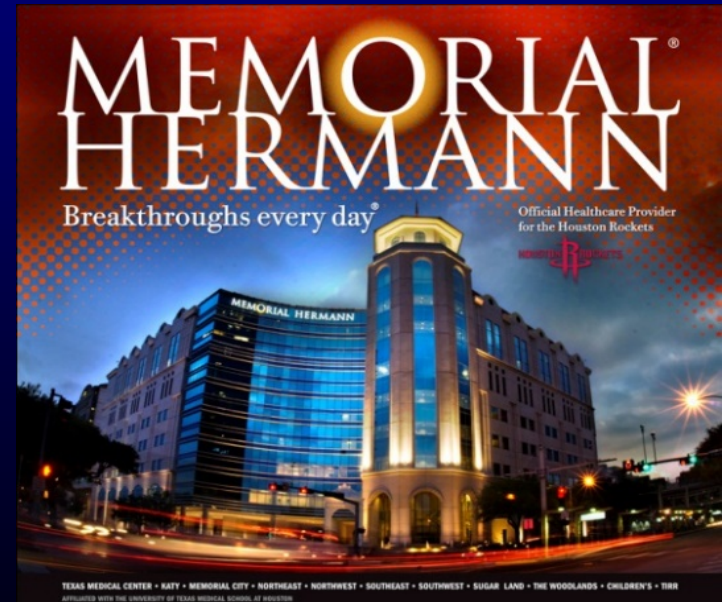
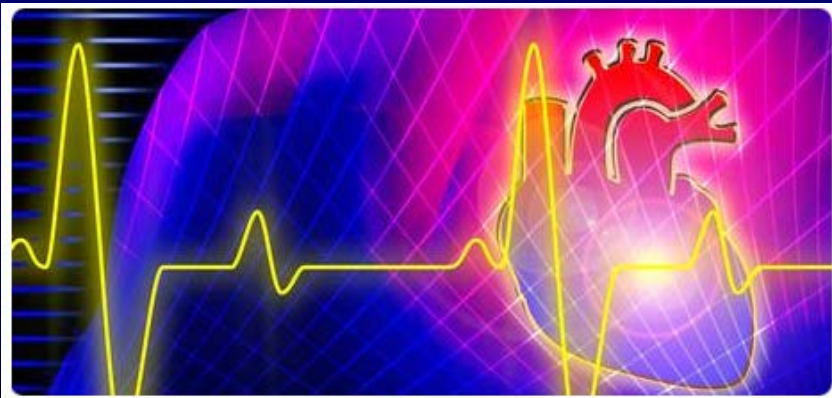


Heart Transplantation and MCS in Advanced Heart Failure

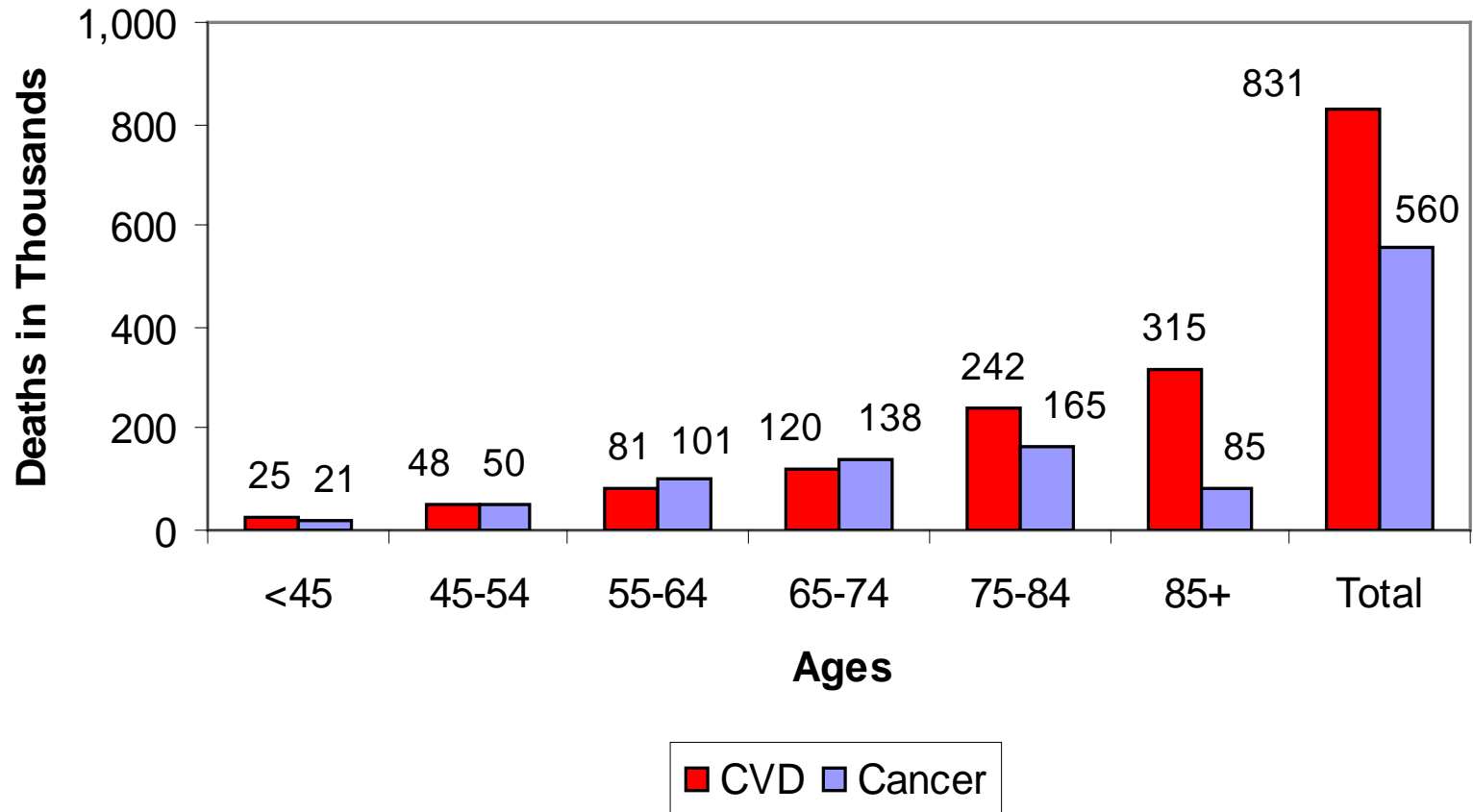
Igor D Gregoric, MD

Professor of surgery, Dept. of CV Surgery at UT, Houston TX
Chief and Program Director, Center for Advanced Heart Failure,
Memorial/Hermann Hospital, Houston, TX

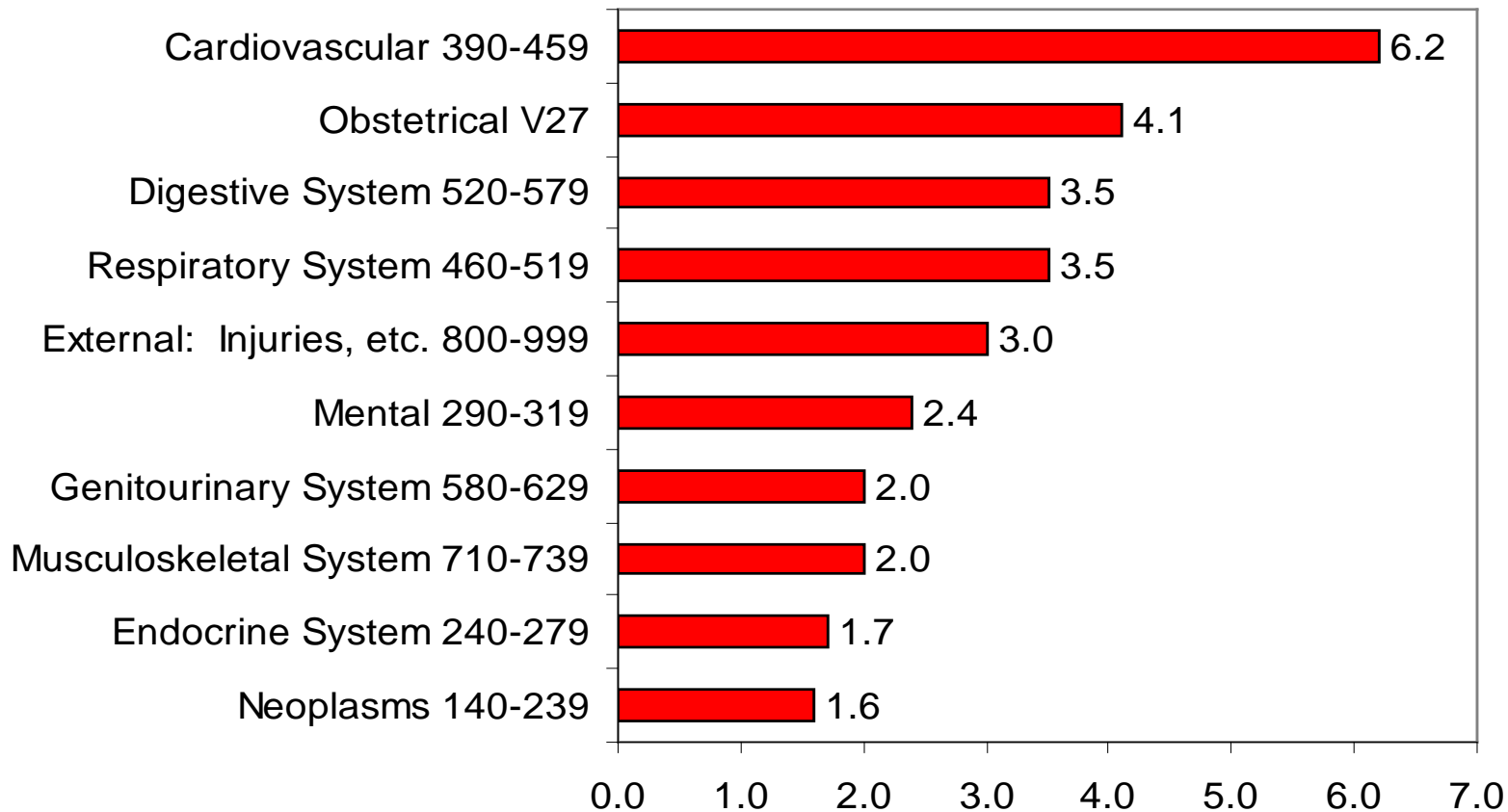


Univerza v Novi Gorici, October 11, 2017

CVD deaths vs. cancer deaths by age (US)



Hospital Discharges (in millions) for the 10 Leading diagnostic Groups



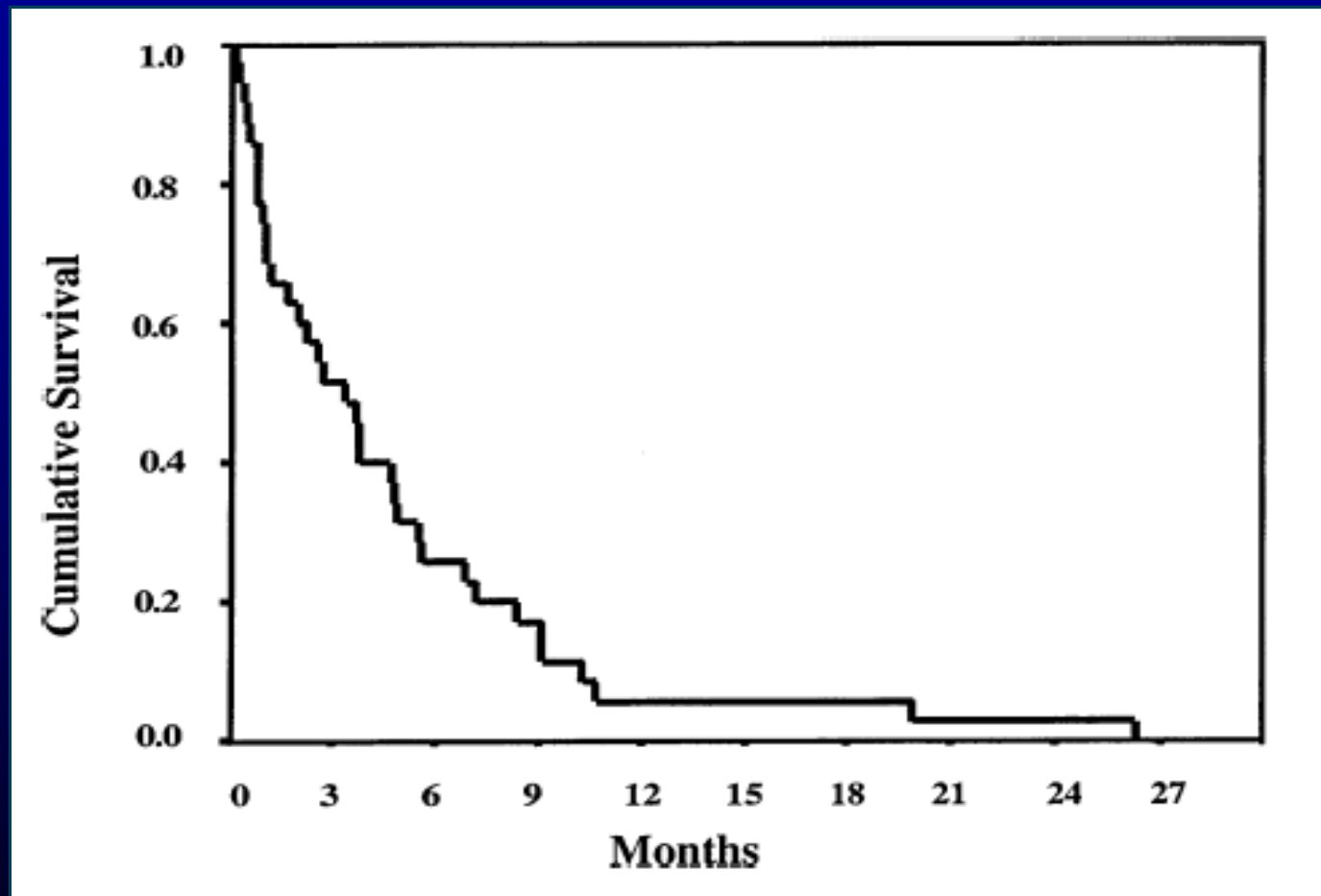
Heart Failure Facts

- U.S. prevalence 4.8 million
- 550,000 new cases CHF each year
- Survival outlook <5 years
- 287,000 deaths per year
- \$45 billion spent on CHF

Etiologies of heart failure

- **Coronary artery disease**
- **Idiopathic cardiomyopathy**
- **Peripartum cardiomyopathy**
- **Dilated cardiomyopathy**
- **Ischemic cardiomyopathy**
- **Acute valvular disease**
- **Arrhythmia (supraventricular or ventricular)**
- **Myocarditis**
- **Congenital heart disease**
- **Drug induced**
- **Diabetes mellitus**
- **Hypertension**

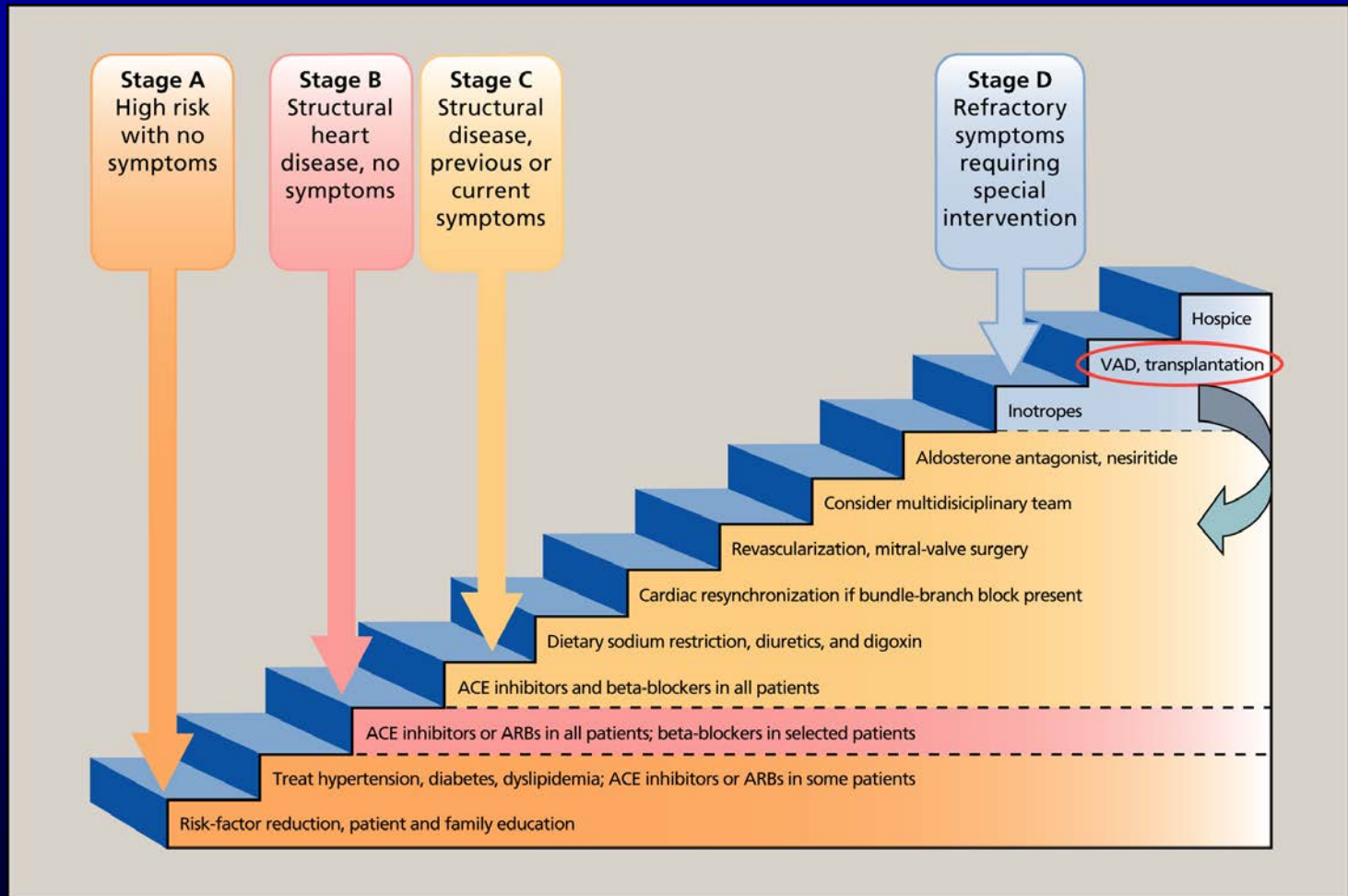
HF Mortality associated with Continuous Inotropic Infusions



End Stage Heart Failure



Heart failure

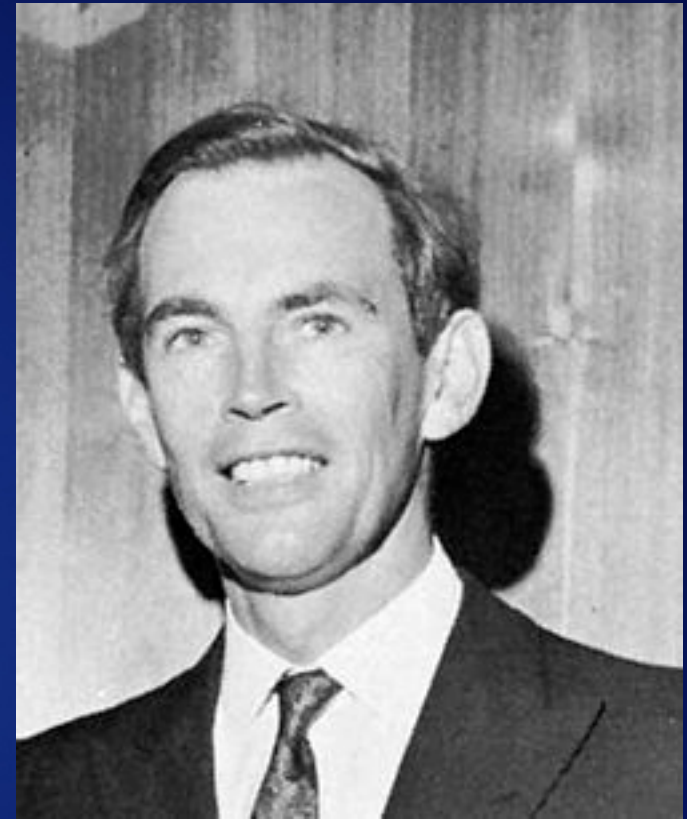


Heart Transplantation



Christian Barnard

- Born in South Africa in 1922
- Studied heart surgery at the University of Minnesota then returned to set up a cardiac unit in Cape Town.
- **December 1967**: transplanted the heart of a road accident victim into a 59 year old patient
- **Patient only survived 18 days due to infectious complications**



Heart Transplantation Dec 3 1967

<p>WATCHES for HIM FOR JEWELRY—Sales, Repairs, Wash \$44.75 and \$12.75 REPAIRS—Watches, Gold, Silver FOR FATHERS—Diamond, Gemstones, Gold and Silver Jewelry LONGINES—Watches KATZ & LOURIE LTD. SHOP FOR WATCHES</p>	<h2 style="font-size: 2em; margin: 0;">The Star</h2> <p style="margin: 0;">FOUNDED 1847 Telephone 8961201</p> <h1 style="font-size: 3em; margin: 0;">The Star</h1> <p style="margin: 0;">JOHANNESBURG MONDAY, DECEMBER 4, 1967 PRICE 14c CITY 12178 ★ PRICE 14c</p>	<p>WATCHES for HER FOR JEWELRY—Sales, Repairs, Wash \$44.75 and \$12.75 REPAIRS—Watches, Gold, Silver FOR FATHERS—Diamond, Gemstones, Gold and Silver Jewelry LONGINES—Watches KATZ & LOURIE LTD. SHOP FOR WATCHES</p>
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TRANSPLANTED HEART IS The first BEATING

Many problems to be answered

From Our Correspondent
Cape Town, Monday.

THIRTY-TWO HOURS after his historic heart transplant in Grootte Schuur Hospital, Mr. Louis Washkansky is maintaining his satisfactory condition.

Dr. J. G. Burger, Medical Director of the Groote Schuur Hospital, ward and center minutes to minutes this afternoon that the heart of the recipient patient's condition was unchanged when the morning.

Mr. Washkansky, whose life expectancy was not longer than a few weeks because of a disease who died eight hours after she was lowered down by a car in Johannesburg on Saturday afternoon.

The transplant was done by Prof. J. van Rooy, Director of Medical Research of the University of Cape Town's medical school, with a team of 20 surgeons, anesthesiologists, technicians and nurses.

The transplant has been watched throughout the world by leading men in the sphere of medical research and in the department of anatomy in South Africa at Groote Schuur Hospital.

The transplant team had been on stand-by for a month for the operation. It had been undertaken about 10 days ago but the heart of the donor proved unsuitable.

Close watch

Dr. J. G. Burger, Medical Director of Groote Schuur Hospital, said today that Mr. Washkansky's condition was satisfactory.

The man being closely watched in the intensive care unit in the hospital.



GIRL 'WOULD' Helicopters in

Saw smash of girl donor

From Our Correspondent
CAPE TOWN, Monday

MRS. ANN WASHKANSKY, wife of the Cape Town man who made medical history by receiving the world's first heart transplant operation, found by the same of the accident in which the heart of her husband's new heart was nearly severed, shortly after it happened.

The word of Mrs. Ann Washkansky today: "I had been visiting my husband in the Groote Schuur Hospital in Johannesburg and I saw the accident on my way home."

Sudden death—Spain wins

By Louis Hoffman

SUDAN death came to S. South Africa's hopes in the Davis Cup in the Park on Sunday. Spanish players won the fourth set against East Africa at 6-2 to set the match at 3-1. S. S. A. W. 2-1 and give Spain victory in the set for 3-2.

Spain's lead was prolonged in the final set, but the South African players fought back to win the match 6-4. Spain won after the match 3-2.

When the match was over, the South African players and officials gathered for a group photo. The match was a very close one.

Spain's lead was prolonged in the final set, but the South African players fought back to win the match 6-4. Spain won after the match 3-2.

BETTER TEAM

The better team this quarter to make a victory in the Davis Cup.

The match was a very close one. Spain's lead was prolonged in the final set, but the South African players fought back to win the match 6-4. Spain won after the match 3-2.

Spain's lead was prolonged in the final set, but the South African players fought back to win the match 6-4. Spain won after the match 3-2.



Summary of Heart Transplants as of March 1, 1971

■ Total No. of Transplants		170
– US	108	
– Foreign	62	
■ Total No. of Recipients		167
– Total No of Deaths		143
– No of Survivors		24
■ US	18	
■ Foreign	6	
■ Total No of Countries		20

Richenbacher, MCS, 1999



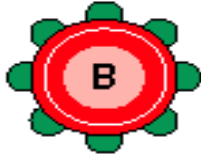

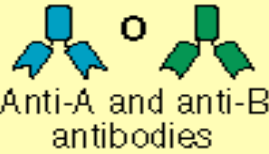







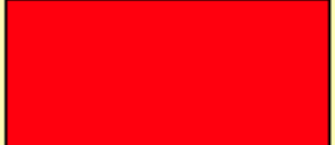
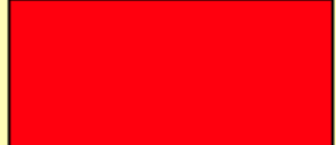









The interest in cardiac transplantation waned during the 1970s as most recipients **died** within a few months of the transplant operation from **infection or rejection**.



1976– Discovery Cyclosporine A

- Severity and acuity of rejections decreased
- Lead to a renewed interest in cardiac transplantation in 1980s
- The need for bridge to transplant devices resurfaced
 - LVAD
 - TAH

Most Common Transplantation -Blood Transfusion-

	Potential donor			
				
Recipient				
 Anti-A and anti-B antibodies				
 Anti-B antibodies				
 Anti-A antibodies				
AB No antibodies against A or B				



