# Identity Mappings in Deep Residual Networks 

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## Contributions

- Importance of "direct" information path
-- Identity mapping for shortcut path
-- Identity activation function
- Novel "pre-activation" design


## Contributions

- Importance of "direct" information path -- Identity mapping for shortcut path -- Identity activation function
- Novel "pre-activation" design

(a) original

(b) proposed


## Deep Residual Network: a Review

- Residual units in general form

$$
\begin{gathered}
\mathbf{y}_{l}=h\left(\mathbf{x}_{l}\right)+\mathcal{F}\left(\mathbf{x}_{l}, \mathcal{W}_{l}\right), \\
\mathbf{x}_{l+1}=f\left(\mathbf{y}_{l}\right),
\end{gathered}
$$



## Deep Residual Network: a Review

- Residual units in general form


## Skip Connection

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## Deep Residual Network: a Review

- Residual units in general form

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\begin{gathered}
\mathbf{y}_{l}=h\left(\mathbf{x}_{l}\right)+\mathcal{F}\left(\mathbf{x}_{l}, \mathcal{W}_{l}\right) \\
\mathbf{x}_{l+1}=f\left(\mathbf{y}_{l}\right) \\
\text { Activation Function }
\end{gathered}
$$



## Analysis of Deep Residual Networks

- What if both $h$ and $f$ are identity mappings?
- Forward view

$$
\mathbf{x}_{L}=\mathbf{x}_{l}+\sum_{i=l}^{L-1} \mathcal{F}\left(\mathbf{x}_{i}, \mathcal{W}_{i}\right)
$$

- Backward view

$$
\frac{\partial \mathcal{E}}{\partial \mathbf{x}_{l}}=\frac{\partial \mathcal{E}}{\partial \mathbf{x}_{L}} \frac{\partial \mathbf{x}_{L}}{\partial \mathbf{x}_{l}}=\frac{\partial \mathcal{E}}{\partial \mathbf{x}_{L}}\left(1+\frac{\partial}{\partial \mathbf{x}_{l}} \sum_{i=l}^{L-1} \mathcal{F}\left(\mathbf{x}_{i}, \mathcal{W}_{i}\right)\right)
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$$

- Backward view
"Clean" Information Path

$$
\frac{\partial \mathcal{E}}{\partial \mathbf{x}_{l}}=\frac{\partial \mathcal{E}}{\partial \mathbf{x}_{L}} \frac{\partial \mathbf{x}_{L}}{\partial \mathbf{x}_{l}}=\frac{\partial \mathcal{E}}{\partial \mathbf{x}_{L}}\left(1+\frac{\partial}{\partial \mathbf{x}_{l}} \sum_{i=l}^{L-1} \mathcal{F}\left(\mathbf{x}_{i}, \mathcal{W}_{i}\right)\right)
$$

## On the Importance of Identity Skip Connections

- Let $h\left(\boldsymbol{x}_{l}\right)=\lambda_{l} \boldsymbol{x}_{l}$ to break identity shortcut
- Forward view

$$
\mathbf{x}_{L}=\prod_{i=l}^{L-1} \lambda_{i} \mathbf{x}_{l}+\sum_{i=l}^{L-1} \hat{\mathcal{F}}\left(\mathbf{x}_{i}, \mathcal{W}_{i}\right)
$$

- Backward view

$$
\frac{\partial \mathcal{E}}{\partial \mathbf{x}_{l}}=\frac{\partial \mathcal{E}}{\partial \mathbf{x}_{L}}\left(\prod_{i=l}^{L-1} \lambda_{i}+\frac{\partial}{\partial \mathbf{x}_{l}} \sum_{i=l}^{L-1} \hat{\mathcal{F}}\left(\mathbf{x}_{i}, \mathcal{W}_{i}\right)\right)
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## On the Importance of Identity Skip Connections

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- Backward view

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\mathbf{x}_{L}=\prod_{i=l}^{L-1} \lambda_{i} \mathbf{x}_{l}+\sum_{i=l}^{L-1} \hat{\mathcal{F}}\left(\mathbf{x}_{i}, \mathcal{W}_{i}\right)
$$

