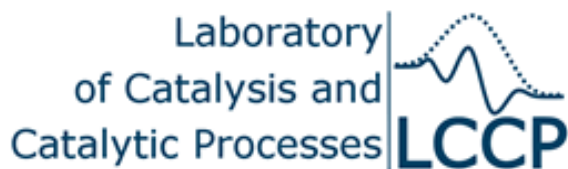


# Structure-dependent microkinetic modeling: methodology and applications

Matteo Maestri



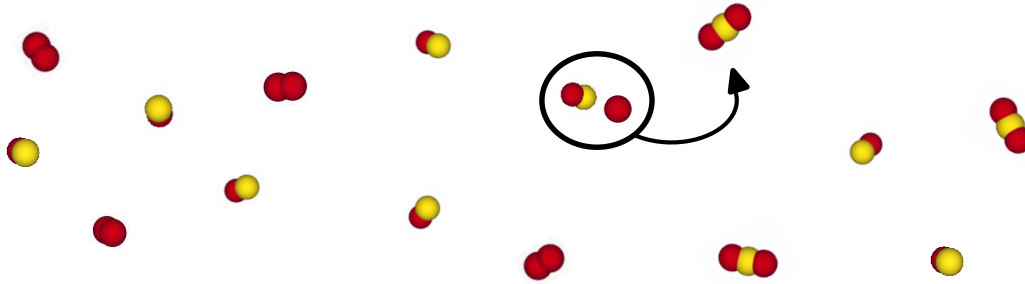
**POLITECNICO**  
MILANO 1863

March 22<sup>nd</sup>, 2018

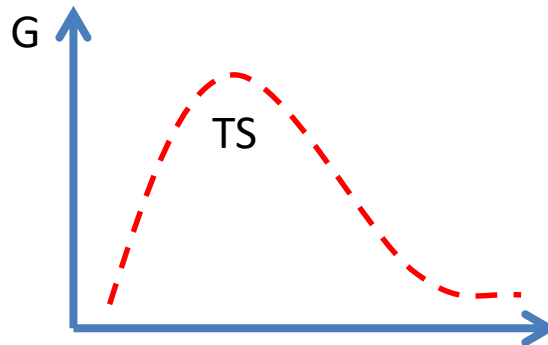
Pregl Lecture - Kemijski inštitut

National Institute of Chemistry – Ljubljana, Slovenia

# *Catalysis is a kinetic phenomenon*

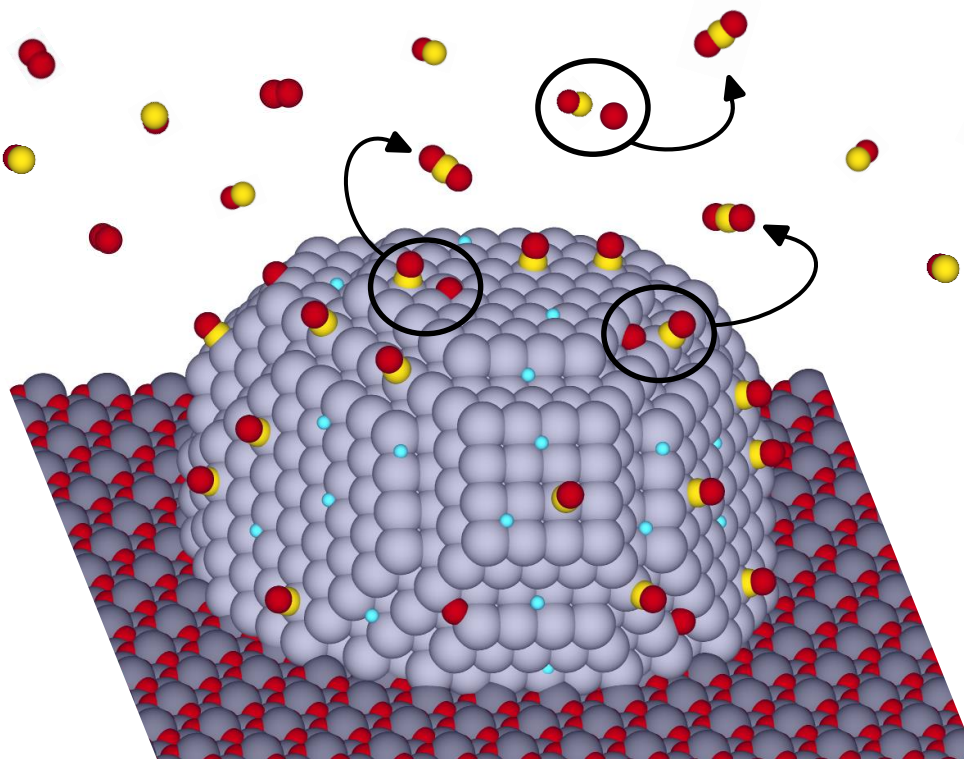


Catalyst: functional material  
with specific “active sites”

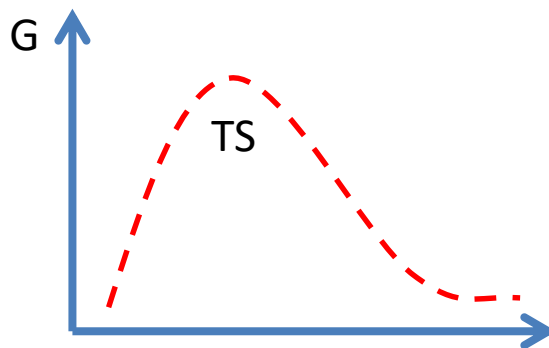


$$r \propto \exp\left(-\frac{G^{\text{TS}}}{RT}\right)$$

# *Catalysis is a kinetic phenomenon*

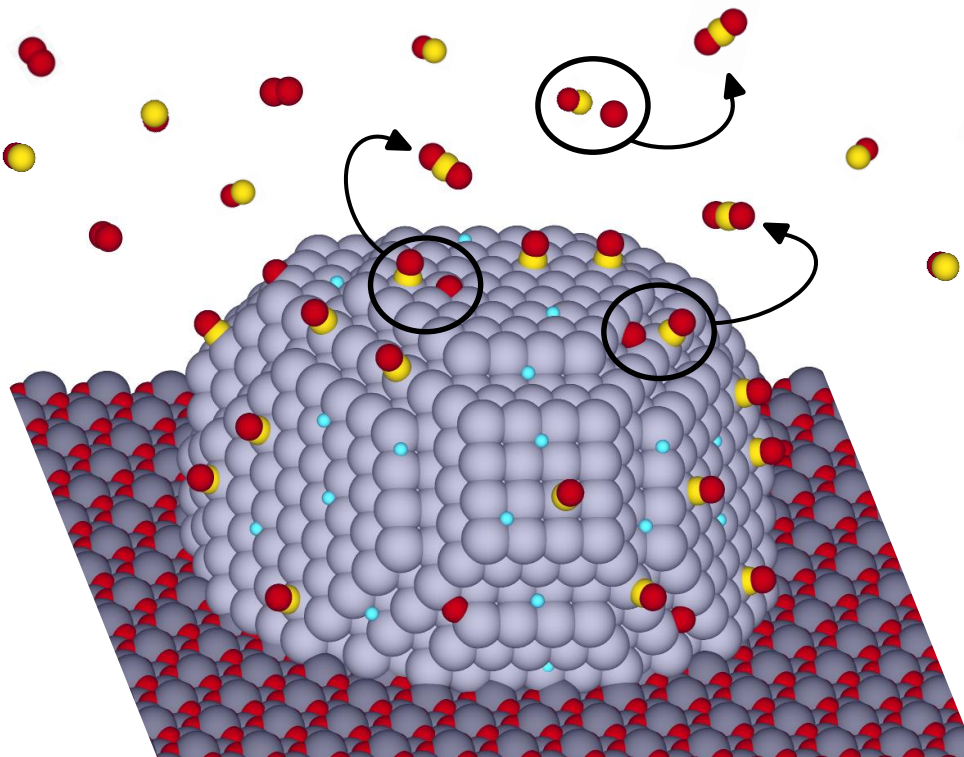


Catalyst: functional material  
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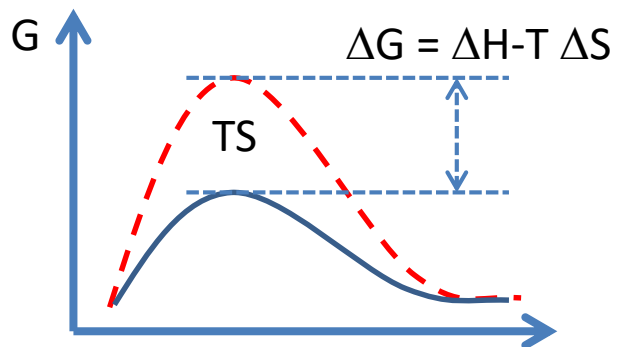


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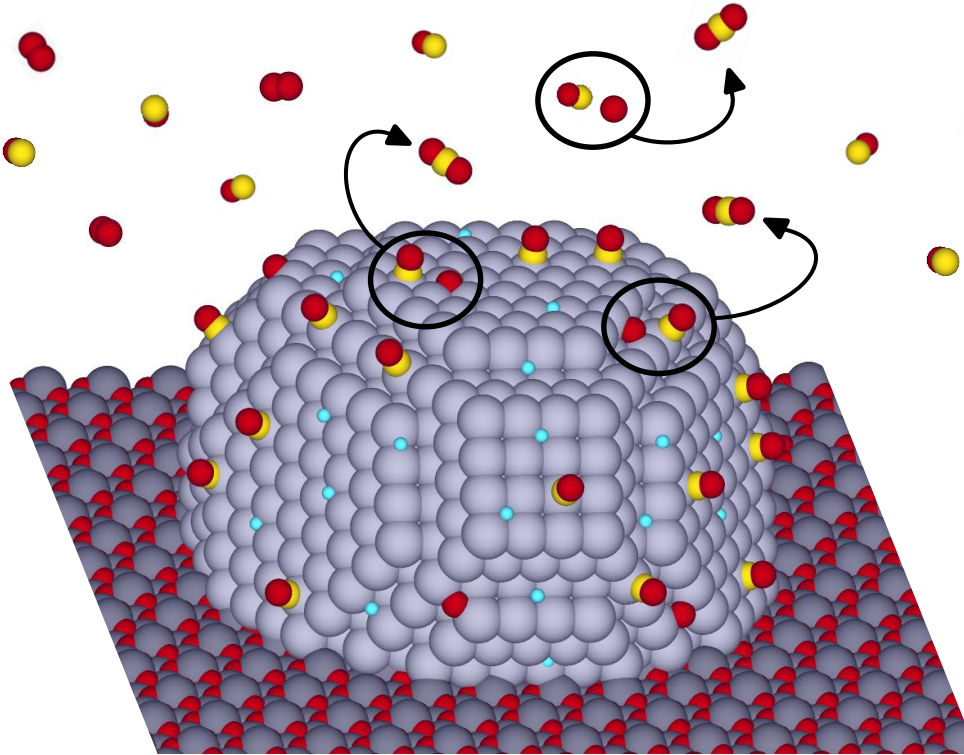


Catalyst: functional material with specific “active sites”, which provide a stabilization of the free energy of the TS

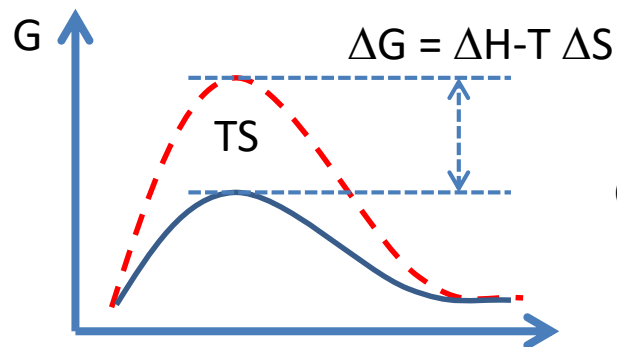


$$r \propto \exp\left(-\frac{G^{\text{TS}}}{RT}\right)$$

# Catalysis is a kinetic phenomenon



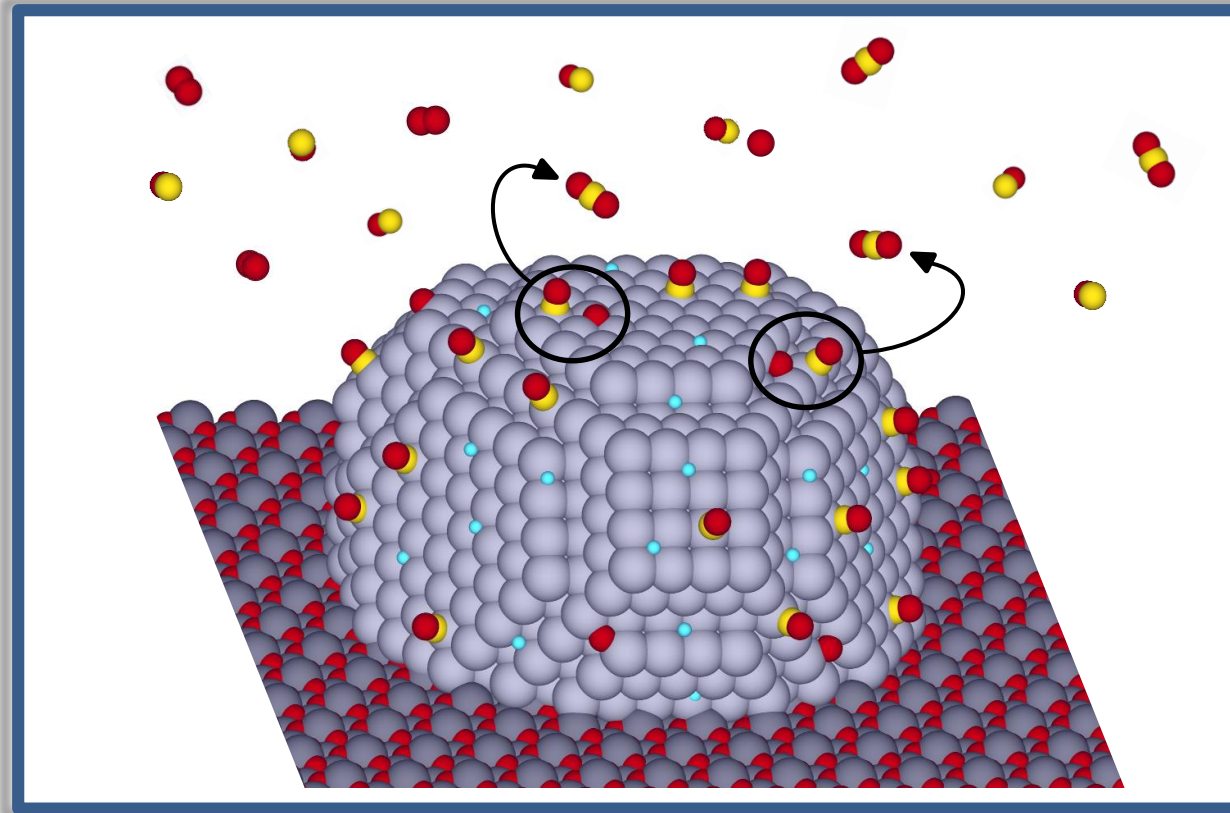
Catalyst: functional material with specific “active sites”, which provide a stabilization of the free energy of the TS



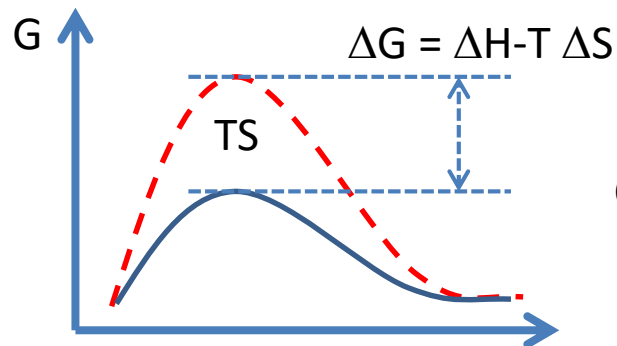
$$r \propto \exp\left(-\frac{G^{\text{TS}}}{RT}\right)$$

G depends not only on H and S, but also on the local chemical potential

# Catalysis is a kinetic phenomenon



Catalyst: functional material with specific “active sites”, which provide a stabilization of the free energy of the TS

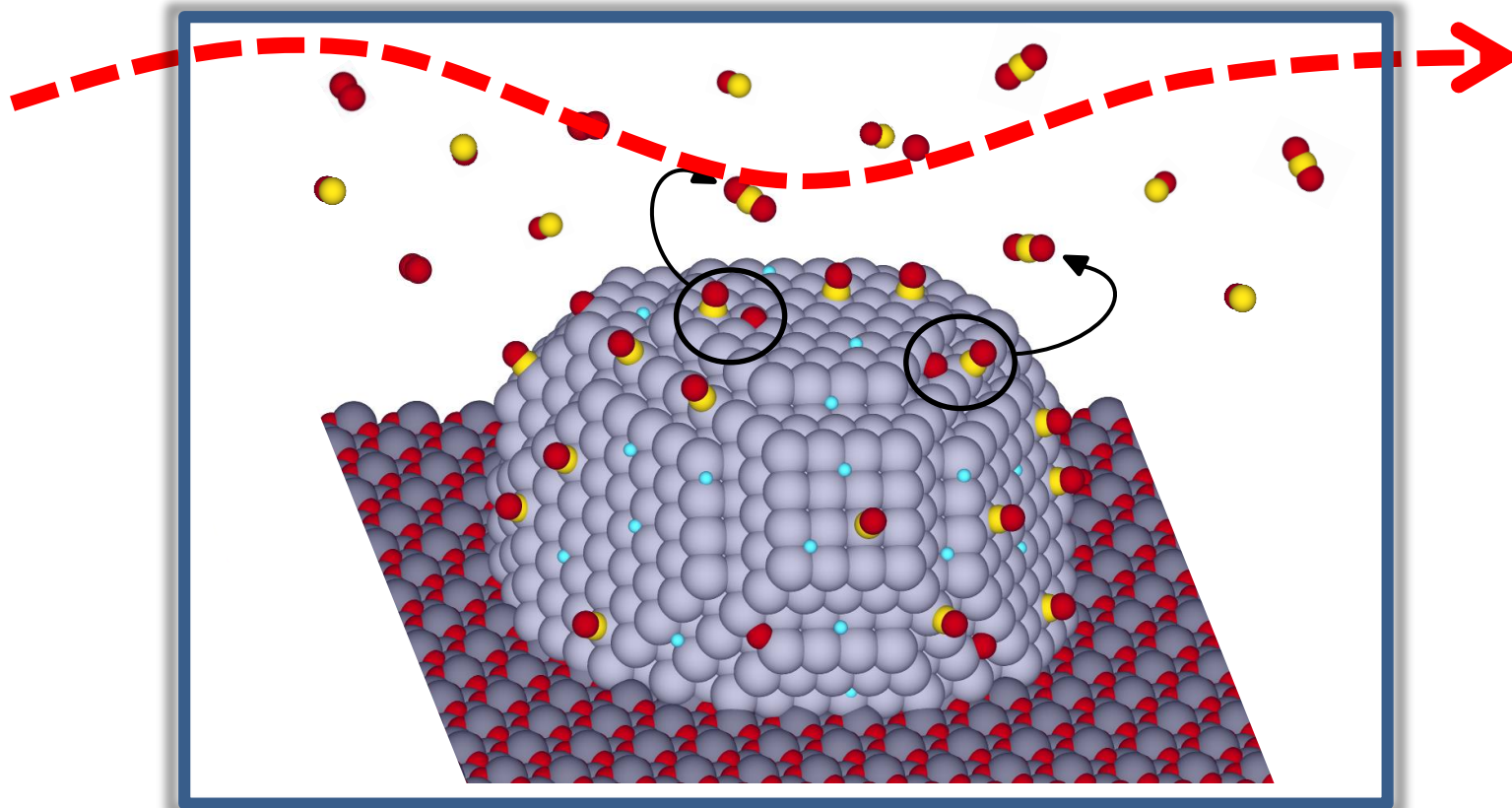


$$r \propto \exp\left(-\frac{G^{\text{TS}}}{RT}\right)$$

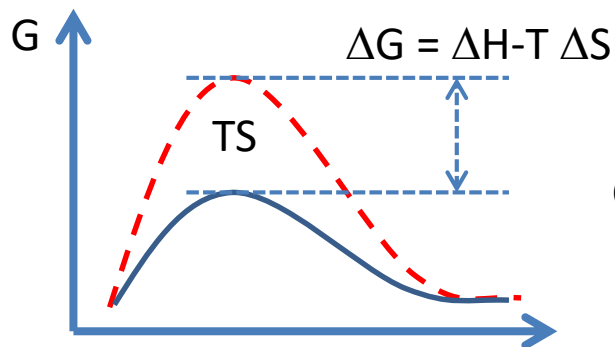
G depends not only on H and S, but also on the local chemical potential



# Catalysis is a kinetic phenomenon



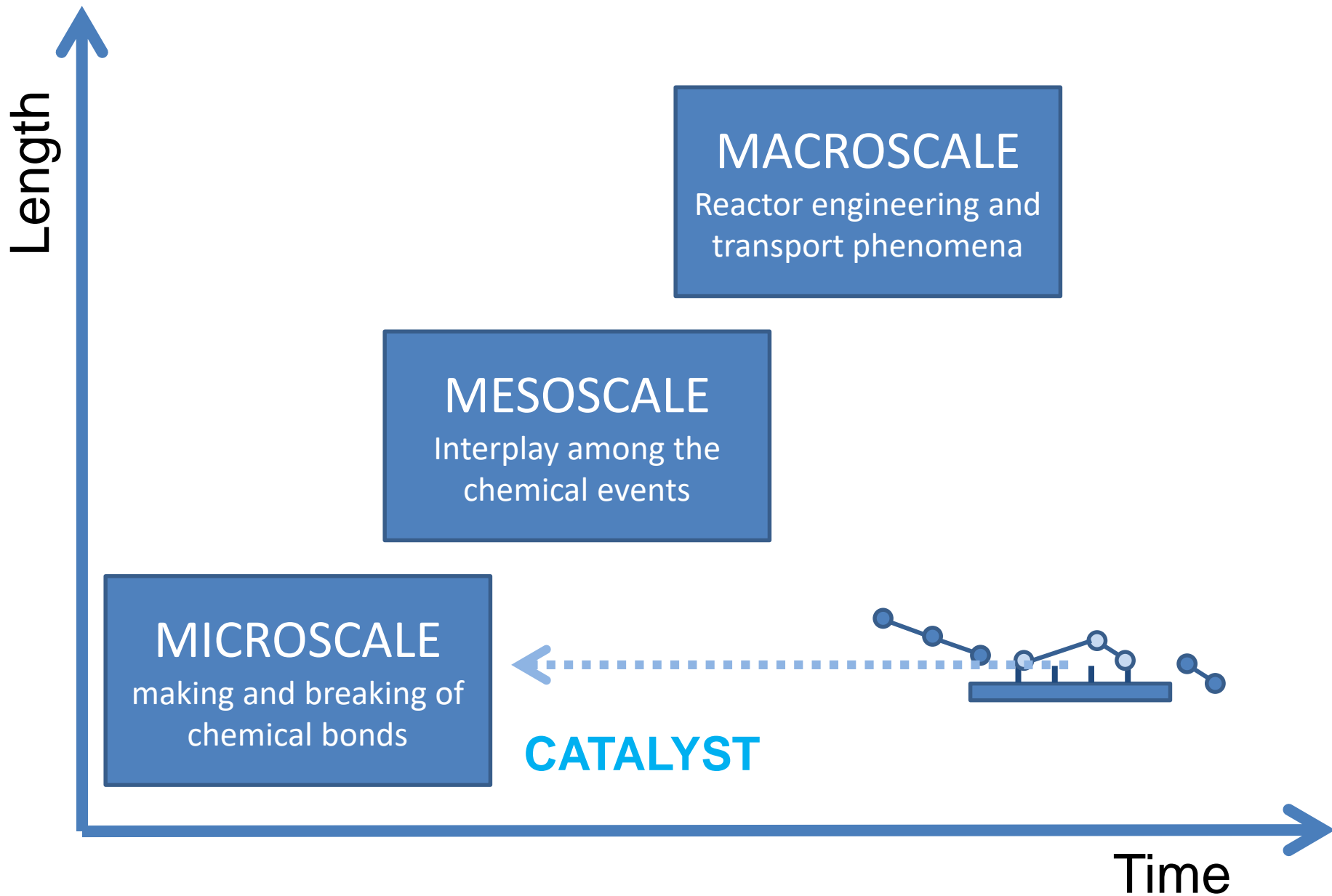
Catalyst: functional material with specific “active sites”, which provide a stabilization of the free energy of the TS



$$r \propto \exp\left(-\frac{G^{\text{TS}}}{RT}\right)$$

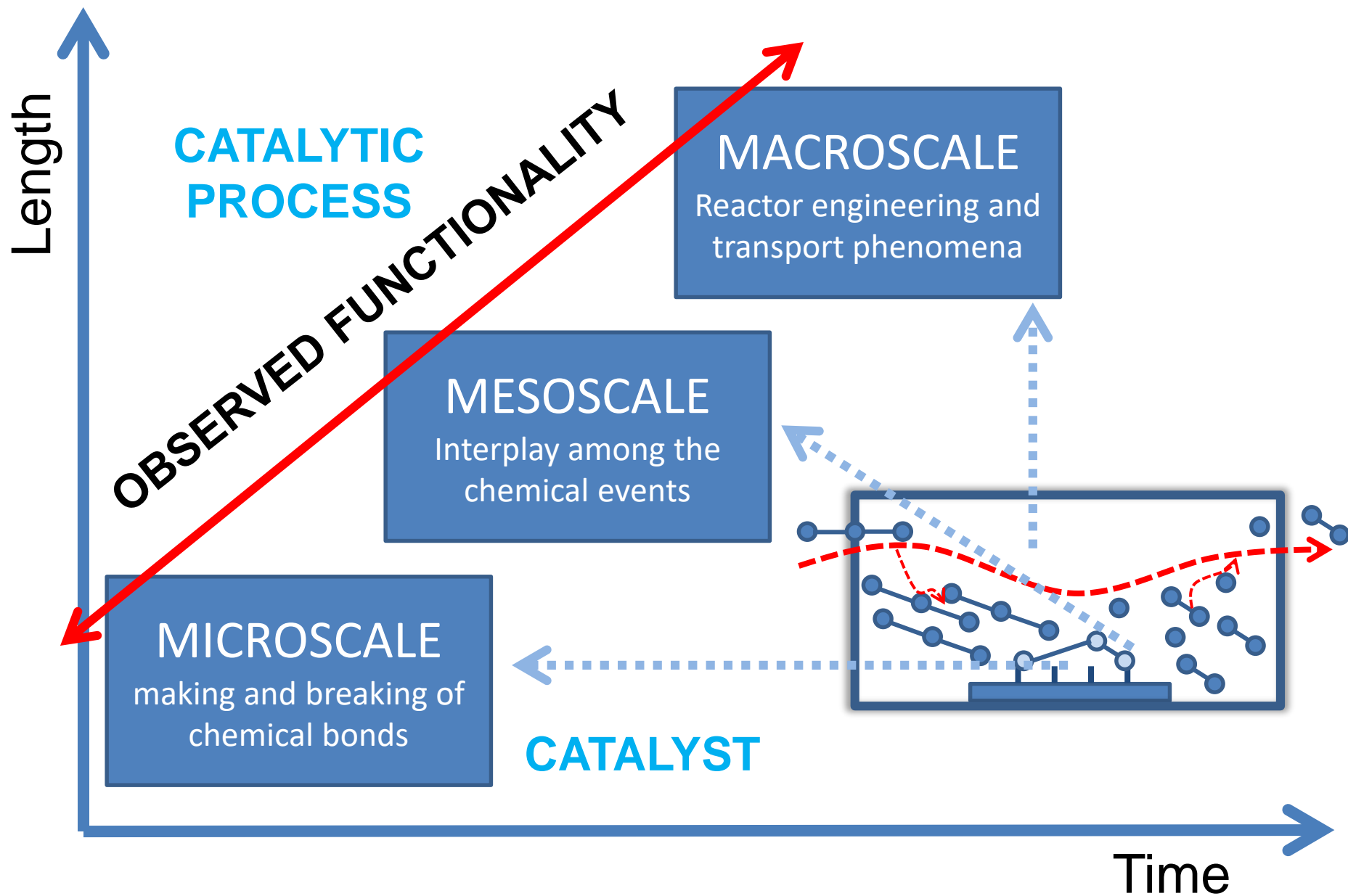
G depends not only on H and S, but also on the local chemical potential