Transfuse



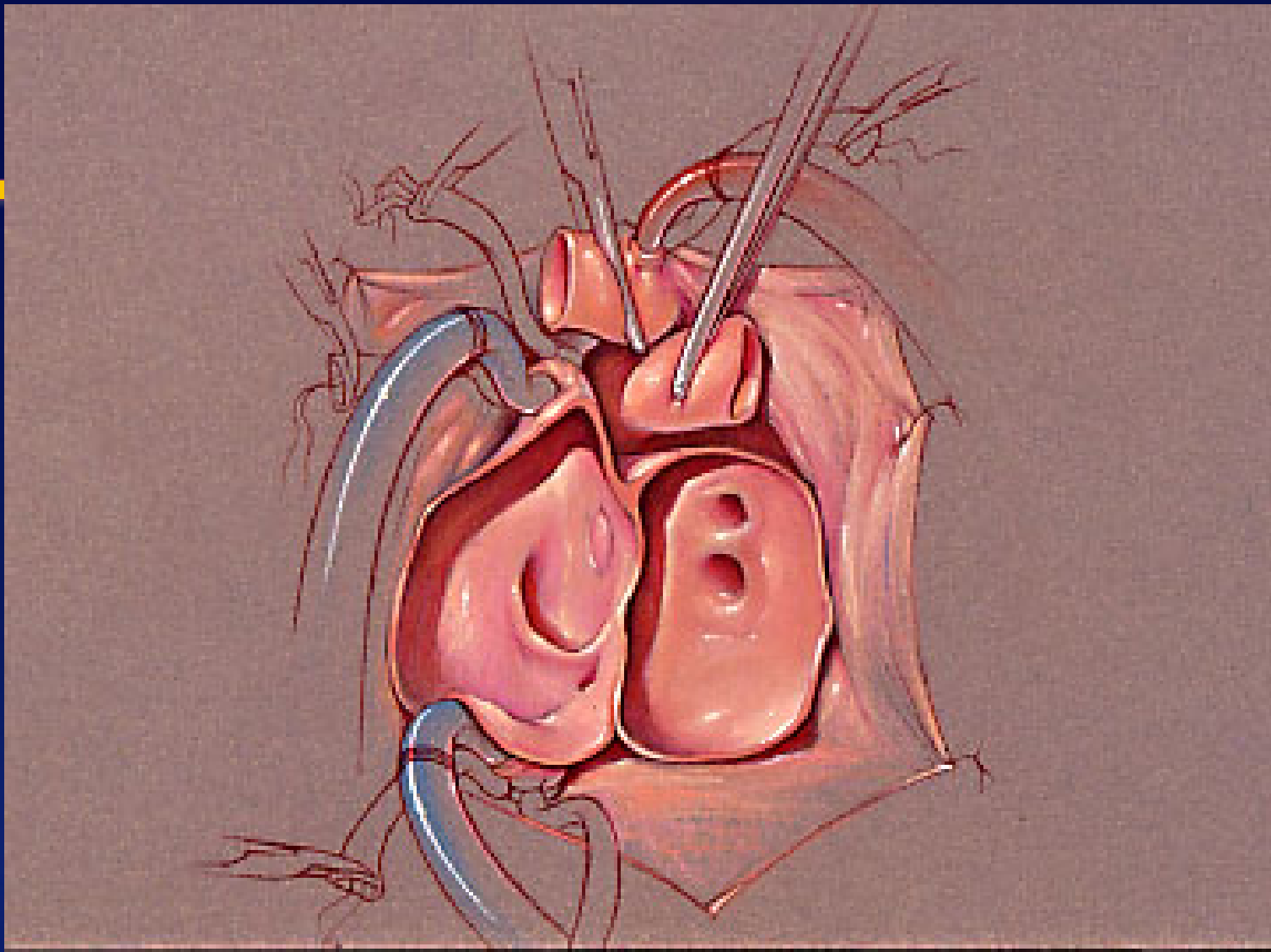
Not transfused

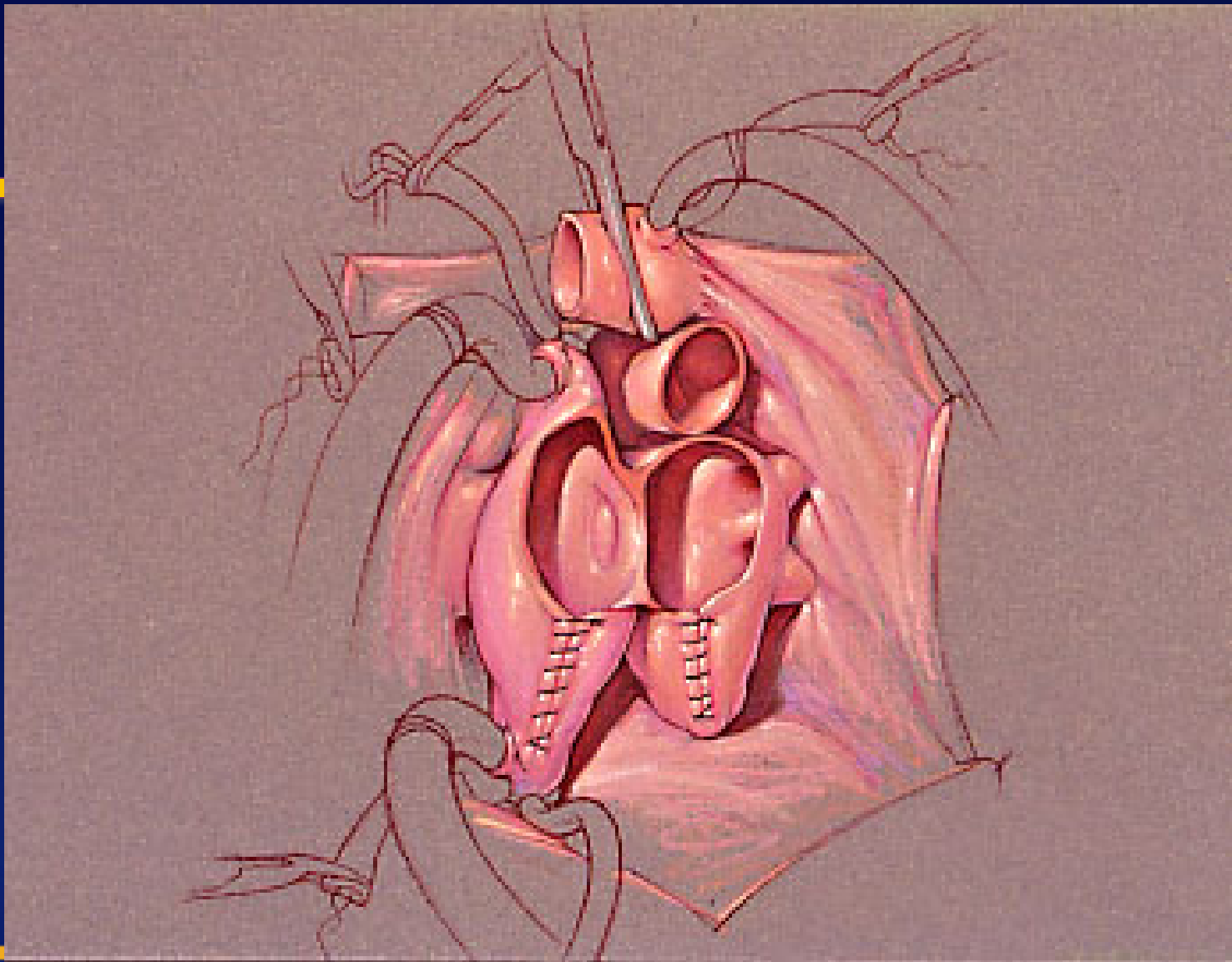
Immunologic Analysis

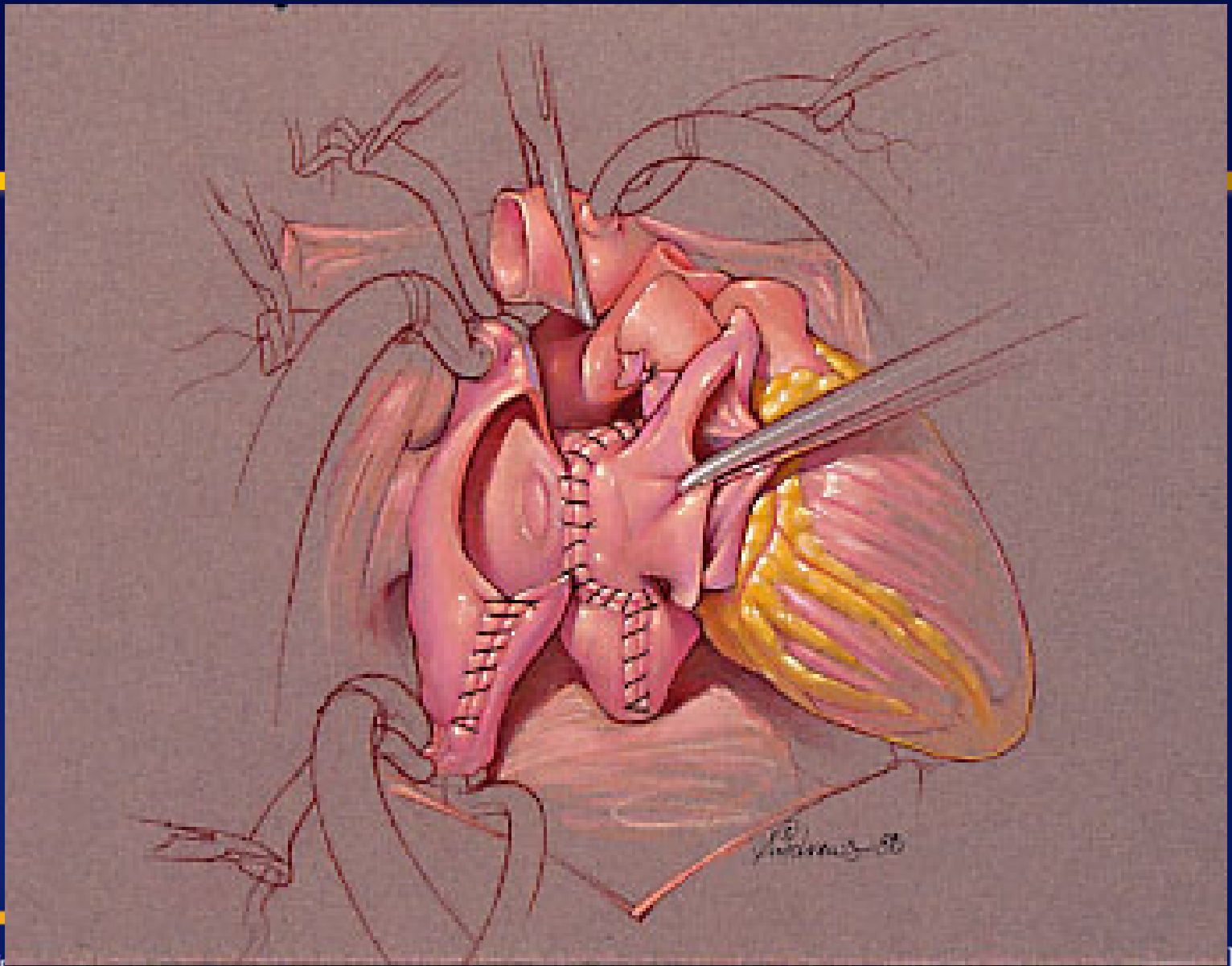
- HLA Tissue Typing
- Cytoscreen
- Cross Match

TECHNIQUE







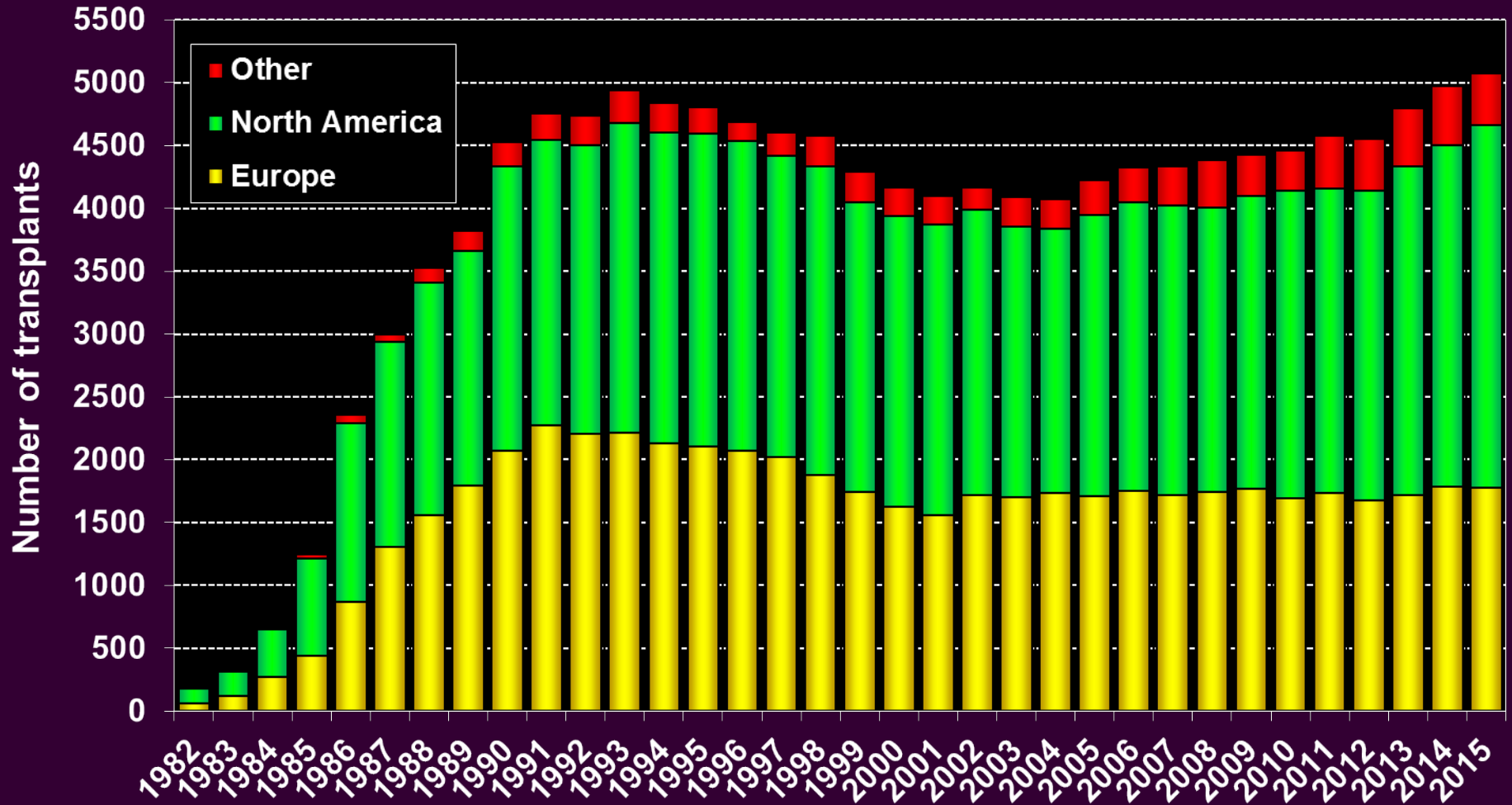


HEART TRANSPLANTATION

Overall

Adult and Pediatric Heart Transplants

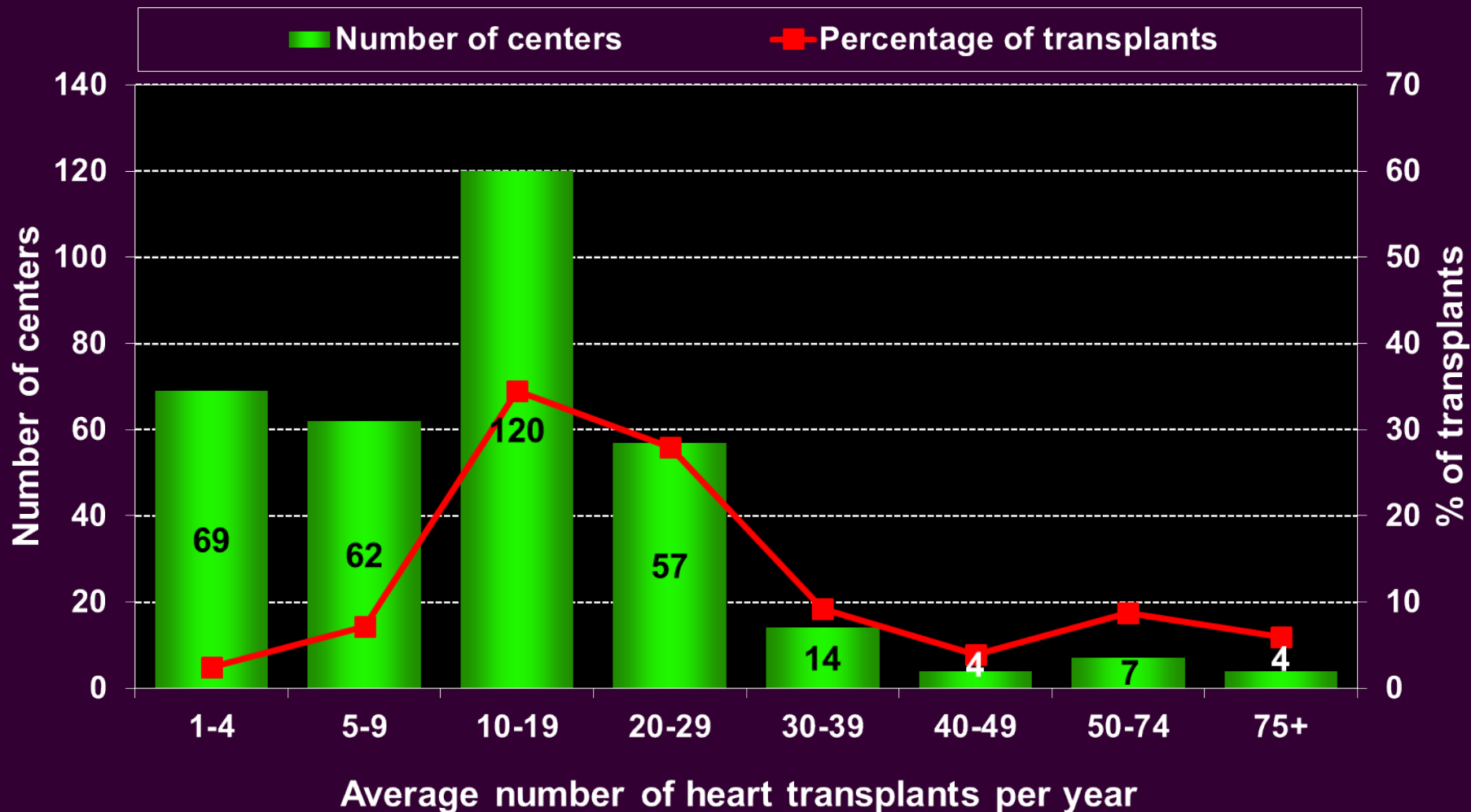
Number of Transplants by Year and Location



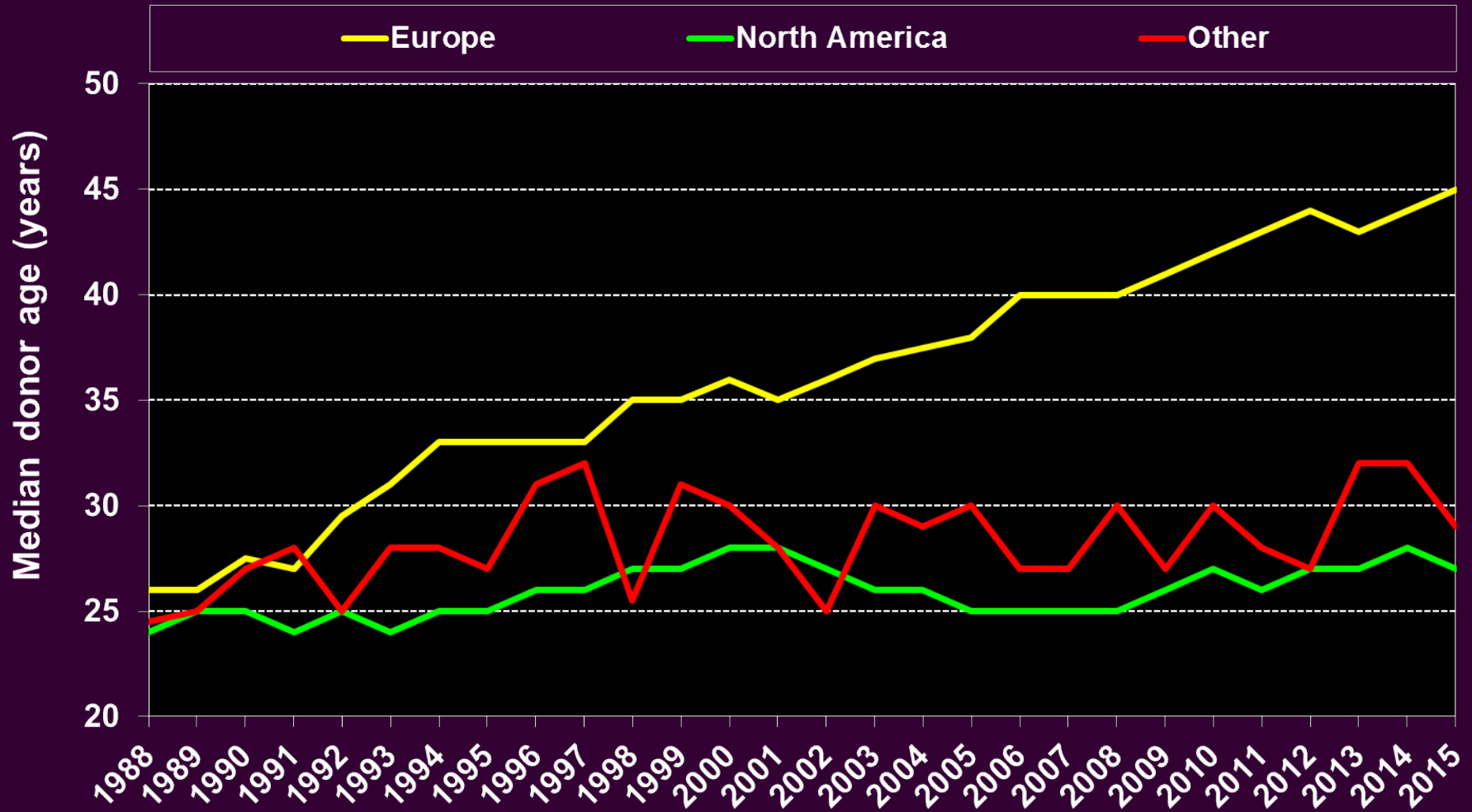
NOTE: This figure includes only the heart transplants that are reported to the ISHLT Transplant Registry. As such, the presented data may not mirror the changes in the number of heart transplants performed worldwide.

Adult and Pediatric Heart Transplants

Average Center Volume (Transplants: January 2009 – June 2016)

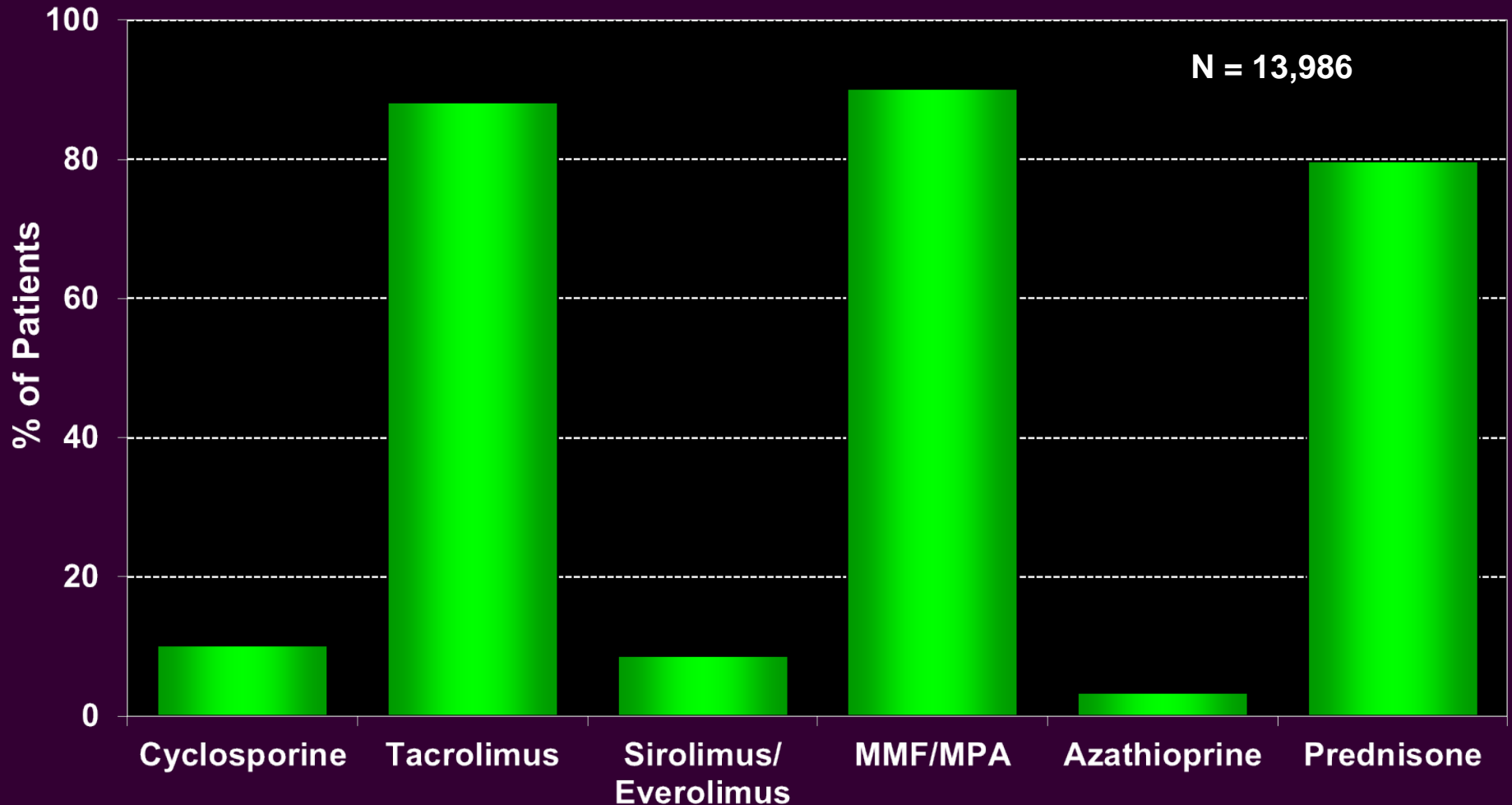


Adult and Pediatric Heart Transplants Median Donor Age by Location



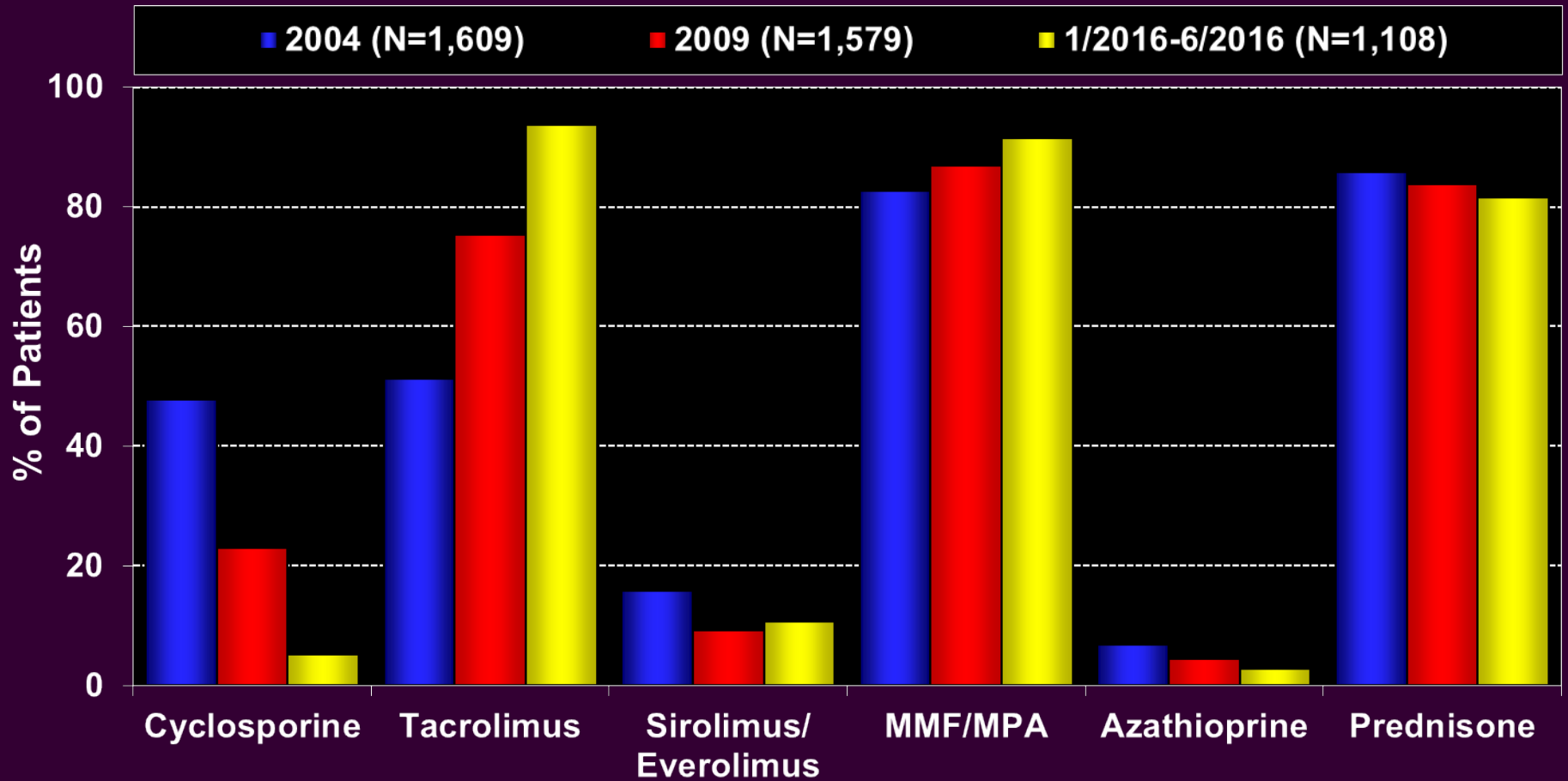
Adult Heart Transplants

Maintenance Immunosuppression at Time of 1 Year Follow-up (Follow-ups: January 2009 – June 2016)



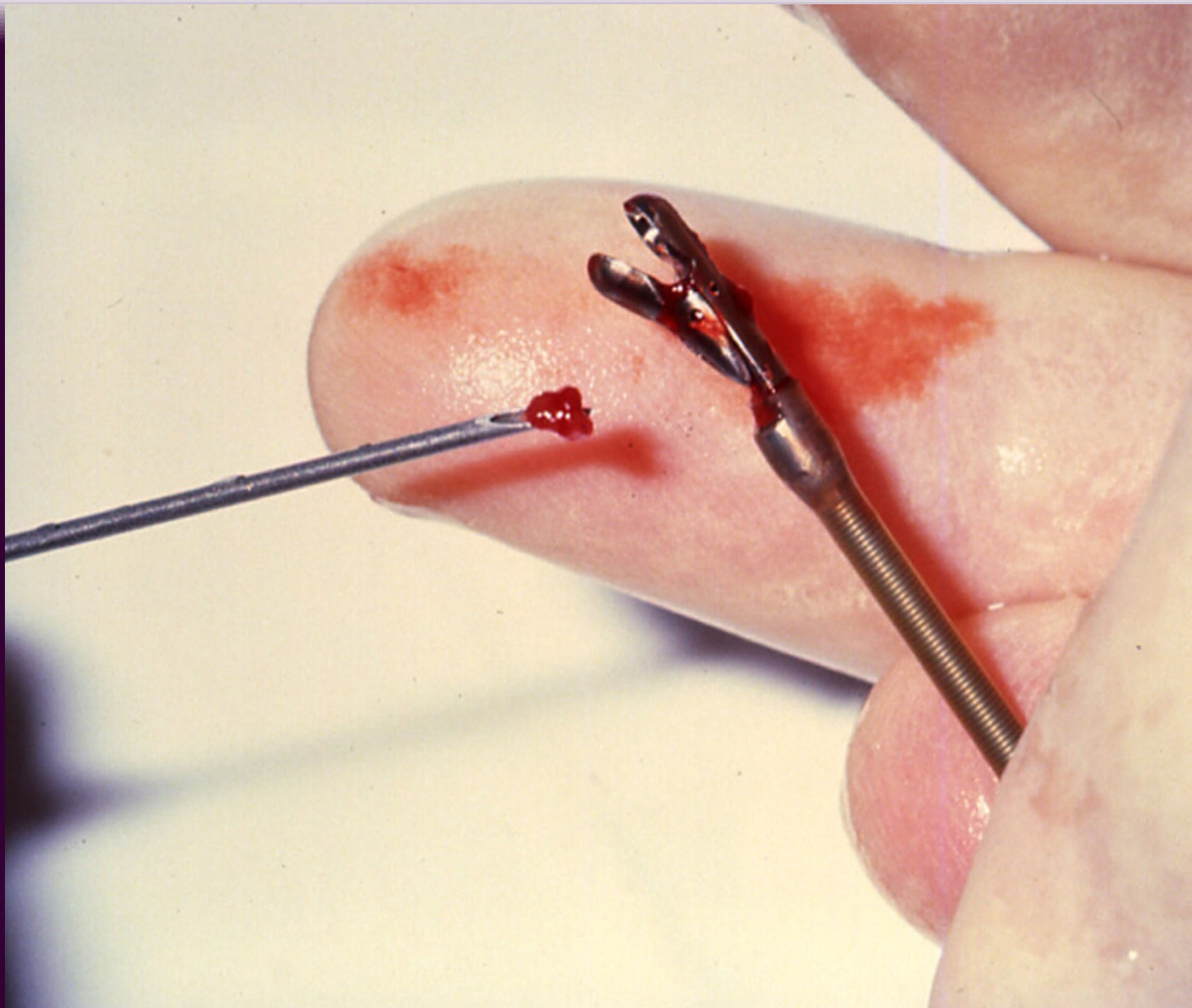
Adult Heart Transplants

Maintenance Immunosuppression at Time of 1 Year Follow-up by Year

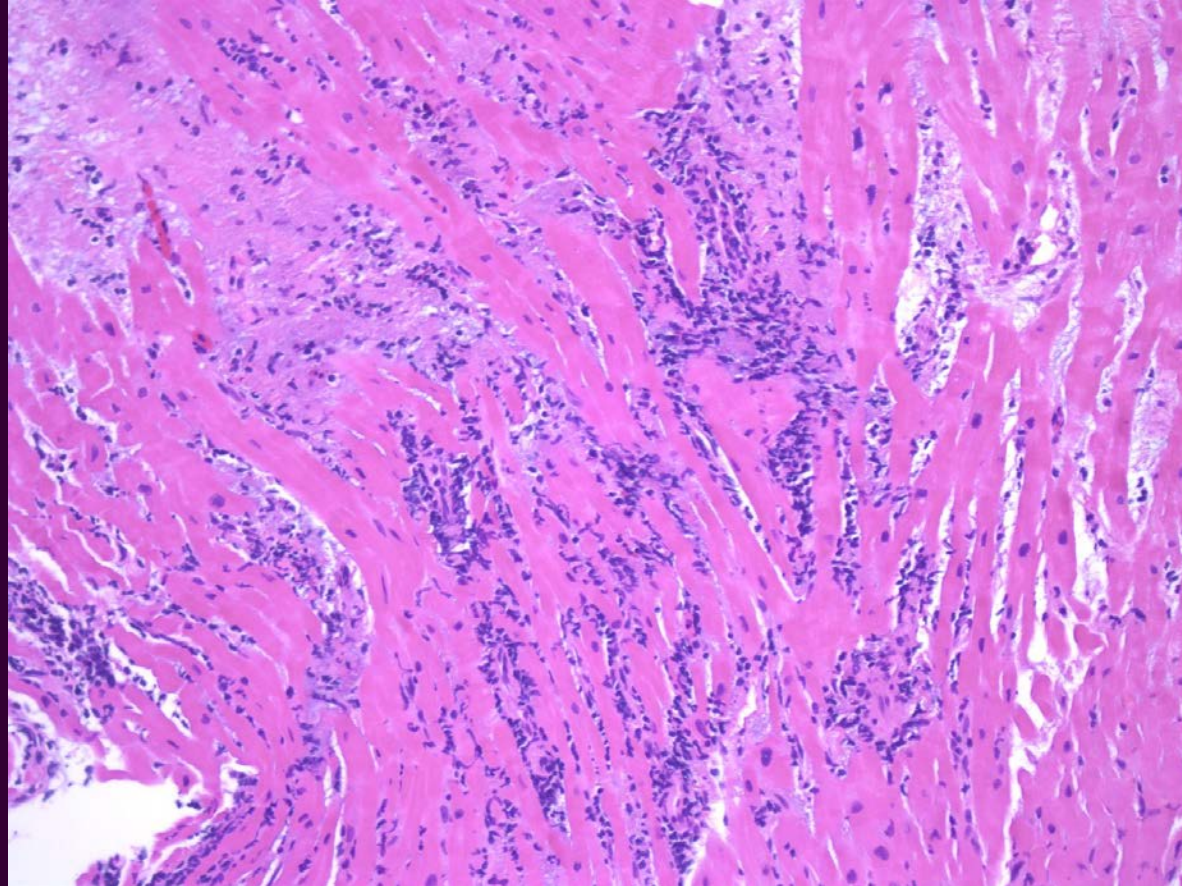


NOTE: Different patients are analyzed in each timeframe.

