, Y. Jia, O. Vinyals, J. Hoffman, N. Zhang, E. Tzeng, T. Darrell. DeCAF: a deep convolutional activation feature for generic visual recognition. In ICML, 2014.
[GAN'15] Y. Ganin and V. Lempitsky. Unsupervised domain adaptation by backpropagation. In ICML, 2015.


## Main Contribution

- Deep multi-task learning method for uDA: Deep ReconstructionClassification Networks (DRCN)
- Motivated from semi-supervised learning hypothesis
- When labels are lacking, modelling both the labels, $Q(y \mid x)$, and the structure of the data, $Q(x)$, would produce a better labelling function
- In uDA, we could model $\mathrm{Q}(\mathrm{x})$ from the target domain , but not $\mathrm{Q}(\mathrm{y} \mid \mathrm{x})$ since no labelled samples -- need to borrow labels from somewhere else (source domain)
- Our proposed method jointly learns two functions with a shared encoding representation:

1. (Source) labelling function
2. (Target) reconstruction function


## Deep Reconstruction-Classification Networks (DRCN)

- Architecture

- Algorithm
- Define two empirical losses:

$$
\mathcal{L}^{s}\left(\theta_{c}\right)=\frac{1}{n_{s}} \sum_{i=1}^{n_{s}} \ell_{c}\left(f_{\theta_{c}}\left(x_{i}^{s}\right), y_{i}^{s}\right)
$$

$$
\mathcal{L}^{t}\left(\theta_{r}\right)=\frac{1}{n_{t}} \sum_{i=1}^{n_{t}} \ell_{r}\left(f_{\theta_{r}}\left(x_{i}^{t}\right), x_{i}^{t}\right)
$$

- Solve

$$
\left\{\hat{\theta}_{c}, \hat{\theta}_{r}\right\}:=\arg \min _{\theta_{c}, \theta_{r}} \lambda \mathcal{L}^{s}\left(\theta_{c}\right)+(1-\lambda) \mathcal{L}^{t}\left(\theta_{r}\right)
$$

- Optimized by stochastic gradient descent


## Results (1)

- Cross-domain object classification accuracy
- Benchmarks: MNIST (MN), USPS (US), SVHN (SV), STL (ST), CIFAR (CI)
- ~8\% improvement on SVHN -> MNIST over the prior state-of-the-art

| Methods | MN $\rightarrow$ US | US $\rightarrow$ MN | $\mathrm{SV} \rightarrow \mathrm{MN}$ | $\mathrm{MN} \rightarrow$ SV | ST $\rightarrow$ CI | $\mathrm{Cl} \rightarrow \mathrm{ST}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| ConvNet | $85.55 \pm 0.12$ | $65.77 \pm 0.06$ | $62.33 \pm 0.09$ | $25.95 \pm 0.04$ | $54.17 \pm 0.21$ | $63.61 \pm 0.17$ |
| $\mathrm{SDA}_{\text {sh }}$ [32] | $43.14 \pm 0.16$ | $37.30 \pm 0.12$ | $55.15 \pm 0.08$ | $8.23 \pm 0.11$ | $35.82 \pm 0.07$ | $42.27 \pm 0.12$ |
| SA [27] | $85.89 \pm 0.13$ | $51.54 \pm 0.06$ | $63.17 \pm 0.07$ | $28.52 \pm 0.10$ | $54.04 \pm 0.19$ | $62.88 \pm 0.15$ |
| SCAE [44] | $85.78 \pm 0.08$ | $63.11 \pm 0.04$ | $60.02 \pm 0.16$ | $27.12 \pm 0.08$ | $54.25 \pm 0.13$ | $62.18 \pm 0.04$ |
| $\mathrm{SCAE}_{t}$ [44] | $86.24 \pm 0.11$ | $65.37 \pm 0.03$ | $65.57 \pm 0.09$ | $27.57 \pm 0.13$ | $54.68 \pm 0.08$ | $61.94 \pm 0.06$ |
| ReverseGrad [18] | $\underline{91.11 \pm 0.07}$ | $74.01 \pm 0.05$ | $73.91 \pm 0.07$ | $\underline{35.67 \pm 0.04}$ | $56.91 \pm 0.05$ | $66.12 \pm 0.08$ |
| DRCN | $\overline{91.80 \pm 0.09}$ | $\underline{73.67 \pm 0.04}$ | $\overline{81.97 \pm 0.16}$ | $40.05 \pm 0.07$ | $\overline{58.86 \pm 0.07}$ | $66.37 \pm 0.10$ |
| ConvNet $_{\text {tgt }}$ | $96.12 \pm 0.07$ | $98.67 \pm 0.04$ | $98.67 \pm 0.04$ | $91.52 \pm 0.05$ | $78.81 \pm 0.11$ | $66.50 \pm 0.07$ |