# *A multiscale functionality*

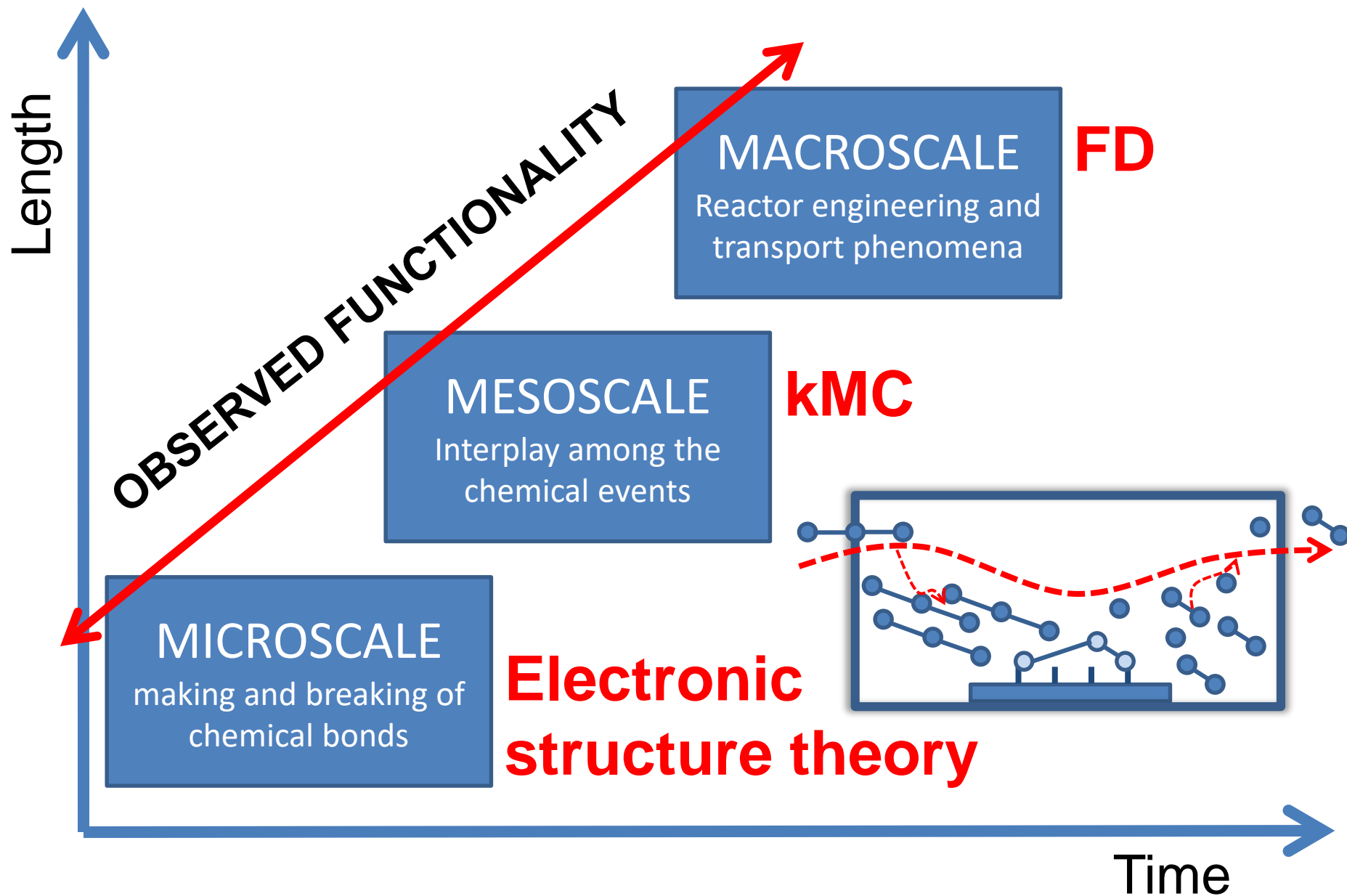




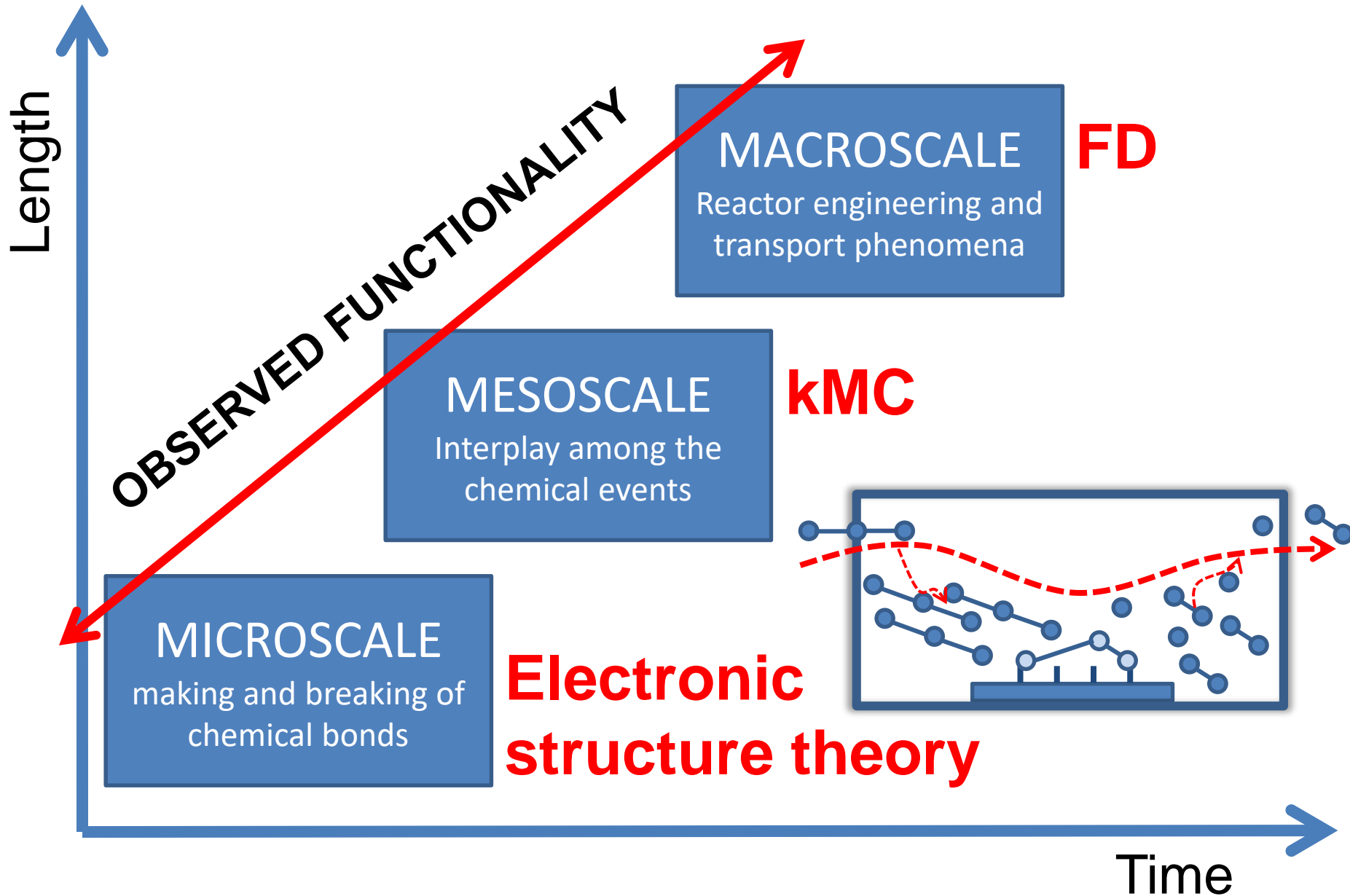
# *A multiscale functionality*



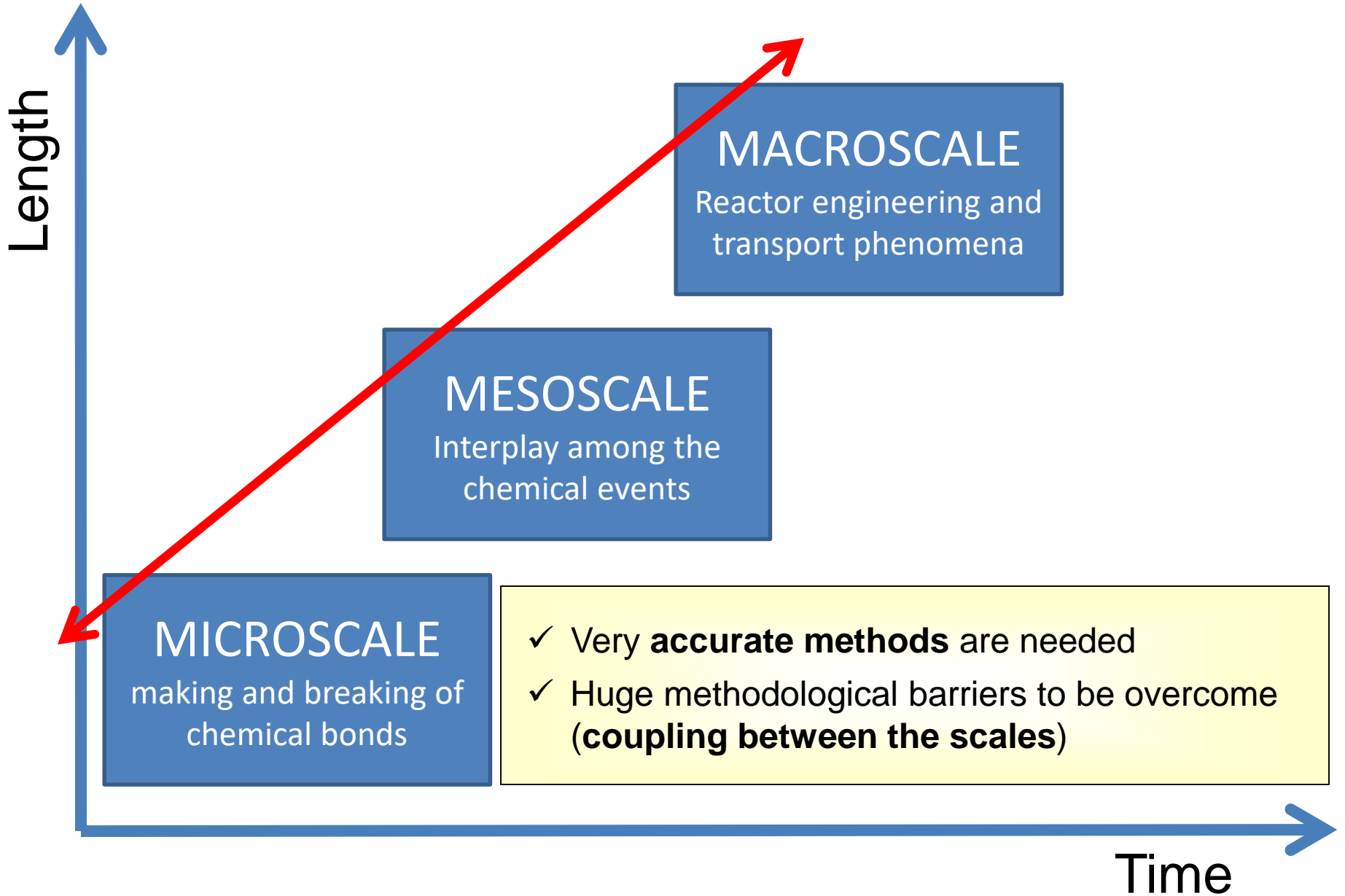
# Functional-based design



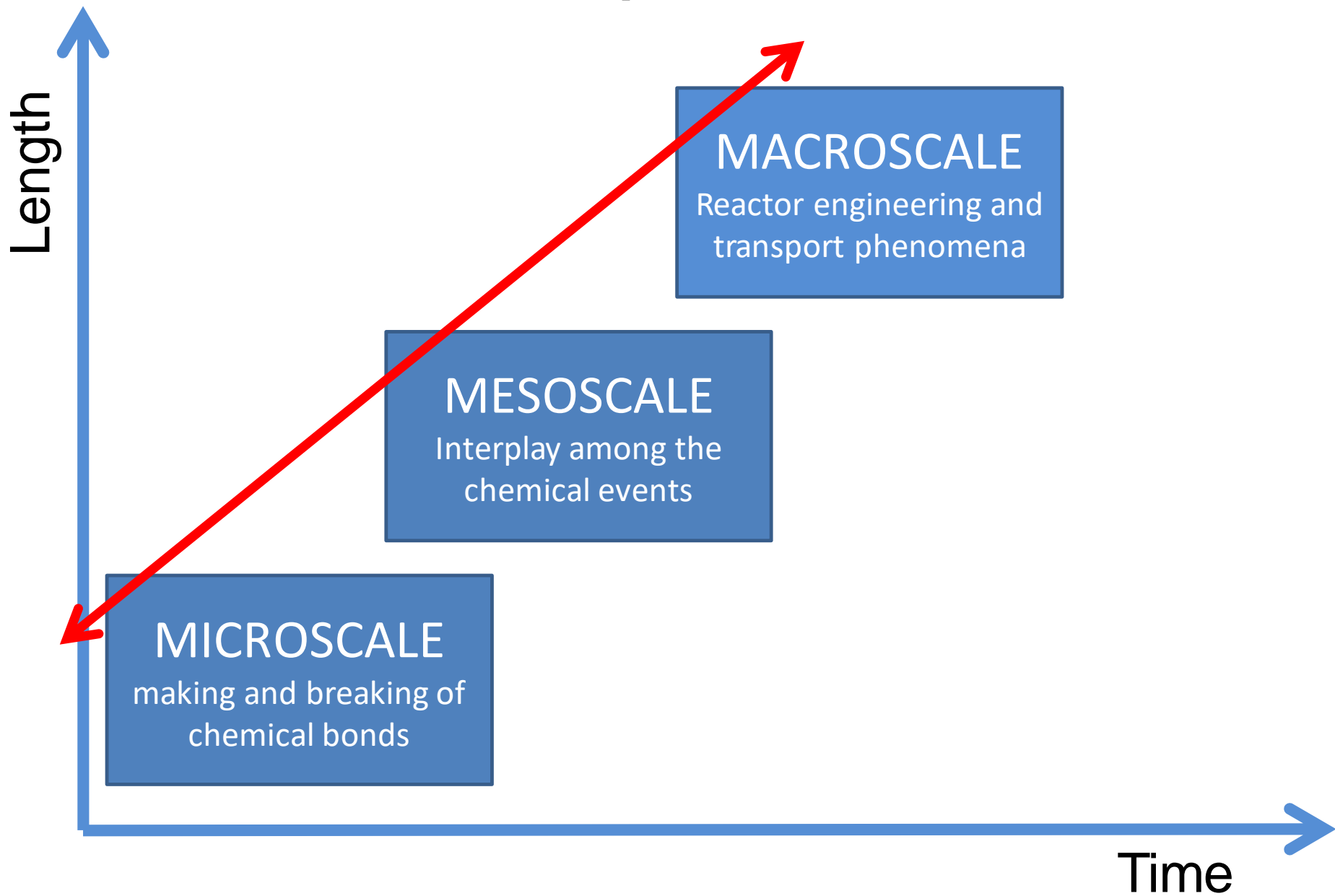
# Need of bridging the scales



# Complication

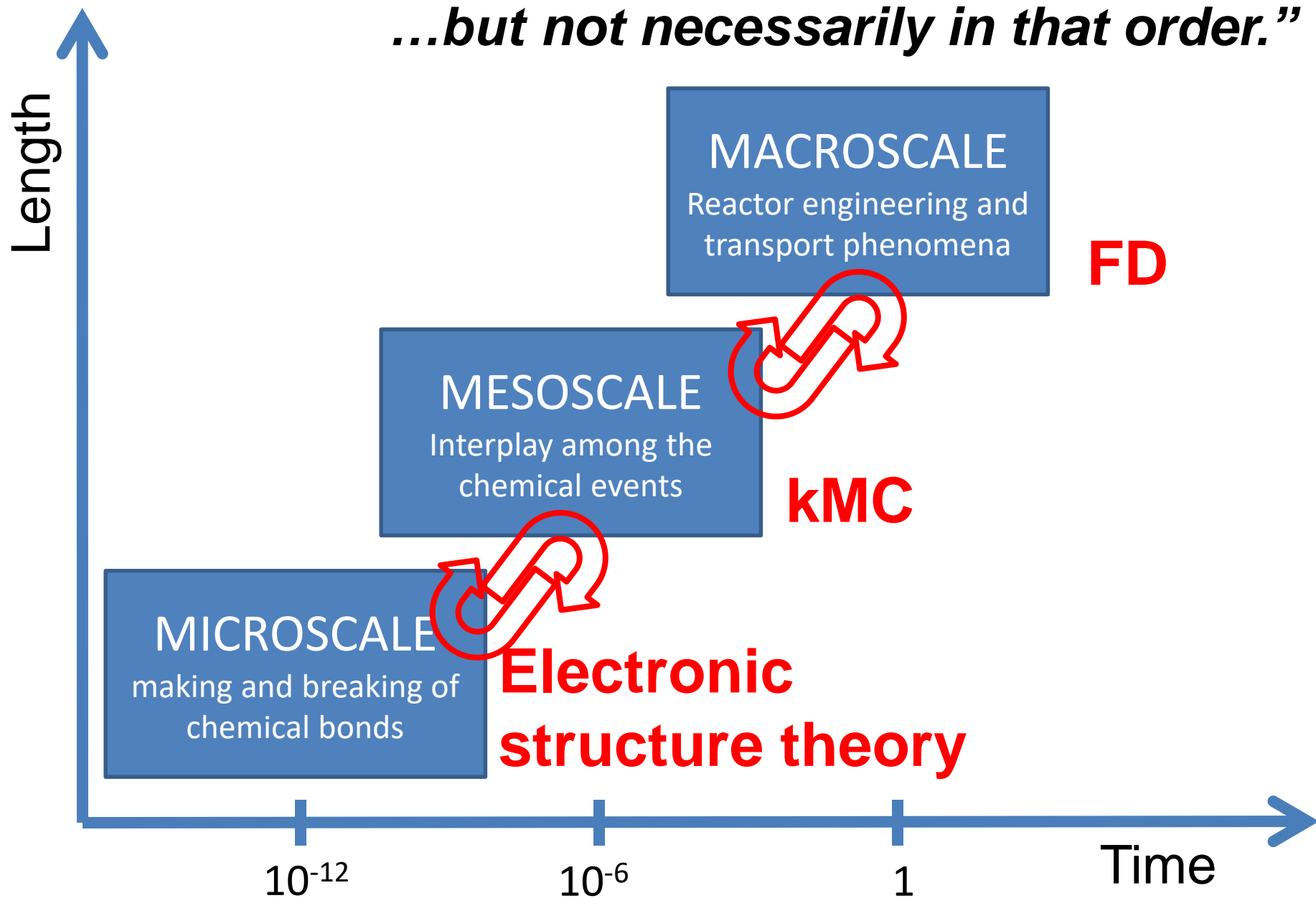


# *Complication*



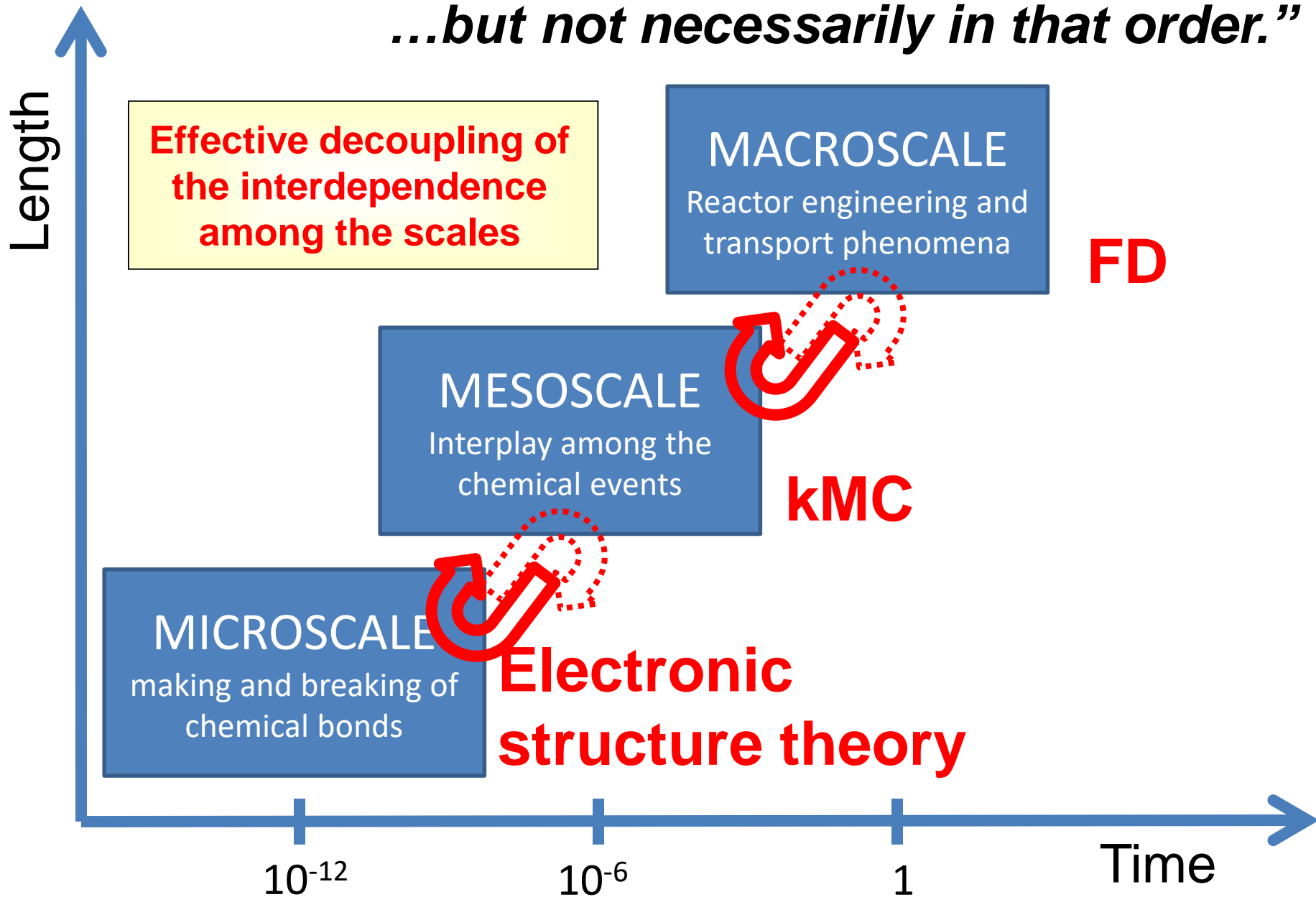
*“First things first...*

*...but not necessarily in that order.”*



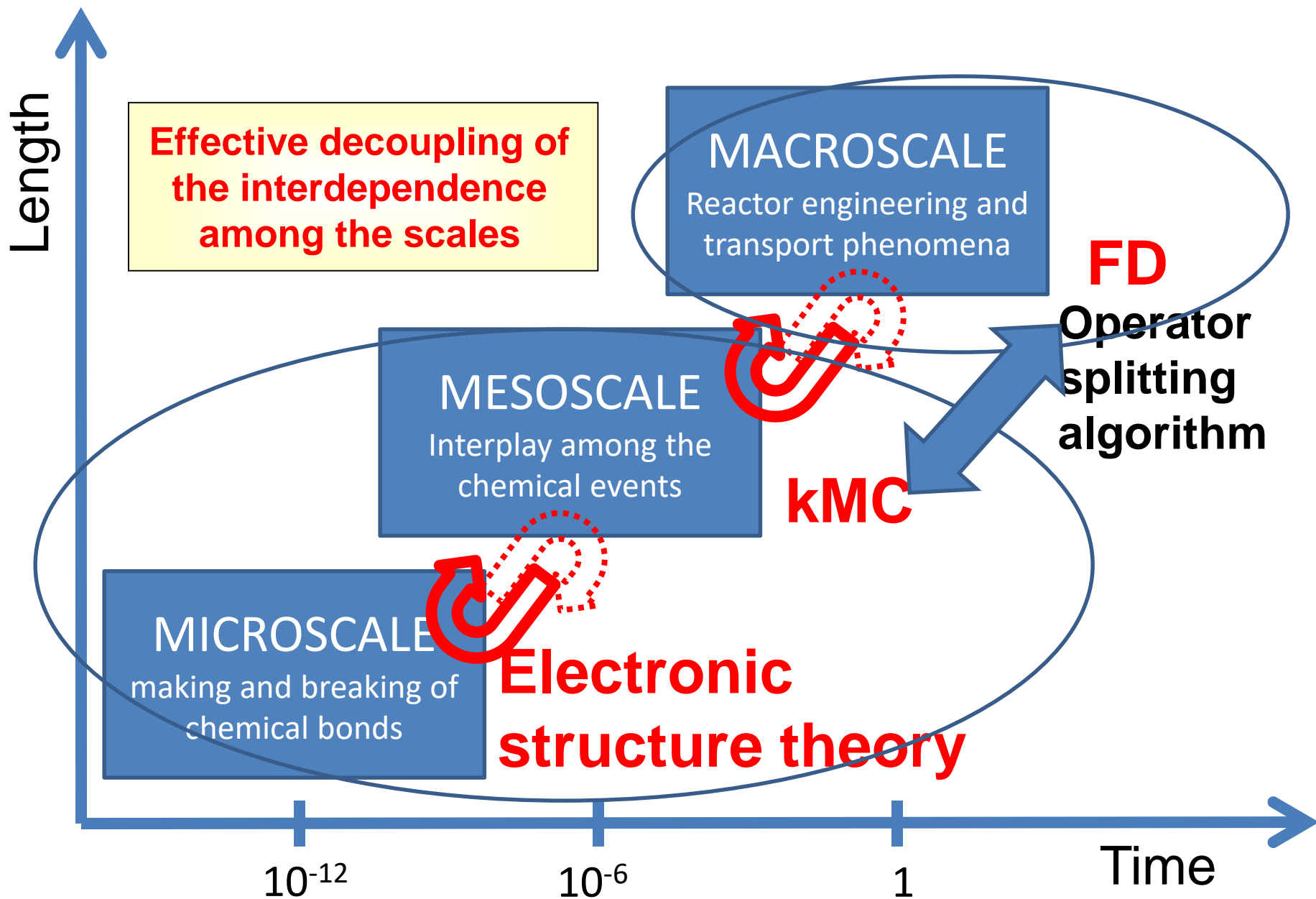
***“First things first...***

***...but not necessarily in that order.”***

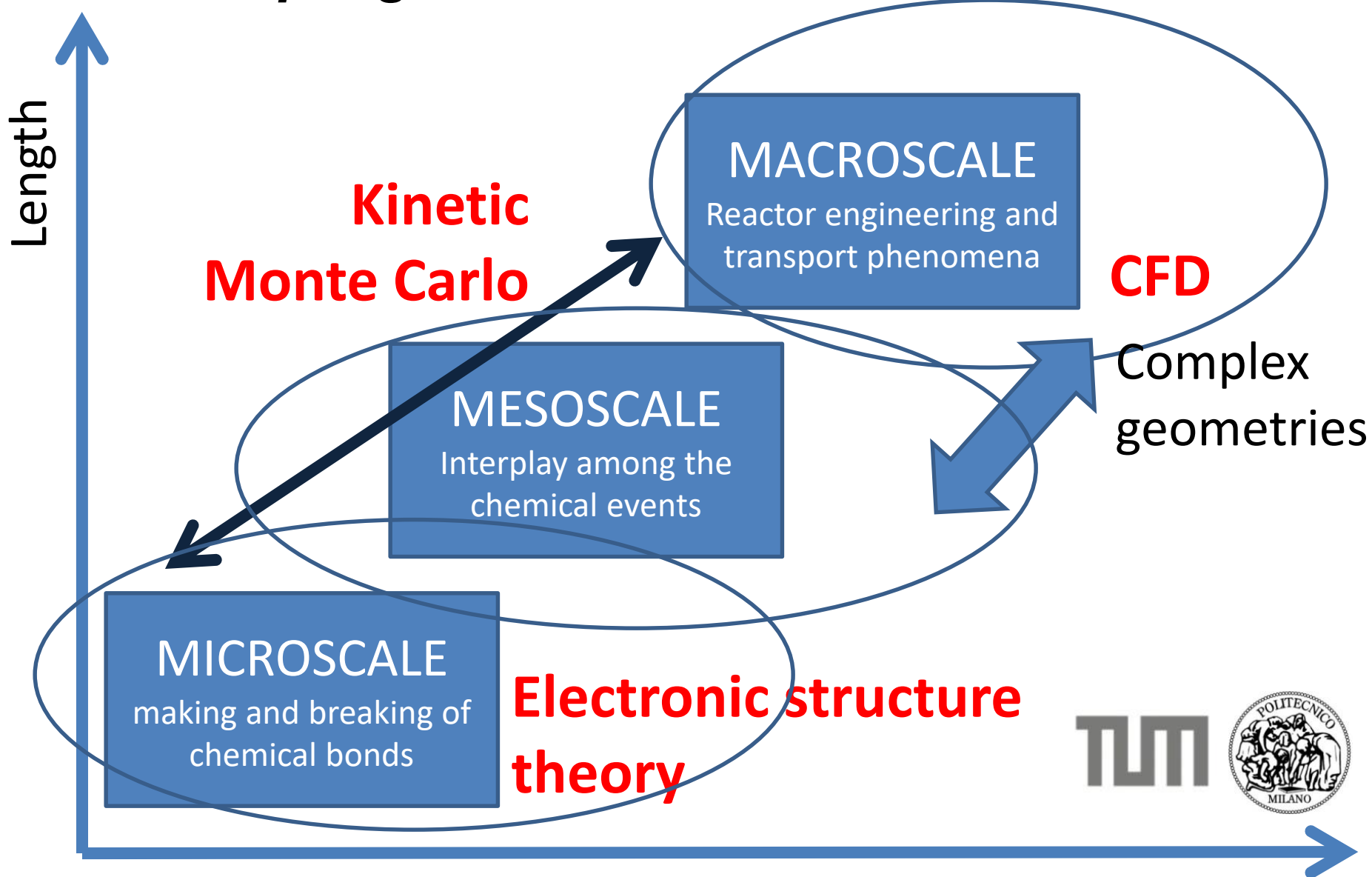




# Coupling CFD and microkinetic models



# Coupling CFD and microkinetic models



# *Effective decoupling of interdependencies*

- Continuum equations need boundary conditions for the mass fluxes  $j^\alpha$  at the surface:

$$j_n^\alpha = v^\alpha M^\alpha \mathbf{TOF}$$

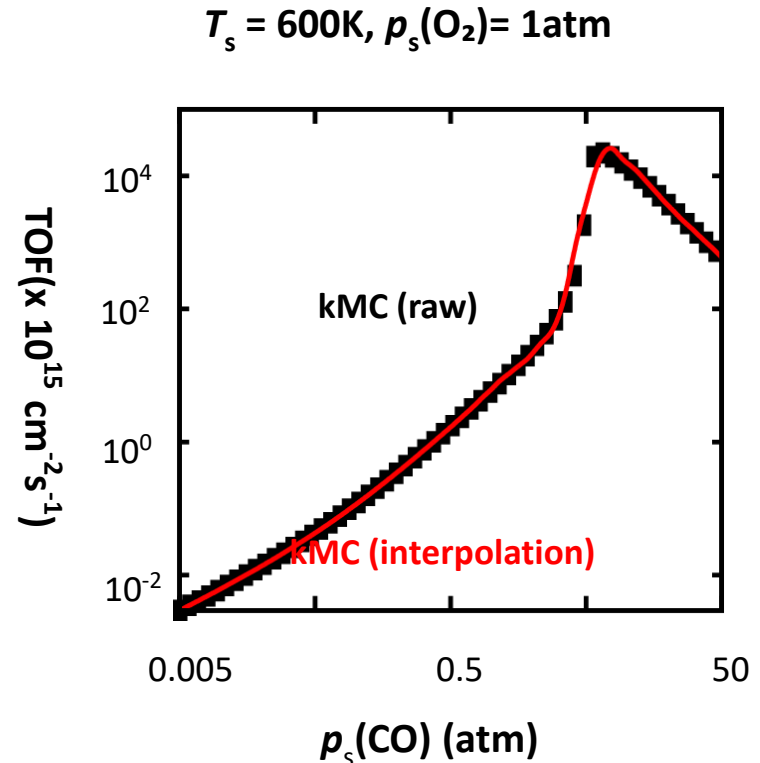
- **Coupled problem:** to determine the TOF with 1p-kMC the pressures at the surface are needed, but the pressure field depends on the TOF
- **kMC too expensive** for direct coupling to the flow solver

# Effective decoupling of interdependencies

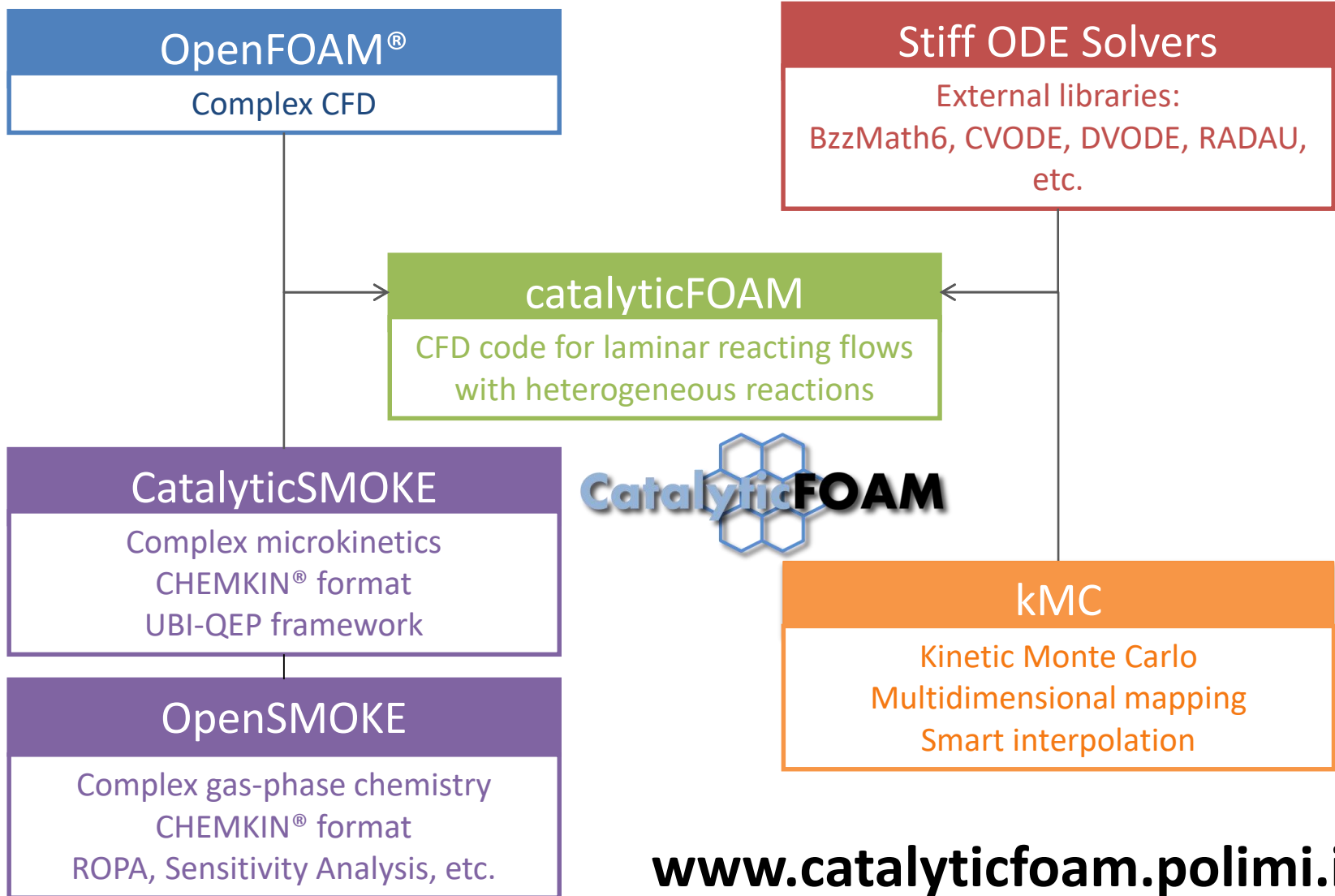