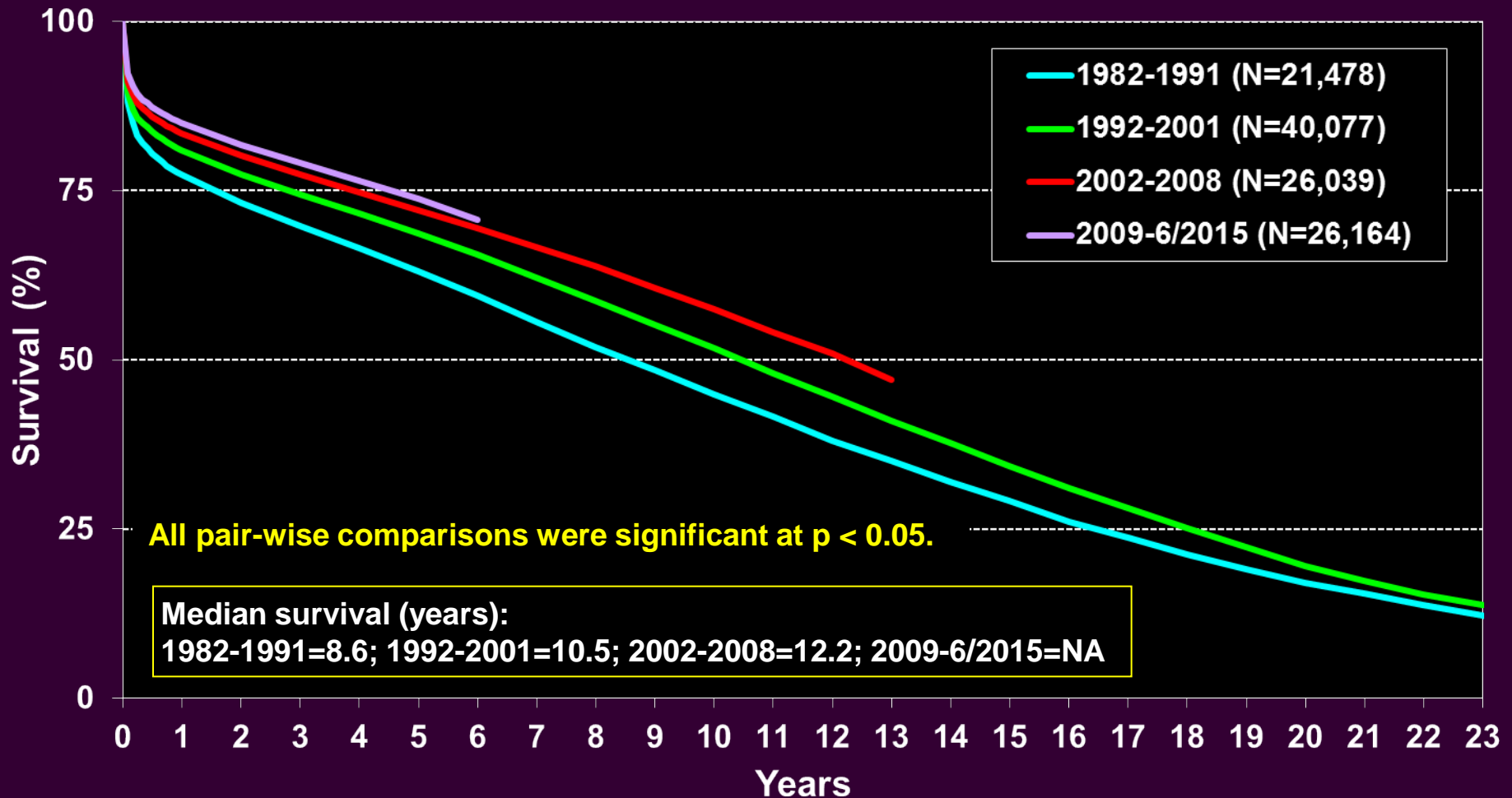
Rejection



Grade 3R, Severe (3B)



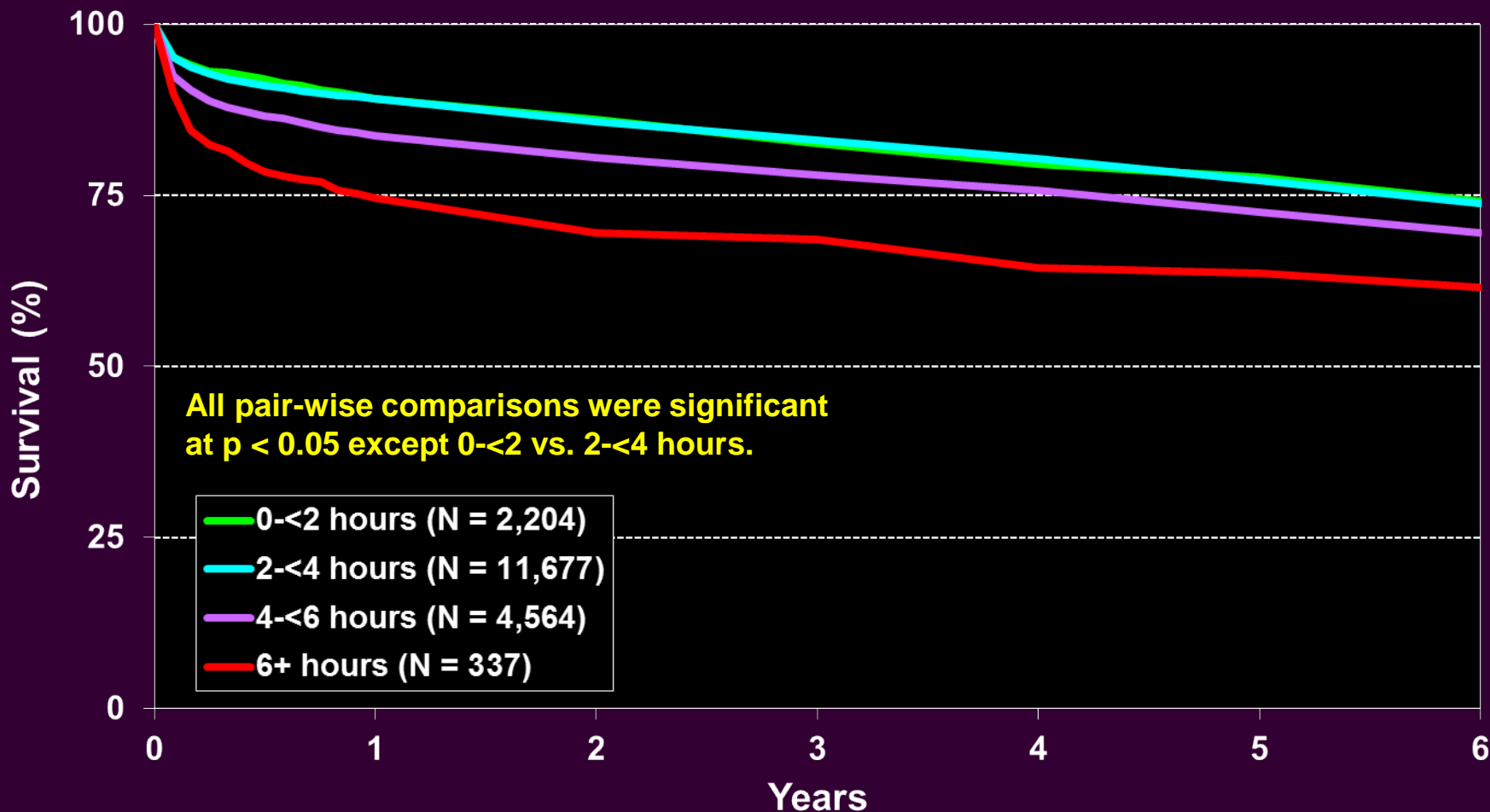
Adult Heart Transplants Kaplan-Meier Survival by Era (Transplants: January 1982 – June 2015)



Adult Heart Transplants

Kaplan-Meier Survival by Ischemic Time

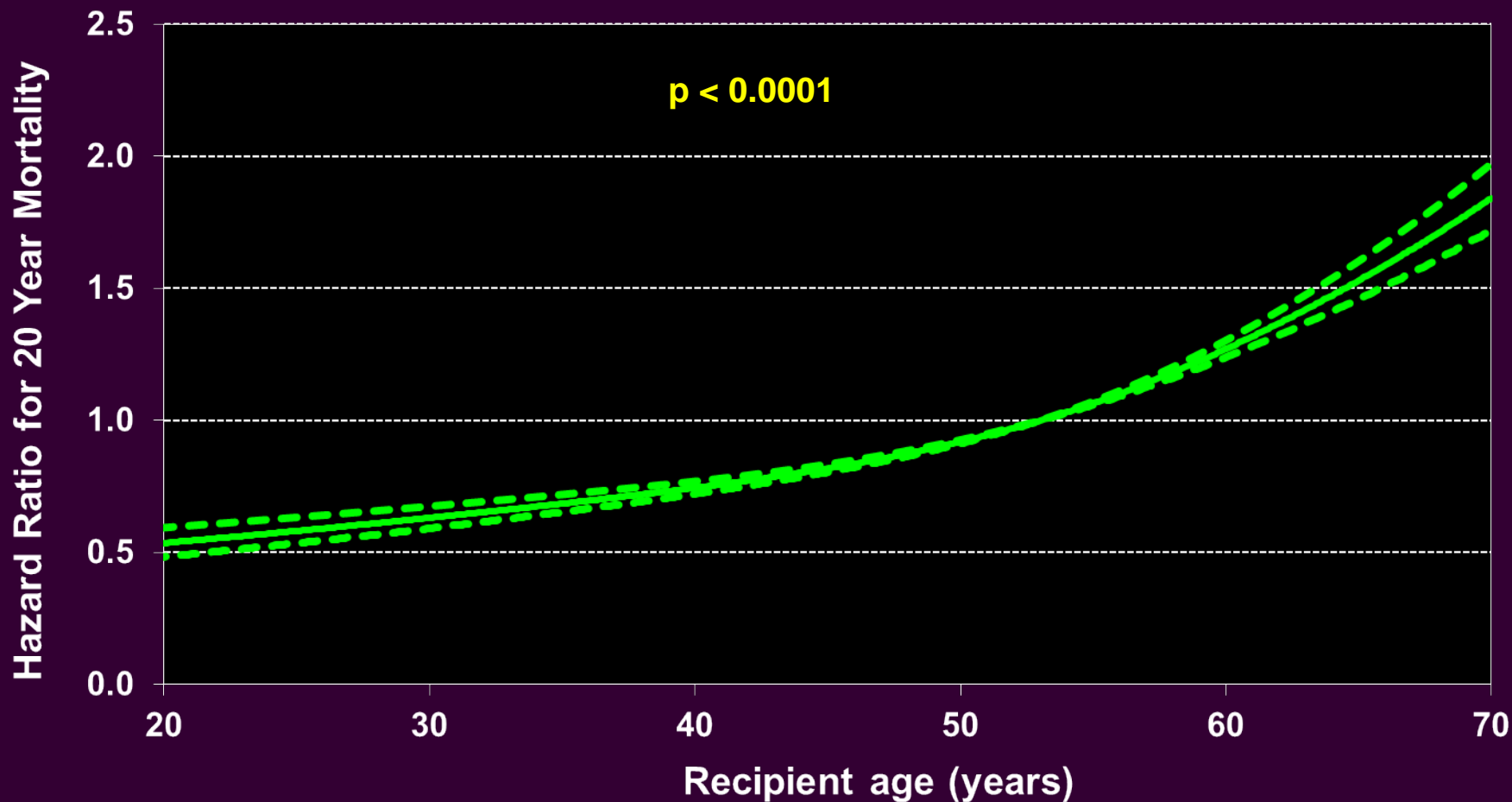
(Transplants: January 2009 – June 2015)



Adult Heart Transplants (1991-6/1996)

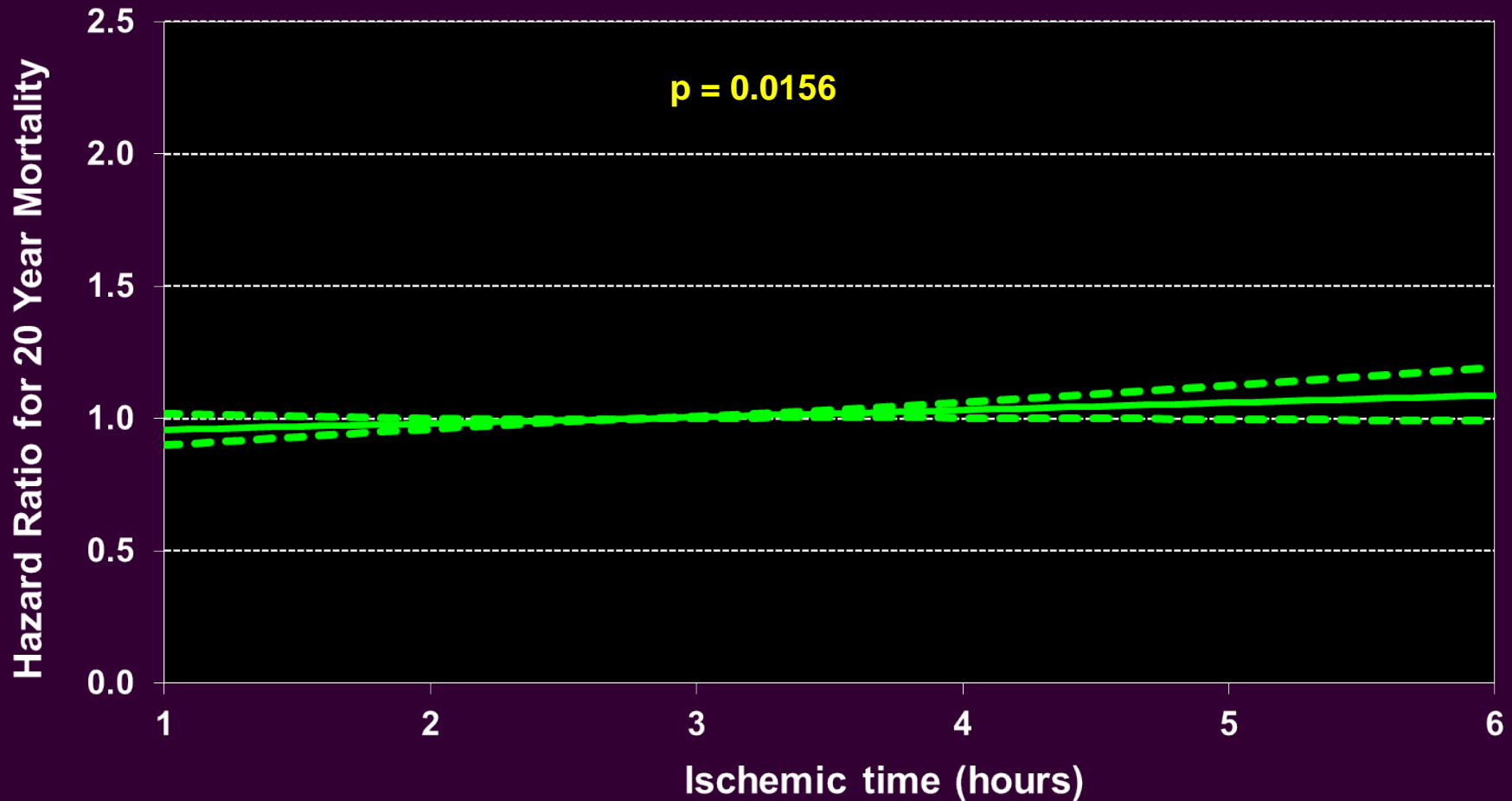
Risk Factors For 20 Year Mortality with 95% Confidence Limits

Recipient age



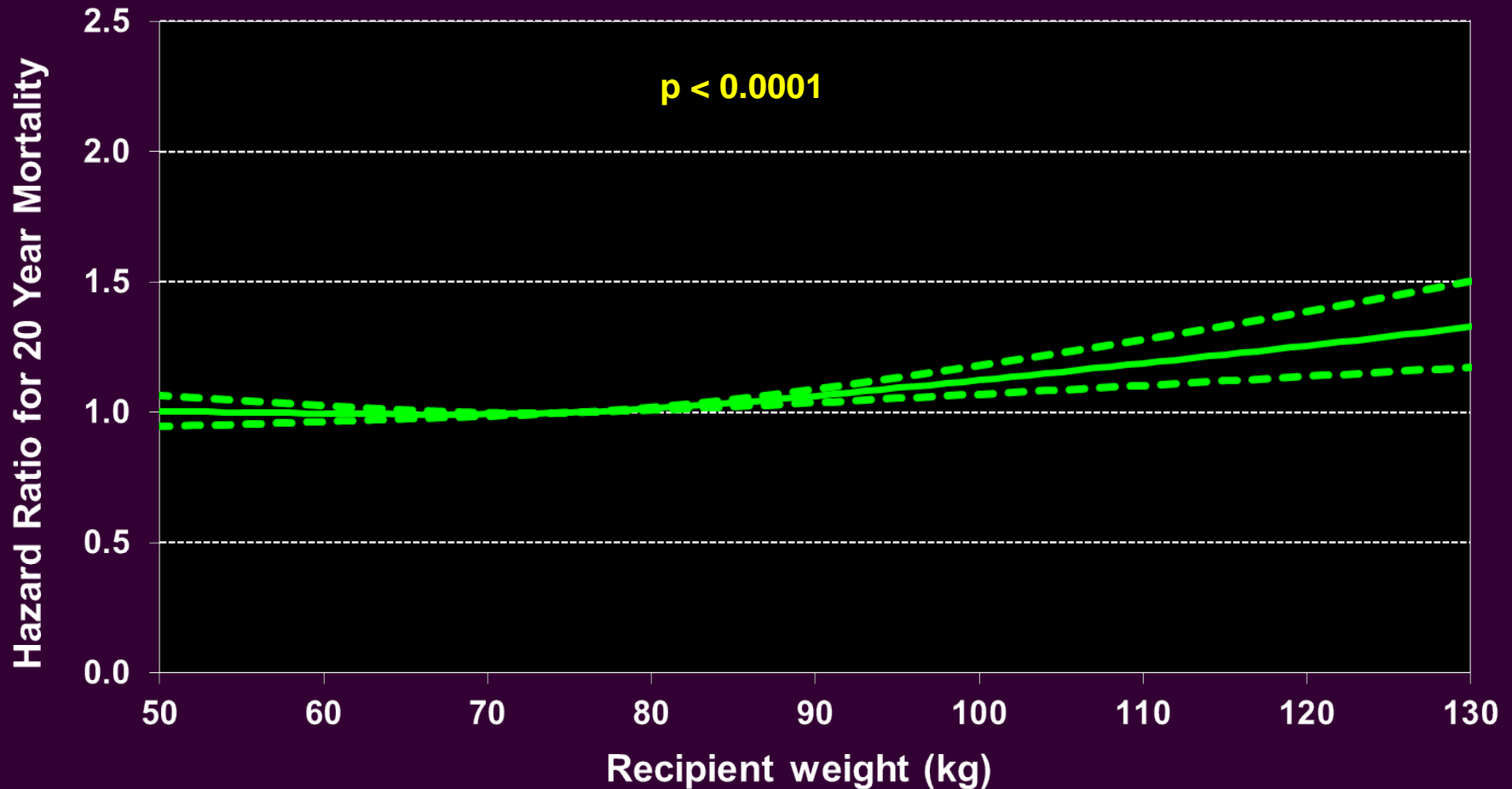
Adult Heart Transplants (1991-6/1996)

Risk Factors For 20 Year Mortality with 95% Confidence Limits **Ischemic time**



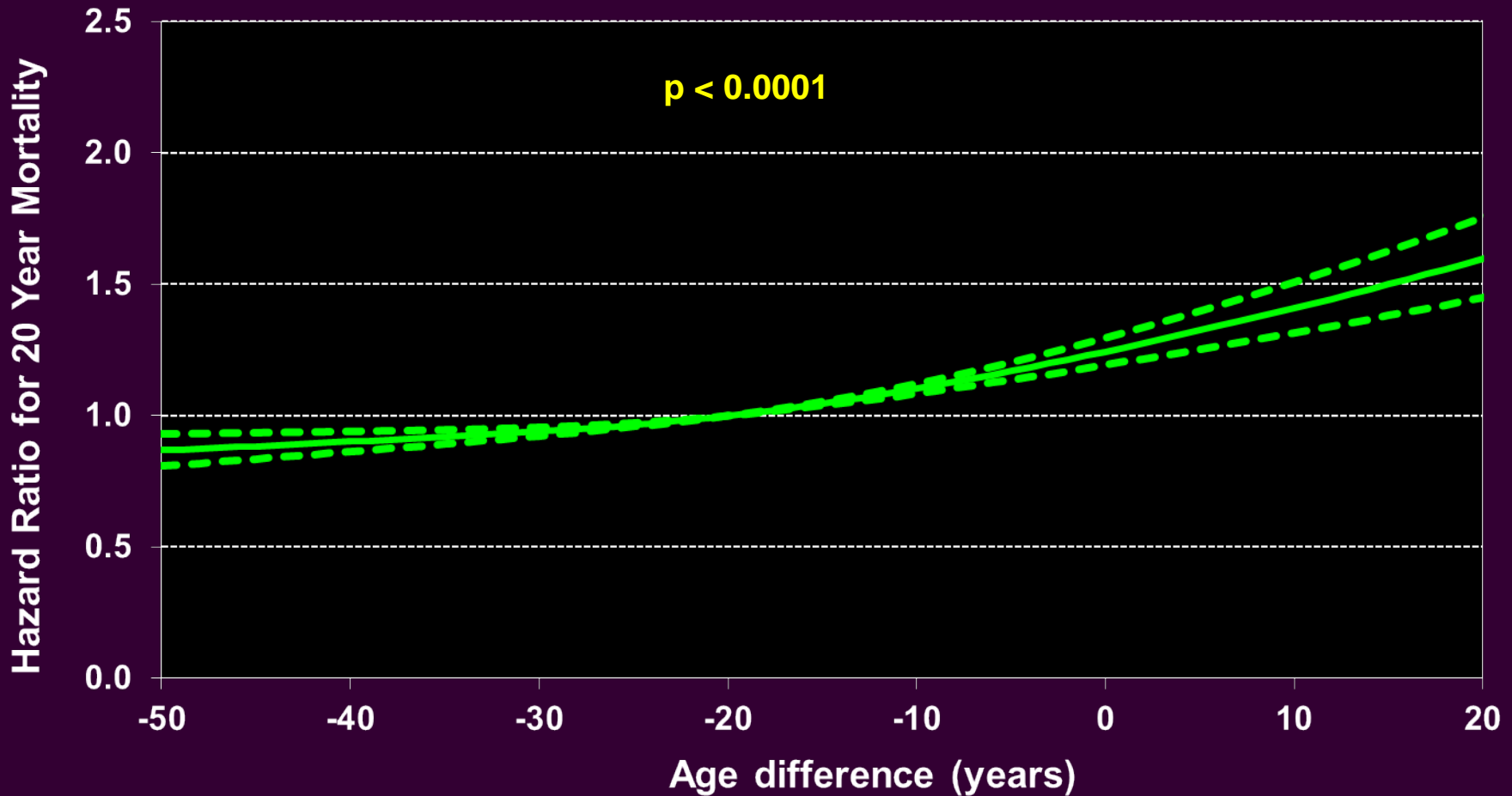
Adult Heart Transplants (1991-6/1996)

Risk Factors For 20 Year Mortality with 95% Confidence Limits Recipient weight (kg)



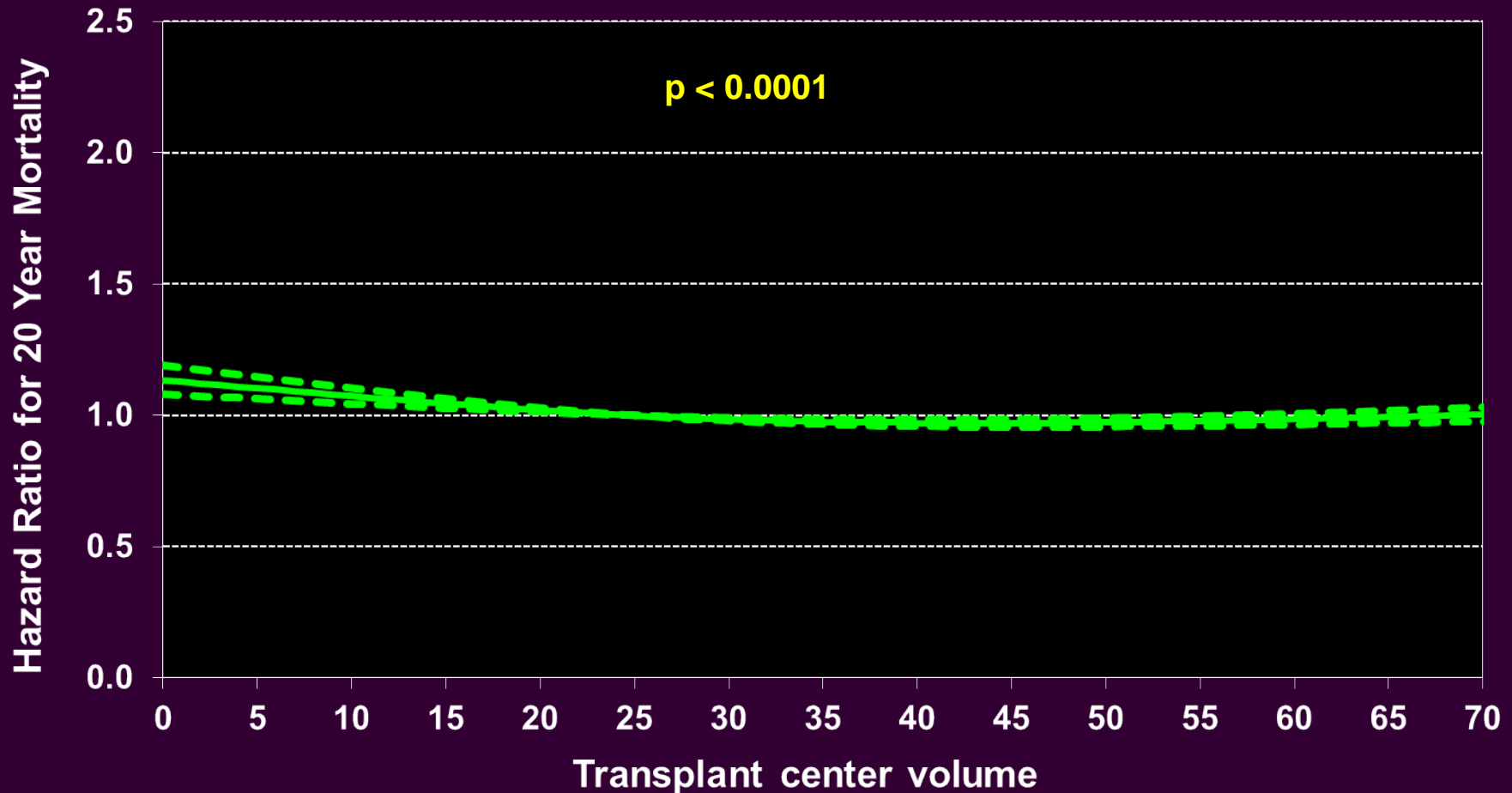
Adult Heart Transplants (1991-6/1996)

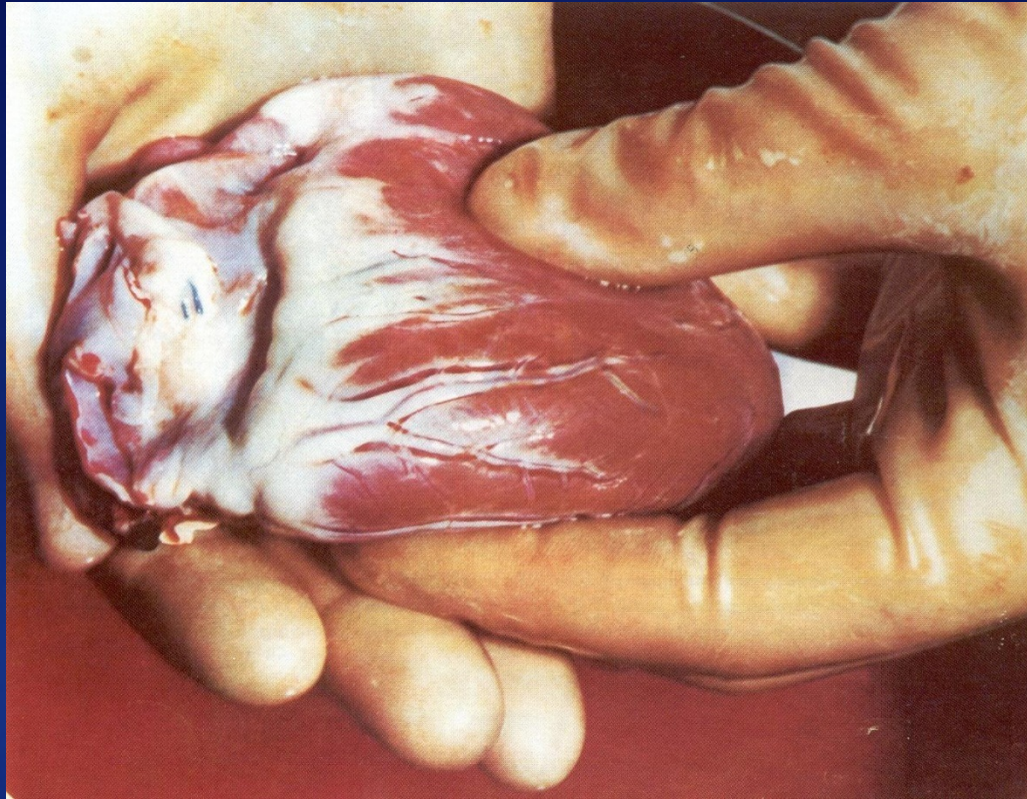
Risk Factors For 20 Year Mortality with 95% Confidence Limits Age difference



Adult Heart Transplants (1991-6/1996)

Risk Factors For 20 Year Mortality with 95% Confidence Limits Transplant center volume