Risk of exponentially explosion or vanishing!

$$
\left.\frac{\partial \mathcal{E}}{\partial \mathbf{x}_{l}}=\frac{\partial \mathcal{E}}{\partial \mathbf{x}_{L}}\left(\mid \prod_{i=l}^{L-1} \lambda_{i}\right]+\frac{\partial}{\partial \mathbf{x}_{l}} \sum_{i=l}^{L-1} \hat{\mathcal{F}}\left(\mathbf{x}_{i}, \mathcal{W}_{i}\right)\right)
$$

## Experiments on Skip Connections

- Various types of shortcut connections

(d) shortcut-only gating




## Experiments on Skip Connections

| case | Fig. | on shortcut | on $\mathcal{F}$ | error (\%) | remark |
| :---: | :--- | :---: | :---: | :---: | :--- |
| original [1] | Fig. 2(a) | 1 | 1 | $\mathbf{6 . 6 1}$ |  |
| constant | Fig. 2(b) | 0 | 1 | fail | This is a plain net |
|  |  | 0.5 | 1 | fail |  |
|  |  | 0.5 | 0.5 | 12.35 |  |
| exclusive | Fig. 2(c) | $1-g(\mathbf{x})$ | $g(\mathbf{x})$ | fail | init $b_{g}=0$ to -5 |
|  |  | $1-g(\mathbf{x})$ | $g(\mathbf{x})$ | 8.70 | init $b_{g}=-6$ |
|  |  | $1-g(\mathbf{x})$ | $g(\mathbf{x})$ | 9.81 | init $b_{g}=-7$ |
| shortcut-only | Fig. 2(d) | $1-g(\mathbf{x})$ | 1 | 12.86 | init $b_{g}=0$ |
| gating |  | $1-g(\mathbf{x})$ | 1 | 6.91 | init $b_{g}=-6$ |
| $1 \times 1$ conv shortcut | Fig. 2(e) | $1 \times 1$ conv | 1 | 12.22 |  |
| dropout shortcut | Fig. 2(f) | dropout 0.5 | 1 | fail |  |

## On the Usage of Activation Functions

- Not only identity shortcut, but also identity activation function
- Pre-activation design



## Experiments on Activation


(a) original

(b) BN after addition

(c) ReLU before addition

(d) ReLU-only pre-activation

(e) full pre-activation

## Experiments on Activation

| case | Fig. | ResNet-110 | ResNet-164 |
| :--- | :--- | :---: | :---: |
| original Residual Unit [1] | Fig. 4(a) | 6.61 | 5.93 |
| BN after addition | Fig. 4(b) | 8.17 | 6.50 |
| ReLU before addition | Fig. 4(c) | 7.84 | 6.14 |
| ReLU-only pre-activation | Fig. 4(d) | 6.71 | 5.91 |
| full pre-activation | Fig. 4(e) | $\mathbf{6 . 3 7}$ | $\mathbf{5 . 4 6}$ |

## Analysis

- Ease of optimization for very deep networks



## Analysis

- Reducing overfitting



## Experiments on ImageNet

| method | train crop size | test crop size | top-1 (\%) | top-5 $(\%)$ |
| :--- | :---: | :---: | :---: | :---: |
| ResNet-152, original Residual Unit [1] | $224 \times 224$ | $224 \times 224$ | 23.0 | 6.7 |
| ResNet-152, original Residual Unit [1] | $224 \times 224$ | $320 \times 320$ | 21.3 | 5.5 |
| ResNet-152, proposed Residual Unit | $224 \times 224$ | $320 \times 320$ | 21.1 | 5.5 |
| ResNet-200, original Residual Unit [1] | $224 \times 224$ | $320 \times 320$ | 21.8 | 6.0 |
| ResNet-200, proposed Residual Unit | $224 \times 224$ | $320 \times 320$ | $\mathbf{2 0 . 7}$ | $\mathbf{5 . 3}$ |
| Inception v3 [17] | $299 \times 299$ | $299 \times 299$ | 21.2 | 5.6 |

## Thank you