- Continuum equations need boundary conditions for the mass fluxes  $j^\alpha$  at the surface:

$$j_n^\alpha = v^\alpha M^{\alpha T} \text{TOF}$$

- Coupled problem:** to determine the TOF with 1p-kMC the pressures at the surface are needed, but the pressure field depends on the TOF
- kMC too expensive** for direct coupling to the flow solver
- Run kMC beforehand and interpolate (Modified Shepard)**
- The interpolated function will be then used during CFD**



# ***catalyticFOAM multiscale framework***

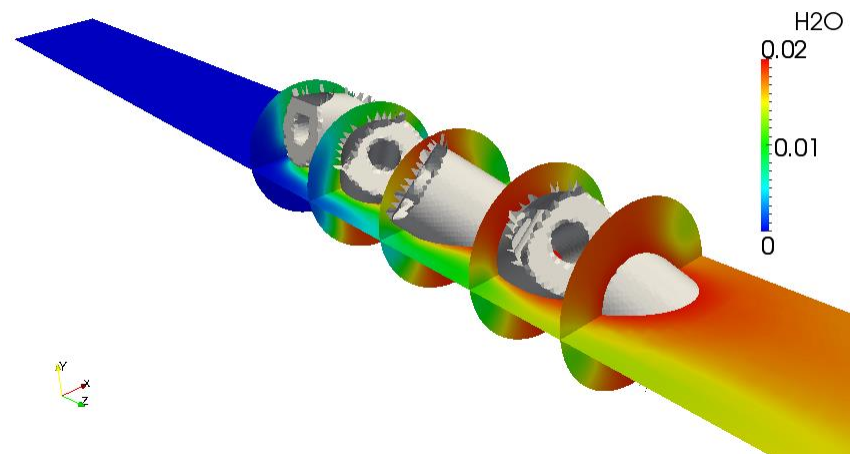
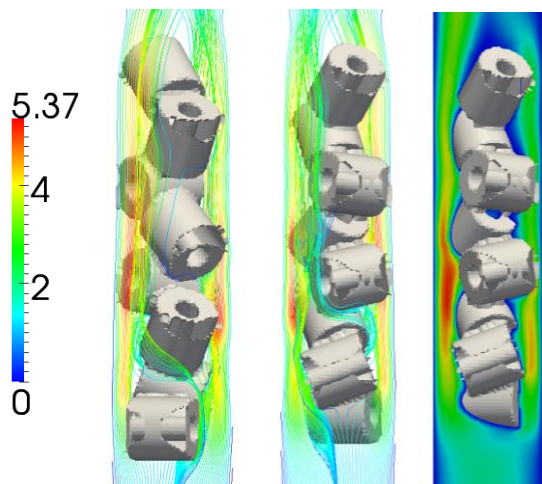
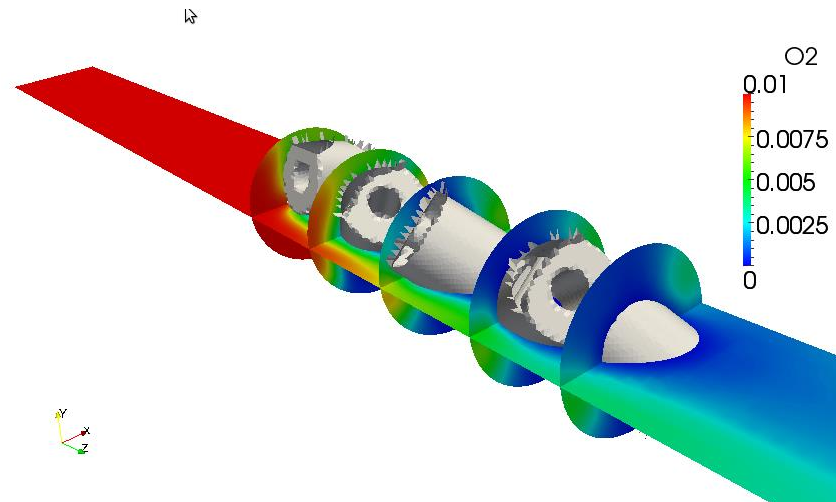
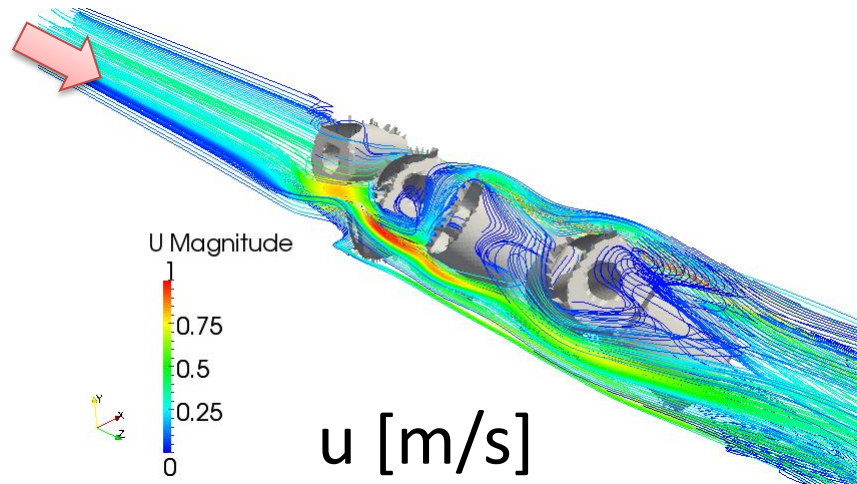


M. Maestri and A. Cuoci, *Chemical Engineering Science*, 96, 2013, 106-117

T. Maffei et al., *Chemical Engineering Journal*, 283, 2016, 1392-1404

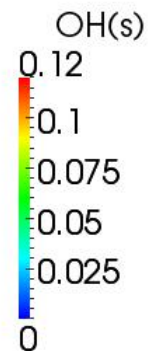
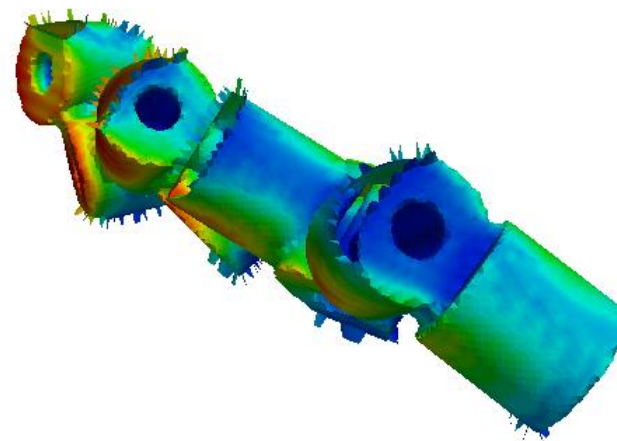
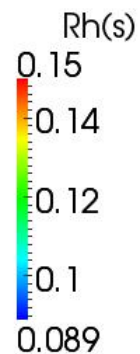
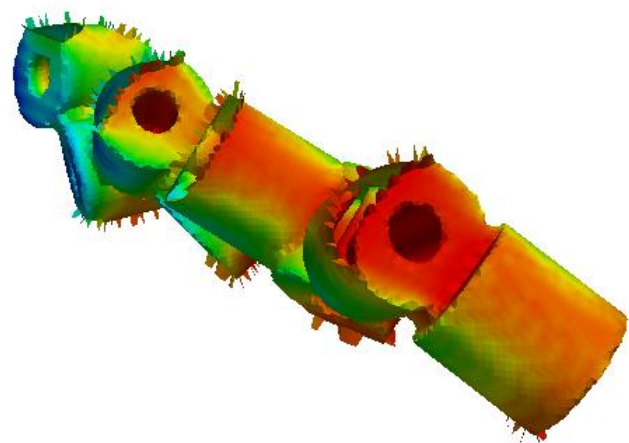
# Show-case: Rashig-ring bed

Inlet mixture

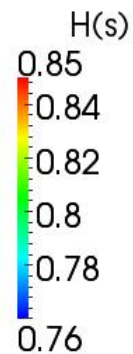
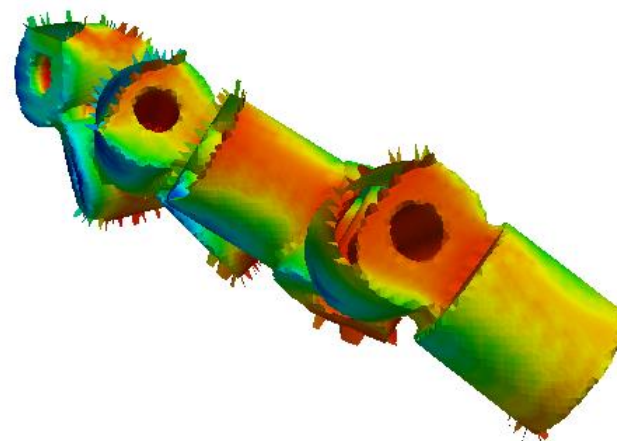
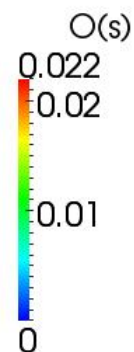
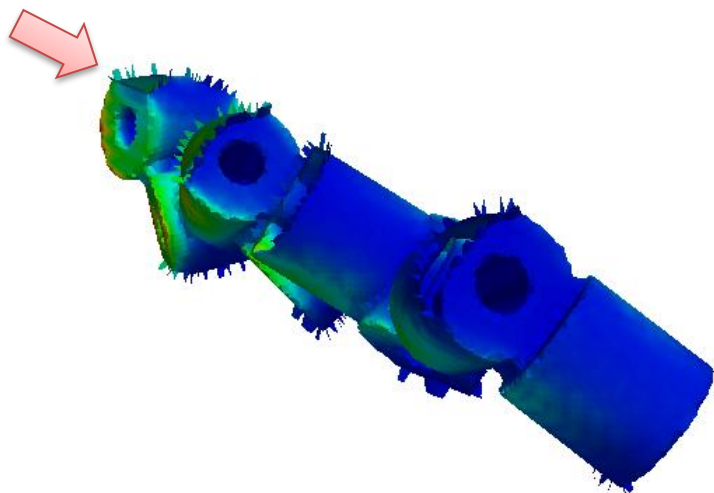