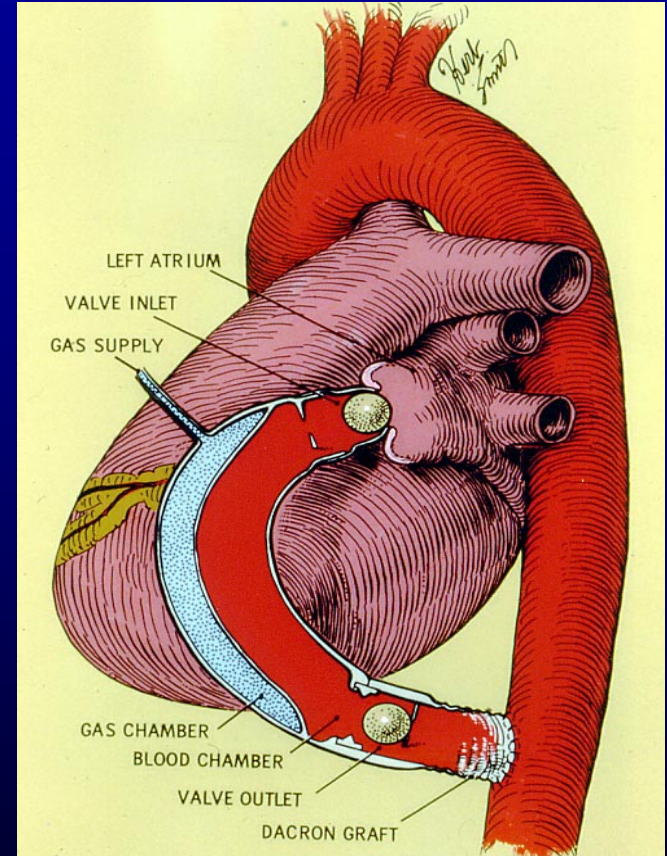
SLEH 1987



MCS in Chronic Heart Failure

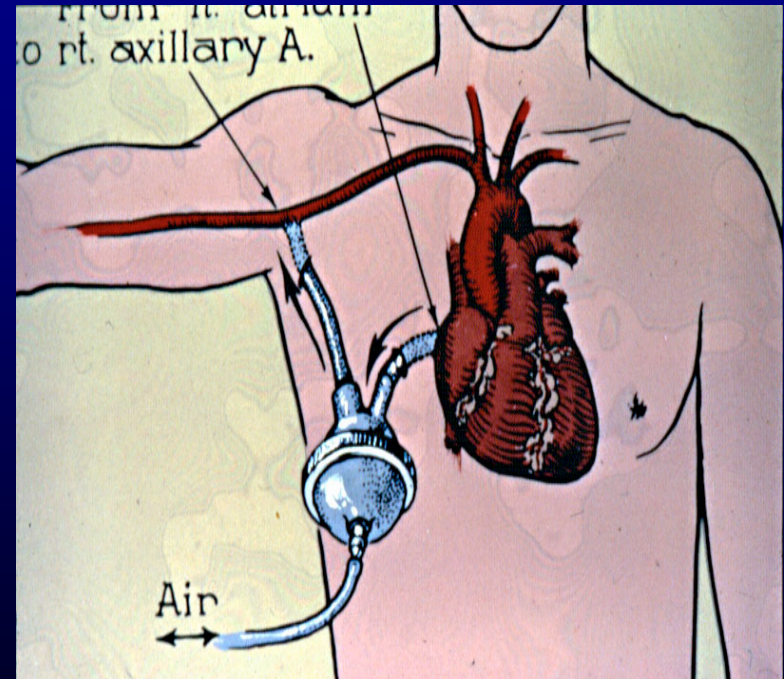
First Clinical LVAD

- 1963, Michael E. DeBakey
- 42-year-old patient
- Supported for 4 days
- Pump functioned well
- Pt. died of lung complications



First Clinical LVAD Success

- 1966, Michael E. DeBakey
- 37-year old patient
- Postcardiotomy
- Supported for 10 days
- Long-term survivor

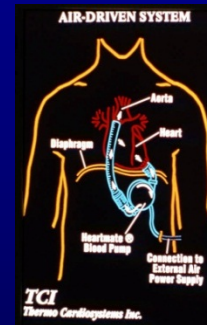




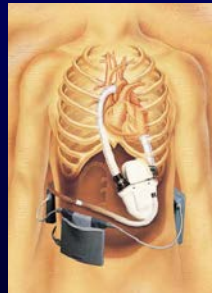
Mid 80's

■ Clinical trials with

- Pneumatic HM I



- Novacor



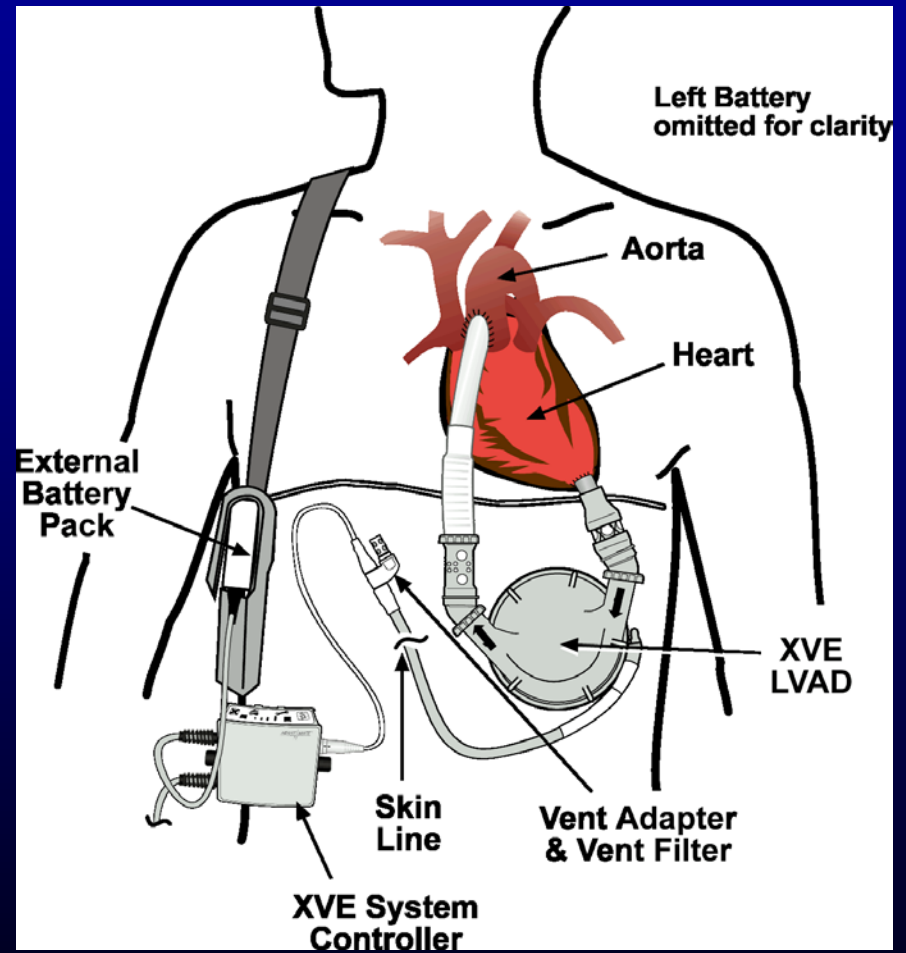
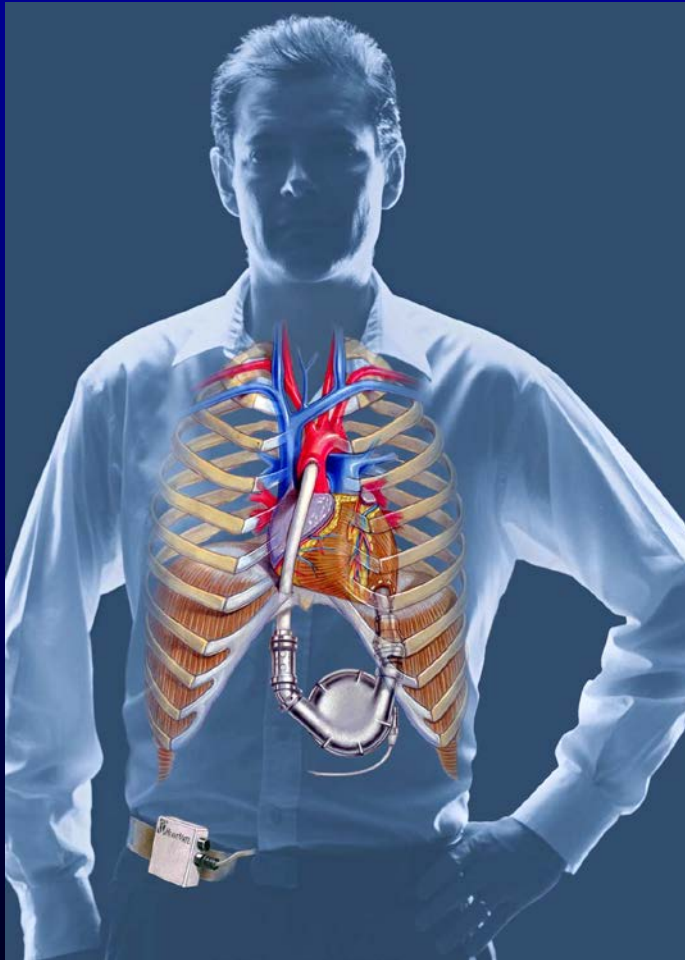
**First generation
Pulsatile Devices
for Long Term Use**





HM I

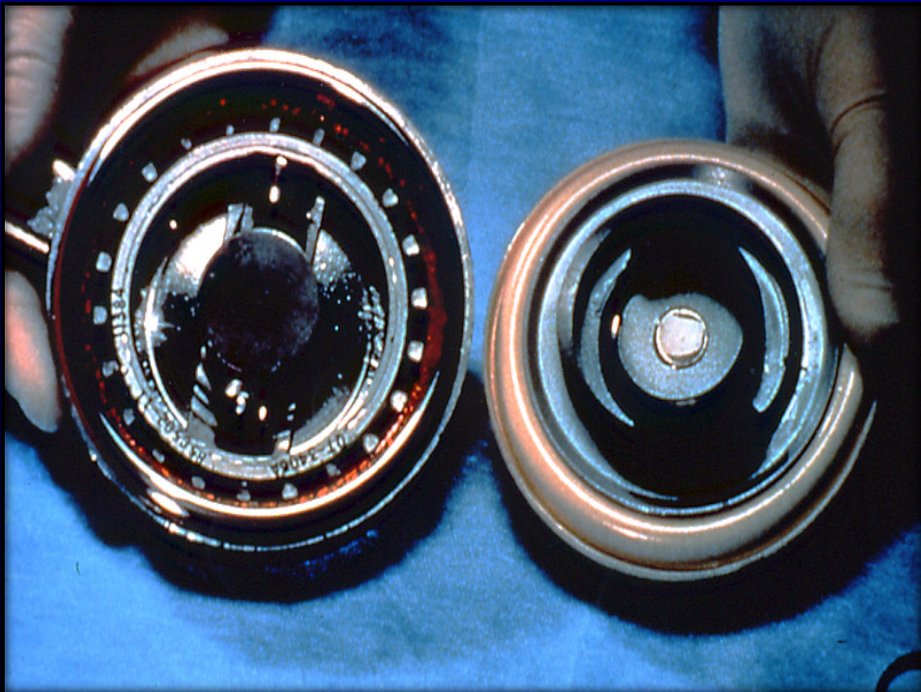
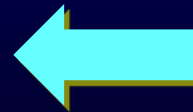
HeartMate XVE



HM IP

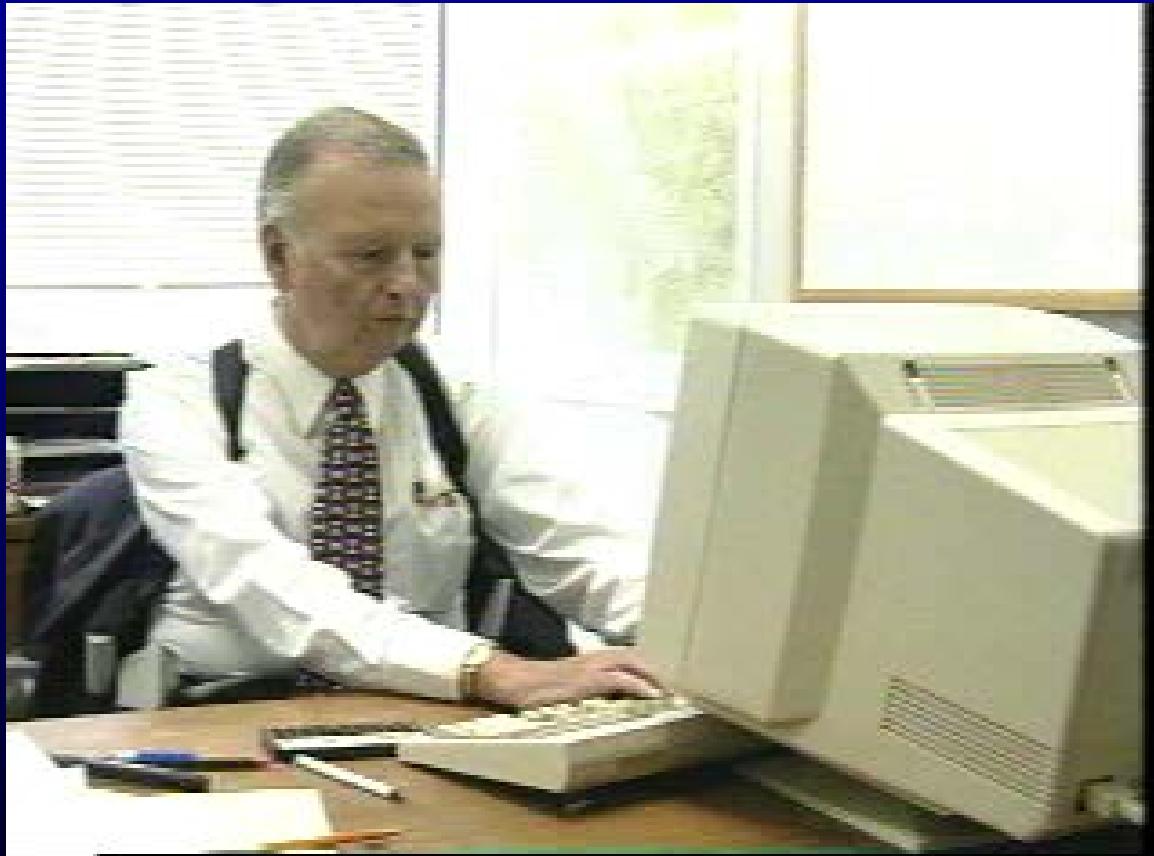


HM XVE





Working With HM I



HeartMate XVE[®] - First FDA Approved Device for Destination Therapy

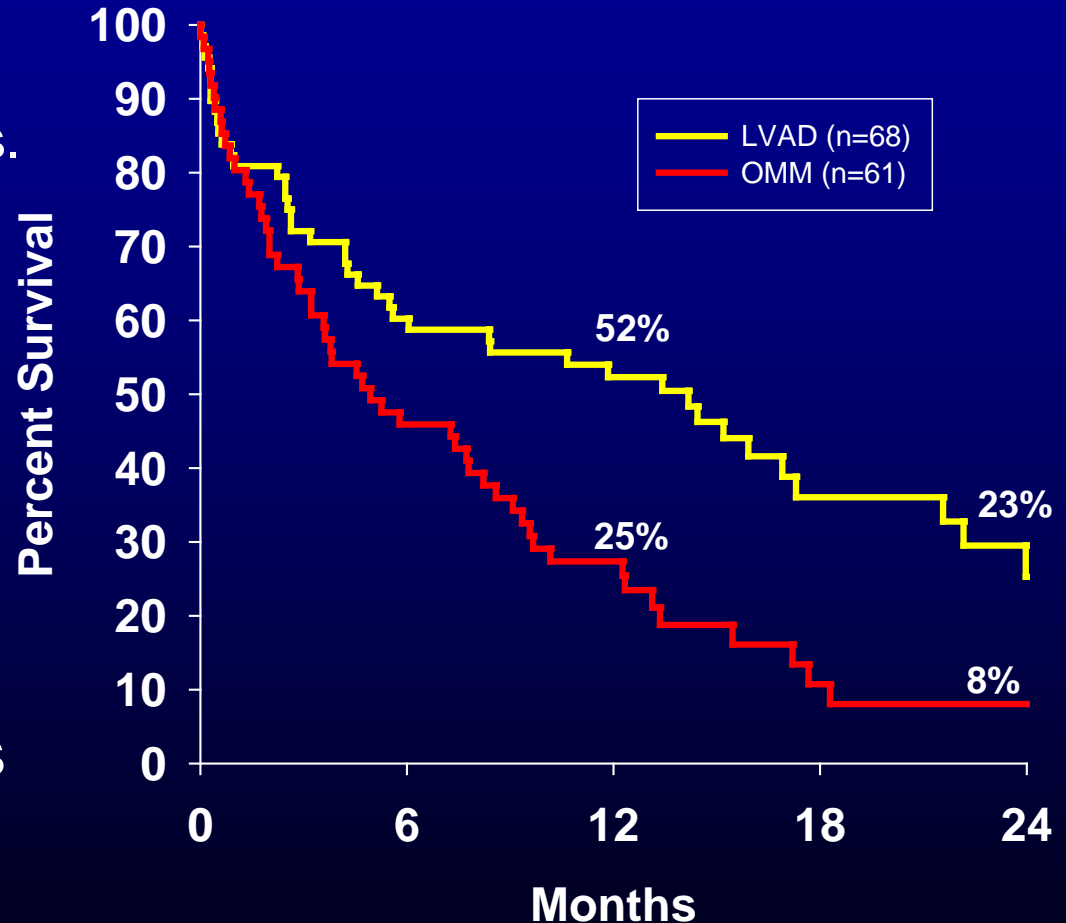
- NYHA class IV end-stage LV failure
- Received optimal medical therapy for at least 60 of the last 90 days
- Life expectancy of < 2 years
- Not a candidate for cardiac transplantation
- LVEF < 25%
- $MVO_2 < 12 \text{ ml/kg/min}$ or on inotropes
- $BSA \geq 1.5 \text{ m}^2$



REMATCH

Randomized Evaluation of Mechanical Assistance for the Treatment of Congestive Heart Failure

- Randomized clinical trial
 - optimal medical therapy vs. pulsatile flow LVAD
- Non-transplant candidates (n=129)
 - EF \leq 25%,
 - peak VO₂ < 12 ml/kg/min,
 - or continuous infusion inotropes
- FDA approval for XVE as destination therapy



Evolution of LVAD Technology

1st Generation
Pulsatile Pump

2nd Generation
Axial Flow Pump

3rd Generation
Rotary Pump



DuraHeart
3rd Generation

Ventrassist
3rd Generation

HeartWare
3rd Generation

Levacor
3rd Generation

Heartmate III
3rd Generation



Heartmate II
2nd Generation

DeBakey VAD
2nd Generation

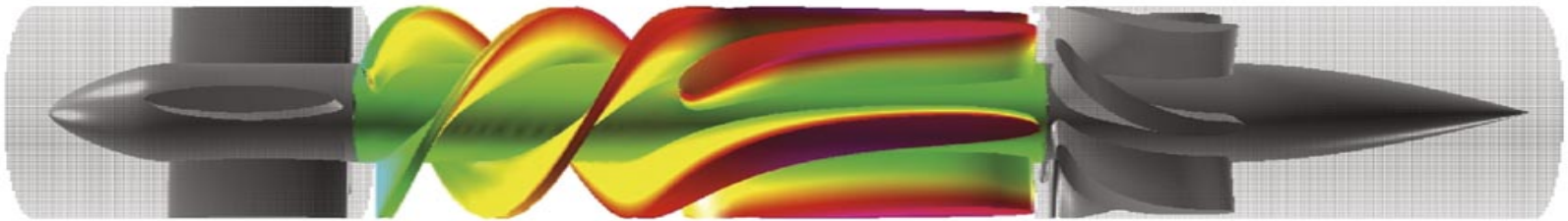
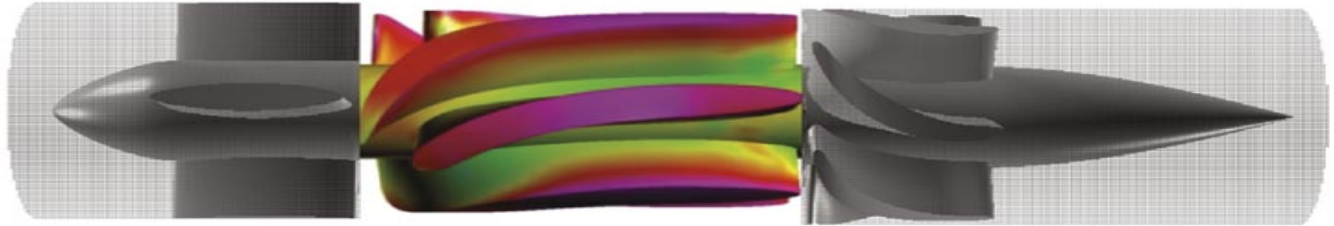
Jarvik 2000
2nd Generation

Heartmate I
1st Generation

Novacor
1st Generation

**Axial flow
second generation
LVAD's**

New Technology



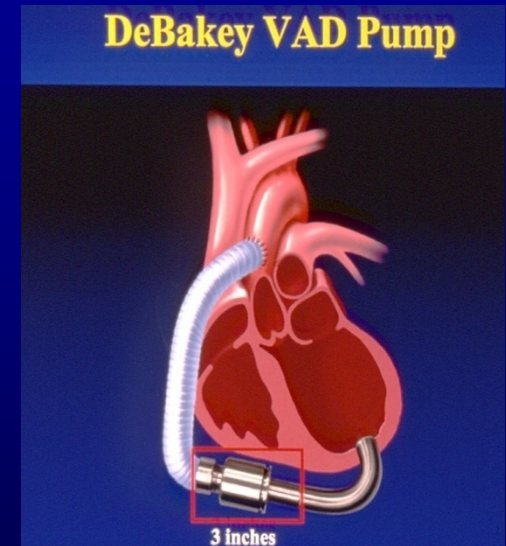
Axial Flow Pumps



Jarvik 2000



HeartMate II



DeBakey VAD Pump

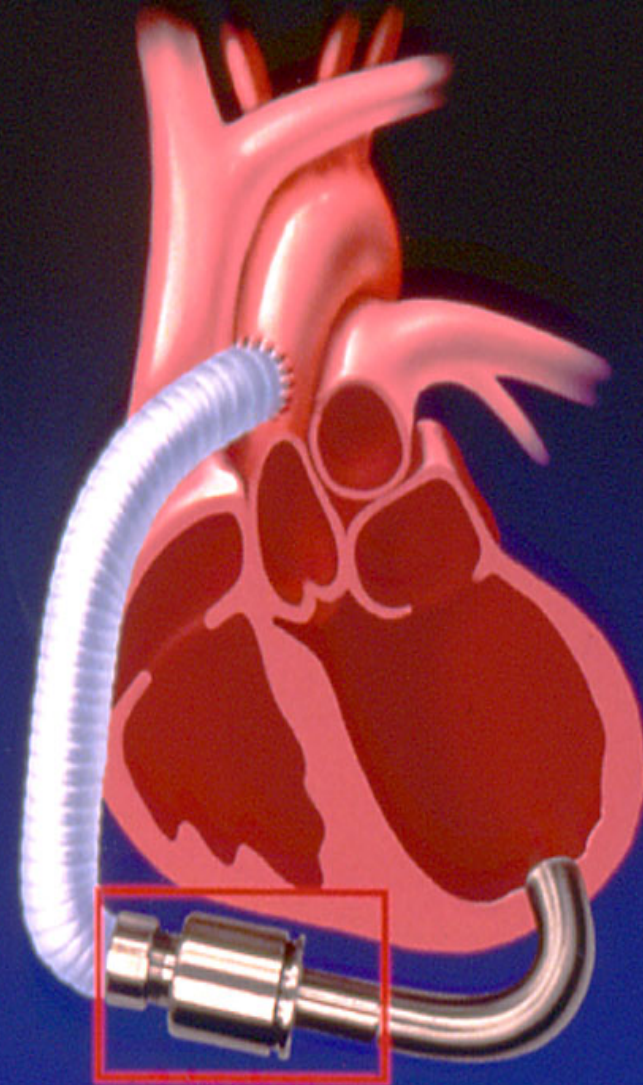
DeBakey

DeBakey/NASA

- Axial flow
- Inflow cannula
- Fixed speed
- Integrated flow probe
- Subdiaphragmatic



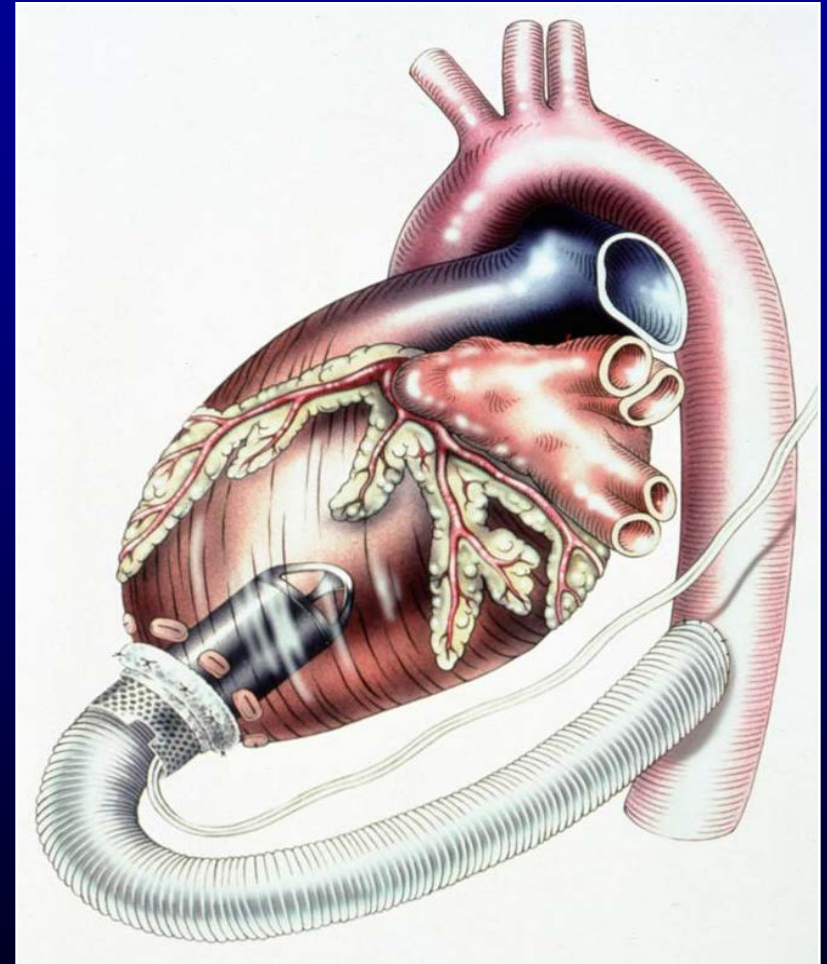
DeBakey VAD Pump



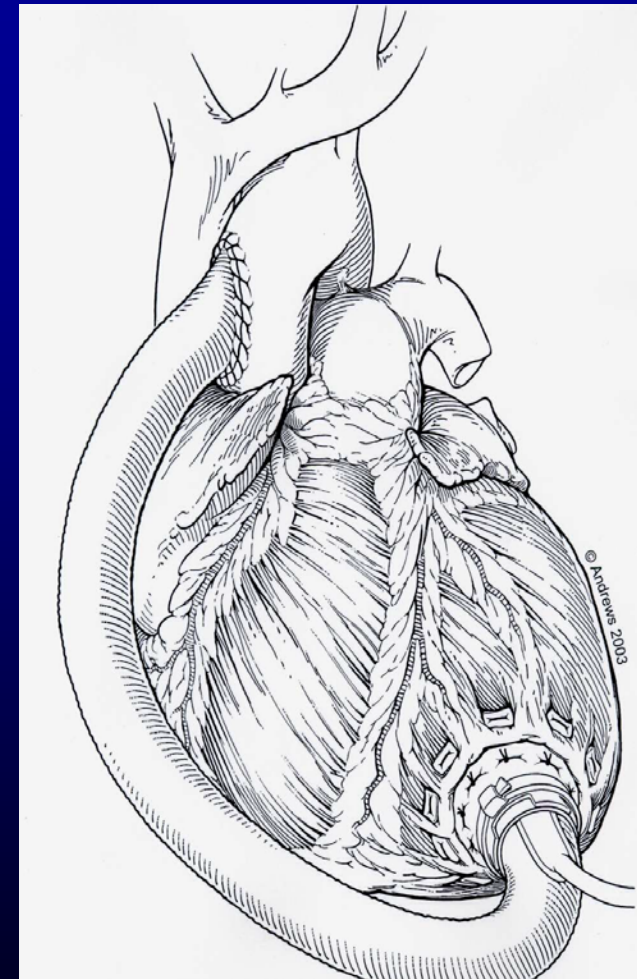
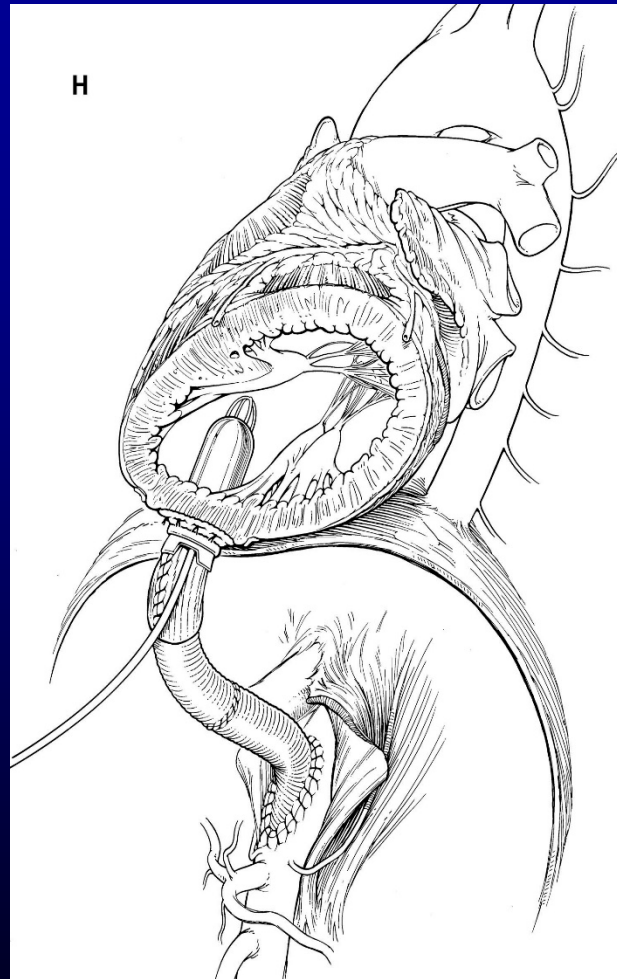
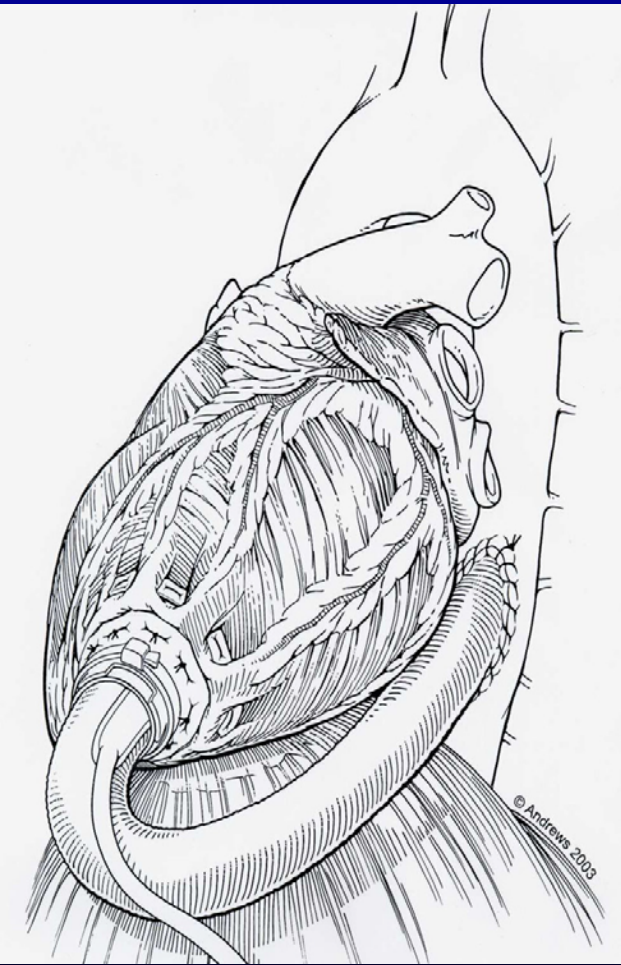
3 inches

Implantation of the Jarvik 2000

- Left Thoracotomy or Sternotomy
- Non Thoracic
- Partial Cardiopulmonary Bypass or No CPB
- Silastic Cuff on Apex
- Pump Placed in LV
- Outflow Graft Anastomosed to Aorta