- Comparison with other DRCN versions: $\mathrm{DRCN}_{\mathrm{s}}$ and $\mathrm{DRCN}_{\text {st }}$
- DRCN ${ }_{s}$ and $D R C N_{s t}$ underperform the original DRCN - unlabeled source data do not help
- Partially explained by our theoretical analysis in connection to SSL

| Methods | MN $\rightarrow$ US | US $\rightarrow$ MN | SV $\rightarrow$ MN | MN $\rightarrow$ SV | ST $\rightarrow$ CI | CI $\rightarrow$ ST |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: |
| DRCN $_{s}$ | $89.92 \pm 0.12$ | $65.96 \pm 0.07$ | $73.66 \pm 0.04$ | $34.29 \pm 0.09$ | $55.12 \pm 0.12$ | $63.02 \pm 0.06$ |
| DRCN $_{\text {st }}$ | $91.15 \pm 0.05$ | $68.64 \pm 0.05$ | $75.88 \pm 0.09$ | $37.77 \pm 0.06$ | $55.26 \pm 0.06$ | $64.55 \pm 0.13$ |
| DRCN $^{\mathbf{D R C N}}$ | $\mathbf{9 1 . 8 0} \pm \mathbf{0 . 0 9}$ | $\mathbf{7 3 . 6 7} \pm \mathbf{0 . 0 4}$ | $\mathbf{8 1 . 9 7} \pm \mathbf{0 . 1 6}$ | $\mathbf{4 0 . 0 5} \pm \mathbf{0 . 0 7}$ | $\mathbf{5 8 . 8 6} \pm \mathbf{0 . 0 7}$ | $\mathbf{6 6 . 3 7} \pm \mathbf{0 . 1 0}$ |

## Results (2)

- The Office dataset [SAE'10] experiments
- Use AlexNet
- Competitive performance
- Best when the target is Amazon, which has the most number of unlabelled data among others


| Method | $\mathrm{A} \rightarrow \mathrm{W}$ | $\mathrm{W} \rightarrow \mathrm{A}$ | $\mathrm{A} \rightarrow \mathrm{D}$ | $\mathrm{D} \rightarrow \mathrm{A}$ | $\mathrm{W} \rightarrow \mathrm{D}$ | $\mathrm{D} \rightarrow \mathrm{W}$ |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: |
| DDC [41] | $61.8 \pm 0.4$ | $52.2 \pm 0.4$ | $64.4 \pm 0.3$ | $52.1 \pm 0.8$ | $98.5 \pm 0.4$ | $95.0 \pm 0.5$ |
| DAN [40] | $68.5 \pm 0.4$ | $\underline{53.1} \pm 0.3$ | $\underline{67.0} \pm 0.4$ | $54.0 \pm 0.4$ | $\underline{99.0} \pm 0.2$ | $\underline{96.0} \pm 0.3$ |
| ReverseGrad [18] | $\mathbf{7 2 . 6} \pm 0.3$ | $52.7 \pm 0.2$ | $\mathbf{6 7 . 1} \pm 0.3$ | $\underline{54.5} \pm 0.4$ | $99.2 \pm 0.3$ | $96.4 \pm 0.1$ |
| DRCN | $\underline{68.7} \pm 0.3$ | $54.9 \pm 0.5$ | $66.8 \pm 0.5$ | $56.0 \pm 0.5$ | $\underline{99.0} \pm 0.2$ | $96.4 \pm 0.3$ |

[SAE'10] K. Saenko, B. Kulis, M. Friz, and T. Darrell. Adapting visual category models to new domains. In ECCV, 2010.

## Results (3)

- Insights from data reconstruction $f_{\hat{\theta}_{r}}\left(x^{t}\right)$
- Reconstructed source images from DRCN resemble the appearance of the target images

| Source Inp | Reconstruction |  |  |  | ce |
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## Summary

1. Propose a deep learning approach for unsupervised domain adaptation: Deep Reconstruction Classification Networks (DRCN) that jointly learns a shared representation for two tasks: i) source output classification, ii) target input reconstruction
2. DRCN achieves state-of-the-art performance over a range of crossdomain object classification benchmarks
3. Reconstructed source images from DRCN resemble the appearance of target images
4. DRCN's learning objective is closely related to a semi-supervised learning framework, which leads to the soundness of the approach