# Show-case: Rashig-ring bed

Adsorbed species at the catalyst surface

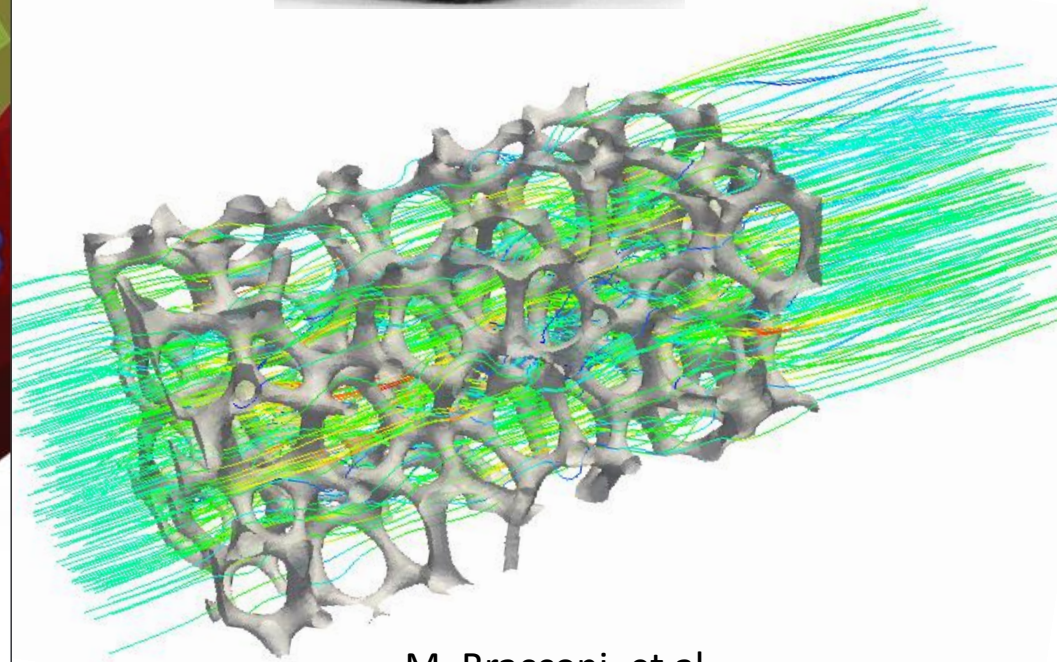
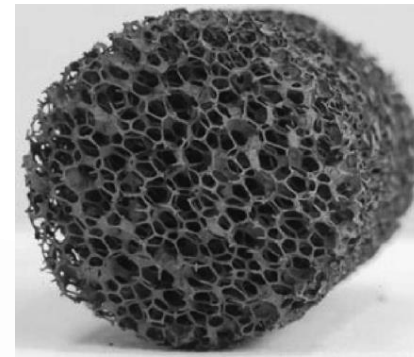
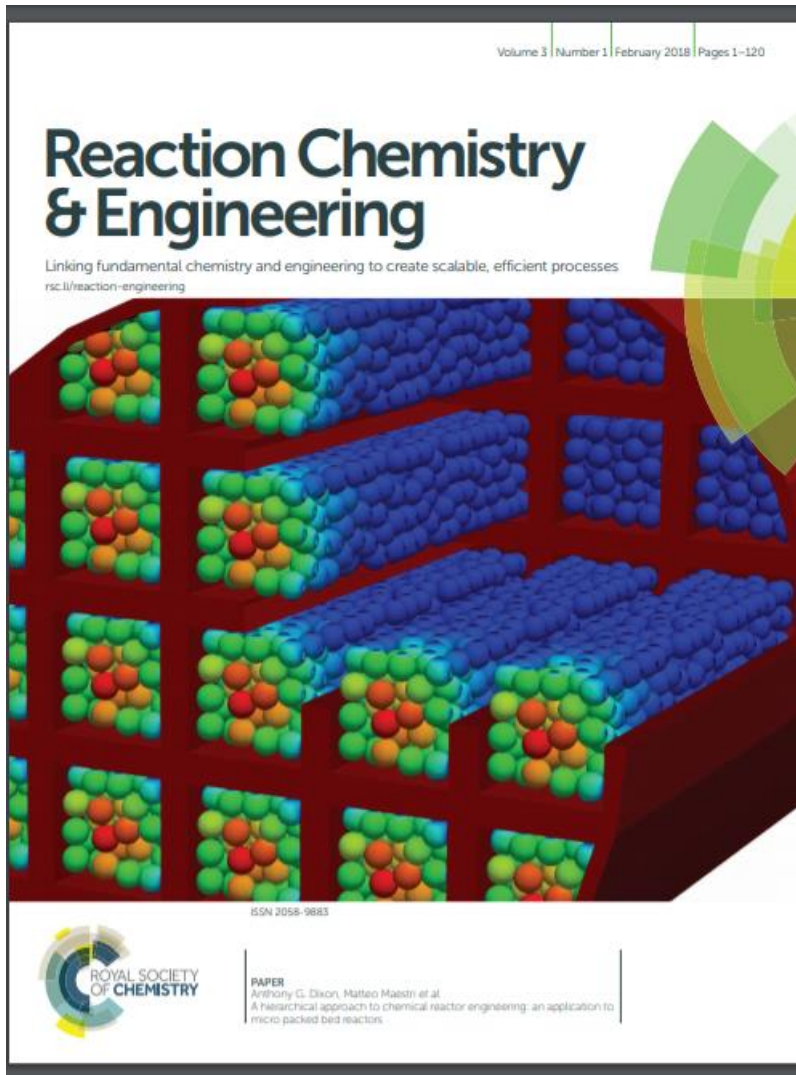


Inlet mixture





# Complex domains



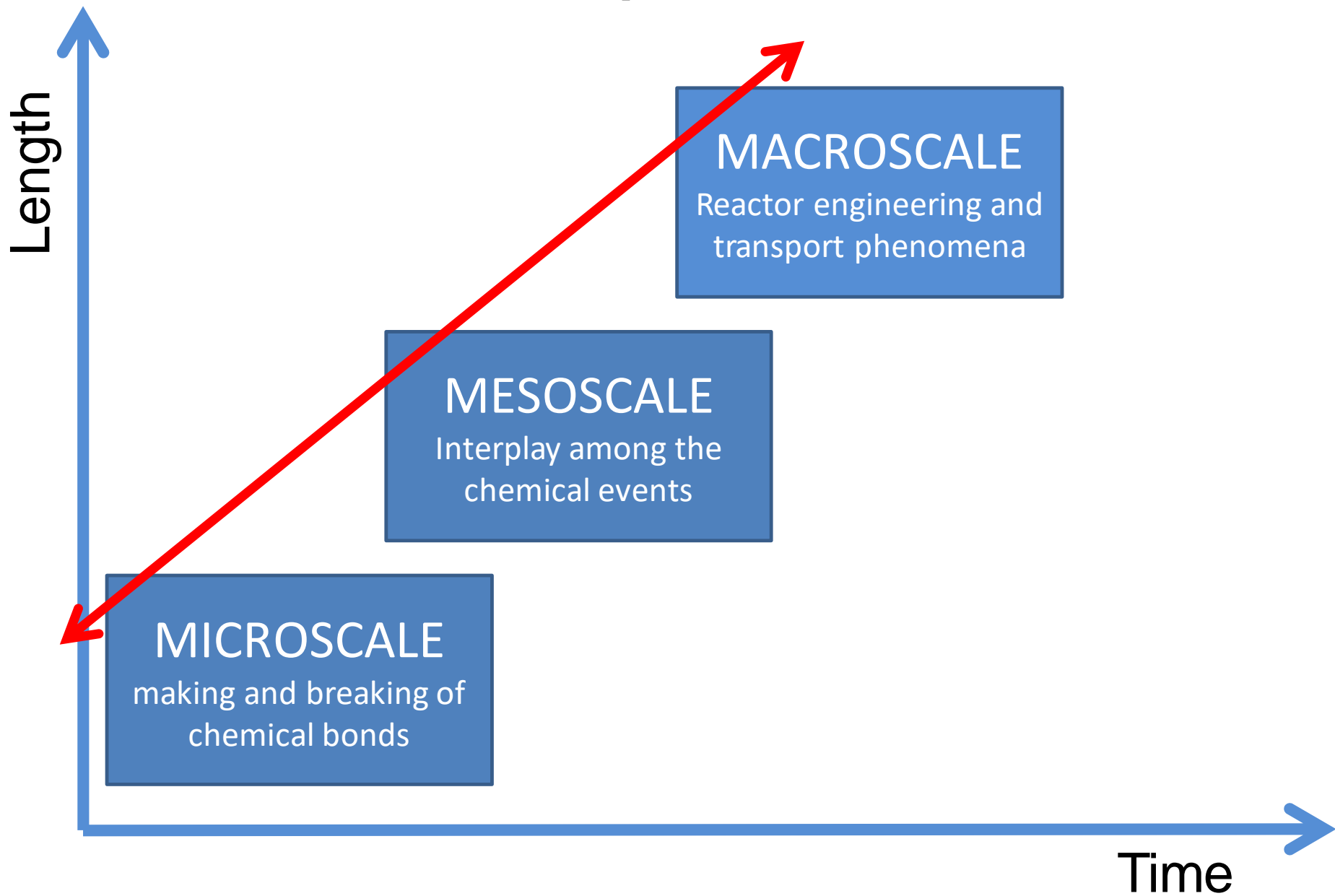
M. Bracconi, et al.,

*Chemical Engineering Journal*, 315, 2017, 608-620

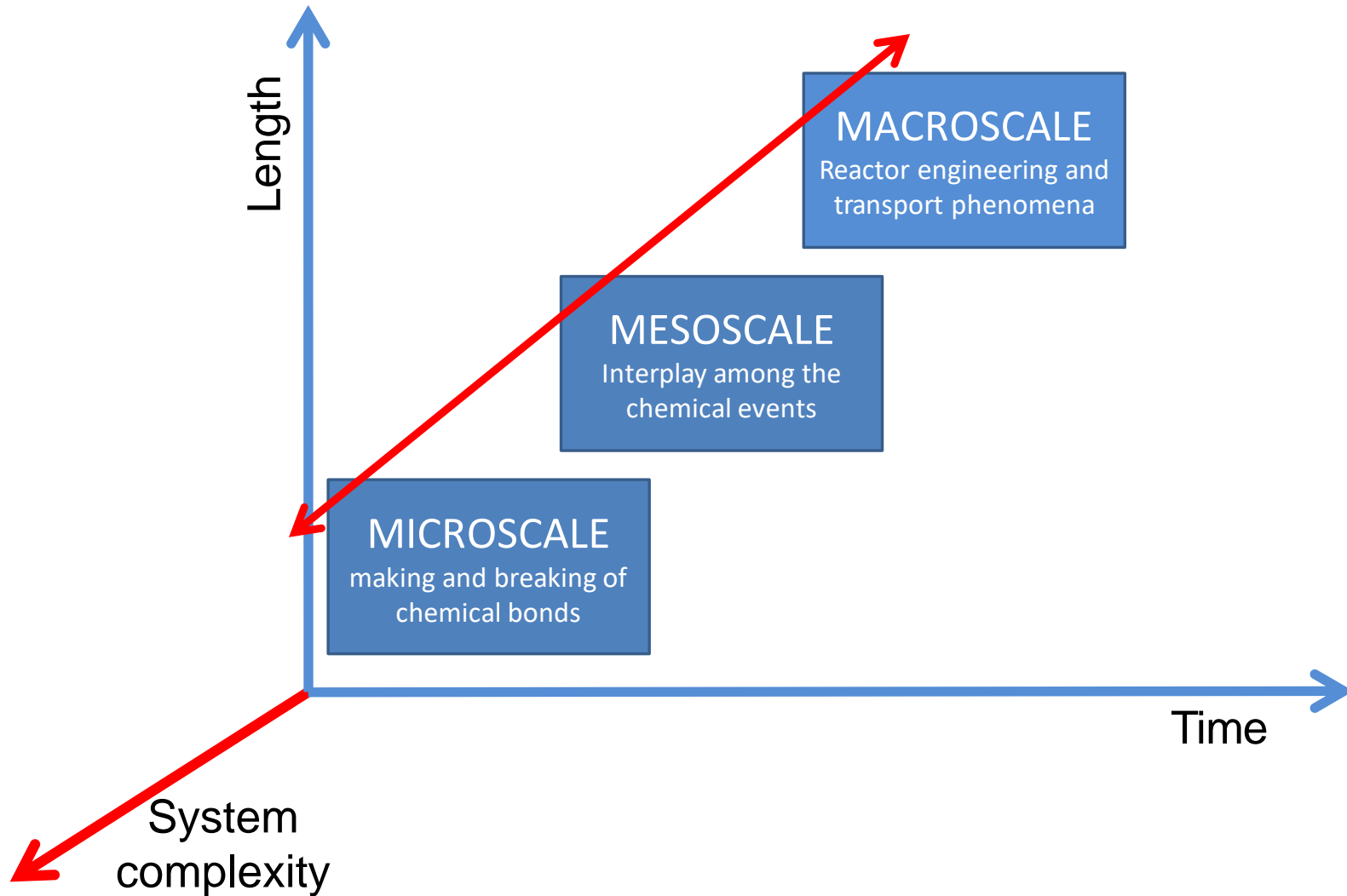
M. Bracconi et al., *AIChE Journal*, 63, 2017, 95-104

S. Rebughini, M. Bracconi, A.G. Dixon, M. Maestri,  
*Reaction Chemistry and Engineering*, 3, 2018, 25 - 33

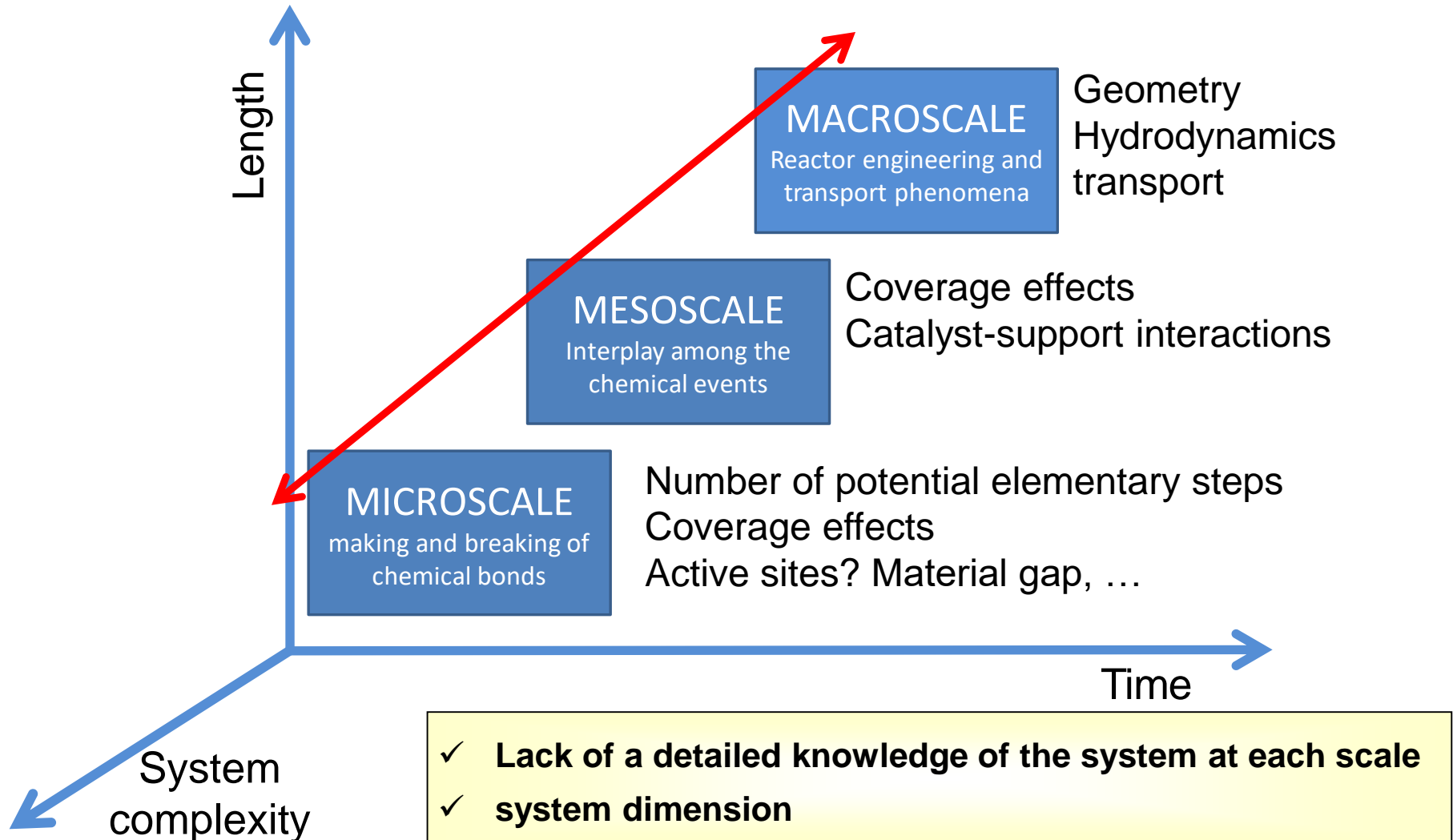
# *Complication*



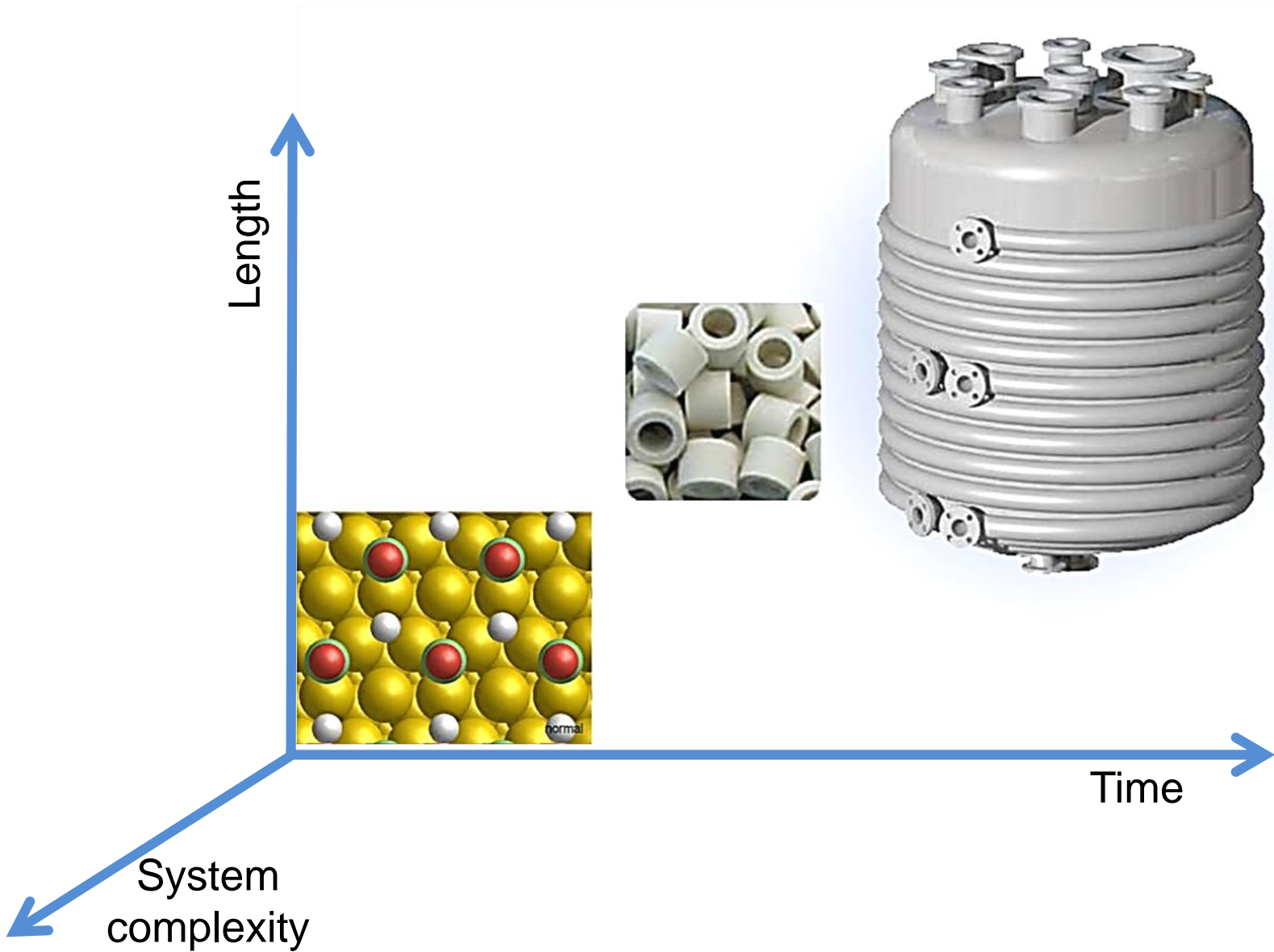
# *Complication + complexity*



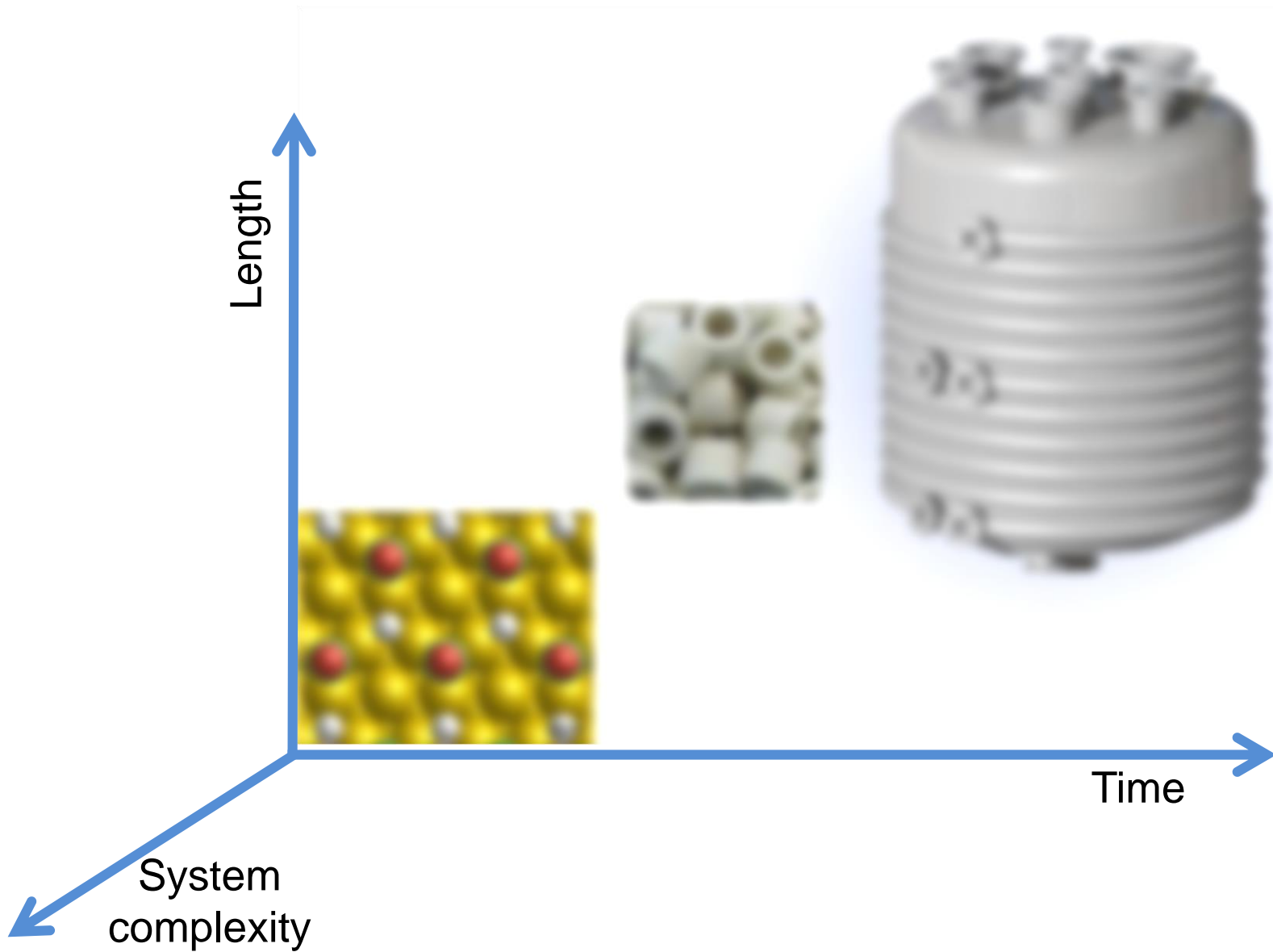
# Complication + complexity



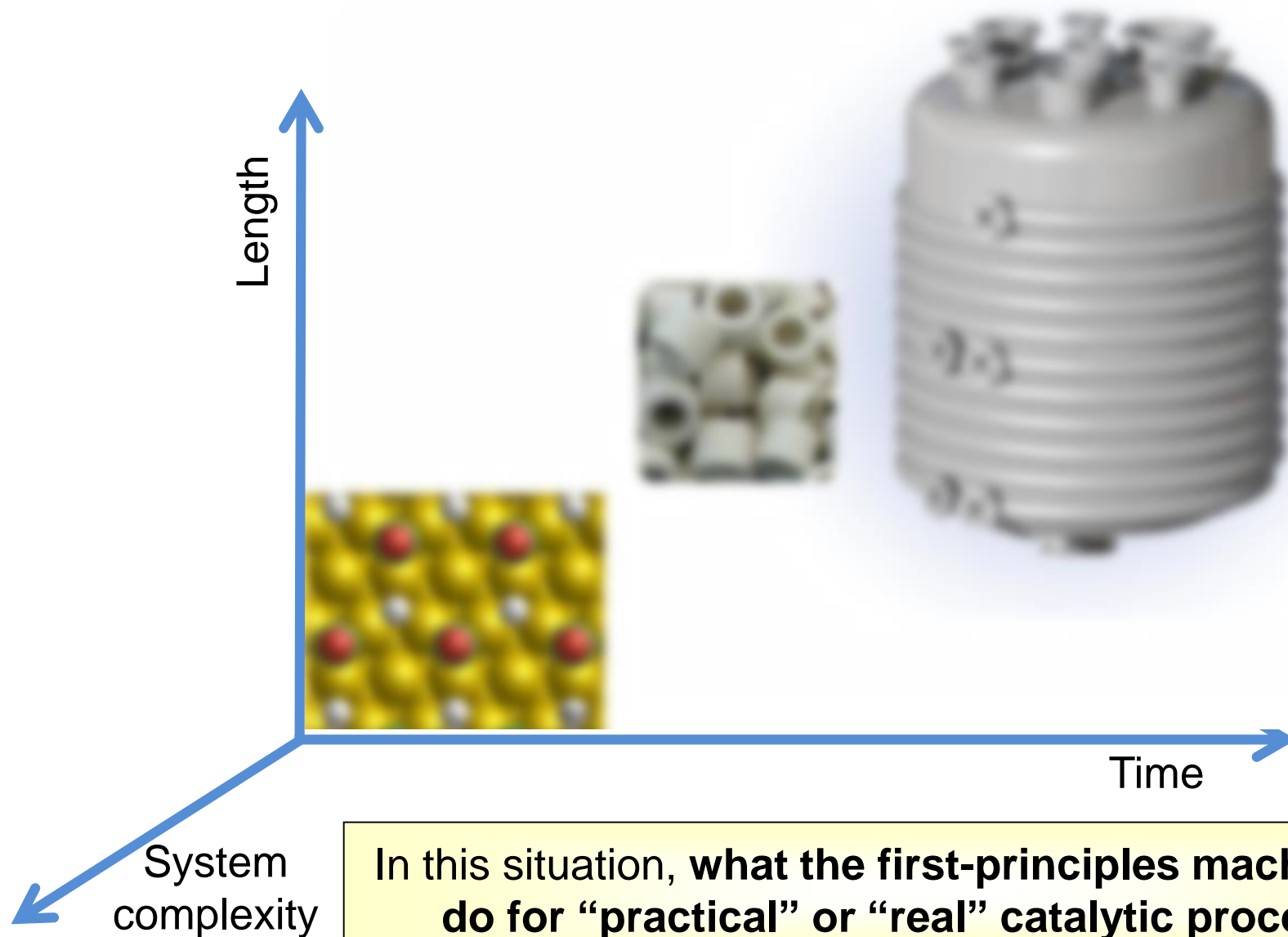
# *The complication and complexity trap*