Different Surgical Approaches

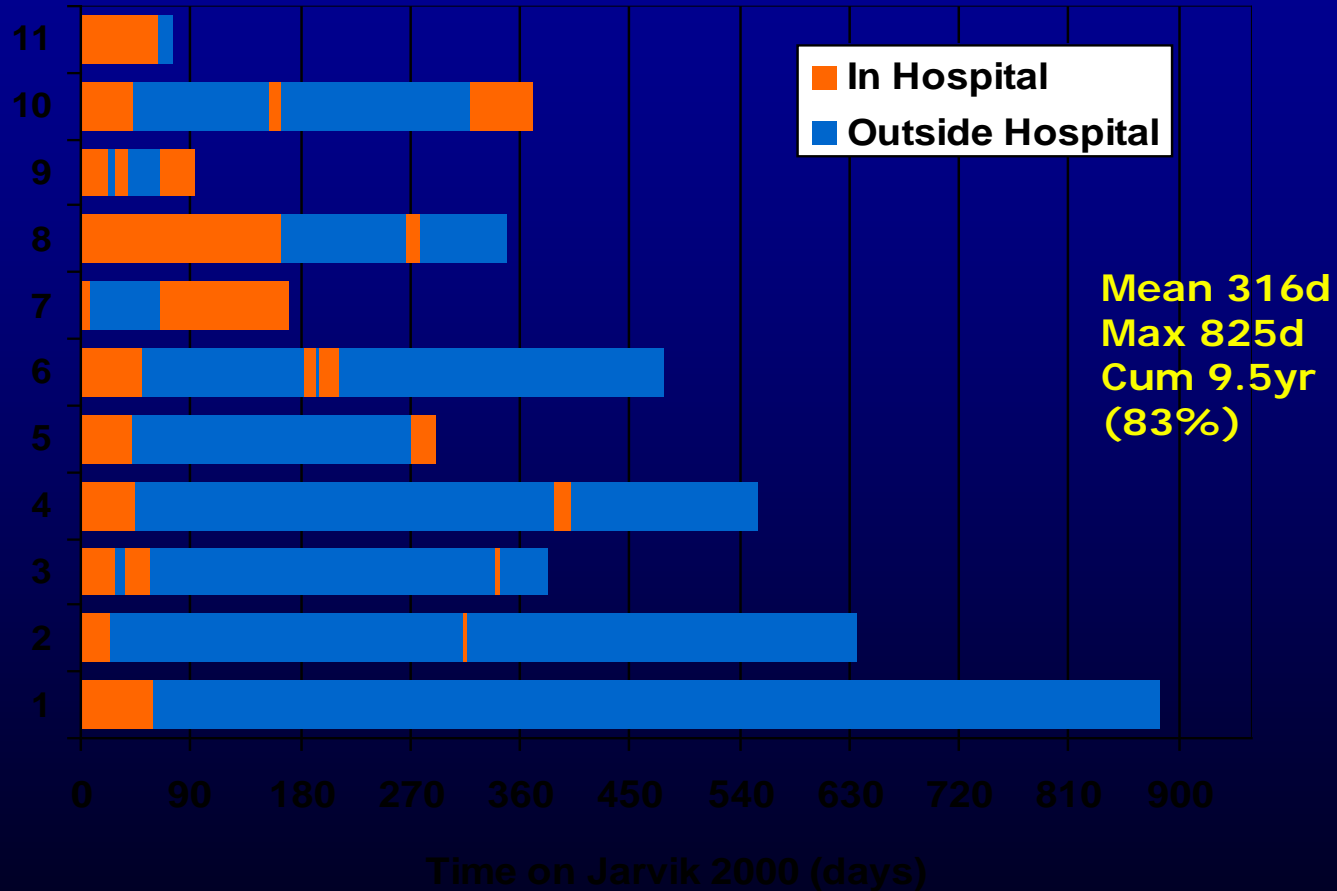


**Left Thoracotomy
Descending Ao**

**Subcostal
Supraceliac Ao**

**Median Sternotomy
Ascending Ao**

Home Discharge Experience



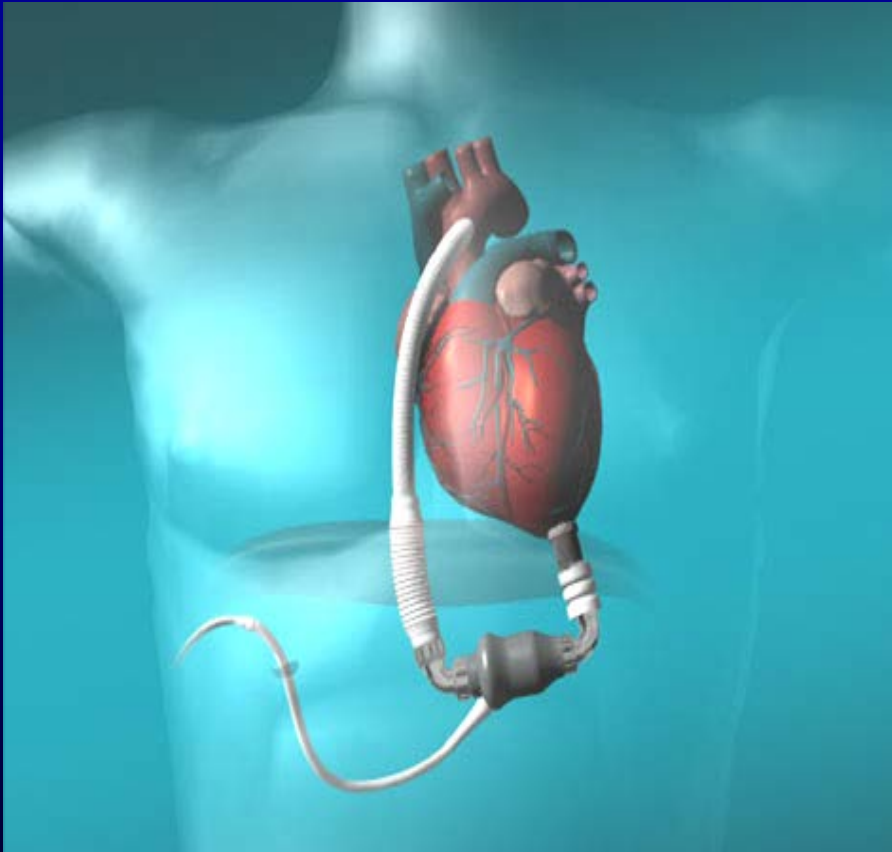


HeartMate II™

- Axial flow
- Brushless DC motor
- Inflow cannula
- Textured surfaces
 - excluding impeller
- Small (65cc 260g)
- Power requirements
 - (>14 watts)



HMII Anatomical Placement



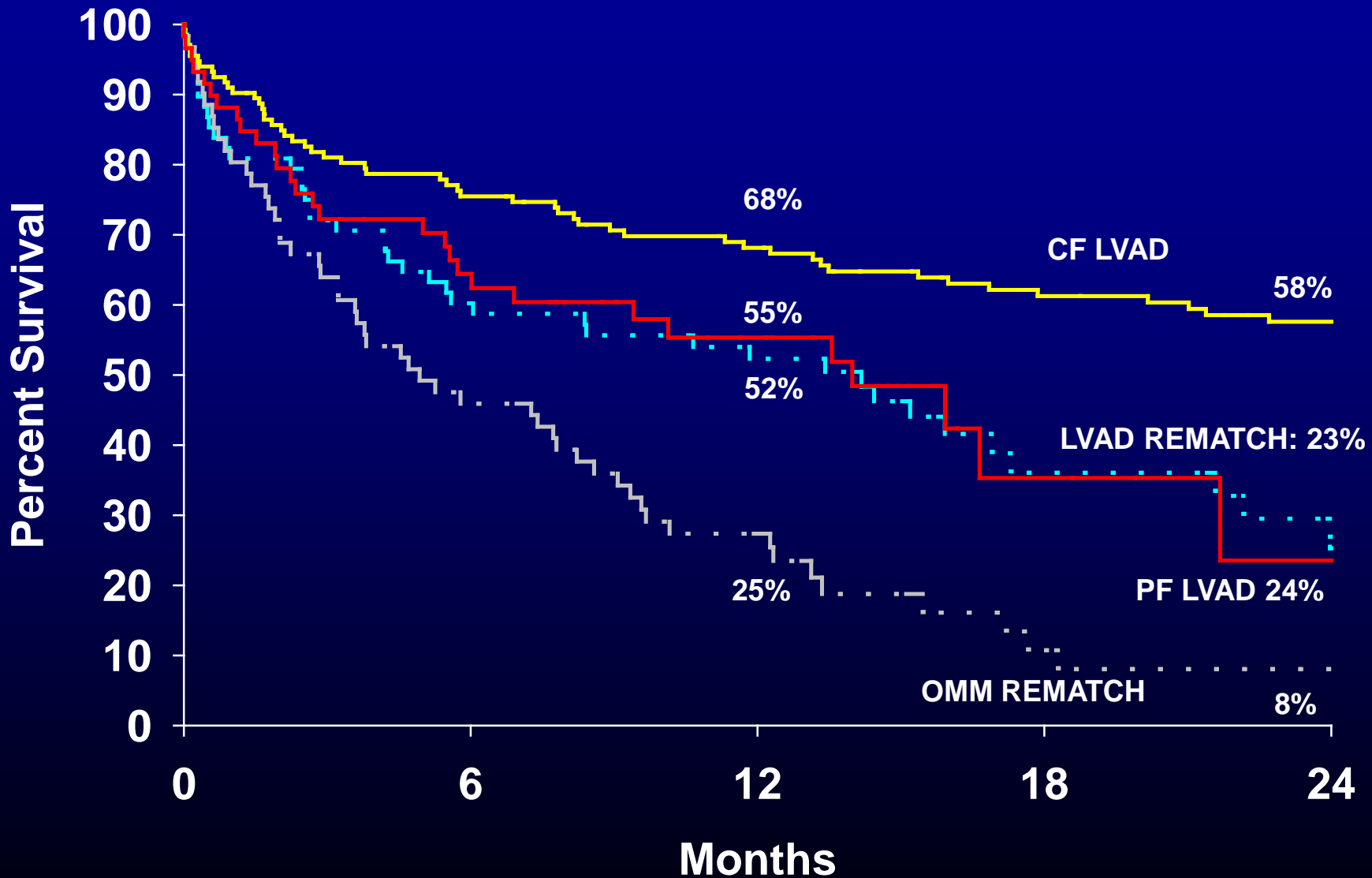
ORIGINAL ARTICLE

Advanced Heart Failure Treated with Continuous-Flow Left Ventricular Assist Device

Mark S. Slaughter, M.D., Joseph G. Rogers, M.D., Carmelo A. Milano, M.D.,
Stuart D. Russell, M.D., John V. Conte, M.D., David Feldman, M.D., Ph.D.,
Benjamin Sun, M.D., Antone J. Tatoes, M.D., Reynolds M. Delgado, III, M.D.,
James W. Long, M.D., Ph.D., Thomas C. Wozniak, M.D.,
Waqas Ghumman, M.D., David J. Farrar, Ph.D., and O. Howard Frazier, M.D.,
for the HeartMate II Investigators*

Actuarial Survival vs REMATCH*

HeartMate II Destination Therapy Trial



* N Engl J Med 2009; 345:1435-43

Patient Quality of Life



Texas State Bowling Association, INC.
74th Annual State Tournament
Austin, Texas, 2006

HeartMate II

Patient DXP

- 14 y/o Caucasian male BSA=1.87
- Dilated viral cardiomyopathy
- Pre Implant:
 - PCWP=22 CO=2.68
 - EF<10%
- Implanted on 9-24-04
- Pump speed maintained at 8600 rpm for 2 months
- Pump speed currently maintained at 10000 rpm since Nov '04
- On 03-08-05 patient fell (skateboarding) damaged device and had pump exchanged
- Device was explanted on 10-25-06



Third Generation Blood Pumps

No Valves or Mechanical Bearings

Magnetically-Suspended Impeller

**Hydrodynamically
Suspended Impeller**

Centrifugal-Flow



Duraheart



HeartQuest

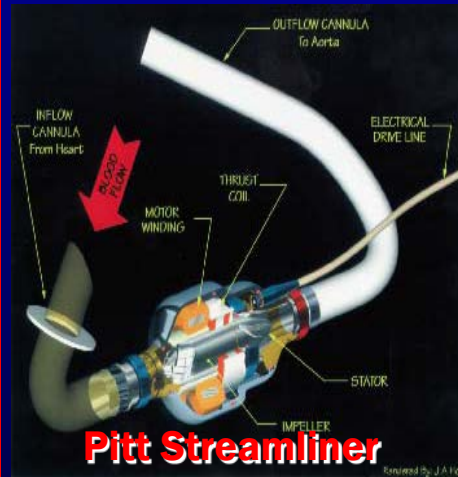


HeartMate III



CentriMag

Axial-Flow



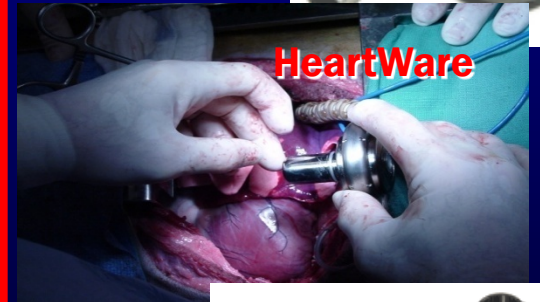
Pitt Streamliner



Berlin VAD INCOR



CorAid



HeartWare



VentrAssist

DuraHeart LVAD

■ Protocol #08-02-251-181

“Evaluation of the Safety and Effectiveness of the DuraHeart Left Ventricular Assist System in Patients Awaiting Transplant”

The world's first commercially available Mag-Lev 3rd Generation implantable LVAS



DuraHeart External Components



DuraHeart™ Long Term Biocompatibility

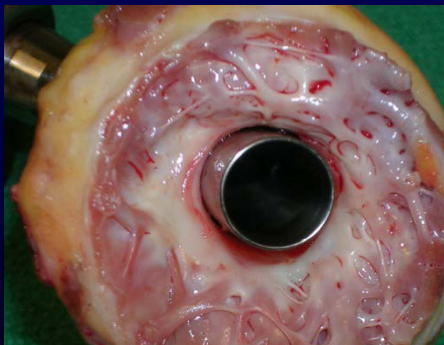
The pump after more than 16 months implantation
(Clear indication of enhanced wash-out and reduced shear)



Pump chamber



Impeller

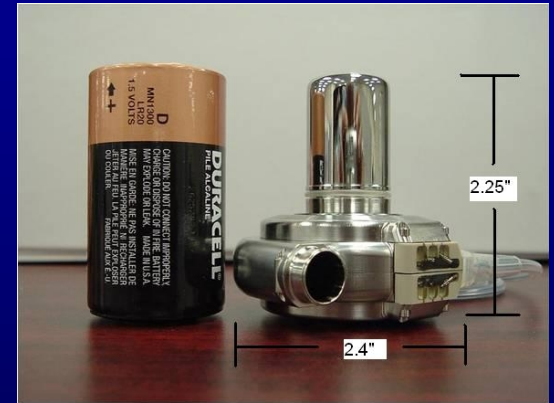
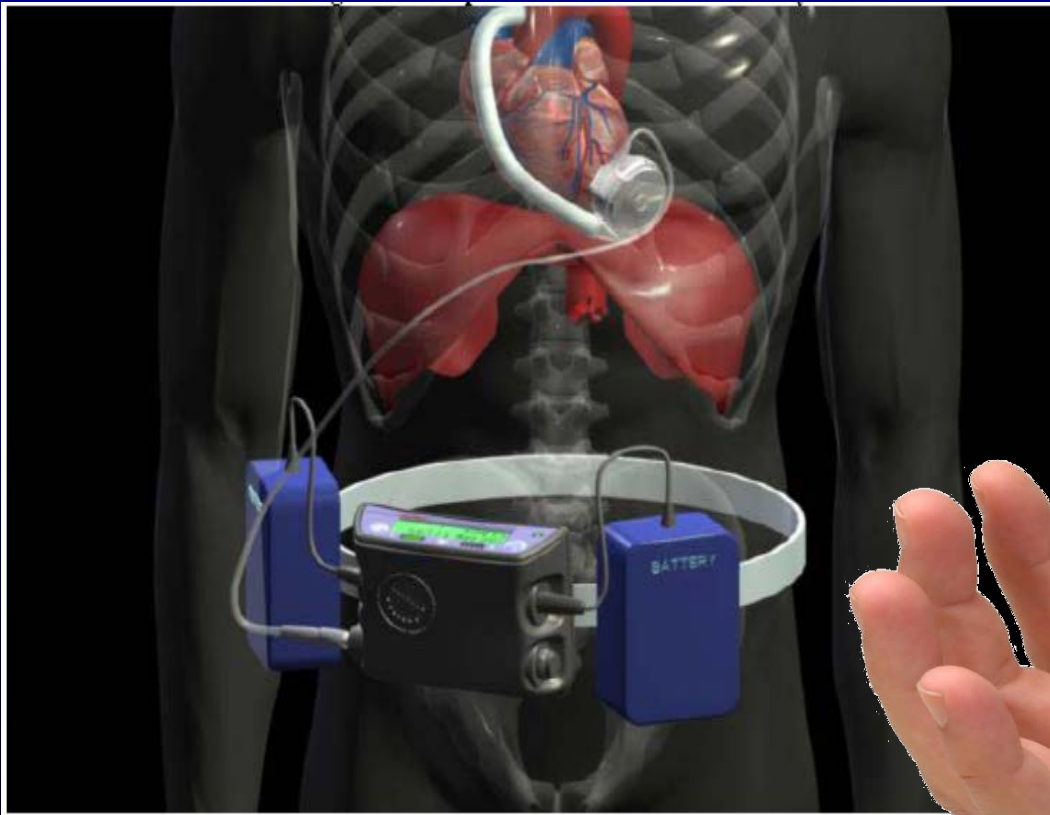


LV apex and inflow tip



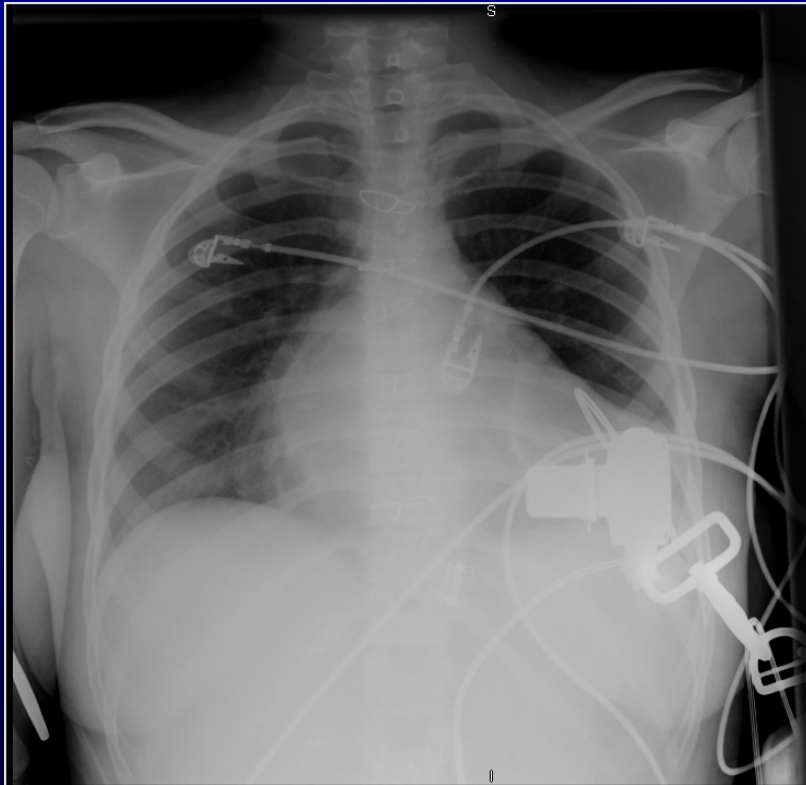
Inflow conduit

HeartWare LVAD

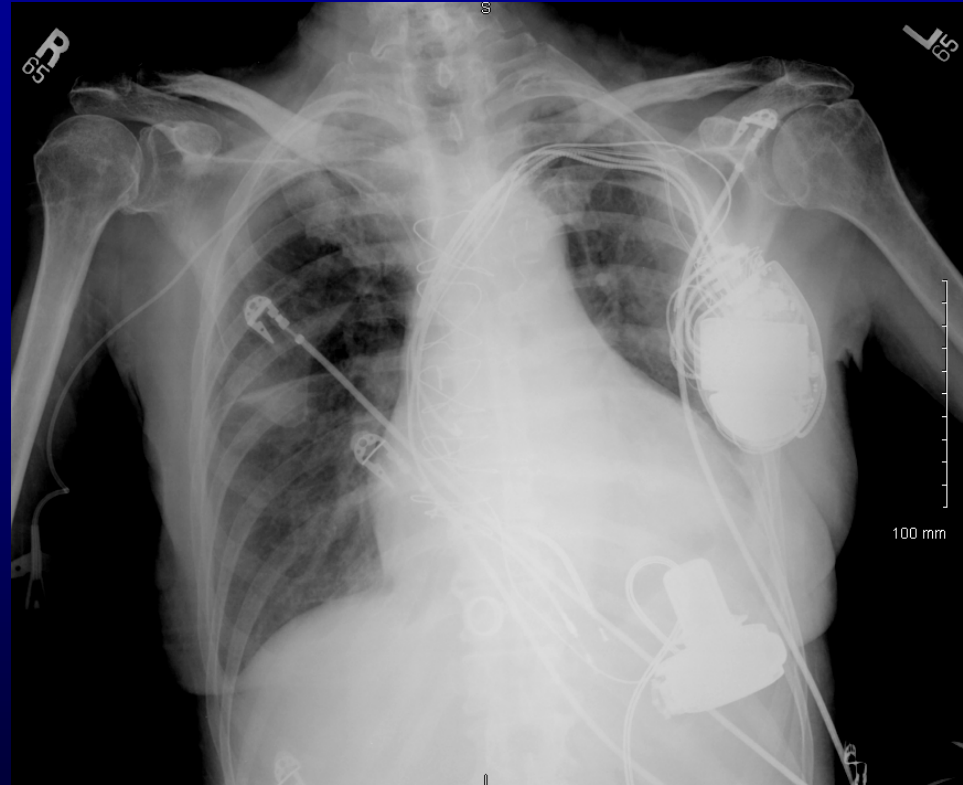


HeartWare LVAS

Surgical Implant and Inlet Position



Apical



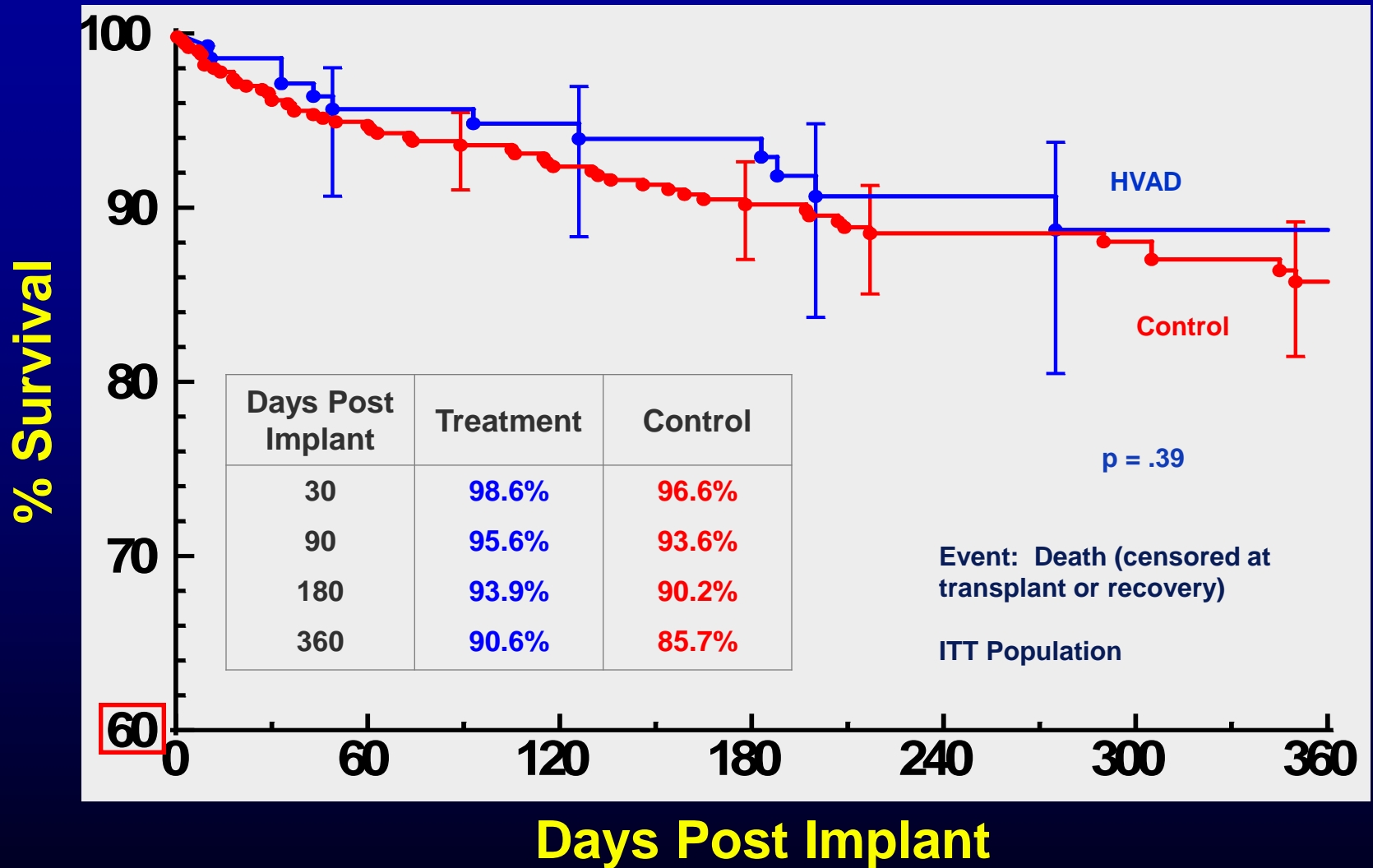
Diaphragmatic

Evaluation of the HeartWare HVAD Left Ventricular Assist System for the Treatment of Advanced Heart Failure: Results of the **ADVANCE** Bridge to Transplant Trial

Keith Aaronson, Mark Slaughter, Edwin McGee, William Cotts, Michael Acker, Mariell Jessup, Igor Gregoric, Pranav Loyalka, Valluvan Jeevanandam, Allen Anderson, Robert Kormos, Jeffrey Teuteberg, Francis Pagani, Steven Boyce, David Hathaway, Leslie Miller for the HeartWare ADVANCE Investigators

February 2011

ADVANCE Trial Secondary Outcome: Survival



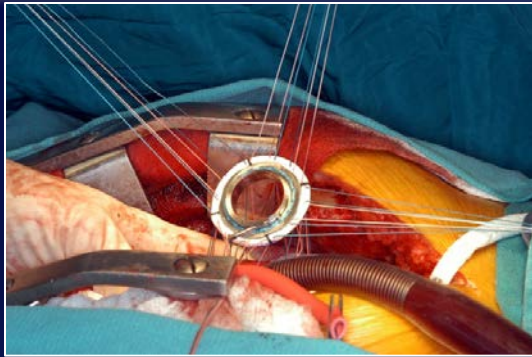
Patients at Risk	Treatment	140	128	108	92	63	36	26
	Control	499	440	370	305	228	176	127

MCS Complications

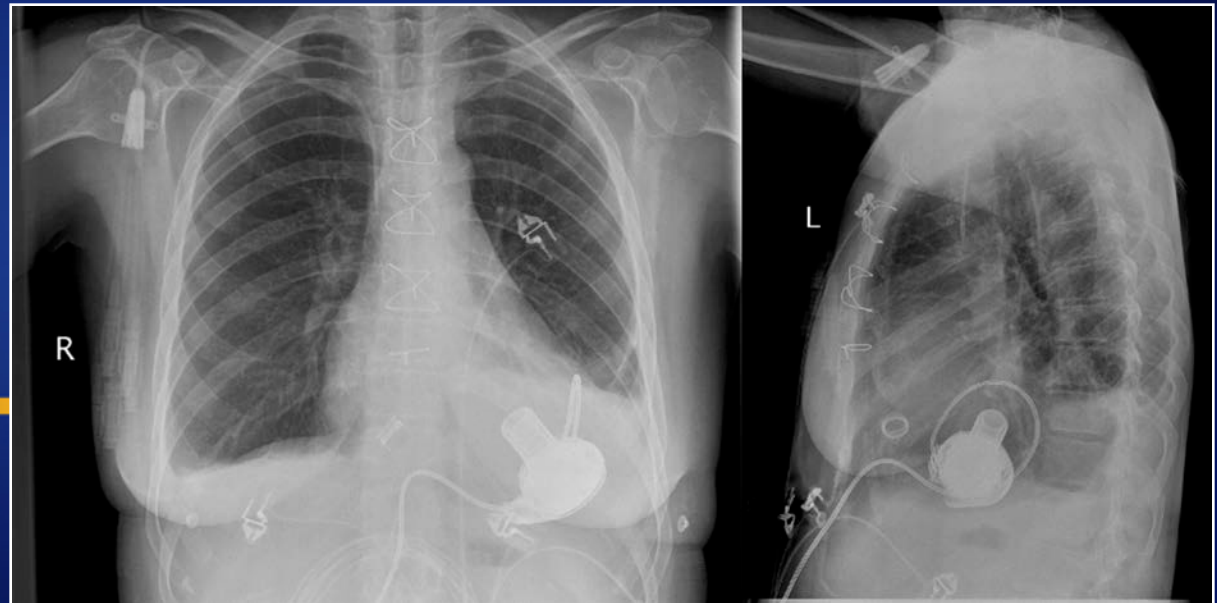
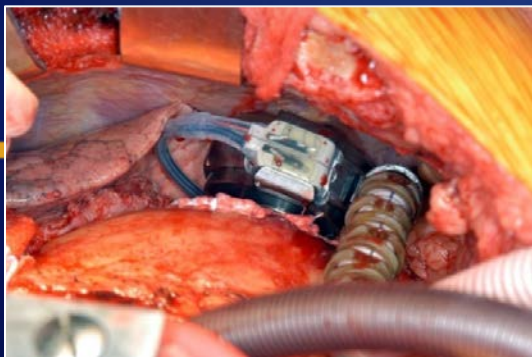
LVAD Complications

Perioperative Bleeding

Pericardial Placement with no pocket – a Key Advantage



- No abdominal surgery
- No pump pocket
- Low blood loss
- Potential for shorter implant time



Blood transfusion

Table 3. Comparison of blood product transfusion between the off-CPB group and CPB groups.