# *The complication and complexity trap*



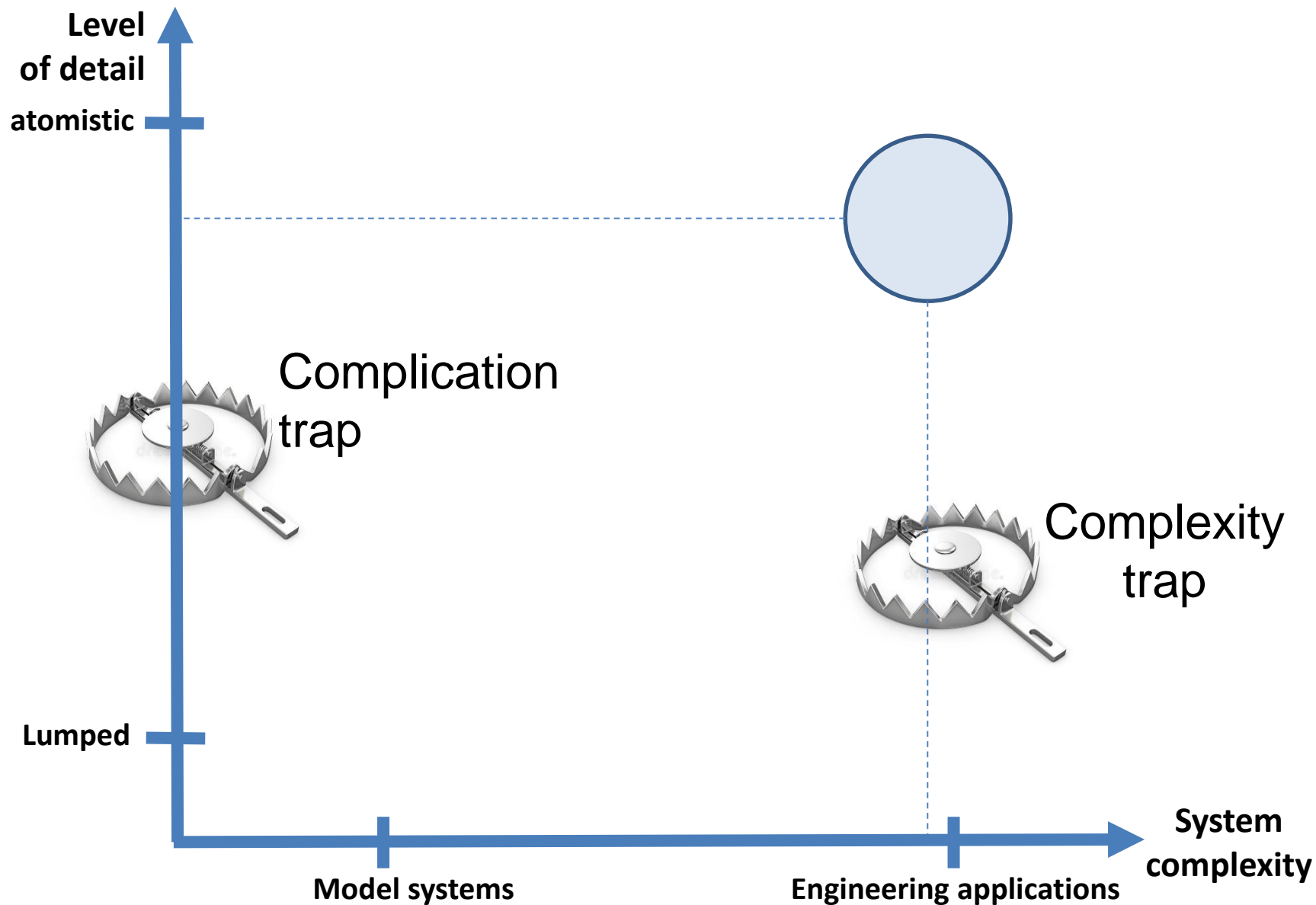
# *The complication and complexity trap*



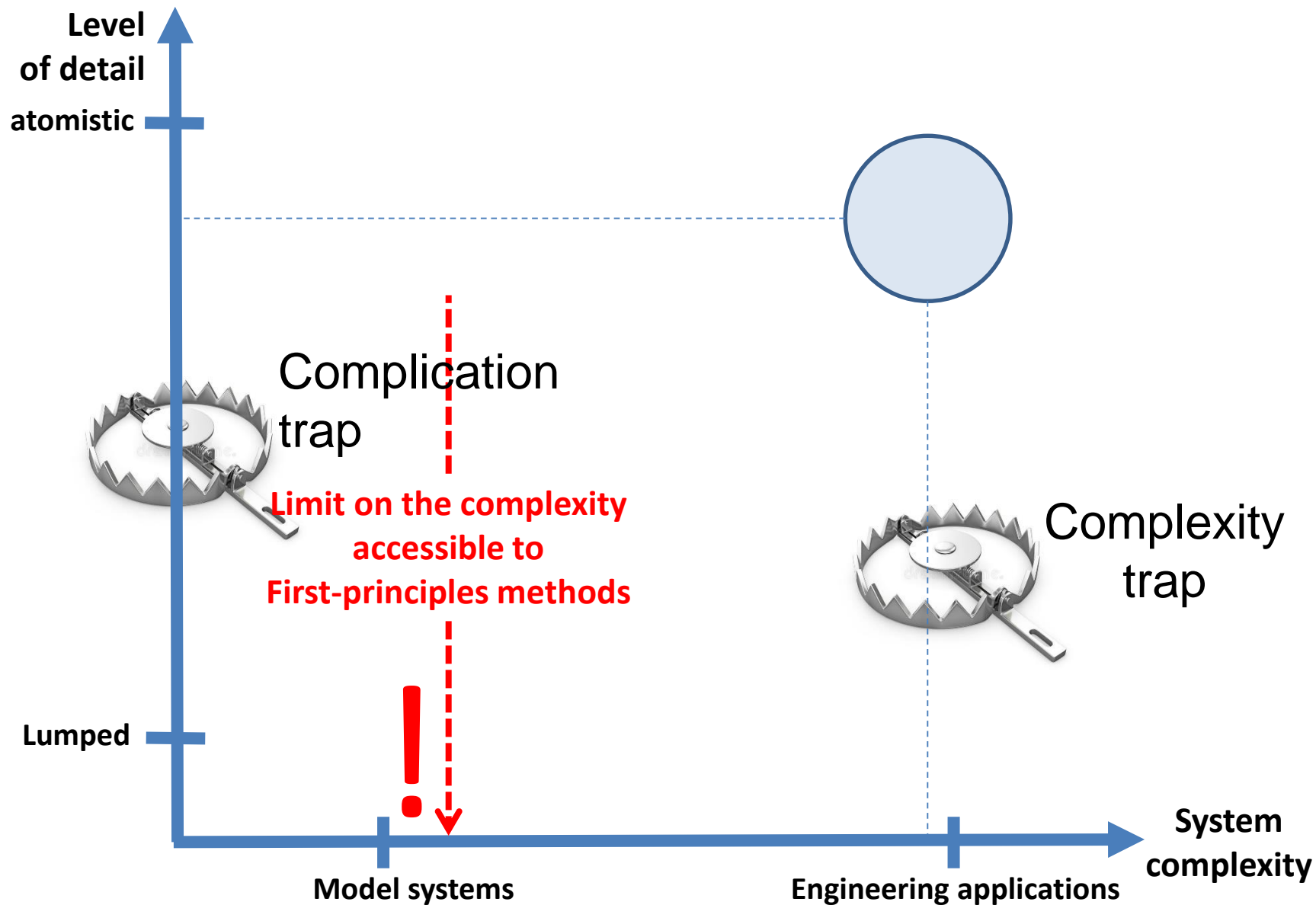
**In this situation, what the first-principles machinery can do for “practical” or “real” catalytic processes?**



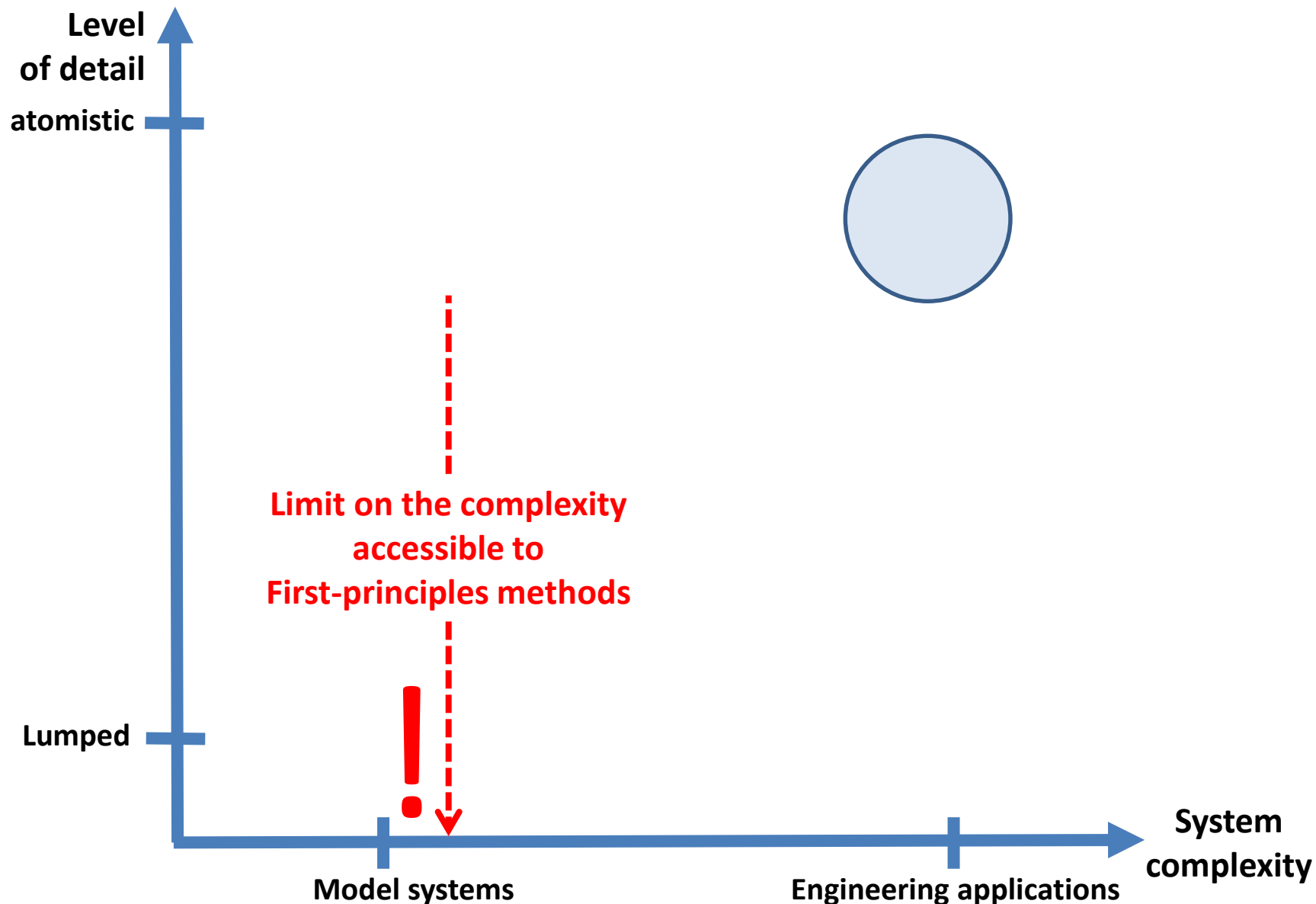
# *The complication and complexity trap*



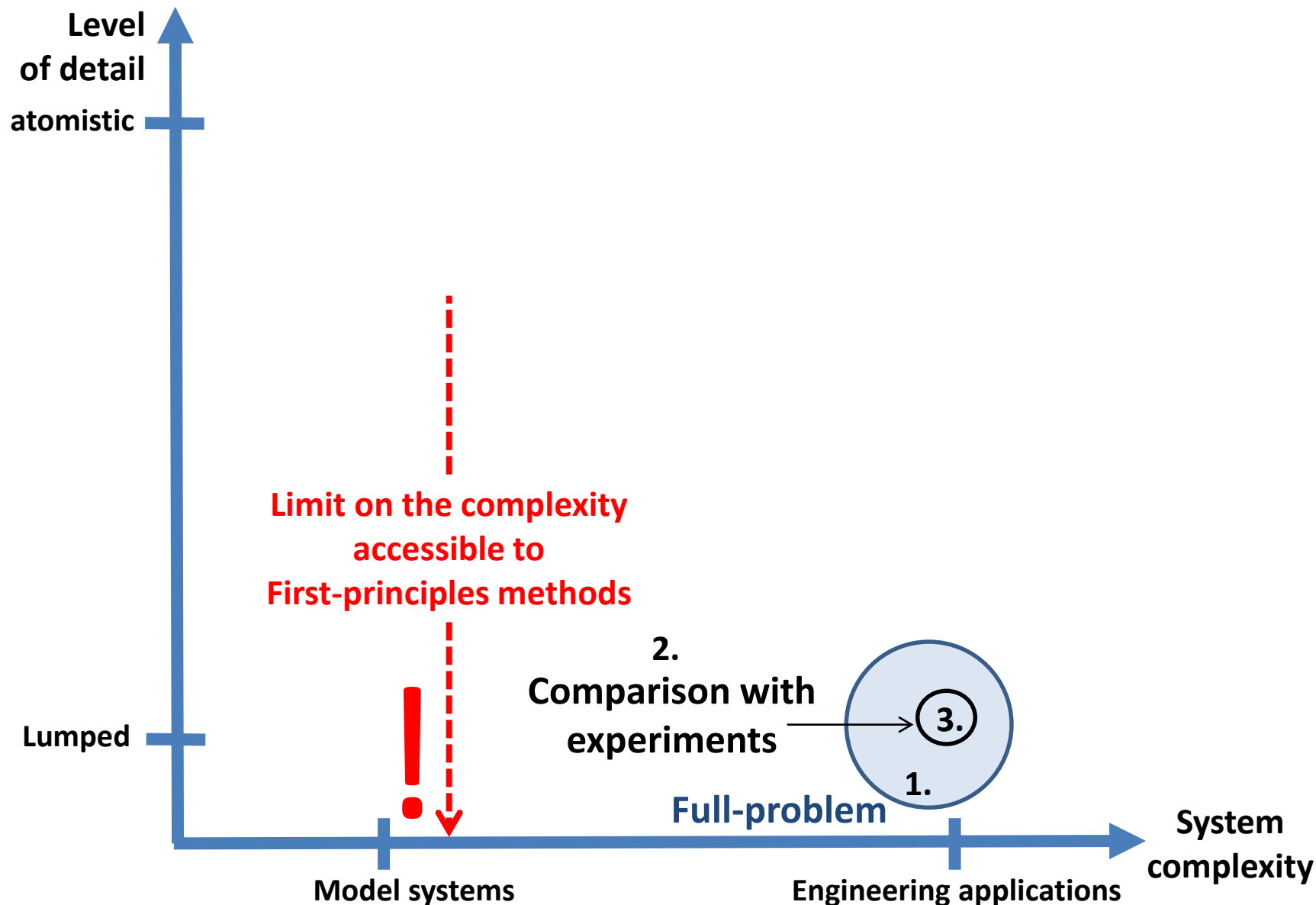
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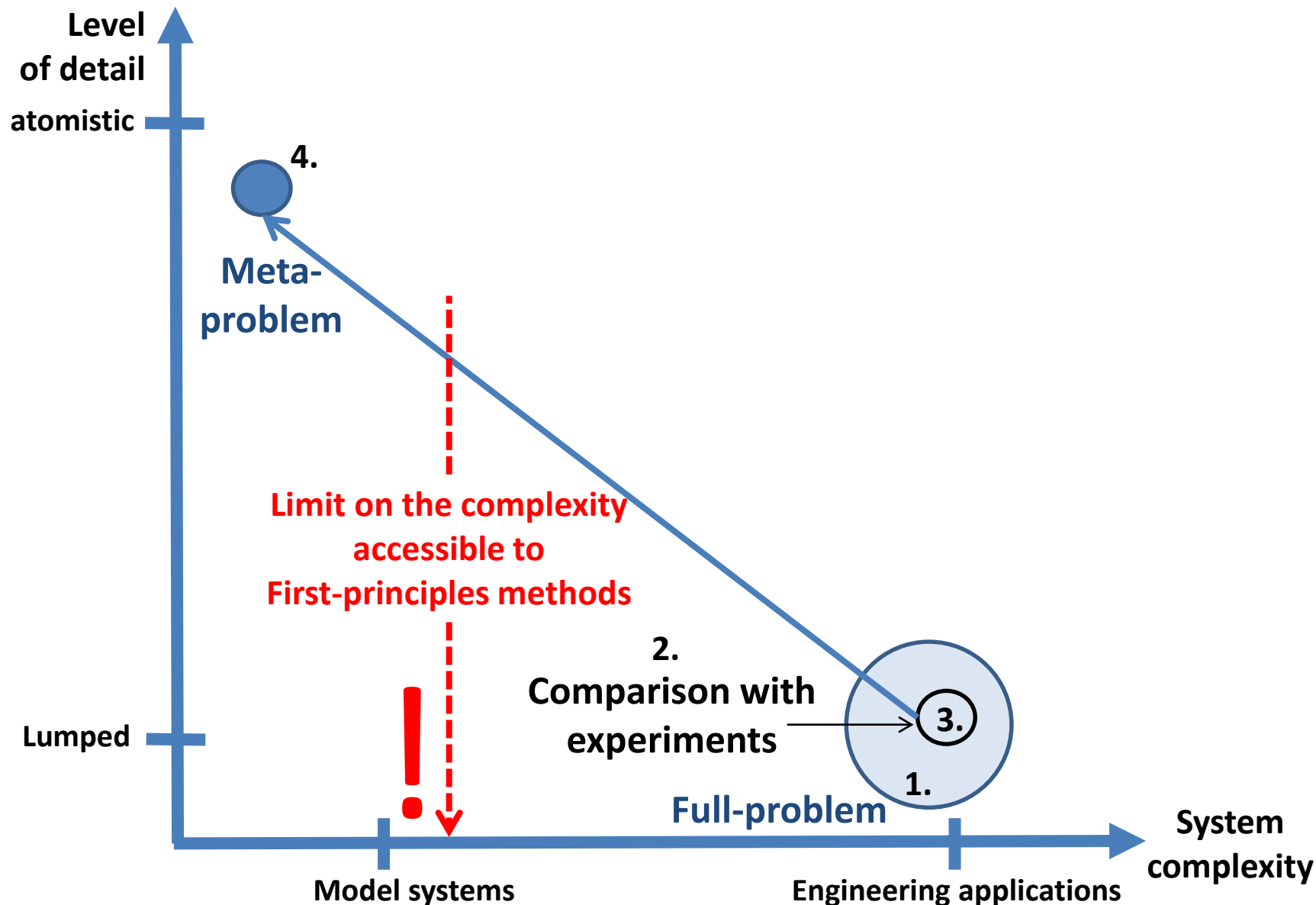
# *Hierarchical multiscale approach*



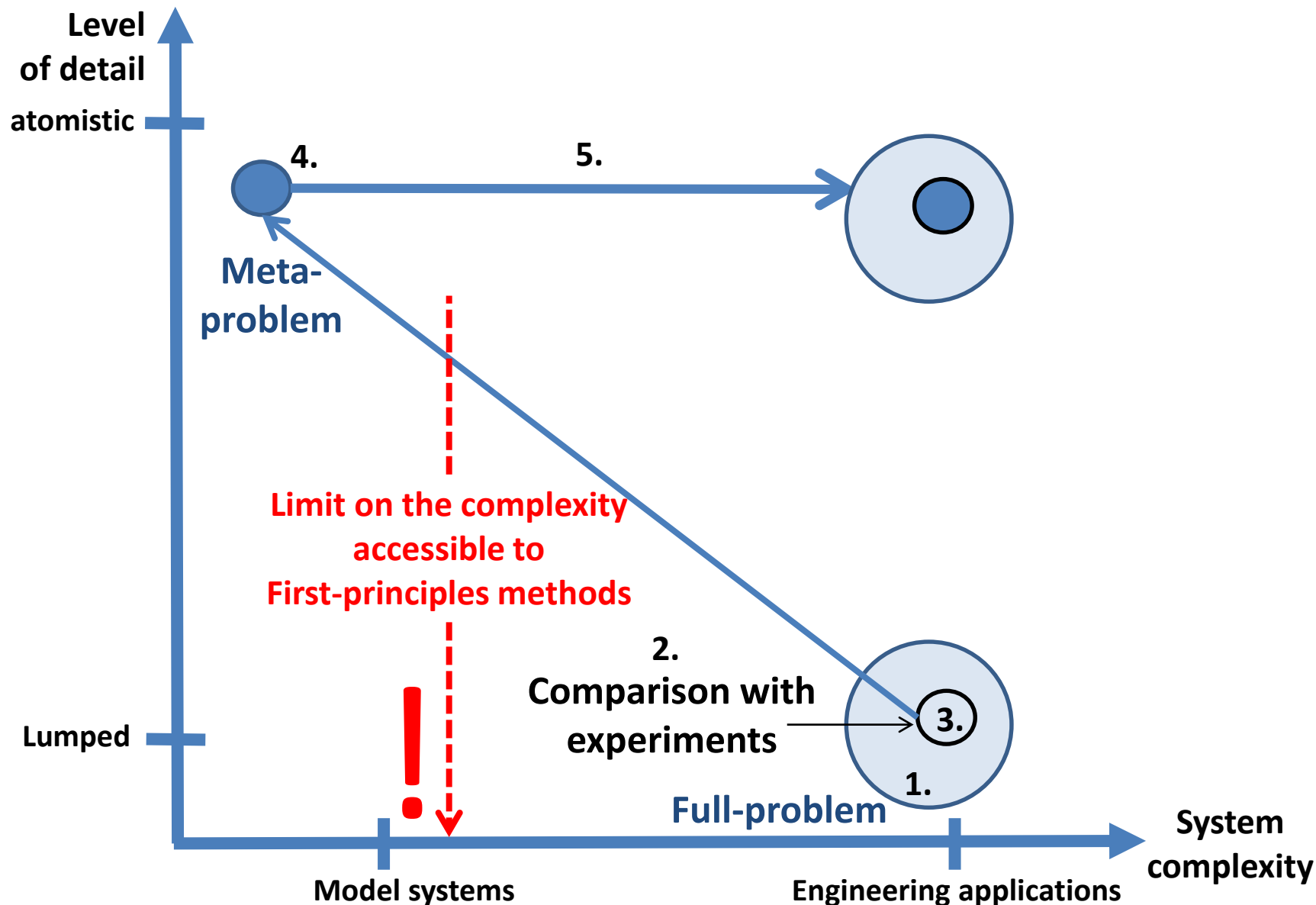
# Hierarchical multiscale approach



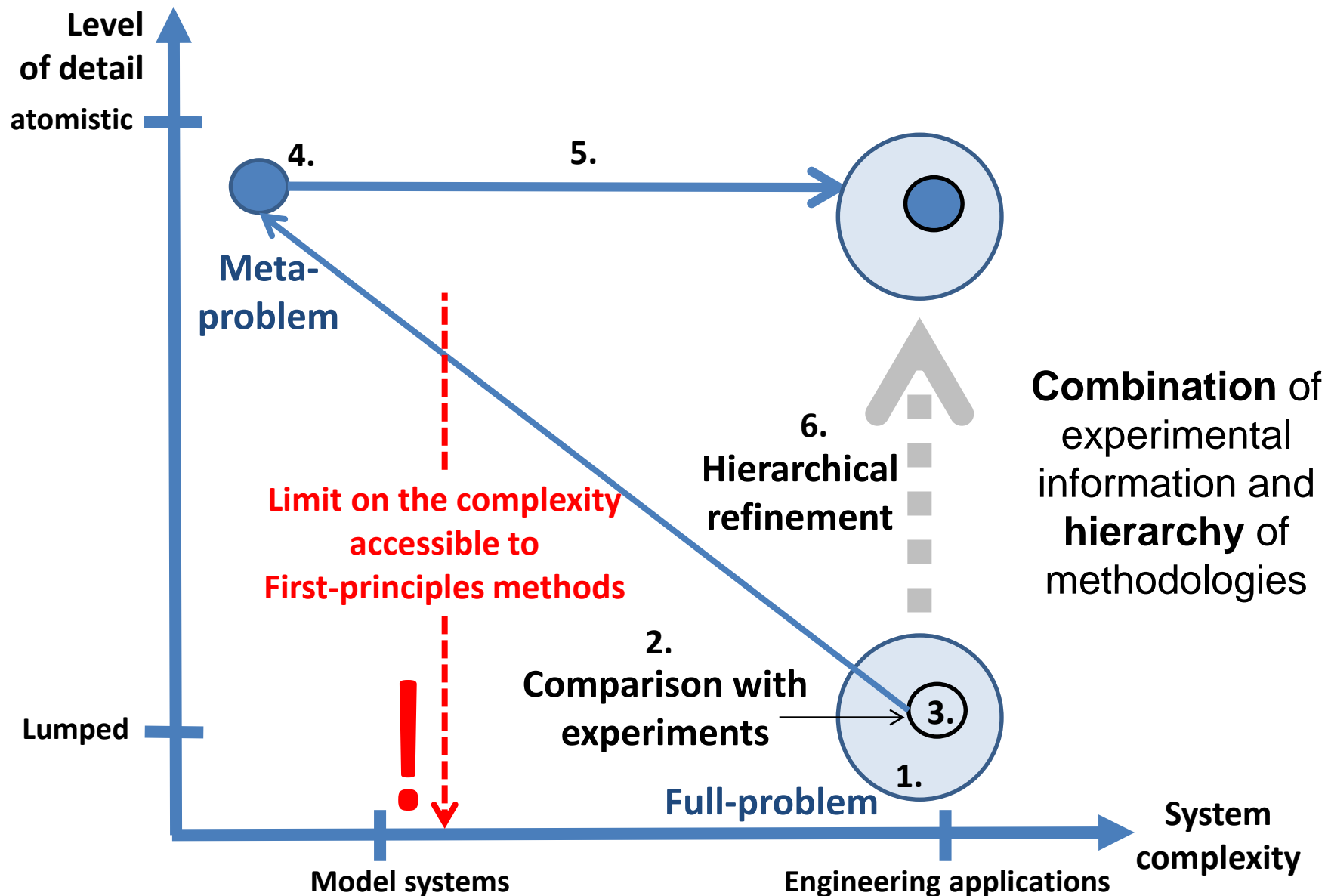
# Hierarchical multiscale approach



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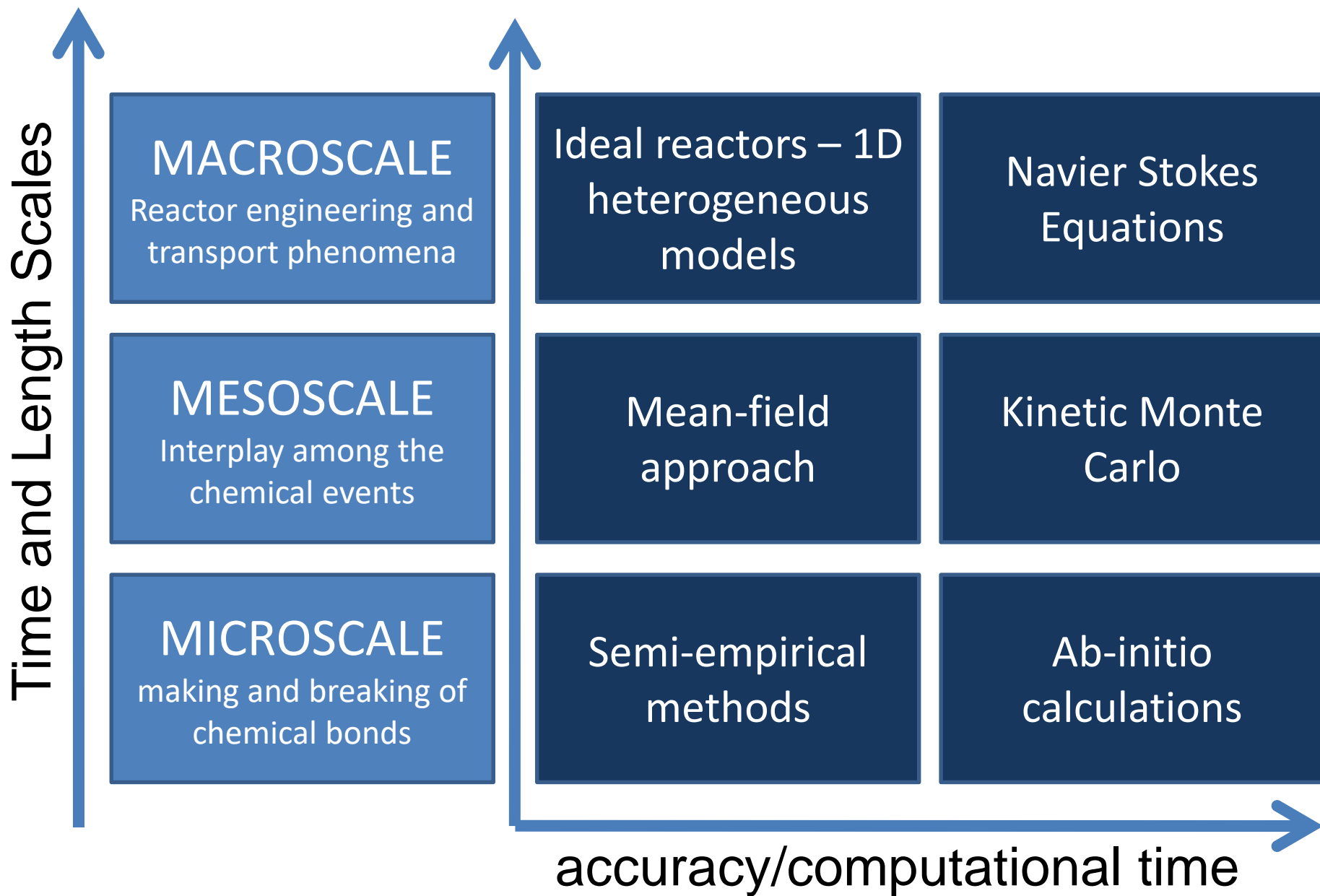


# Hierarchical multiscale approach



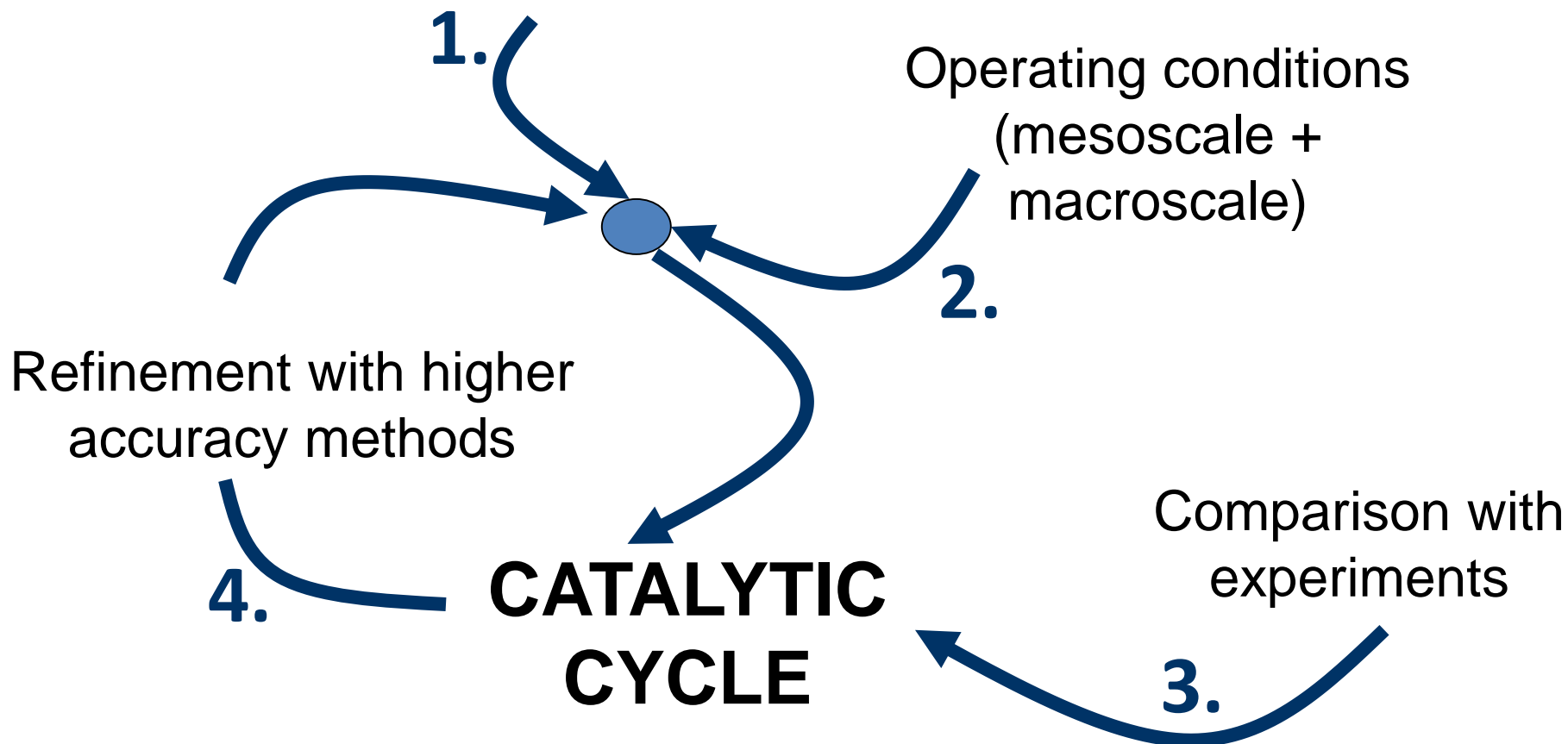


# *Hierarchical multiscale approach*

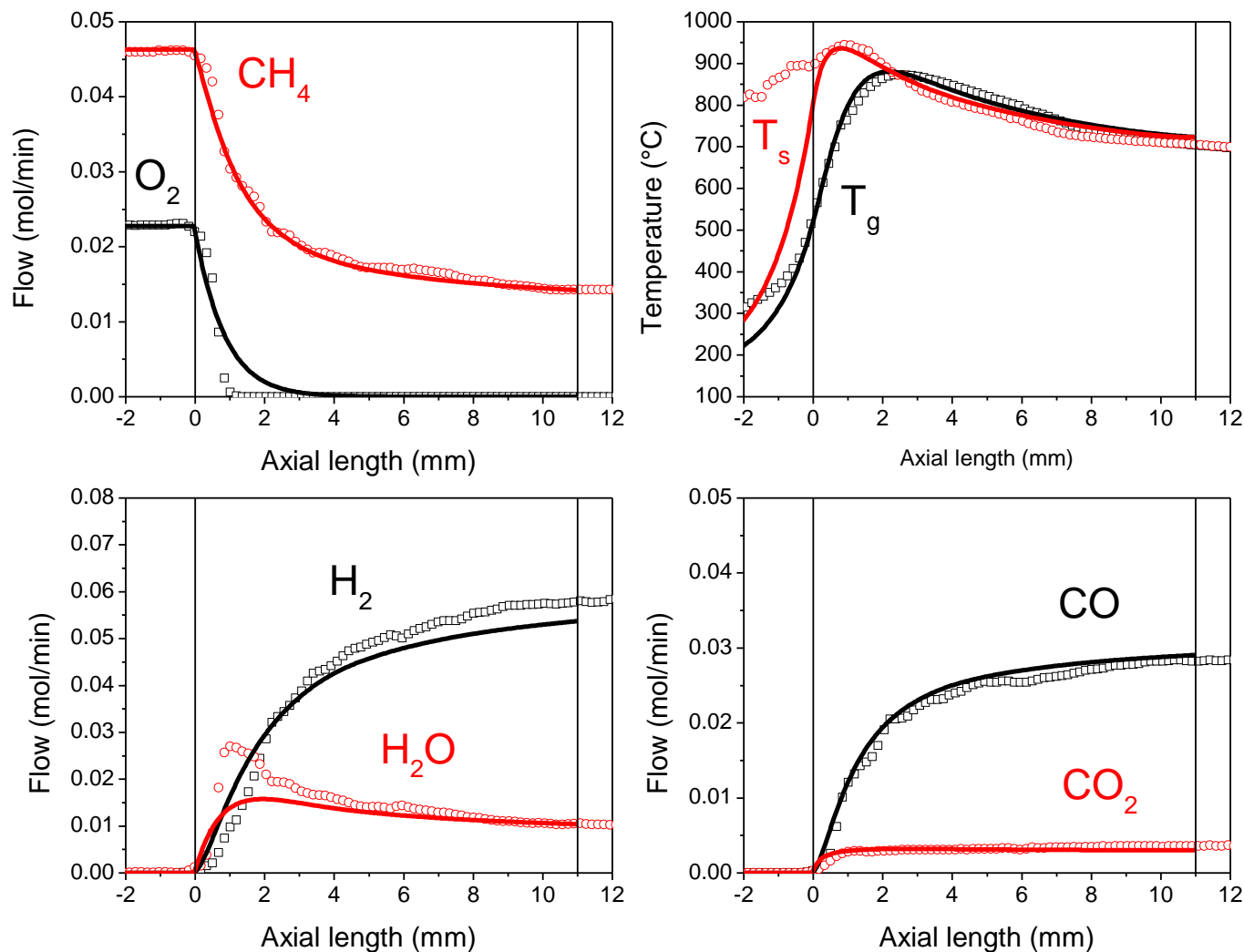


# ***Hierarchical multiscale approach***

Semi-empirical estimation of all reaction parameters at the microscale



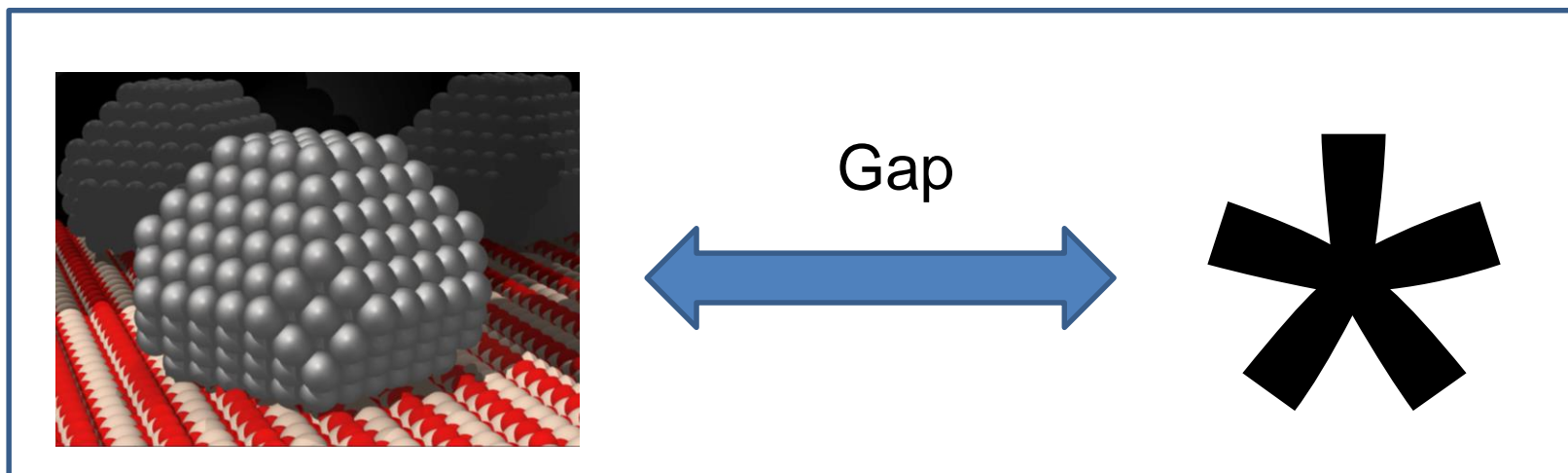
# $CH_4$ CPOX on Rh experiments on foams



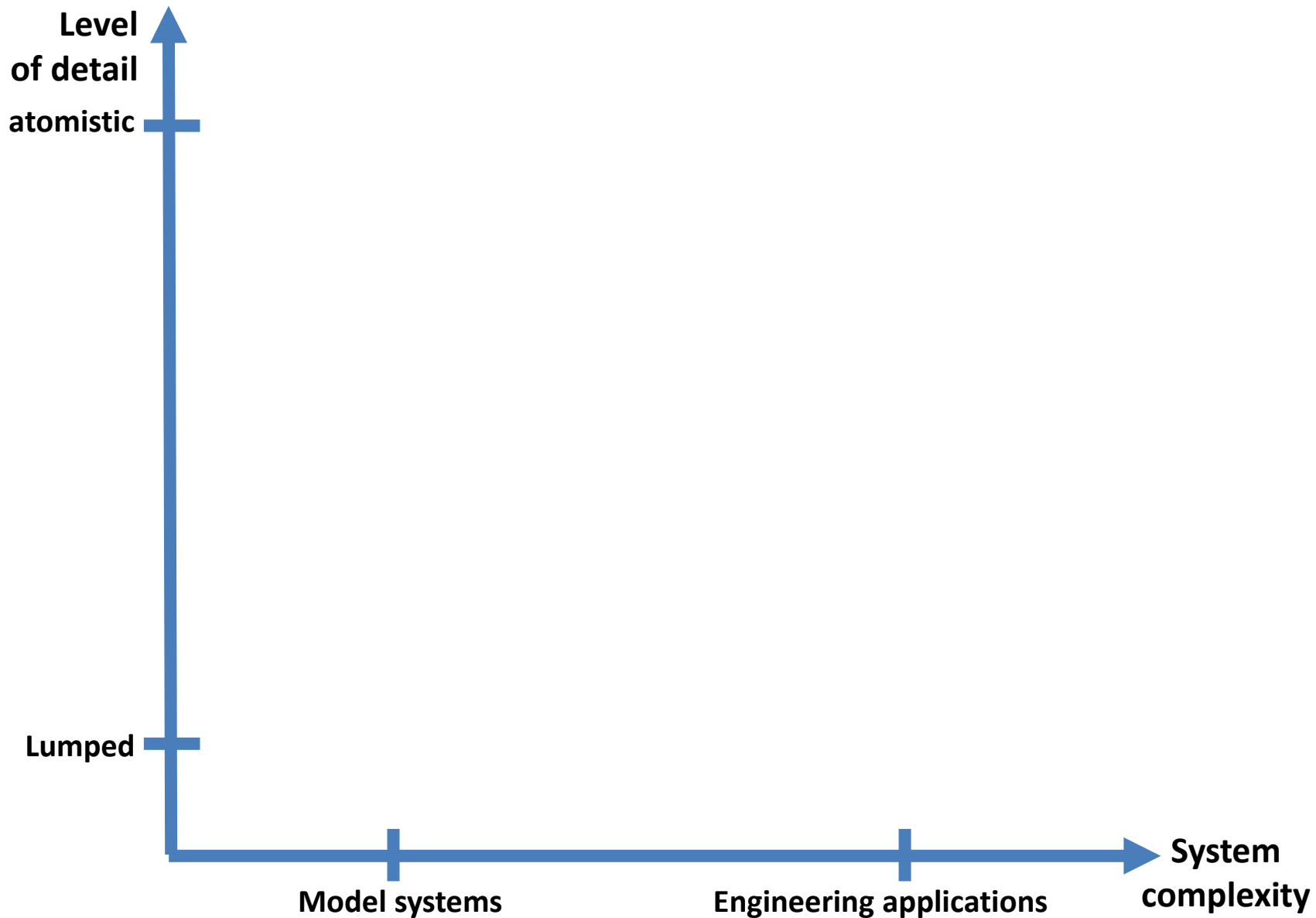
Symbols: experiments – Lines: **DFT-refined model**

A. Donazzi, M. Maestri et al., J. Catal., 2010

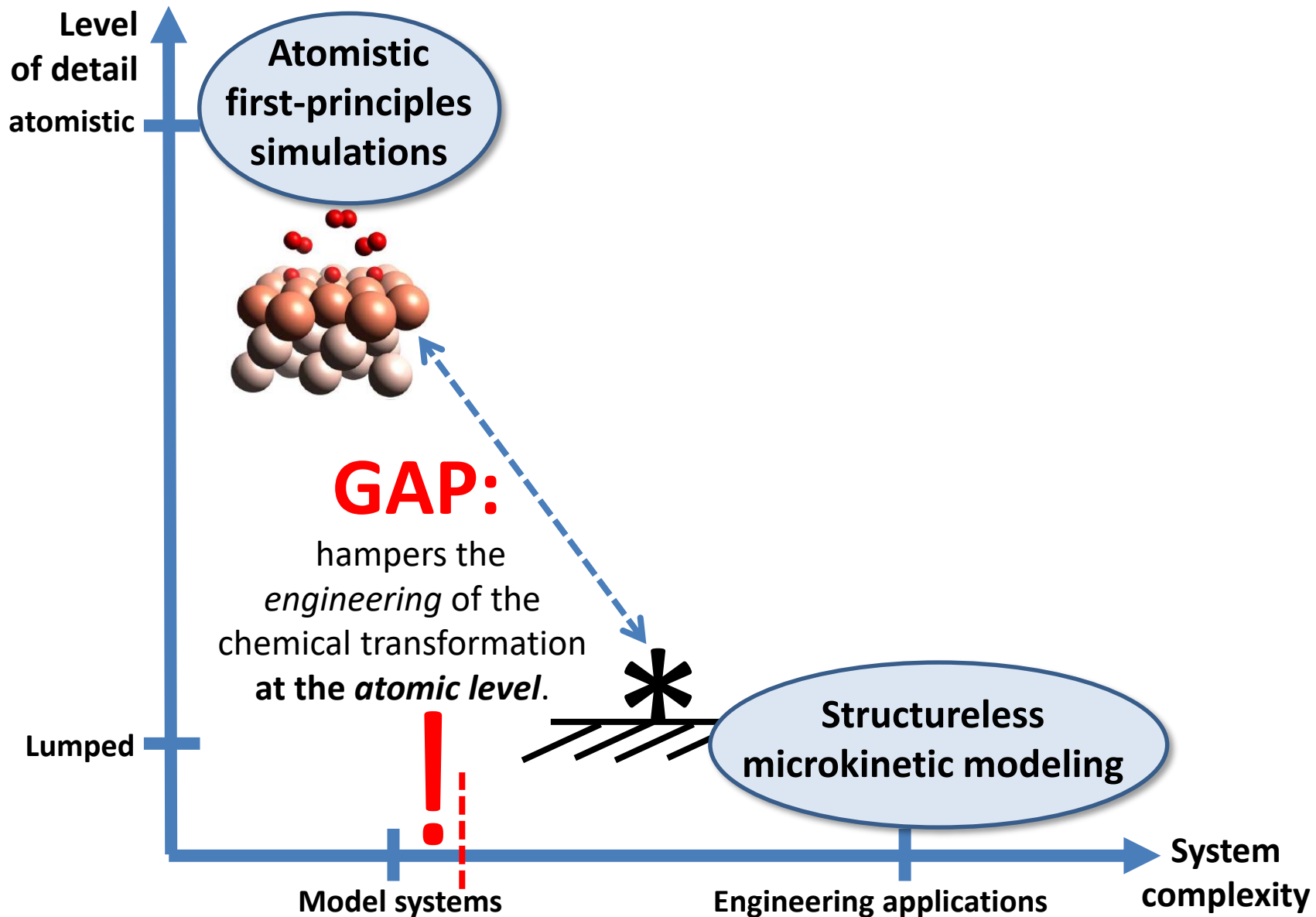
# *Structure-less microkinetic modeling*



# ***State-of-the-art microkinetic modeling***



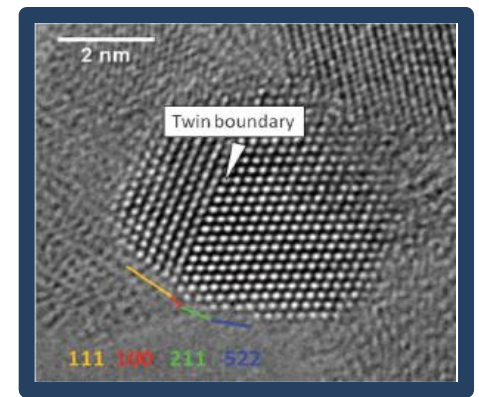
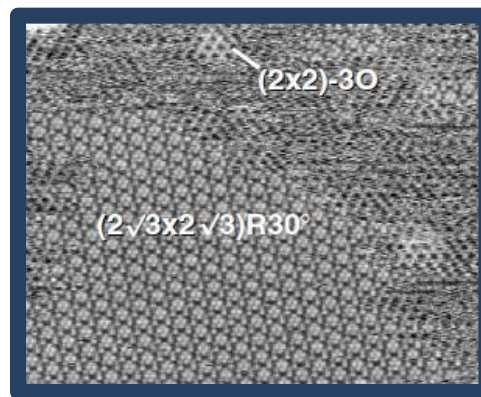
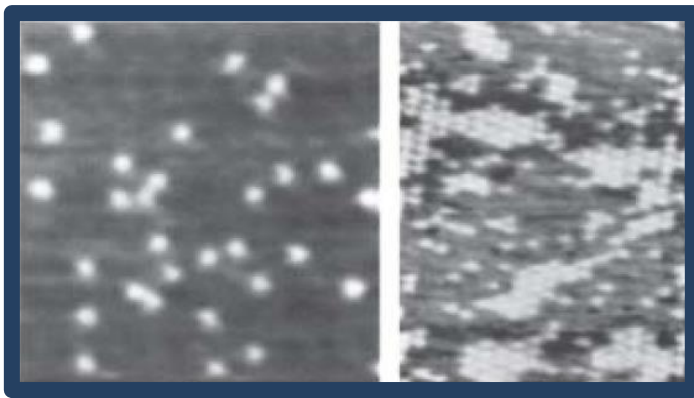
# State-of-the-art microkinetic modeling



# ***Catalysts have a “living” character***

*“A catalyst is a substance which affects the rate of a chemical reaction without being part of its end products”*

- W. Ostwald (1909)