Blood product	Off-CPB	CPB	P value
Red blood cells (units)	1.4 ± 1.8	8.1 ± 8.2	0.007
Fresh frozen plasma (units)	1.3 ± 2.0	6.0 ± 5.6	0.007
Platelets (units)	0.4 ± 0.9	2.7 ± 1.9	<0.0001
Cryoprecipitate (units)	0.0 ± 0.0	0.3 ± 0.6	0.073

Abbreviations: CPB = cardiopulmonary bypass

LVAD Complications

Driveline infection

Infection

■ S/S and exam:

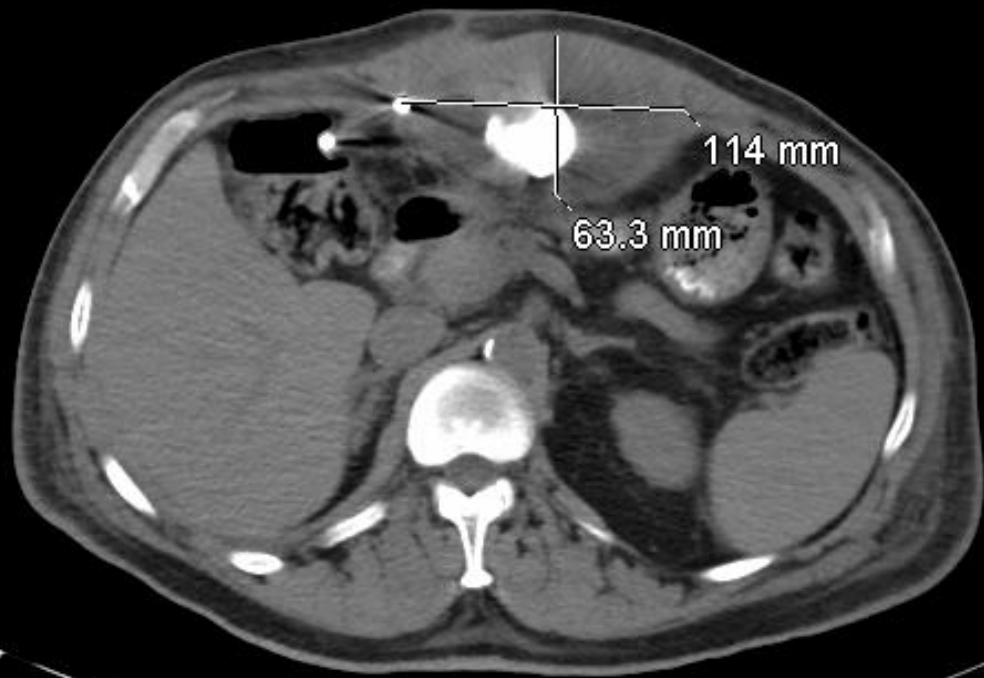
- Leukocytosis, fever, erythema, drainage, tenderness around driveline site, positive cultures, hyperdynamic flows, hypotension

■ Etiology: (multifactorial)

- Poor dressing technique, improper immobilization of driveline, immunosuppression (ANC < 1000), diabetes, low albumin/poor nutritional status

■ Tx:

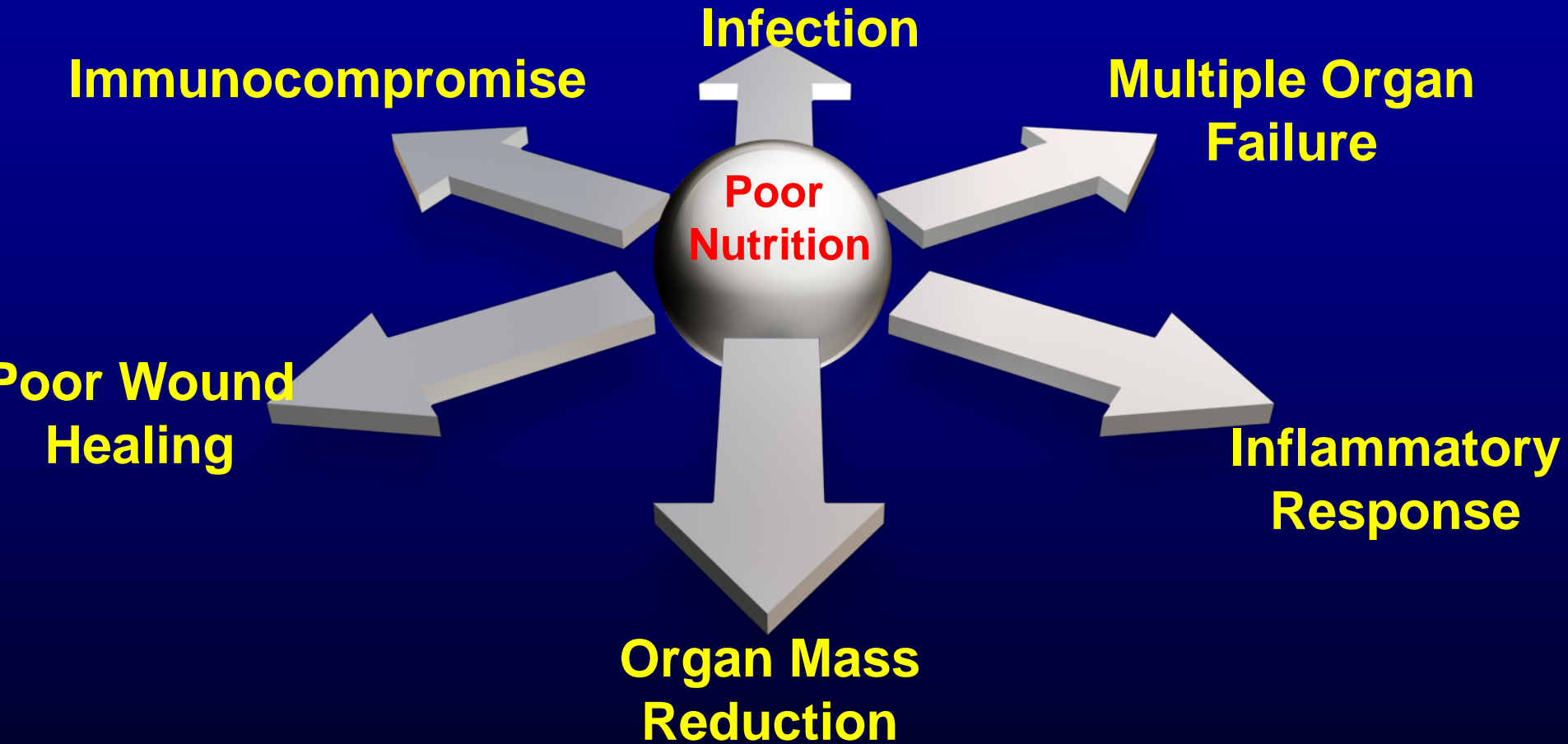
- Antibiotics (po/IV depending on severity), Possible OR for exploration, fluid resuscitation, dressing changes/Vacs etc.



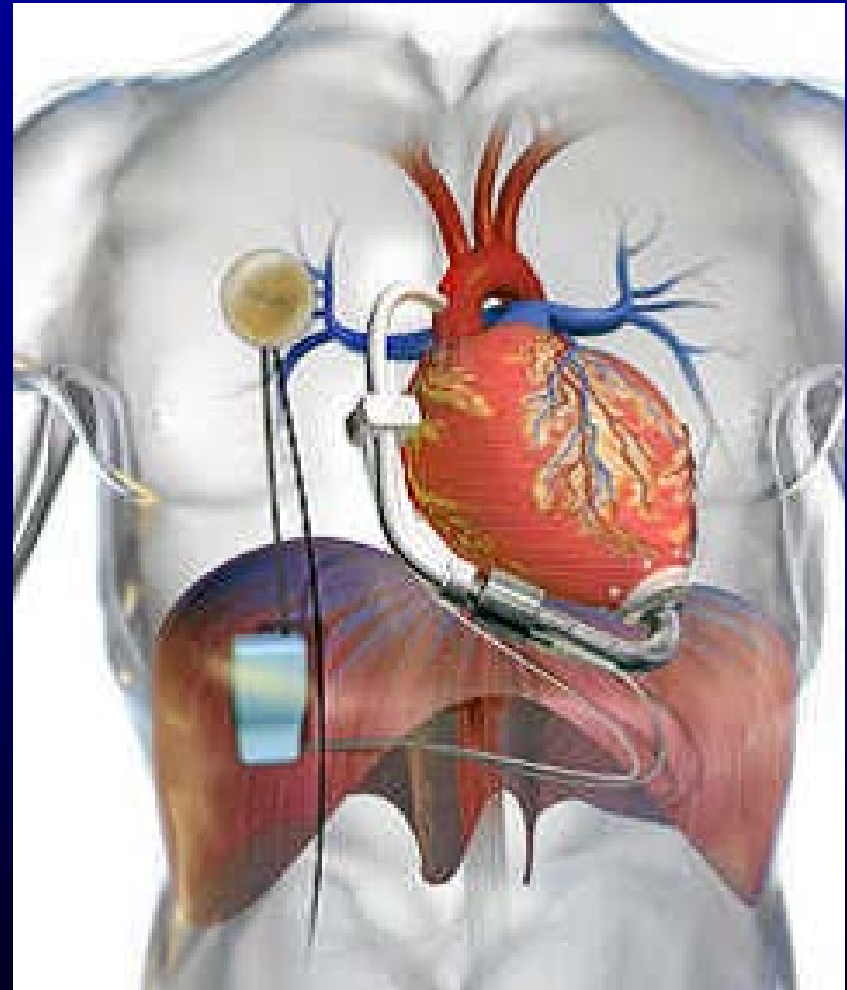
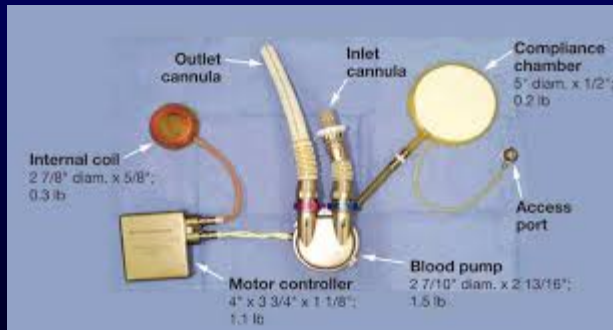
114 mm
63.3 mm

P

Importance of Nutrition



TET systems



LVAD Complications

GI Bleeding

GI bleeding/AVMs

■ S/S:

- Abdominal pain, low H/H, blood in stool or emesis

■ Dx:

- Colonoscopy, IR, guiac stool

■ Etiology:

- Continuous flow/high pump speed?, supratherapeutic INR, antiplatelet, HIT pts

■ Tx:

- **Tx** Decrease coumadin dosing, antiplatelet agents, transfuse if indicated,

Arteriovenous Malformation and Gastrointestinal Bleeding in Patients with the HeartMate II Left Ventricular Assist Device

Zumrut T. Demirozu,
Rajko Radovancevic, Lyone Hochman,
Igor D. Gregoric, George V. Letsou, Biswajit Kar,
Roberta C. Bogaev, O. H. Frazier

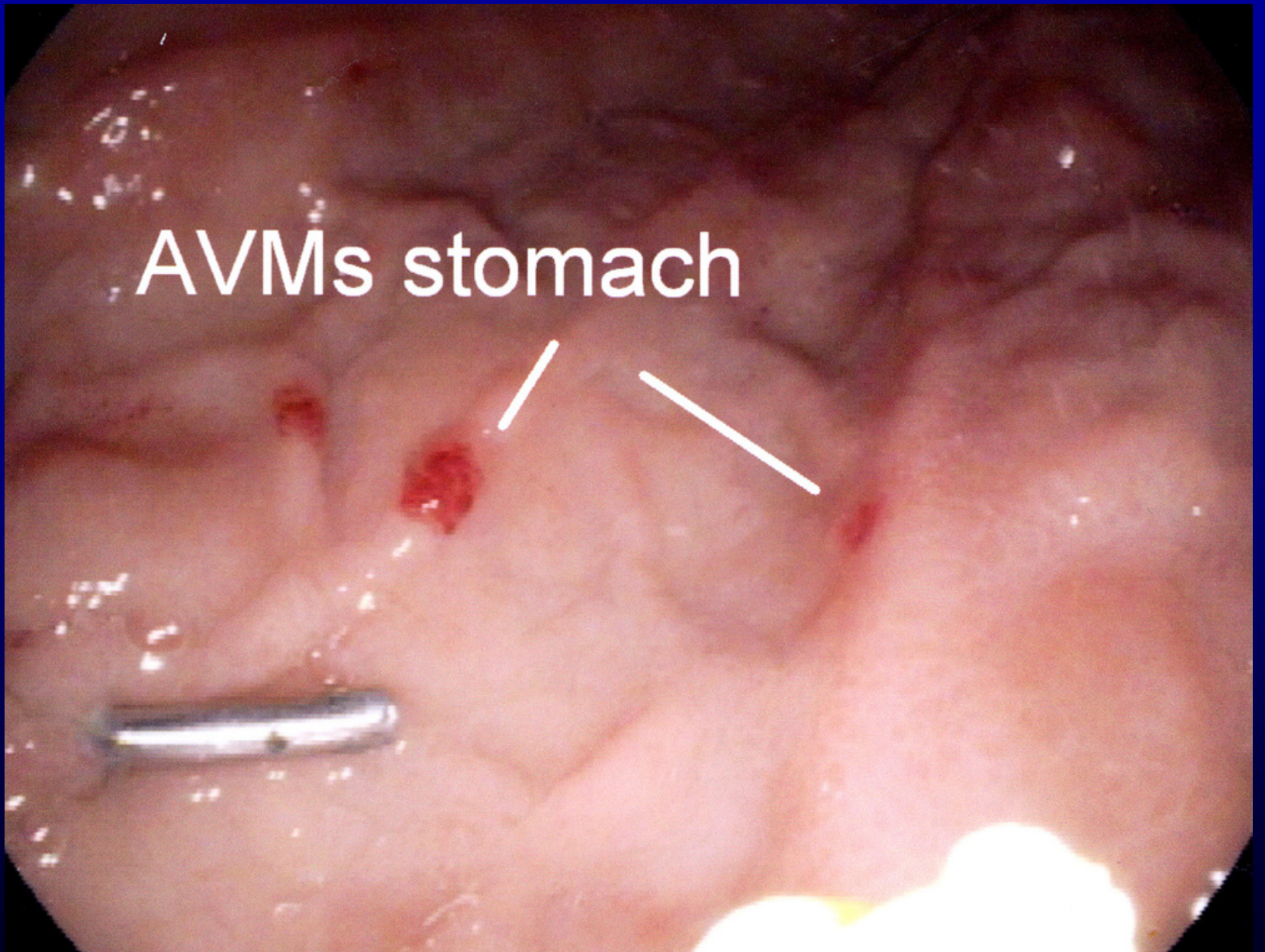
Cardiopulmonary Transplantation and Center for Circulatory Support

Texas Heart Institute at St. Luke's Episcopal Hospital, Houston, Texas

Patients and Methods

- **Of 184 patients, 31 patients (17%) met the criteria for GI bleeding.**
- **Duration of LVAD support in the study group: 351 ± 272 days**
- **Average time from LVAD implantation to 1st bleeding episode: 84 ± 132 days (8-707 days)**

AVMs stomach

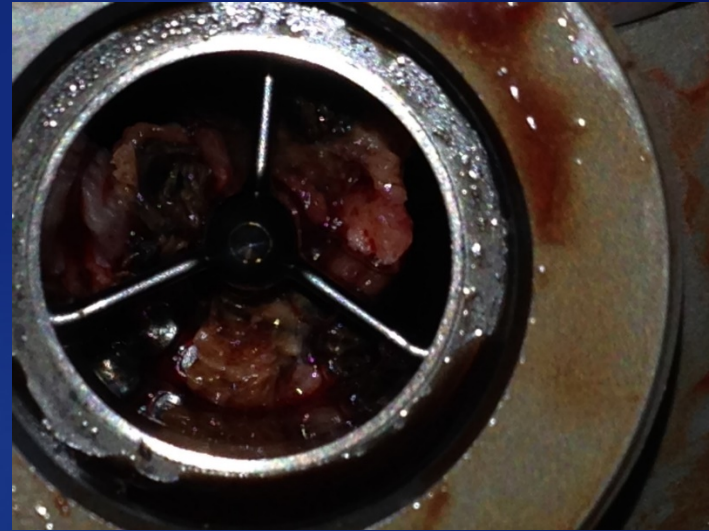


LVAD Complications

Pump Thrombosis

LVAD Thrombosis

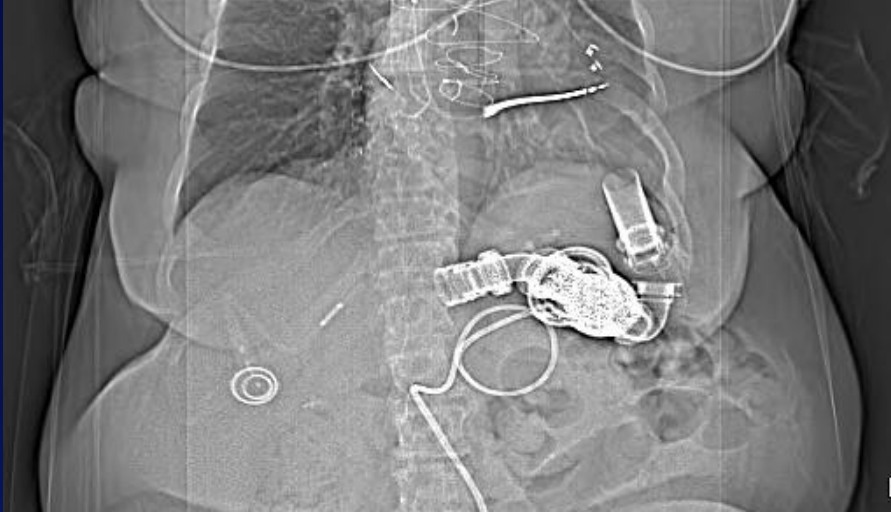
- Pump Related
- Not Pump related



Non Pump related Thrombosis of LVAD

Dislodgement of outflow cannula

Pump replacement

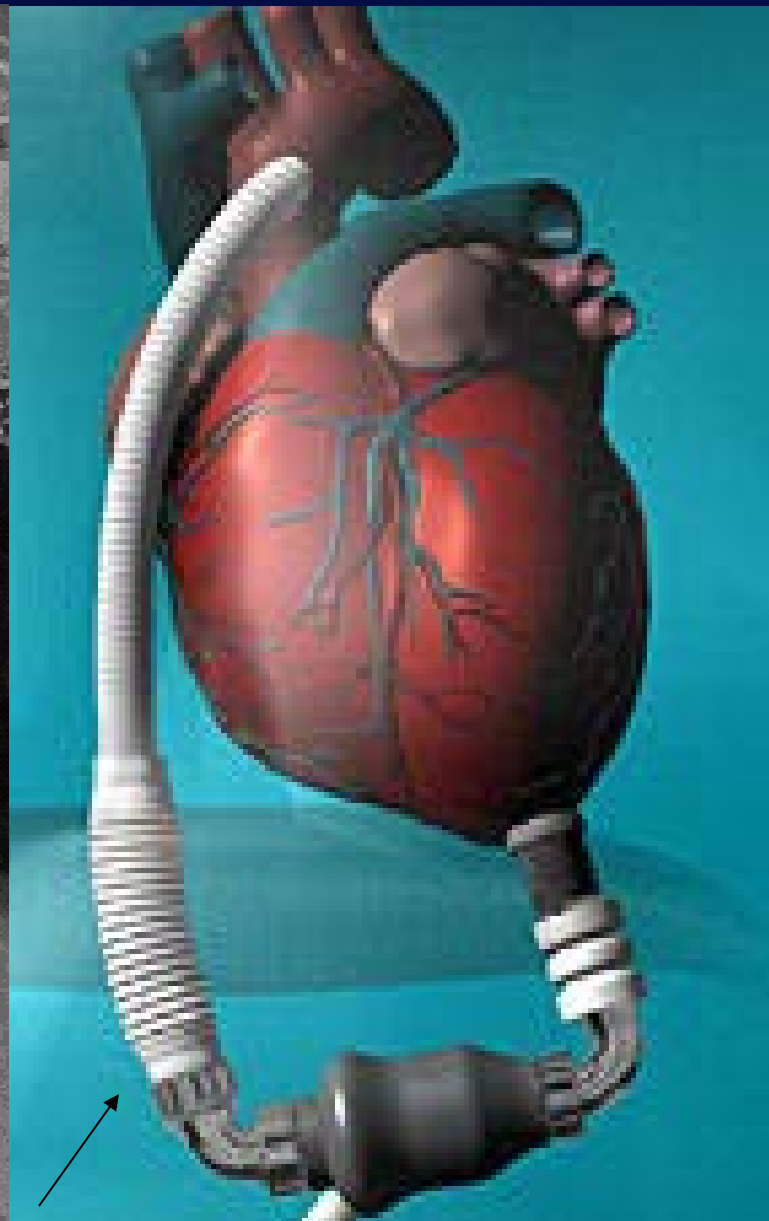
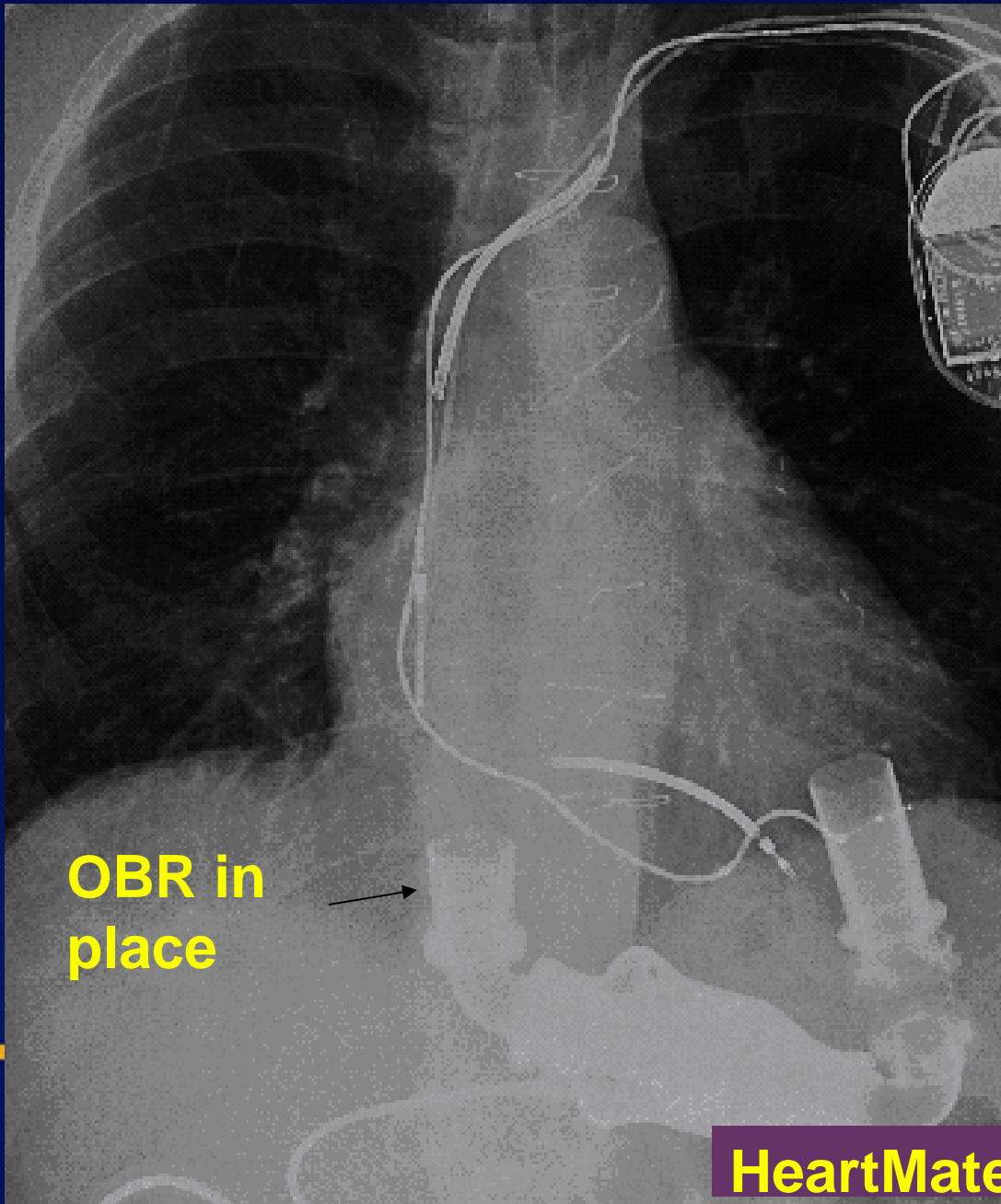


Before




After

Disconnected Bend relief graft

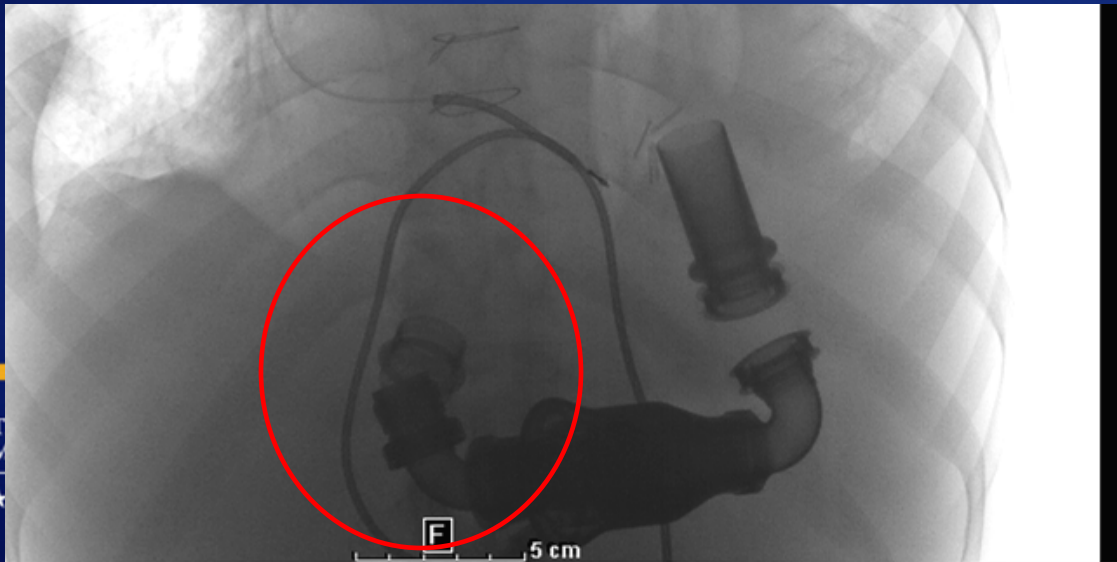
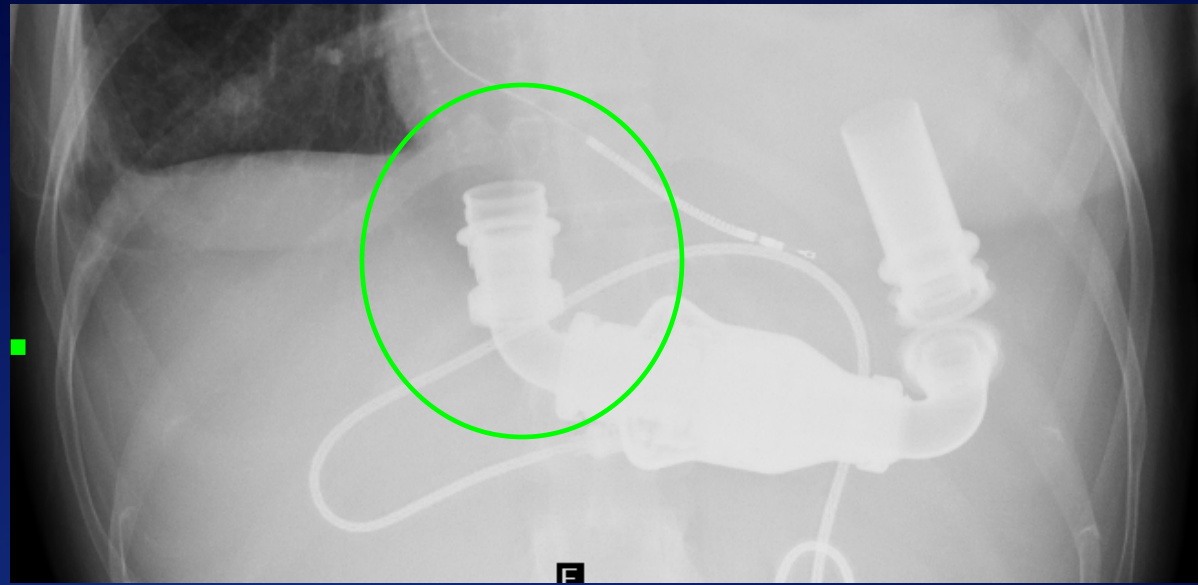


HeartMate-II Outflow Graft and Bend Relief



**OBR damage to graft
during exposure for Heart
Transplant**

**Normal
connection...**



**...Slipped
Bend Relief !**

Evolution of LVAD Technology

**1st Generation
Pulsatile Pump**

**2nd Generation
Axial Flow Pump**

**3rd Generation
Rotary Pump**



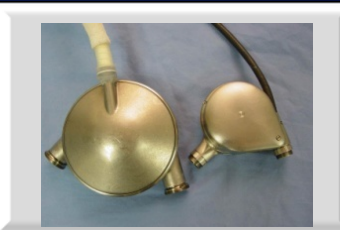
**DuraHeart
3rd Generation**



**Ventrassist
3rd Generation**



**HeartWare
3rd Generation**



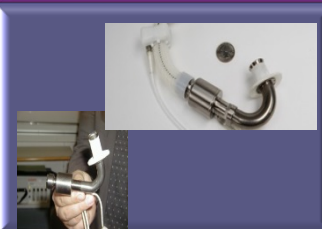
**Levacor
3rd Generation**



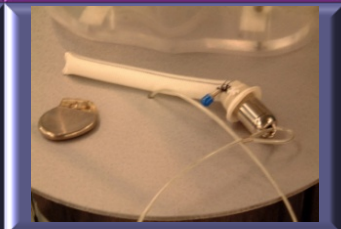
**Heartmate III
3rd Generation**



**Heartmate II
2nd Generation**



**DeBakey -
Reliant VAD
2nd Generation**



**Jarvik 2000
2nd Generation**



**Heartmate I
1st Generation**



**Novacor
1st Generation**

Pump related Thrombosis of LVAD

Bearings – Potentially heat generated in this area





Blood Damage

Artificial Organs



Numerical Analysis of Blood Damage Potential of the HeartMate II and HeartWare HVAD Rotary Blood Pumps

1. Bente Thamsen^{1,*},
2. Bastian Blümel²,
3. Jens Schaller¹,
4. Christian O. Paschereit²,
5. Klaus Affeld¹,
6. Leonid Goubergrits¹ and
7. Ulrich Kertzscher¹



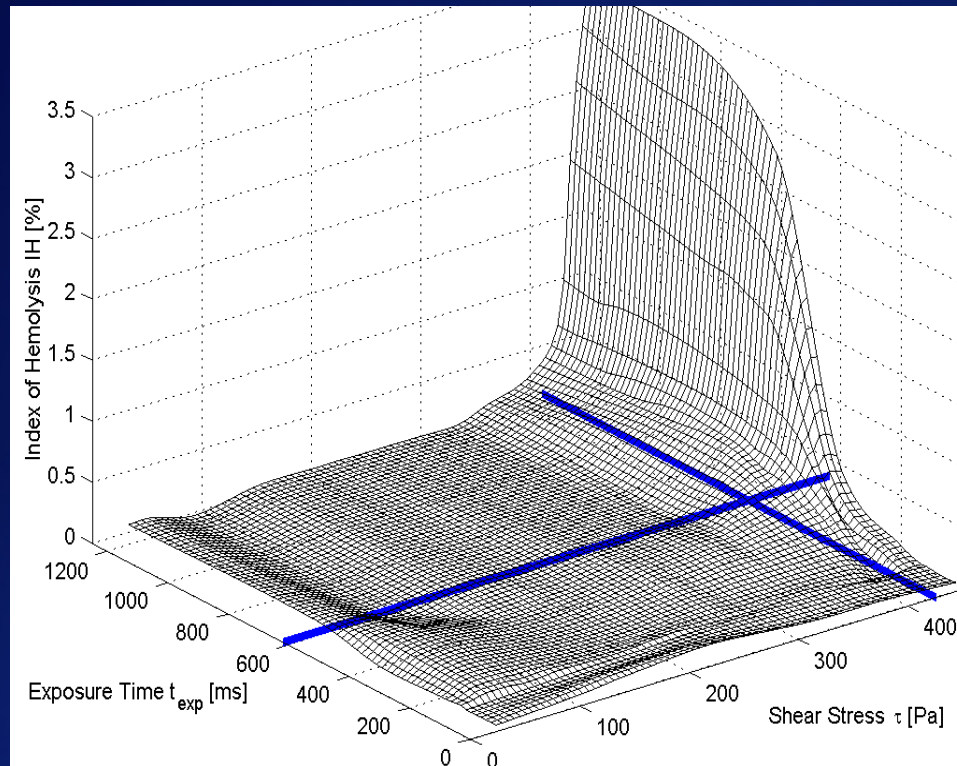
Artificial Organs

Volume 39, Issue 8, pages 651–659, August 2015

Hemolysis

Hemolysis is a function of shear stress level and exposure time

For most rotary pumps the exposure times are very short (ms), that's the secret for low hemolysis!