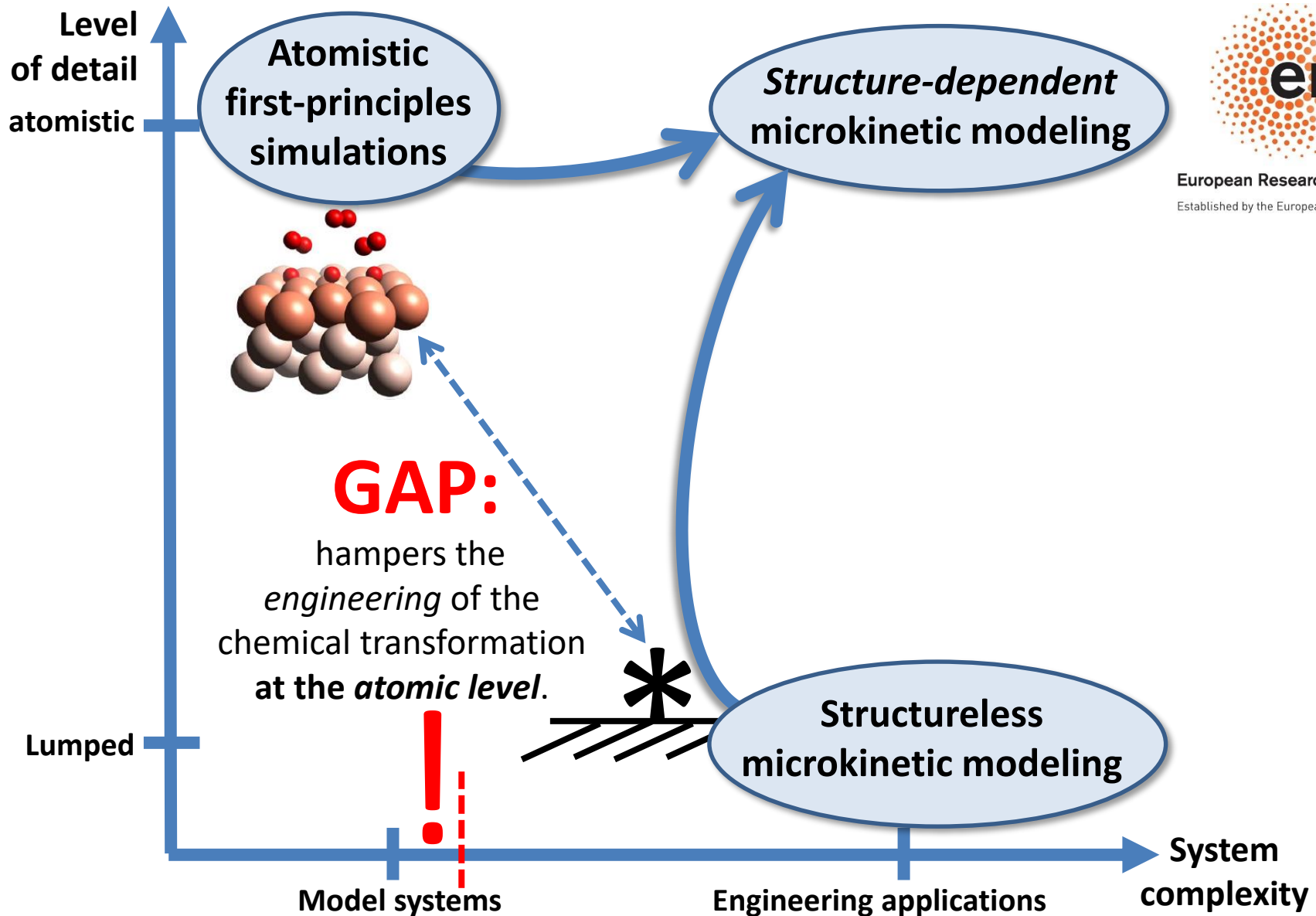
*“The entity of a catalyst solid has a living character”*

R. Schlögl

# From structure-less to structure-dependent microkinetic modeling



European Research Council  
Established by the European Commission





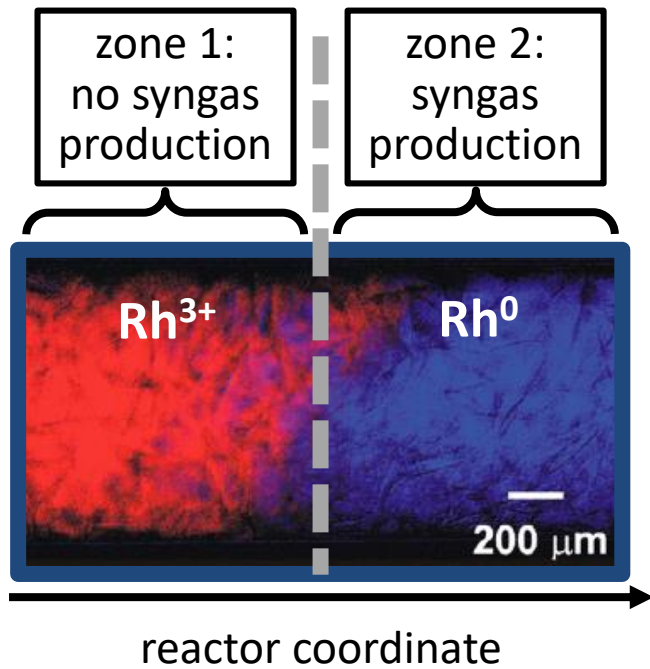
# *Outline*

- ✓ Theoretical methods for the prediction of dynamic changes of nanoparticles and phase transformation.
- ✓ Parametrization of kinetic parameters on different sites.
- ✓ Novel experimental tools: spectroscopy & kinetically relevant data.
- ✓ Confinement effects: «physical» catalysis

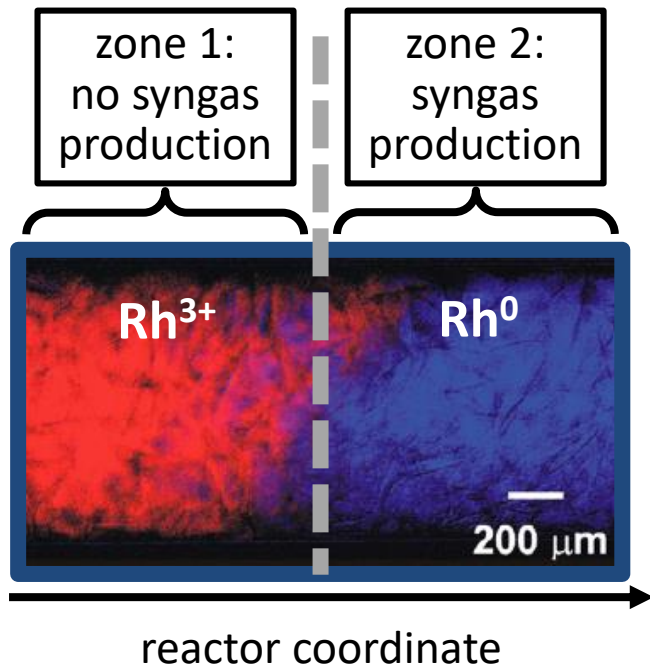
# *Outline*

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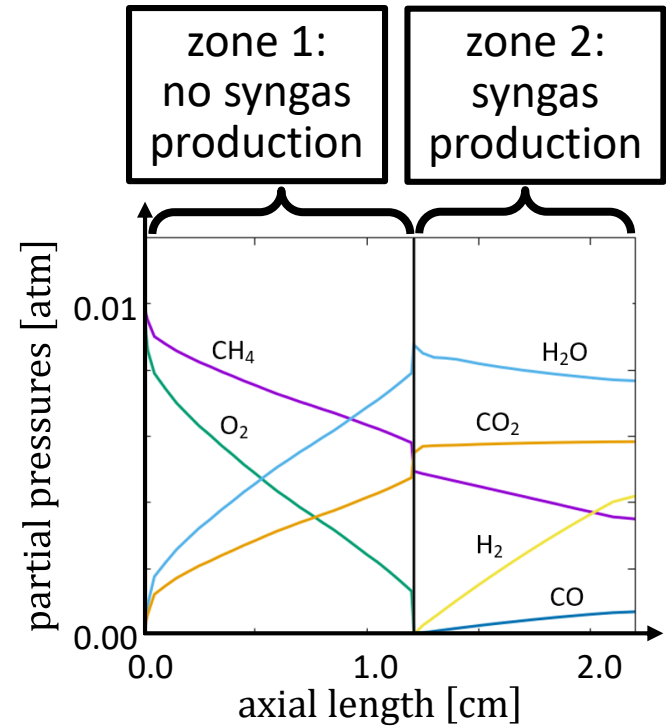
# $CH_4$ CPO on $Rh/Al_2O_3$



# $CH_4$ CPO on $Rh/Al_2O_3$



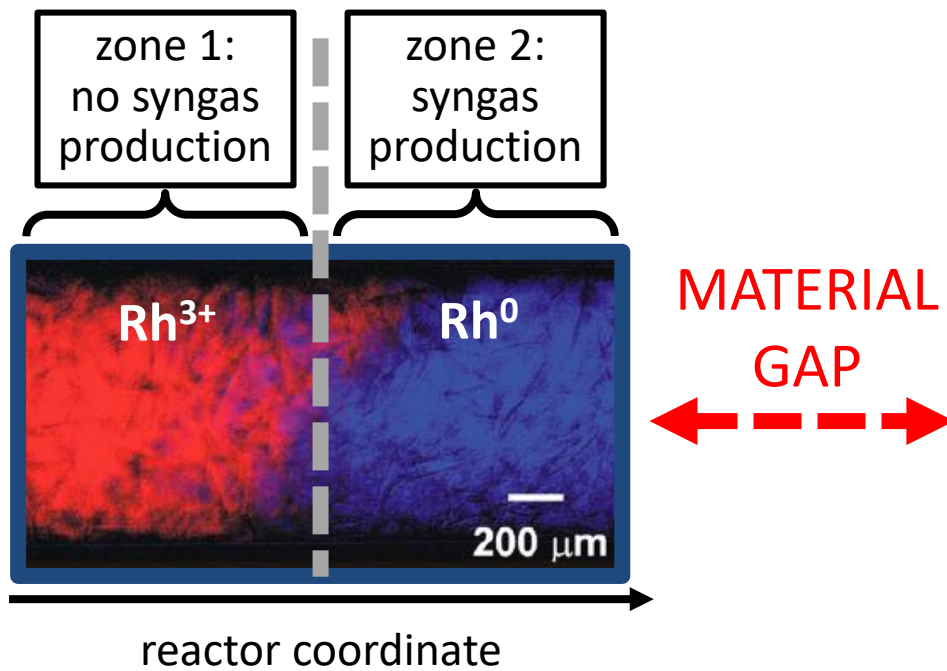
J. Grunwaldt et al., J. Phys. Chem. B 110, 8674-8680 (2006)



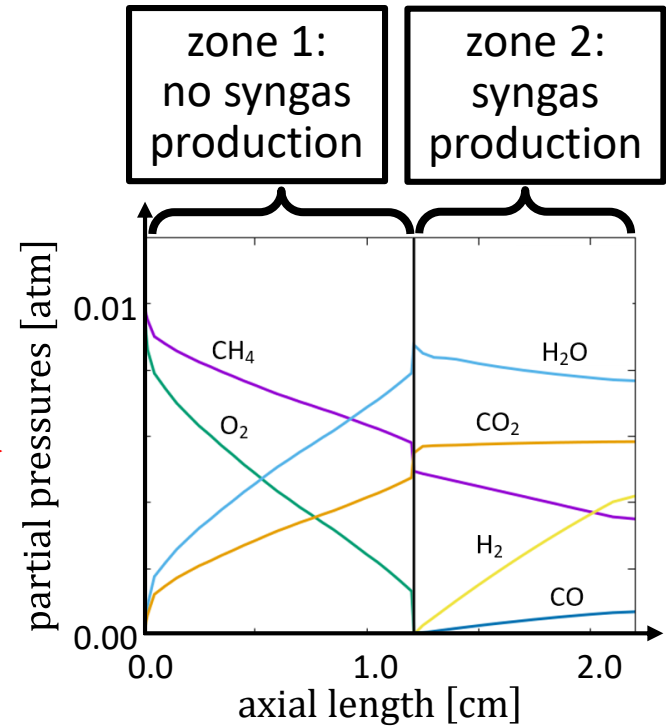
M. Maestri et al., Top. Catal. 52, 13-20, 1983-1988 (2009)

**STRUCTURE-LESS  
MICROKINETIC MODEL**

# $CH_4$ CPO on $Rh/Al_2O_3$



J. Grunwaldt et al., J. Phys. Chem. B 110, 8674-8680 (2006)



M. Maestri et al., Top. Catal. 52, 13-20, 1983-1988 (2009)

**STRUCTURE-LESS  
MICROKINETIC MODEL**

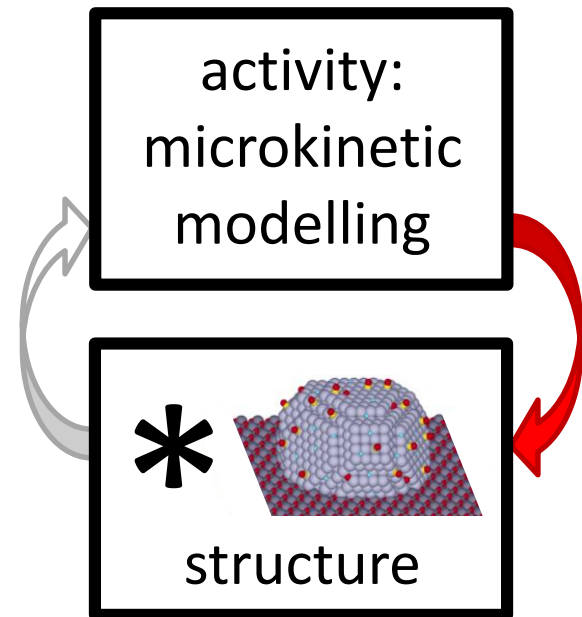
# Coupling microkinetic modeling and ab-initio thermodynamics

## Methods:

- *Ab initio* thermodynamics
- Wulff-Kaichew construction

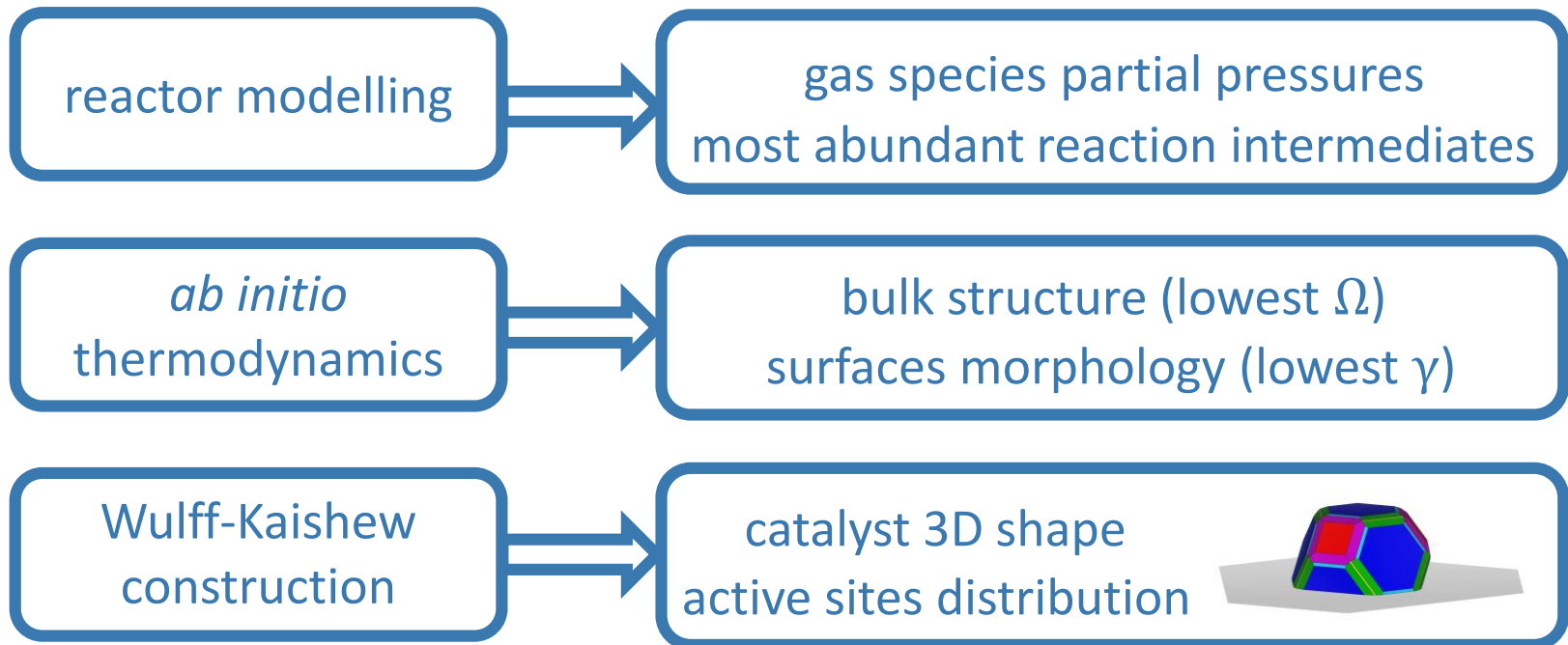
Rh nanoparticles morphology in a  $\text{CH}_4$  CPO reactor:

- zone 1 (MARI:  $\text{O}^*$ )
- zone 2 (MARIs:  $\text{CO}^*$  &  $\text{H}^*$ )



*R. Cheula, A. Soon, M. Maestri, Cat. Sci. Tech., 2018*

# ***Coupling microkinetic modeling and ab-initio thermodynamics***



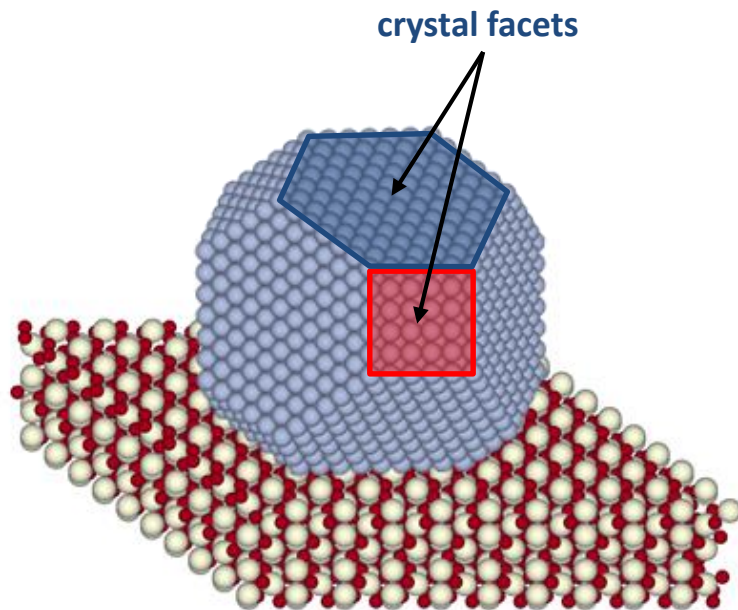
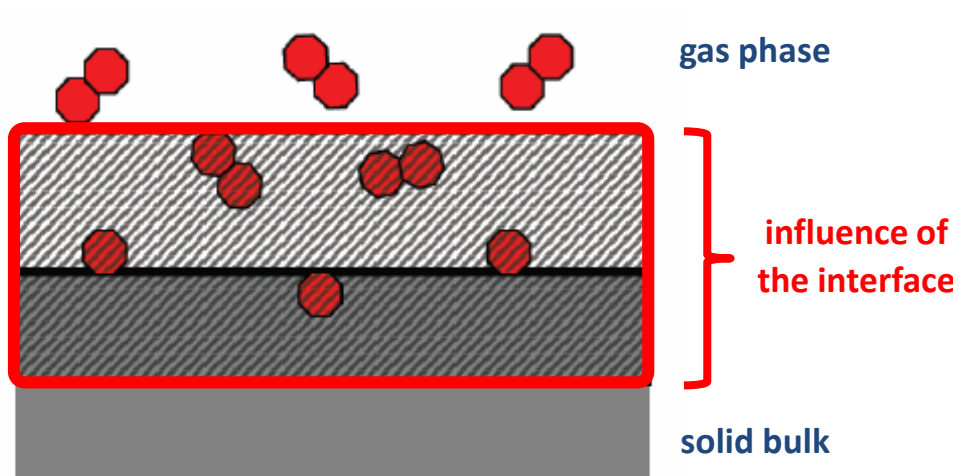
*R. Cheula, A. Soon, M. Maestri, Cat. Sci. Tech., 2018*

# Prediction of catalyst structure in reaction

## Ab-initio Thermodynamics

$$\gamma_{\text{Rh}+\text{O}^*}^{\text{surf}}(T, P, P_{\text{O}_2}) = \frac{1}{S} \left( G_{\text{Rh}+\text{O}^*}^{\text{surf}}(T, P) - N_{\text{Rh}} G_{\text{Rh}}^{\text{bulk}}(T, P) - N_{\text{O}^*} \mu_{\text{O}^*}(T, P_{\text{O}_2}) \right)$$

$$\Omega_{\text{Rh}_x\text{O}_y}(T, P, P_{\text{O}_2}) = \frac{1}{x} G_{\text{Rh}_x\text{O}_y}^{\text{bulk}}(T, P) - G_{\text{Rh}}^{\text{bulk}}(T, P) - \frac{y}{x} \mu_{\text{O}}(T, P_{\text{O}_2})$$

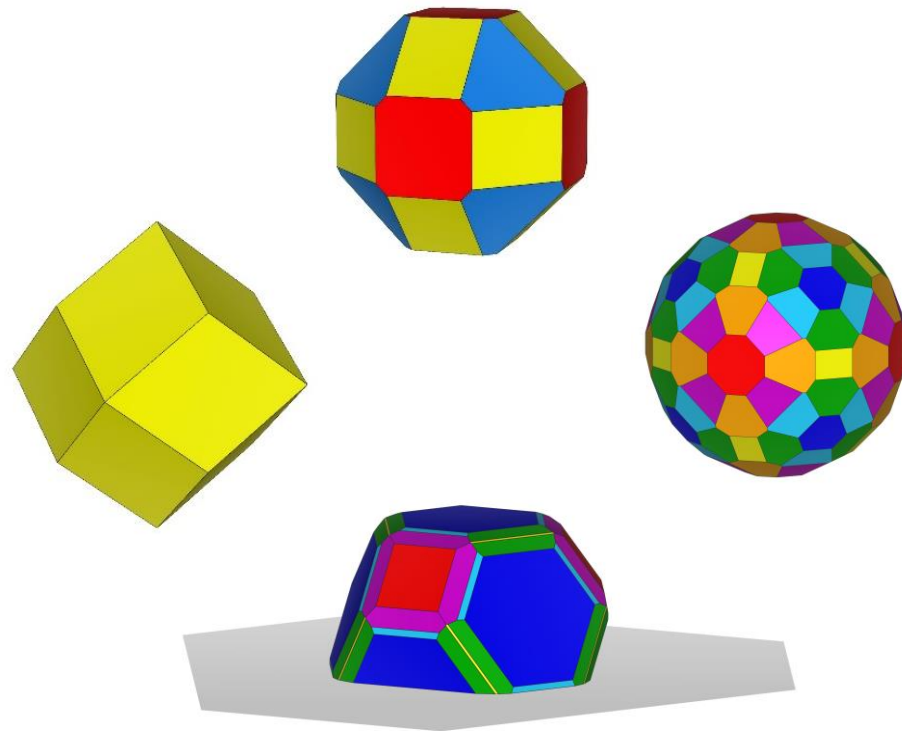
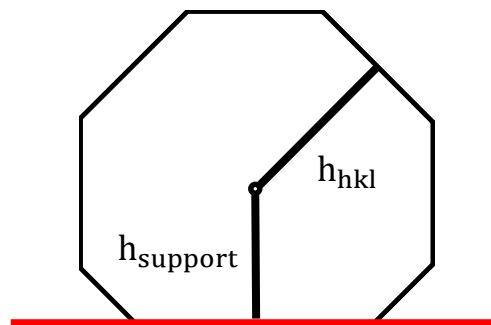




# Prediction of catalyst structure in reaction

$$h_{hkl} = \lambda \gamma_{hkl}(T, P_i)$$

$$h_{\text{support}} = \lambda \gamma_{\text{support}}(T, P_i)$$



size-independent



The atomistic Wulff construction method is applied to estimate nanoparticles shapes, accounting for both energetic and geometric characteristics of catalyst surfaces.