Shear stress induced
blood damage:

Below exposure times of
620 ms and/or shear
stresses of 425 Pa, the
index of hemolysis is
negligible

Paul et al, *Artif Organs* 2003, 27(6)

Platelet Trajectories

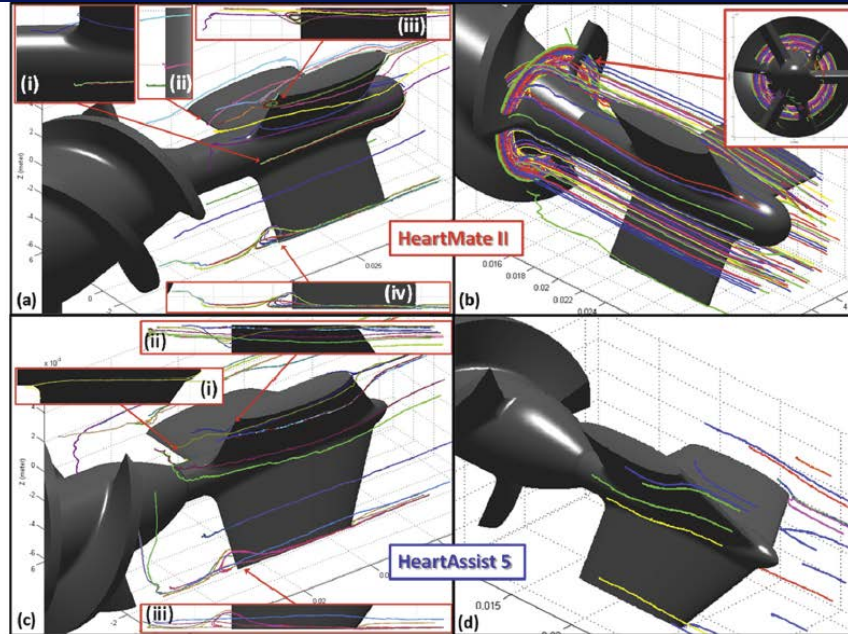


Fig. 5 Stagnant platelet trajectories and recirculation zones in HMII and HA5- (a) The recirculation zones and stagnant platelet trajectories were observed at the downstream of the flow straightener blades of HMII, with approximately 2 mm of the average eddy diameter. (b) Several entrapped platelet trajectories were observed at the entry of the impeller blades of the HMII, and closely following the rotational motion of impeller. (c) Fewer stagnant trajectories were observed at the rear hub region of the HA5 comparing to the HMII, and no recirculation zone was found. (d) No entrapped platelet trajectory was observed at the entry of the HA5 impeller. These platelet trajectories were represented as a time average of their residence time (184 ms).

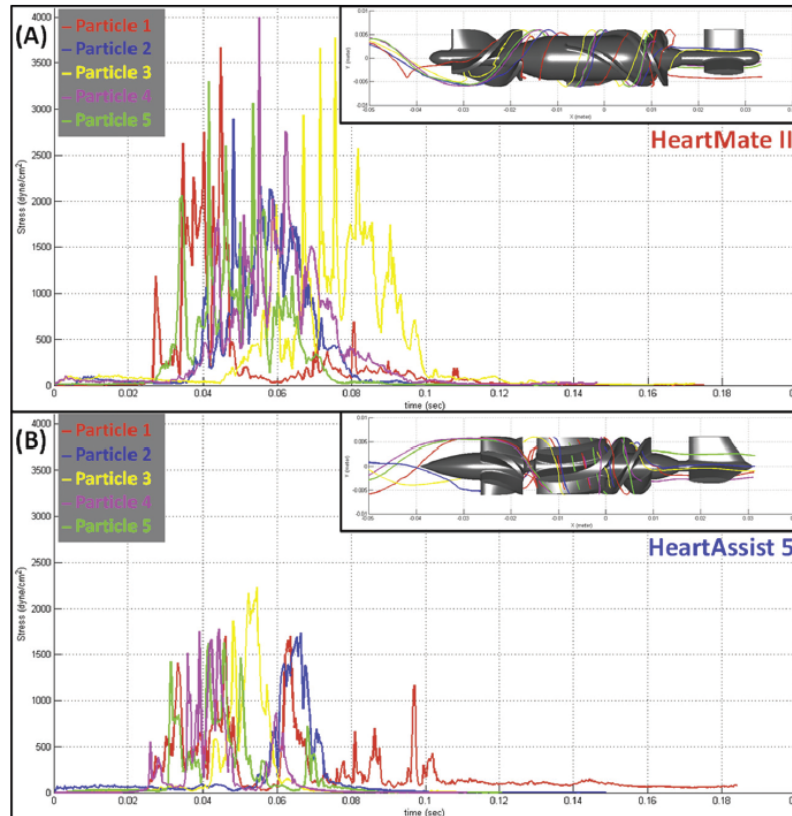


Fig. 7 Markedly lower stress accumulation of platelet trajectories flow through impeller-shroud gap of HA5 representative shear stress and exposure time of five platelet trajectories flow through the impeller-shroud gap of (a) HMII and (b) HA5 VADs are shown. The platelet trajectories flowing through the HA5 has lower shear stress magnitude and shorter exposure time comparing to the trajectories flowing through the HMII.



Simulation of centrifugal blood pump

Computational fluid dynamics

University of Ljubljana
Faculty of Mechanical Engineering

Preliminary report R02

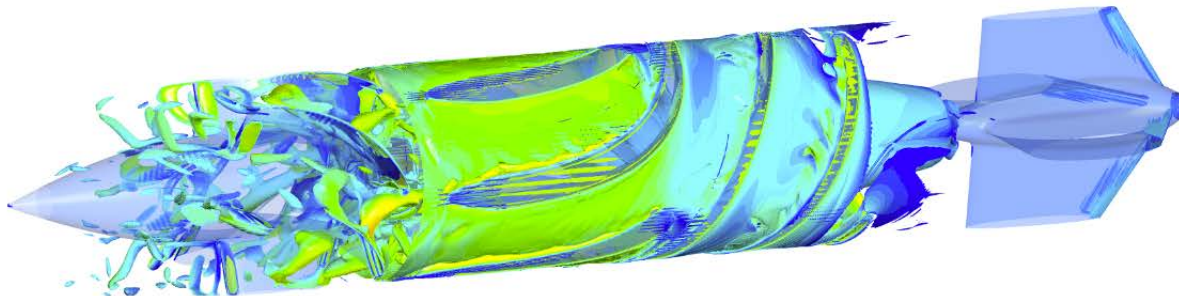
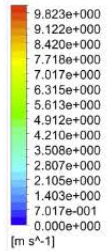
Preliminary report R02

Primož Drešar

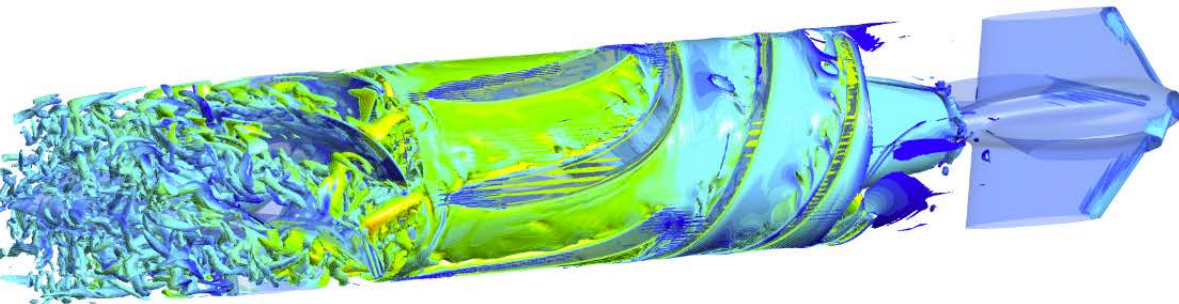
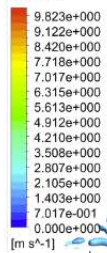
Mentor: Dr. Jože Duhovnik

Co-Mentor: Dr. Igor Gregorič

Velocity in Strn Frame
Contour 4



Velocity in Strn Frame
Contour 4



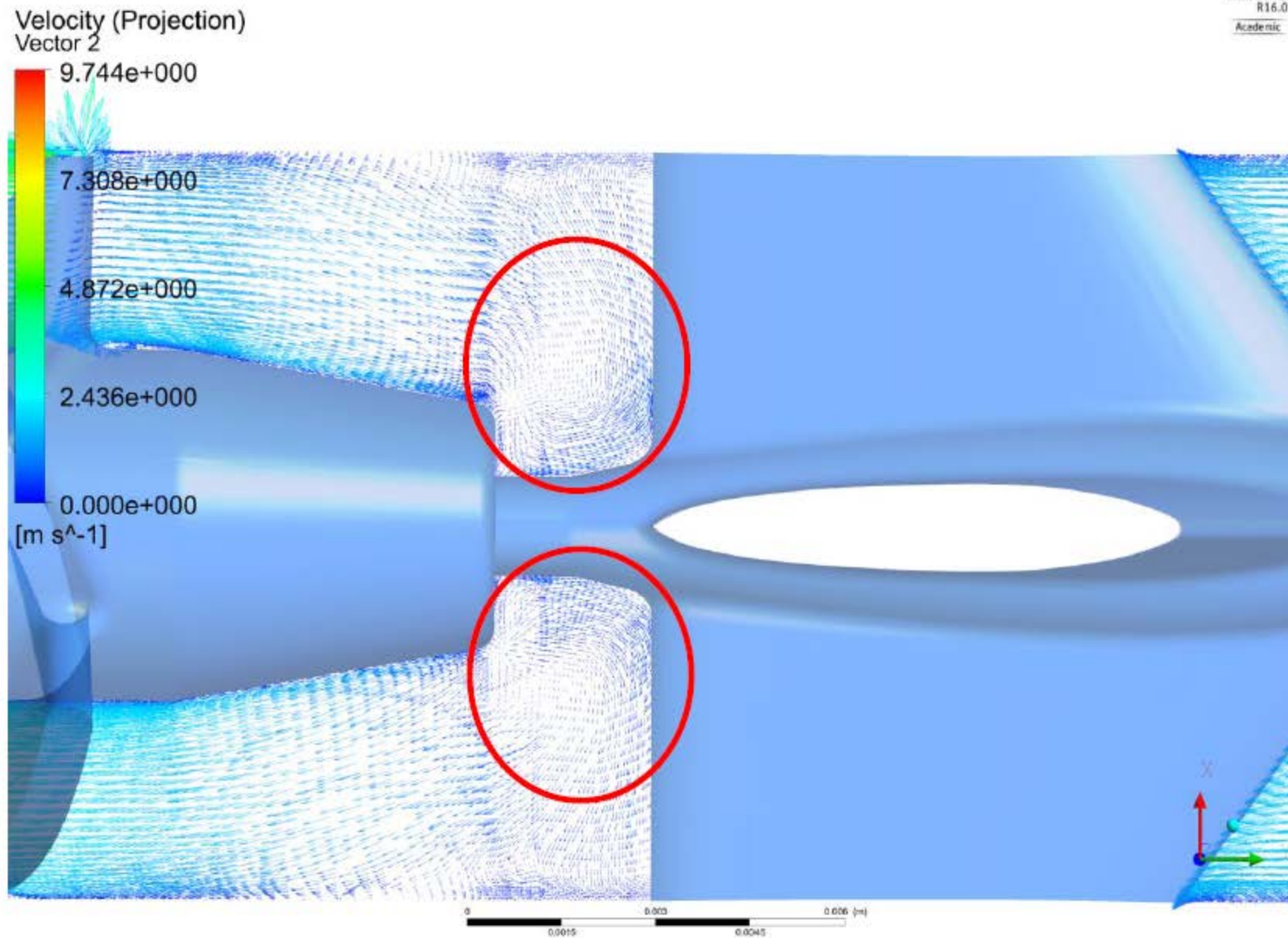


Figure 13: Rotational flow encircled in red behind driving blades (vector representation of velocity [m/s] on XY plane)

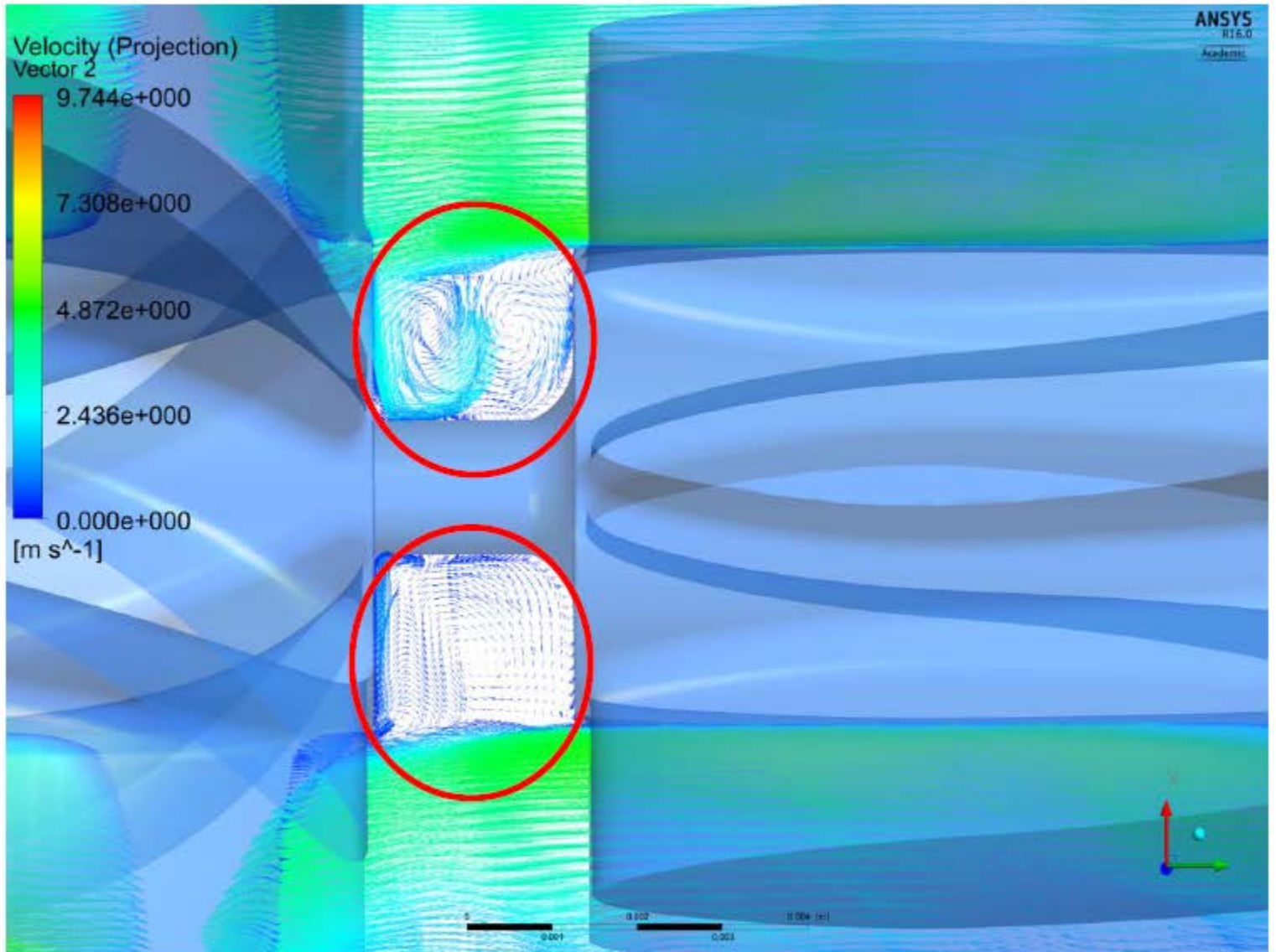


Figure 14: Rotational flow encircled in red behind rotor blades and before diffuser (velocity vector representation on XY plane)

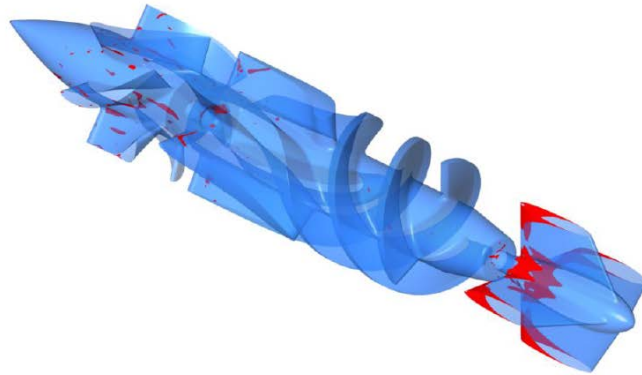


Figure 20: Wall Shear Stress of 0.8 Pa [Pa] projected on boundary surfaces

Wall Shear Stress

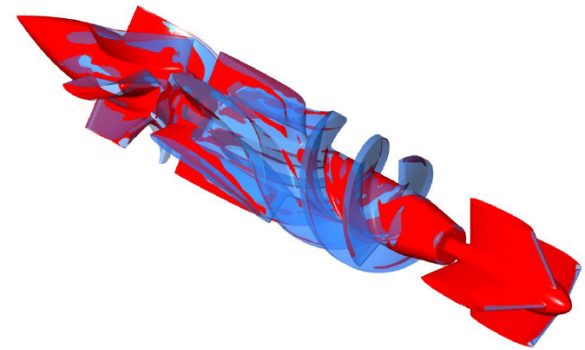


Figure 21: Wall Shear Stress of 150 Pa [Pa] projected on boundary surfaces

The representation of WSS is limited to surface projection. For improved shear stress evaluation some other methods were developed.

According to authors [4] shear stress of $\tau > 150$ Pa is correlated to hemolysis. Volume with $\tau > 9$ Pa which may contribute to platelet activation (Figure 20) and finally locations with $\tau < 0.8$ Pa may correlate with thrombosis.

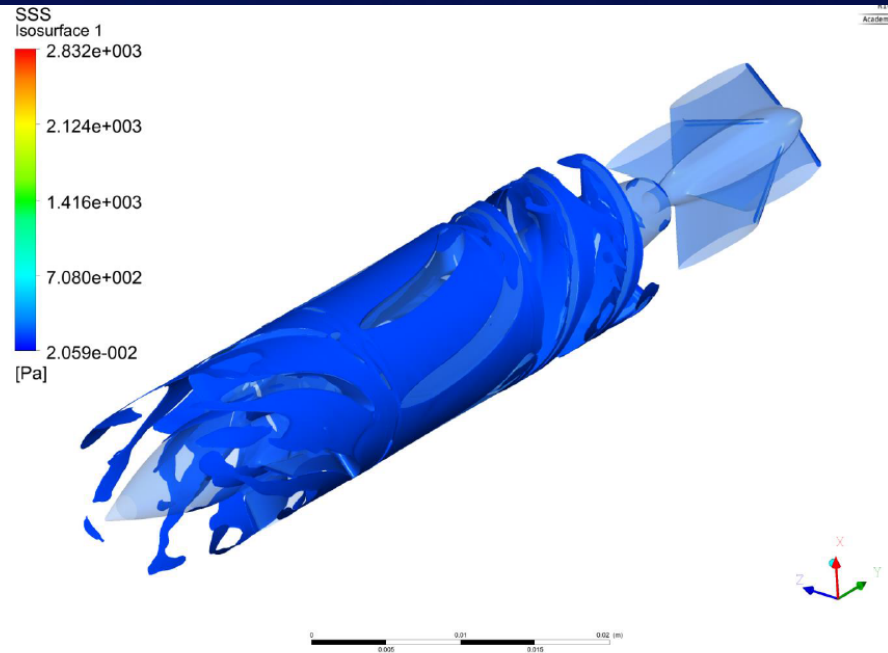


Figure 24: Scalar Shear Stress of 150 Pa applied to iso-surfaces closing a volume

Table 4: Volumes for critical Shear Stress Scalar (SSS) magnitudes

0.8 Pa volume	1.657E-6 m ³
9 Pa volume	2.26117E-6 m ³
150 Pa volume	5.03871E-8 m ³

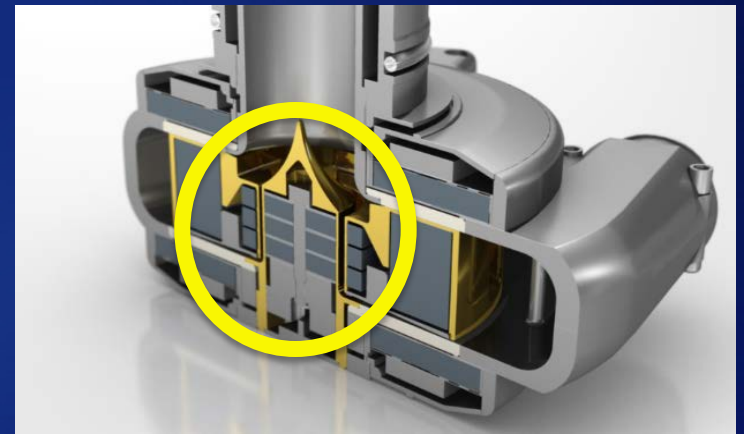
Table 4 show calculated volumes of fluid with critical SSS values (K.H.Fraser et. ali, 2012).

Findings

- Distinguished flow is showing **detachment behind diffuser blades**. In this case improvement in diffuser blade geometry would be suggested.
- Taking into account that the **bearing is also located behind guiding blades**, it might suggest the reason for thrombosis appearance in the same location

Clinical studies

H-Vad



Endurance trial

(for NEJM publ. / Presented by F. Pagani last year)

Treatment of Patients with Advanced Heart Failure Ineligible for Cardiac Transplantation with an Intra-pericardial Left Ventricular Assist Device

*Joseph G. Rogers¹, *Francis D. Pagani², Antone J. Tatroles³, Geetha Bhat³, Mark S. Slaughter⁴,
Emma J Birks⁴, Steven W. Boyce⁵, Samer S. Najjar⁵, Valluvan Jeevanandam⁶, Allen S
Anderson⁷, Igor D. Gregoric⁸, Hari Mallidi⁹, Katrin Leadley¹⁰, Keith D. Aaronson², O.H Frazier⁹,
Carmelo A. Milano¹

Table 3: Summary of Adverse Events Occurring Through 2 Years for Subjects Receiving Study or Control Device

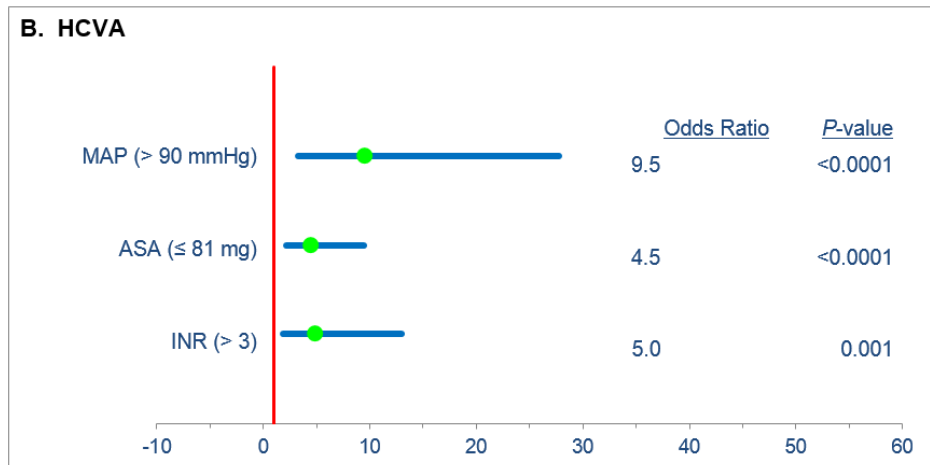
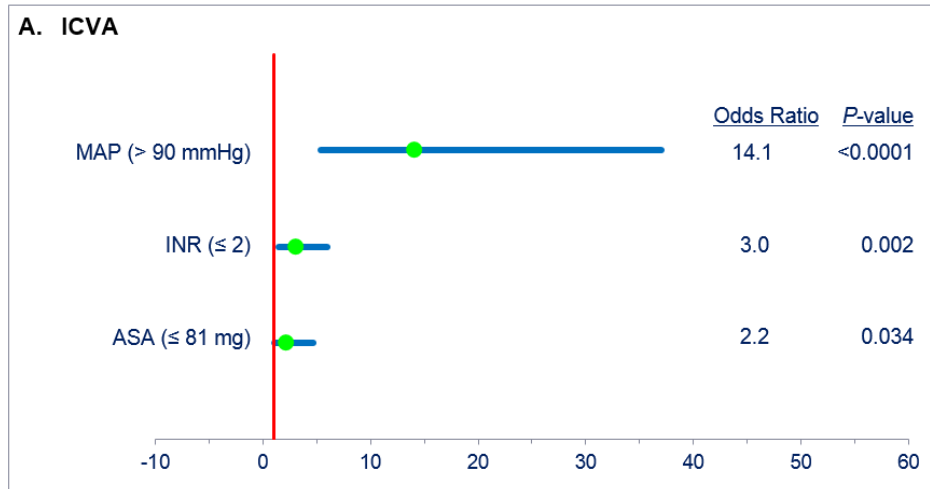
As treated population	Study Device N=296			Control Device N=149			P value
	Patients with event	No. of events	EPY (410.0 PY)	Patients with event	No. of events	EPY (203.9 PY)	
Overall Bleeding events	96 (60.8%)	426	0.83	93 (62.4%)	219	0.84	0.76
Requiring Reoperation*	45 (15.2%)	52	0.13	27 (18.1%)	28	0.14	0.50
Requiring Transfusion >4 U within 7 days*	45 (15.2%)	47	0.11	33 (22.1%)	36	0.18	0.09
GI Bleed	103 (34.8%)	225	0.55	51 (34.2%)	90	0.44	0.92
Cardiac Arrhythmia	111 (37.5%)	175	0.43	61 (40.9%)	82	0.40	0.54
Hepatic Dysfunction	13 (4.4%)	13	0.03	12 (8.1%)	12	0.06	0.13
Hypertension	47 (15.9%)	62	0.15	24 (16.1%)	28	0.14	>0.99
Sepsis	69 (23.3%)	83	0.20	23 (15.4%)	28	0.14	0.06
Driveline Exit Site Infection	56 (18.9%)	72	0.18	21 (14.1%)	25	0.12	0.23
Stroke	85 (28.7%)	110	0.27	18 (12.1%)	19	0.09	<0.001
Ischemic Cerebrovascular Event	50 (16.9%)	65	0.16	13 (8.7%)	13	0.06	0.021
Hemorrhagic Cerebrovascular Event	42 (14.2%)	45	0.11	6 (4.0%)	6	0.03	0.001
TIA	24 (8.1%)	27	0.07	7 (4.7%)	7	0.03	0.24
Renal Dysfunction	43 (14.5%)	54	0.13	19 (12.8%)	22	0.11	0.67
Respiratory Dysfunction	84 (28.4%)	114	0.28	38 (25.5%)	48	0.24	0.57
Right Heart Failure	110 (37.2%)	129	0.31	39 (26.2%)	45	0.22	0.025
RVAD*	8 (2.7%)	8	0.02	5 (3.4%)	6	0.03	0.77
Pump replacement#	23 (7.8%)	NA	NA	20 (13.4%)	NA	NA	0.06

*Site-reported event. #Events and EPY are not applicable for pump replacement since only the first event is counted.

Abbreviations: EPY – events per patient year; PY – patient years; GI - gastrointestinal; RVAD - right ventricular assist device; TIA – transient ischemic attack (<24 hours).

Note: P-values for the comparisons between percent of patients with events determined by the Proportion Method.

Figure 5: Forrest Plot of Risk Factors for: (A) Ischemic Cerebrovascular Accident (ICVA) and (B) Hemorrhagic Cerebrovascular Accident (HCVA) in the As-Treated Population for Subjects Receiving the Study Device.



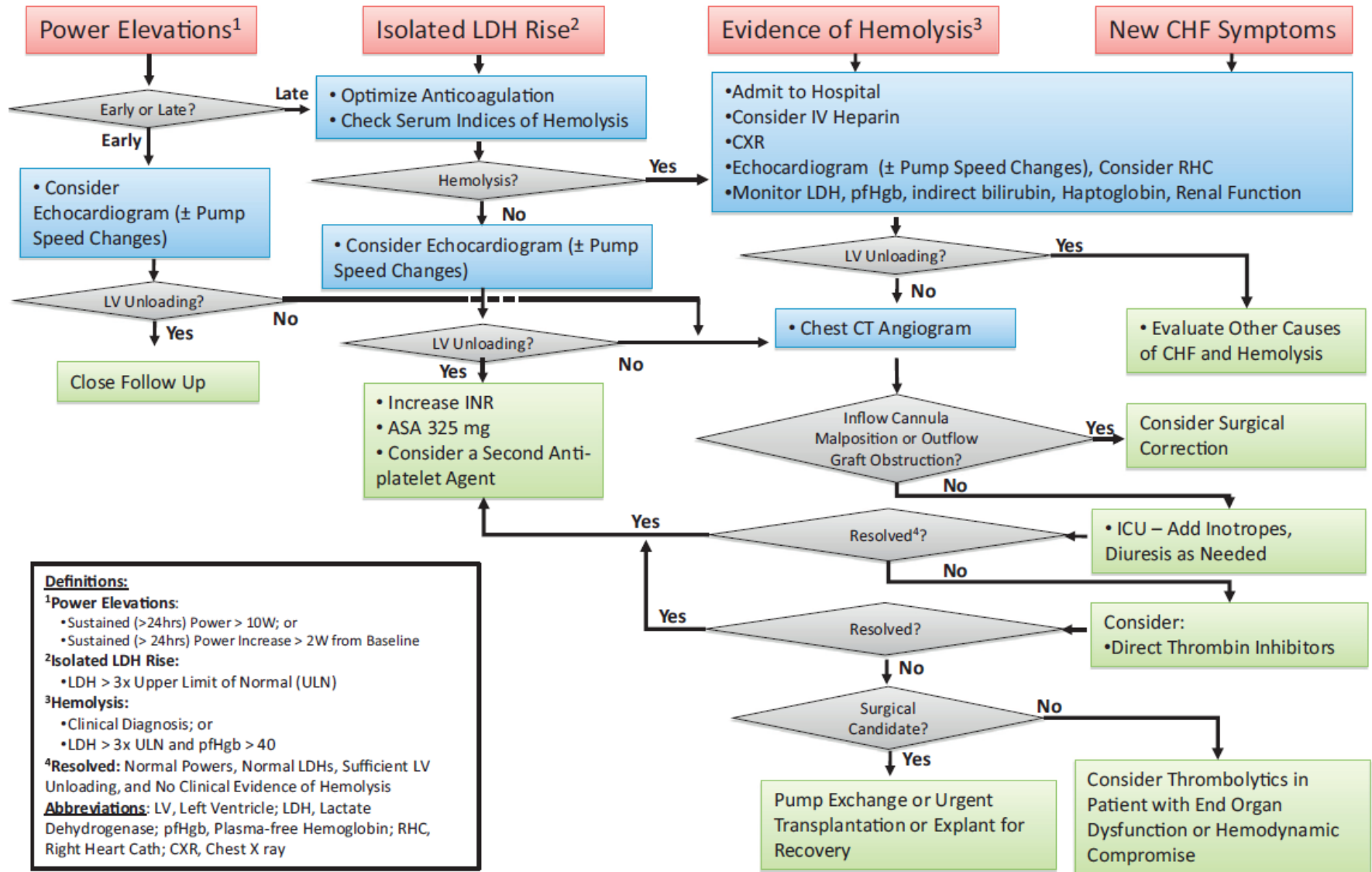
PREVENTion of HeartMate II Pump Thrombosis
Through Clinical Management
(PREVENT)



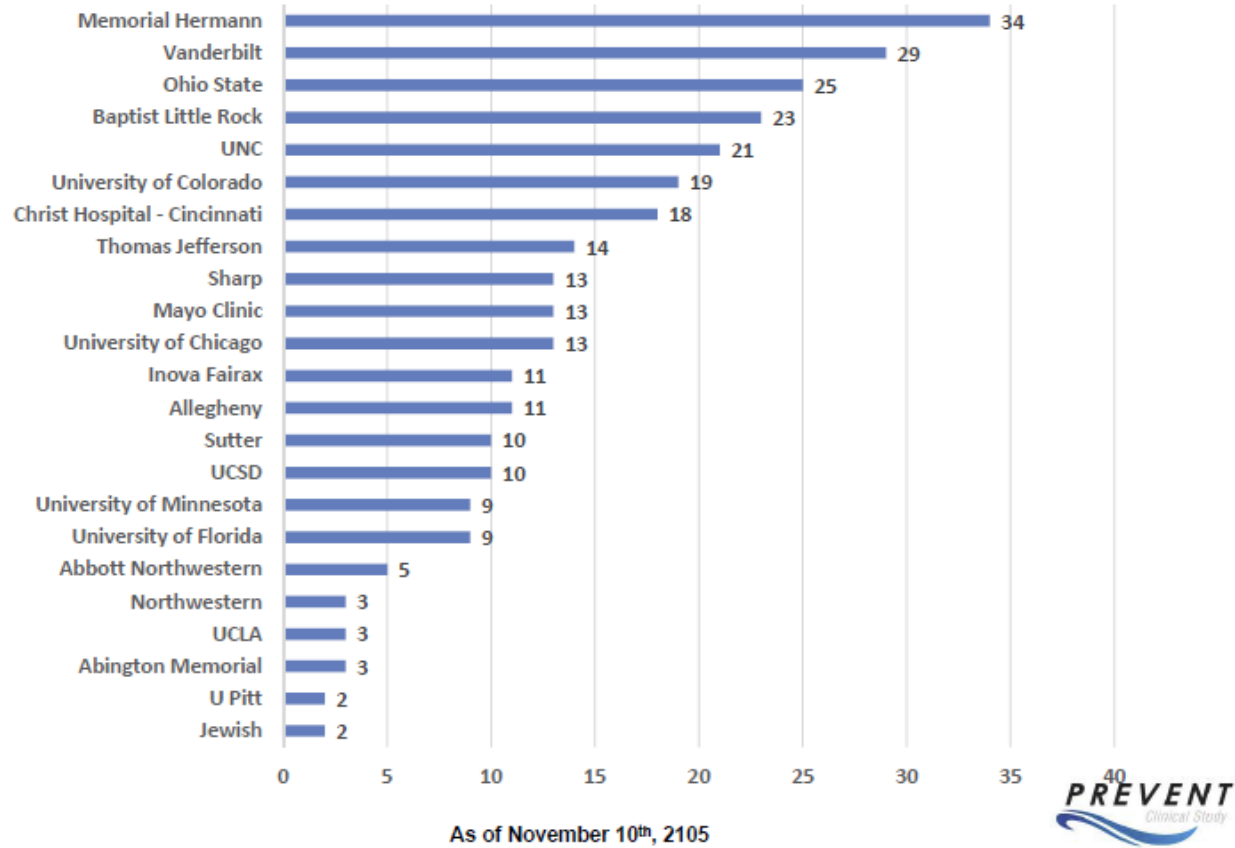
PREVENT

- **PREVENT**ion of HeartMate II Pump Thrombosis Through Clinical ManagementI
- Prospective Multi-Center Study (US)
 - Up to 20 sites
 - Up to 300 patients
- Objectives:
 - Assess the incidence of HMII pump thrombosis in the current era when recommended clinical practices are adopted
 - Identify risk factors associated with pump thrombosis events

Algorithm for the Diagnosis and Management of Pump Thrombosis¹

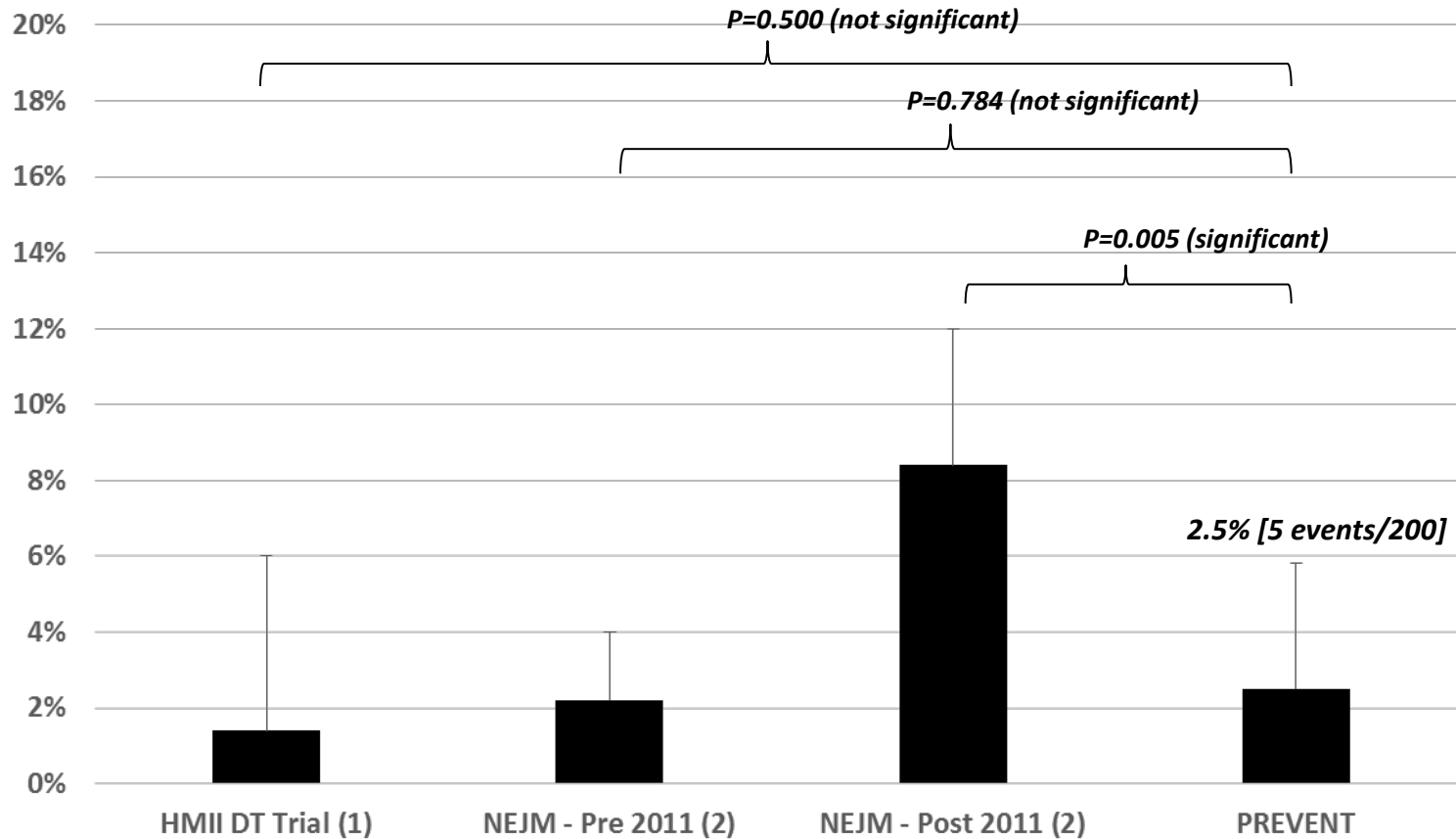


PREVENT Enrollment by Site



Results - Primary Endpoint

Confirmed Pump Thrombosis at 90 Days 200 pts



1: Evaluated from the dataset published in: Park SJ, Milano CA, Tatroles AJ et al. Outcomes in advanced heart failure patients with left ventricular assist devices for destination therapy. *Circ Heart Fail.* 2012 Mar 1;5(2):241-8.

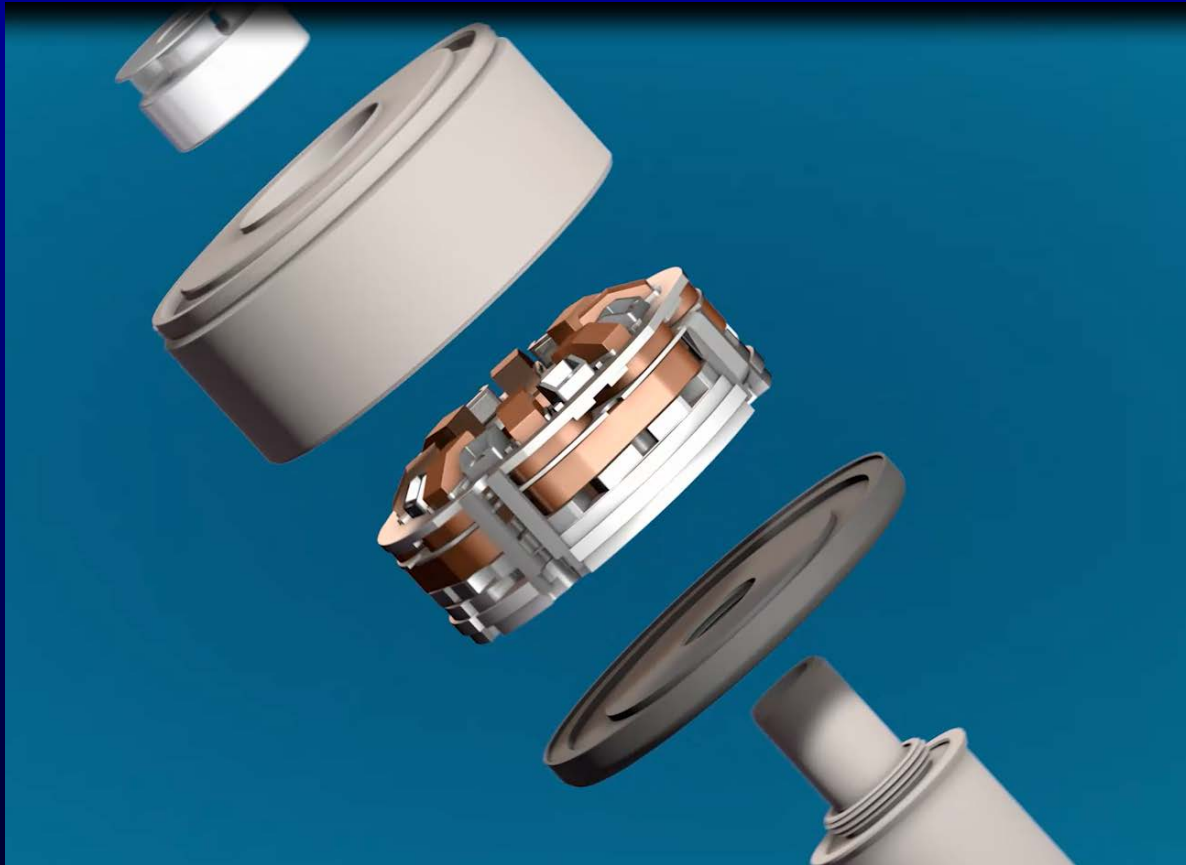
2: Starling RC, Moazami N, Silvestry SC et al. Unexpected abrupt increase in left ventricular assist device thrombosis. *N Engl J Med.* 2014 Jan 2;370(1):33-40

Conclusion

- Best to avoid LVAD Thrombosis is to prevent it
- Patient selection
- Better pump designs
- Better Blood – surface interface
- Improved flow dynamics

New LVAD Trials

HM III



HeartMate III: Design Goals

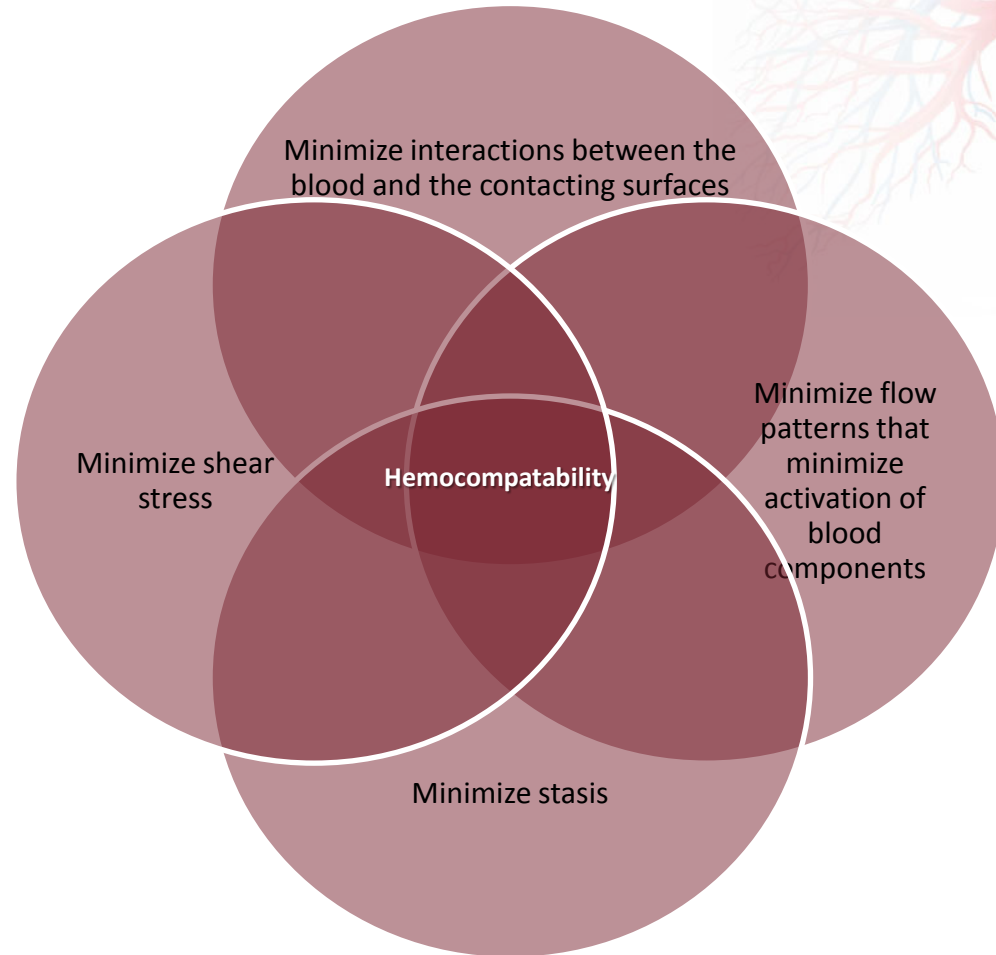
- Build upon the highly successful HeartMate II LVAS
- Enhanced AE profile
- Increased surgical ease
- Elevate the patient experience



A Healthy Respect for the Blood

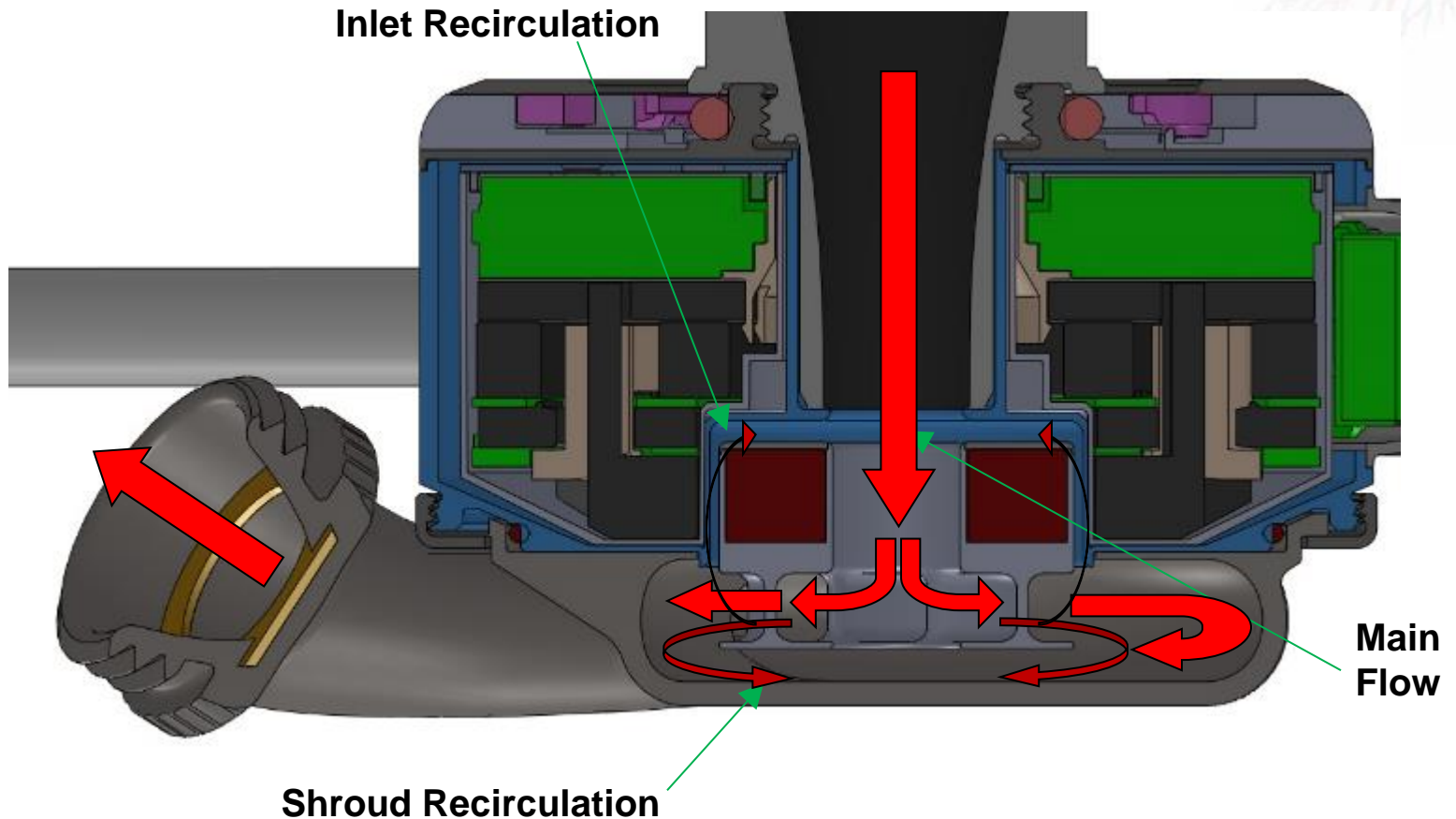
What influences hemocompatibility?

- **Hemocompatibility¹**
 - Minimize shear stress
 - Minimize stasis
 - Minimize flow patterns that minimize activation of blood components
 - Minimize interactions between the blood and the contacting surfaces



1. Moazami N, et al. Ann Thorac Surg. 2014;98(2):54-7.

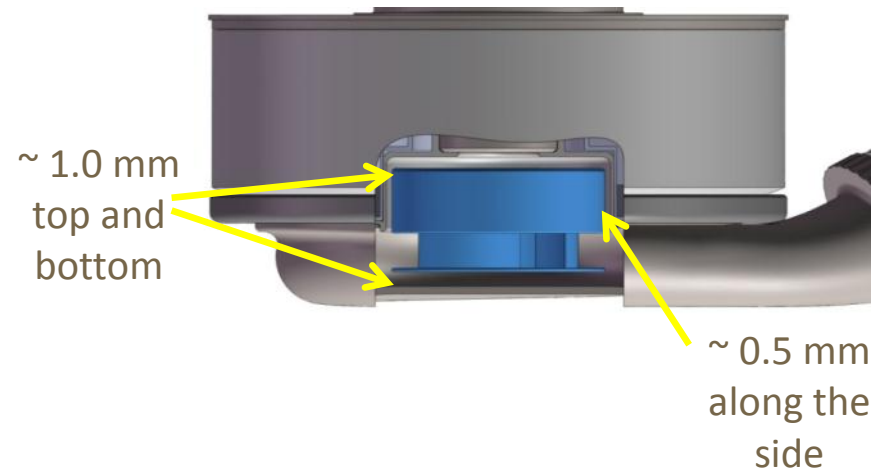
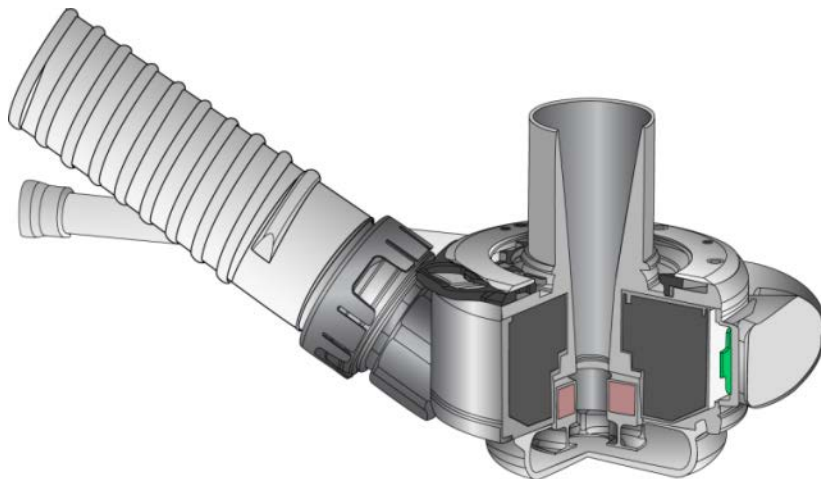
Design Configuration



HeartMate III: Full MagLev Technology

Key Design Benefits: Optimized Geometry

- HeartMate III secondary flow paths are ~ 0.5 mm along the side, and ~ 1.0 mm pump above and below the rotor.
- HeartMate III pump surfaces are flat and flow is undisturbed.



How much difference is there?

From a Red Blood Cell's Point of View



6-8 μm

	Gap Size	# of Red Blood Cells
Full MagLev	1,000 μm	167
Hydrodynamic Bearing	50 μm	8

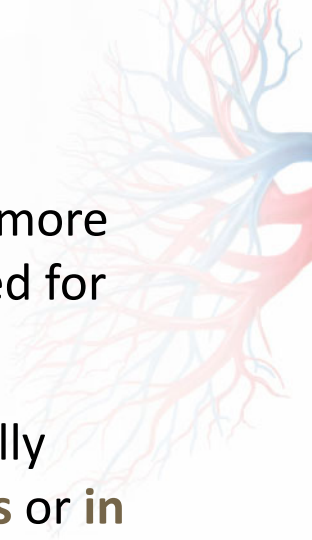
167

8

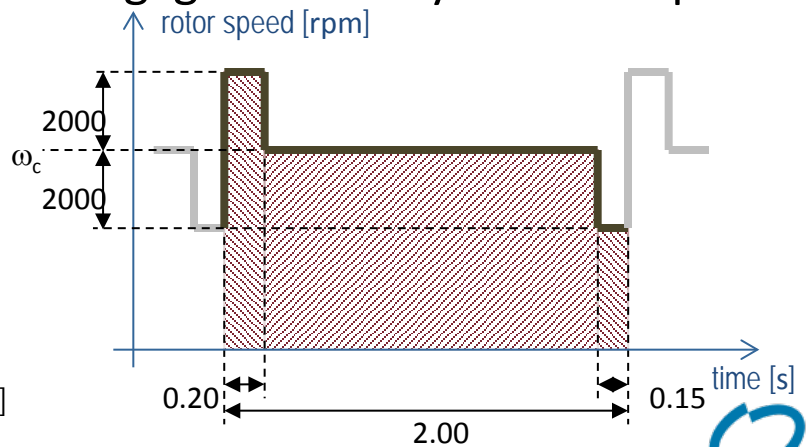
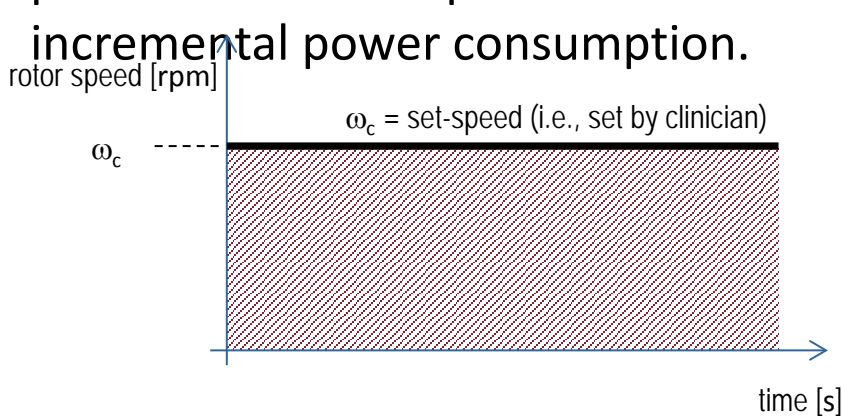
Stacked RBCs

at least
20X larger
blood flow
paths

HeartMate III: Artificial Pulse



- Rotary pumps have been used chronically with constant rotor speed in more than seventeen thousand patients, confirming that a pulse is not needed for survival.
- However, we hypothesize that augmenting the pulsatility that is generally diminished in rotary pump patients may have **benefit for some patients** or in **certain circumstances**, perhaps in part addressing adverse events such as aortic insufficiency, bleeding, and thrombogenesis.
- The HeartMate III centrifugal blood pump is intrinsically capable of very sharp speed changes. We have produced an **“artificial pulse”** feature that has so far in pre-clinical studies proved to contribute negligible hemolysis and require low incremental power consumption.

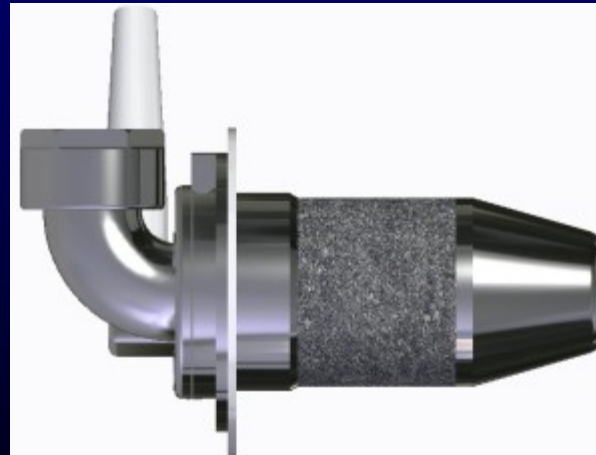


Future

■ M - VAD



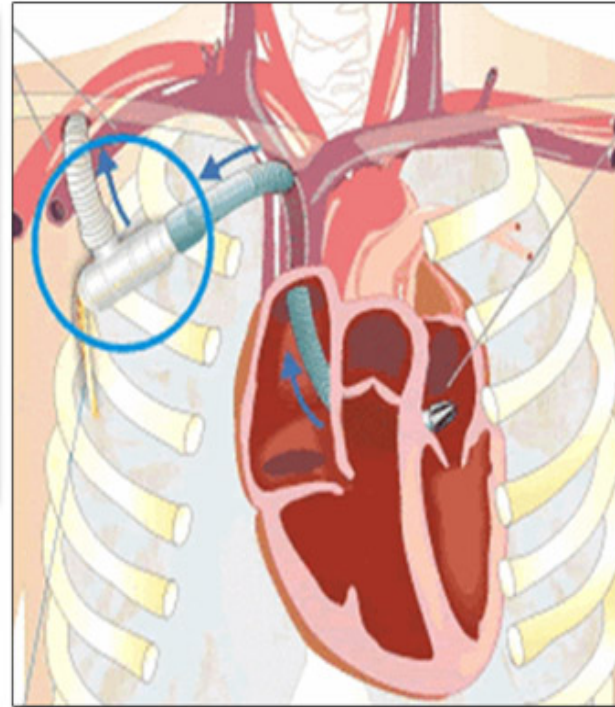
■ A - VAD



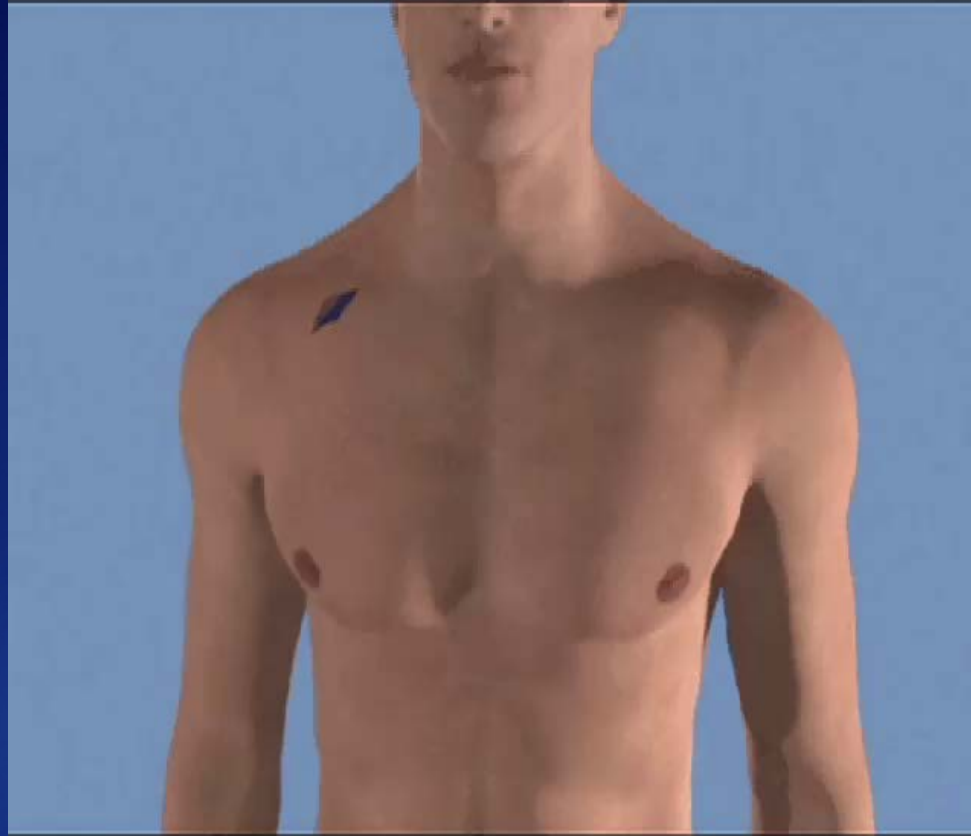
MINIATURE VAD Development - for Adults



Circulite



Future LVADs



Center for Advanced Heart Failure Research Division

IRB approved protocols:

INTERMACS

LAPTOP-HF

PROACT (On-X Valve)

SynCardia TAH Postmarket Surveillance

SynCardia Freedom driver

PARTNER II TRIAL

ROADMAP

Amplatzer Cardiac Plug Trail

ABSORB III Randomized Trial

TEG monitoring in LVADs

PARACHUTE IV

TRIS

Portico TAVR

Sepian III PARTNER II Version 4.5

Transfusions in LVADs and HT

PREVENT

Recover Right study (Impella RP)

RELIANTHEART

Von Willebrand Syndrome in LVADs

Pathological assessment of explanted hearts

Heart Mate III

Protocols in submission:

Aortic annulus measurement

Outcomes in AHF patients who require MCS or HT

Left Atrial Pressure Monitoring - Millar catheter

Milrinone therapy in advanced heart failure

Clopidogrel in Cardiogenic Shock

TandemHeart and ECMO; transfusion threshold

Advanced ECG to Identify non-ST Elevation



***THANK
YOU***

END