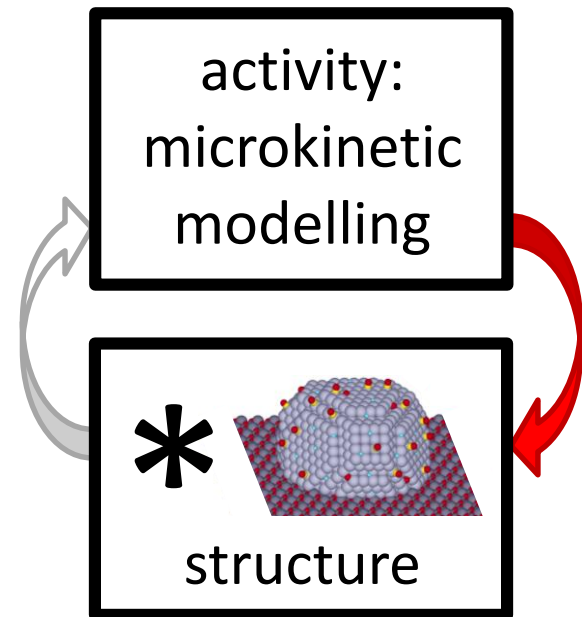
# ***Coupling microkinetic modeling and ab-initio thermodynamics***

Methods:

- *Ab initio* thermodynamics
- Wulff-Kaichew construction

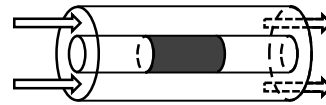
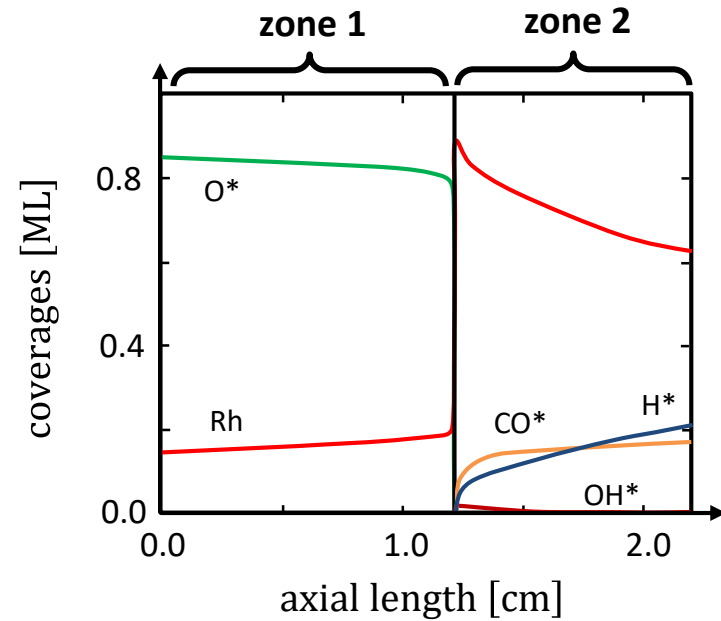
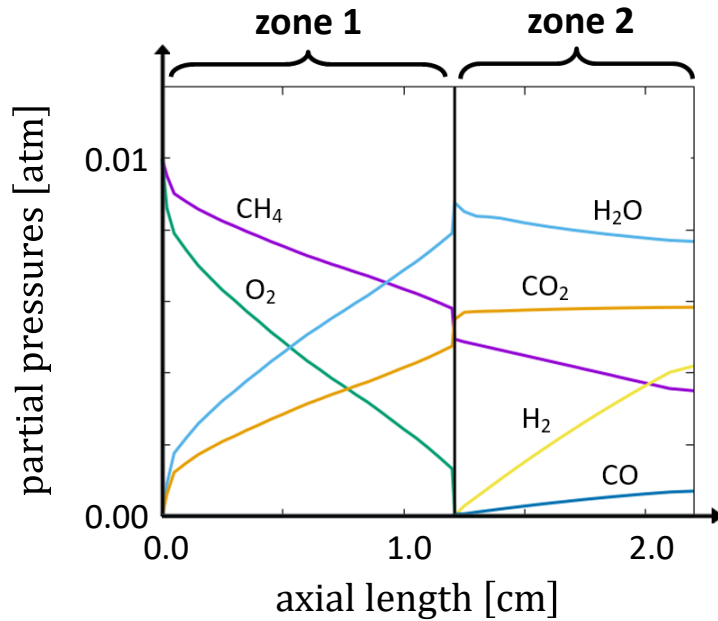
Rh nanoparticles morphology in a  $\text{CH}_4$  CPO reactor:

- zone 1 (MARIs:  $\text{O}^*$ )
- zone 2 (MARIs:  $\text{CO}^*$  &  $\text{H}^*$ )



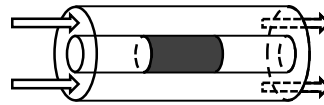
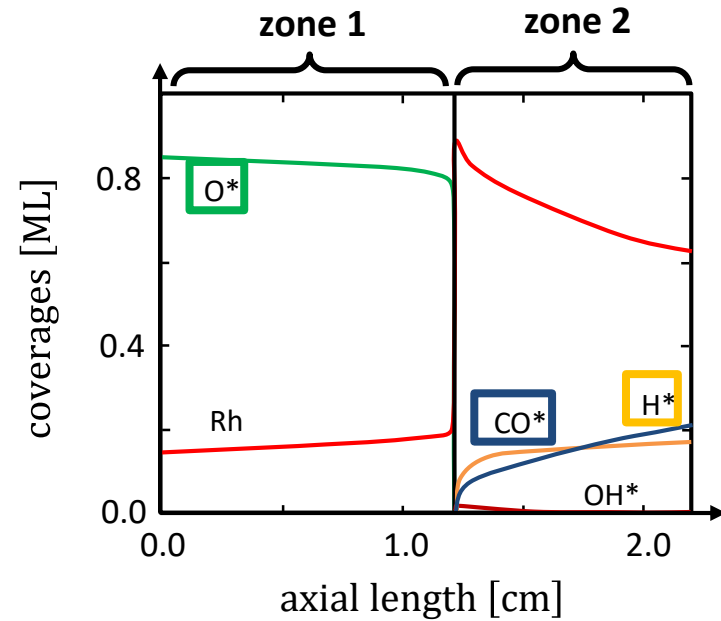
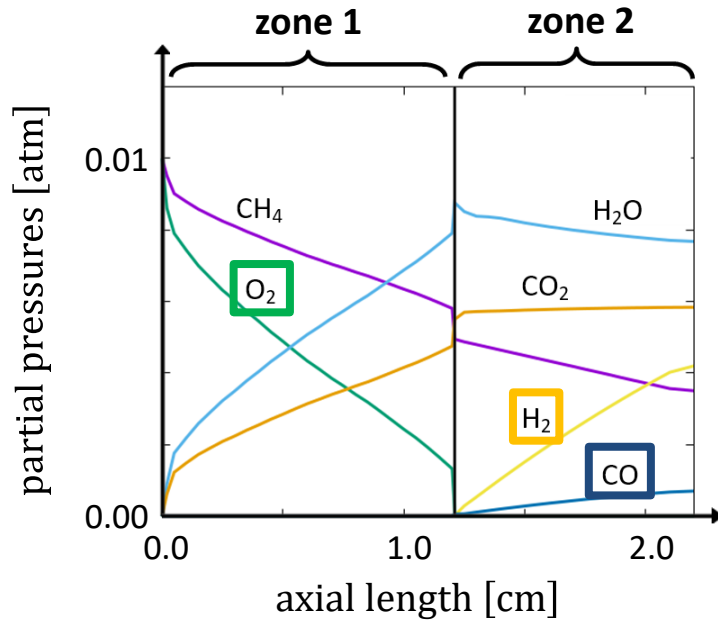
*R. Cheula, A. Soon, M. Maestri, Cat. Sci. Tech., 2018*

# Gas and adsorbate species axial profiles by reactor modelling



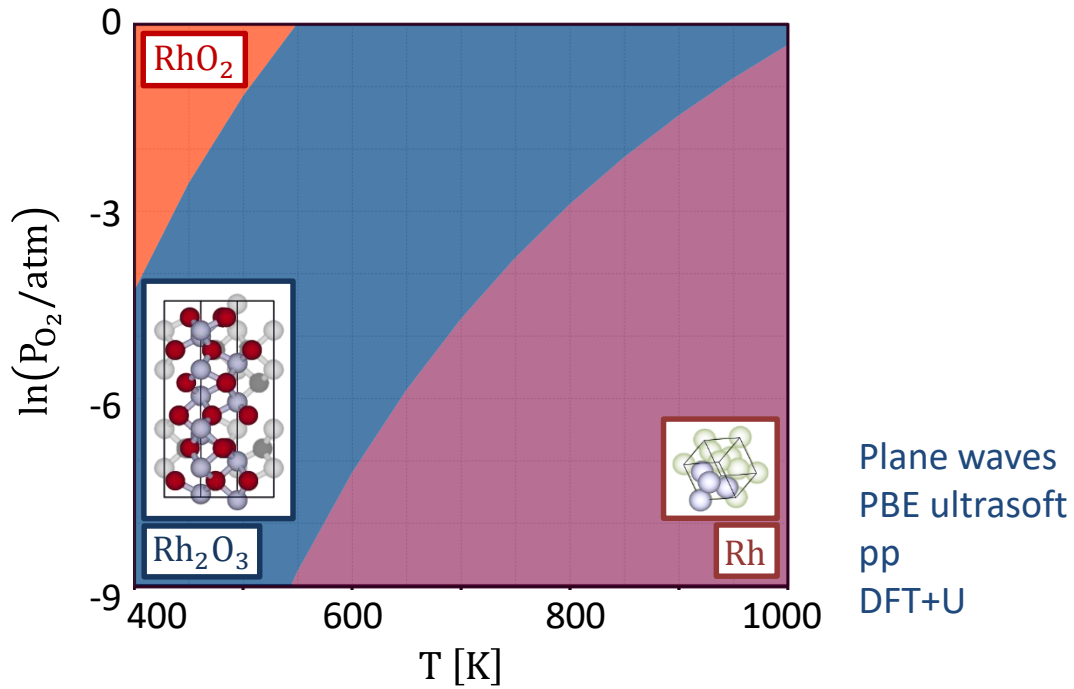
annular reactor,  $T = 773 \text{ K}$ ,  $P = 1 \text{ atm}$ ,  $\text{GHSV} = 2\text{E}6 \text{ NI/kg}_{\text{cat}}/\text{h}$

# Gas and adsorbate species axial profiles by reactor modelling

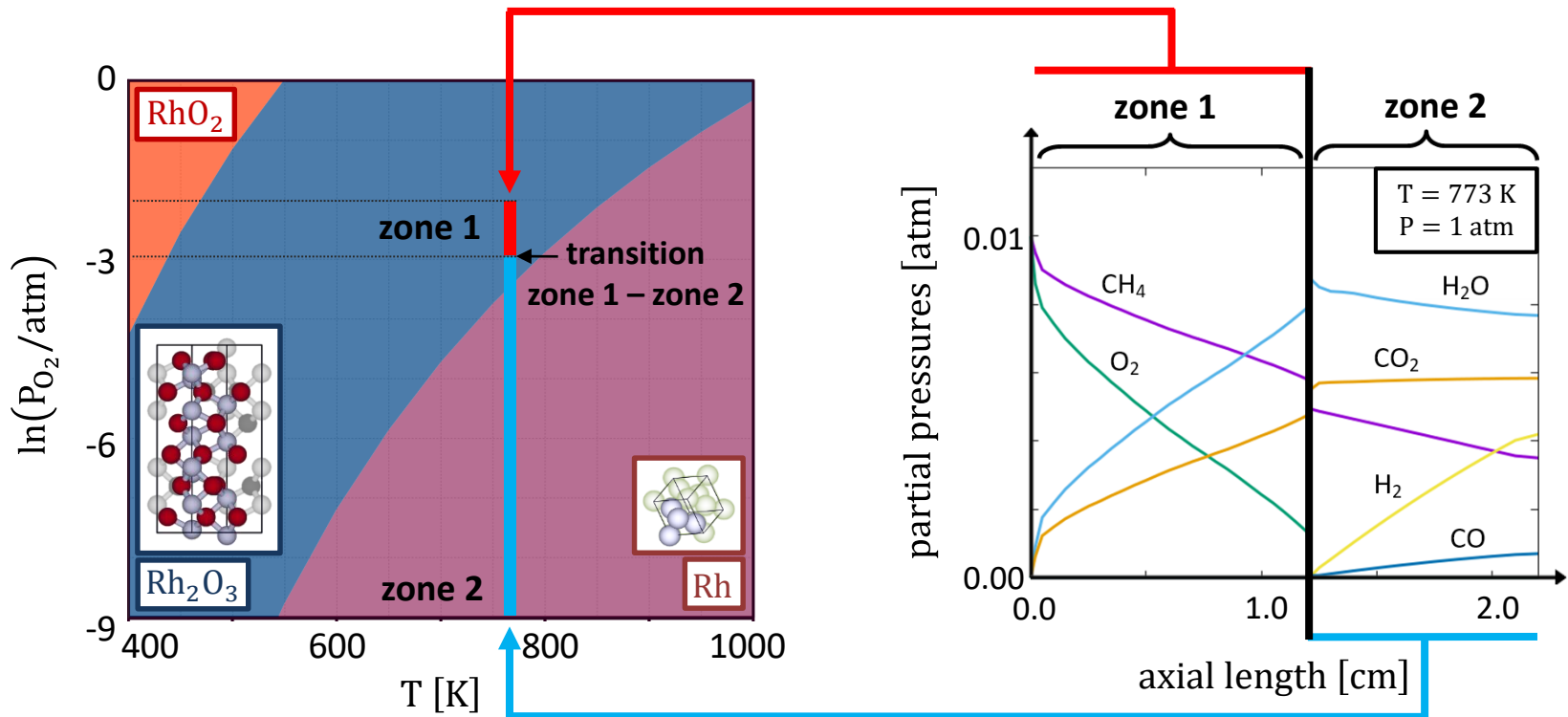


annular reactor,  $T = 773 \text{ K}$ ,  $P = 1 \text{ atm}$ ,  $\text{GHSV} = 2\text{E}6 \text{ NI/kg}_{\text{cat}}/\text{h}$

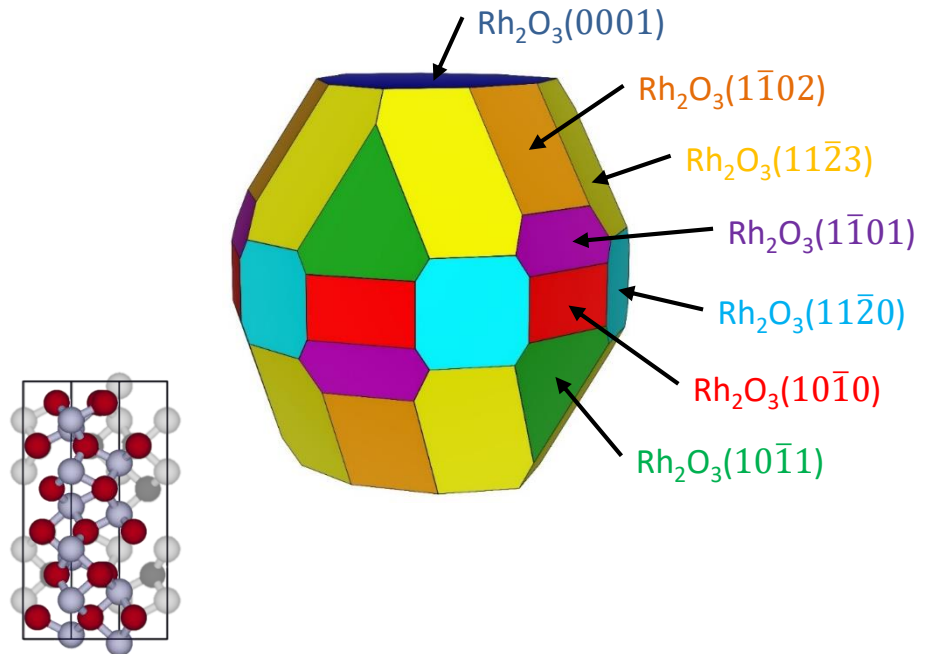
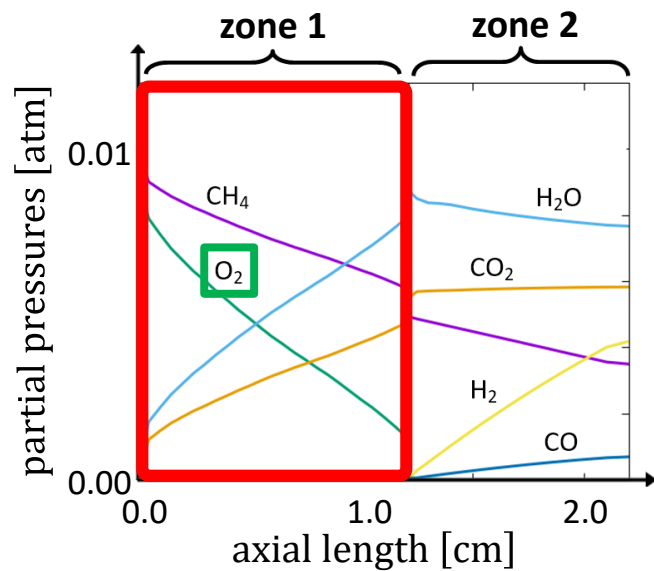
# Bulk phase diagram of Rh and Rh oxides



# Bulk phase diagram of Rh and Rh oxides

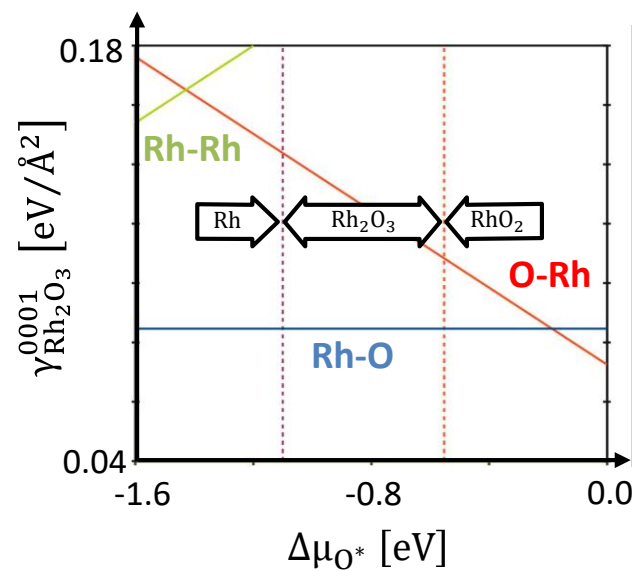
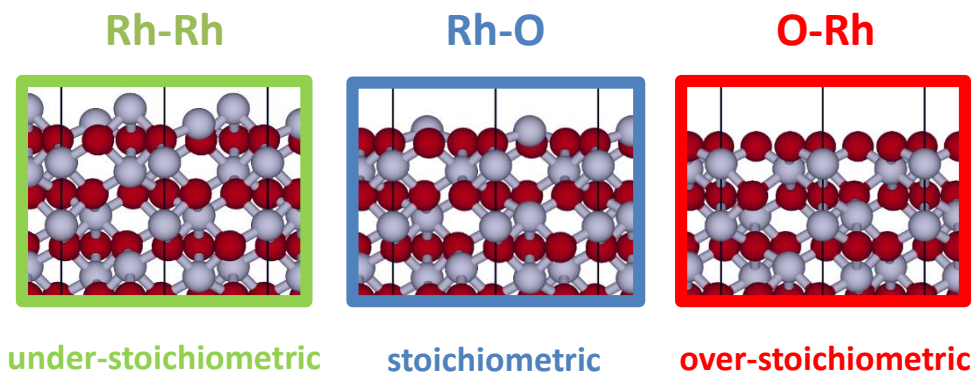


# $Rh_2O_3$ catalyst morphology in zone 1 of the reactor



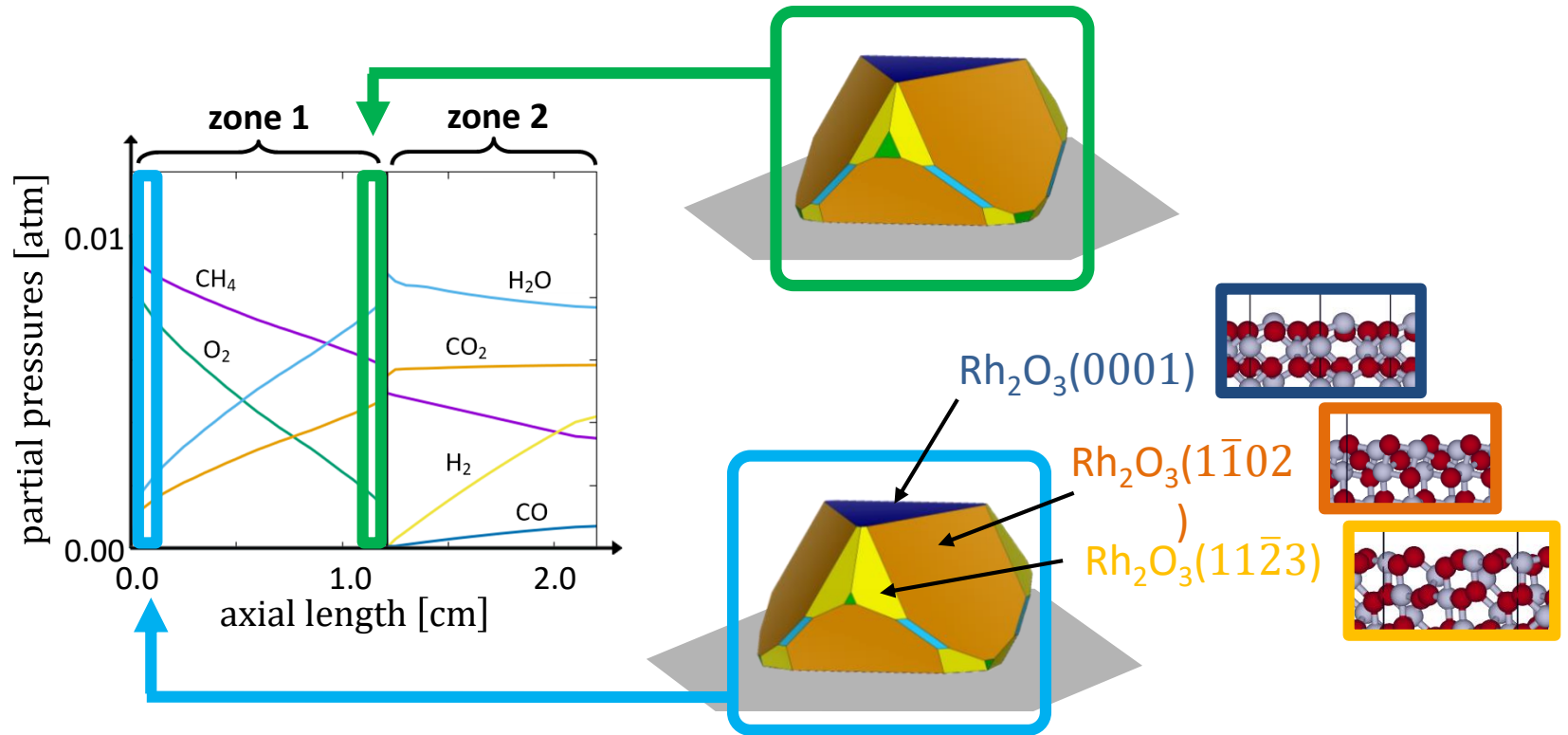
corundum  $Rh_2O_3$

# Surface terminations of $Rh_2O_3$ facets

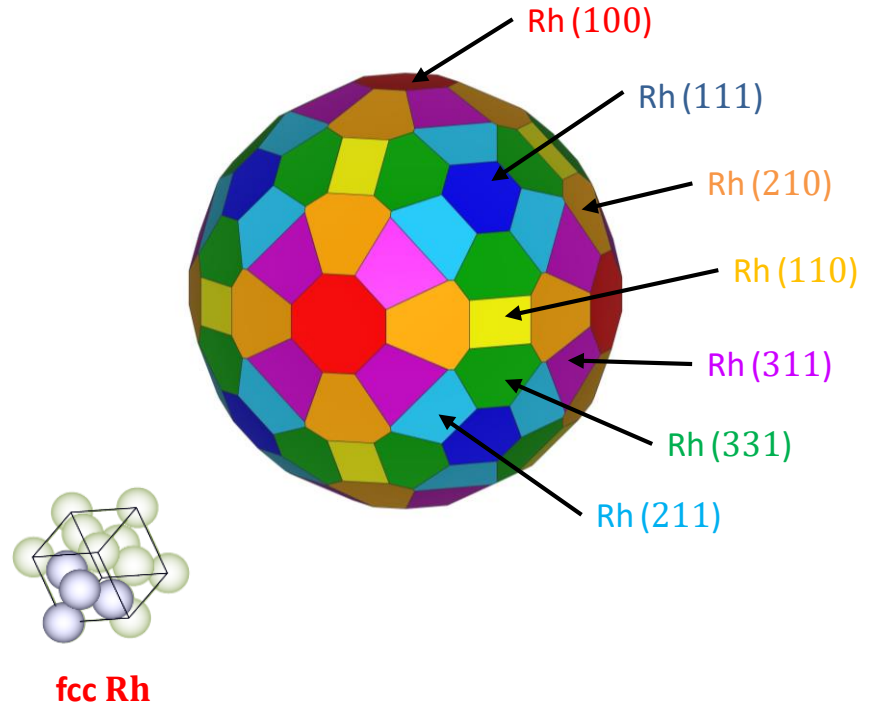
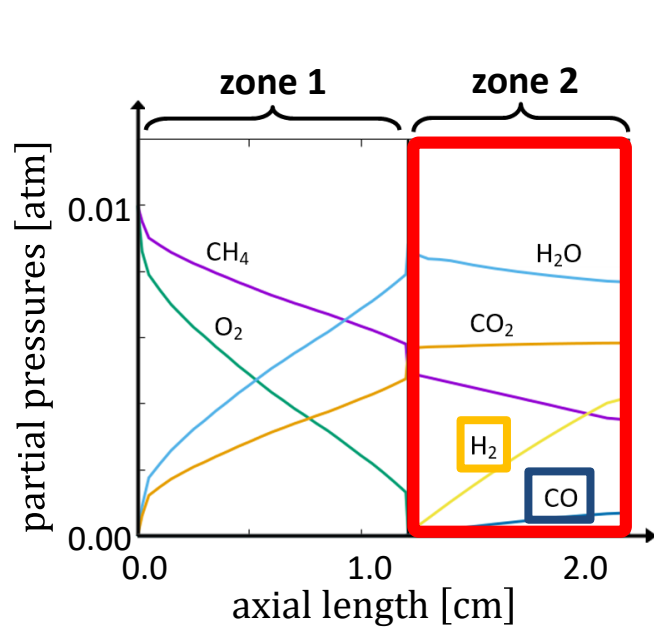




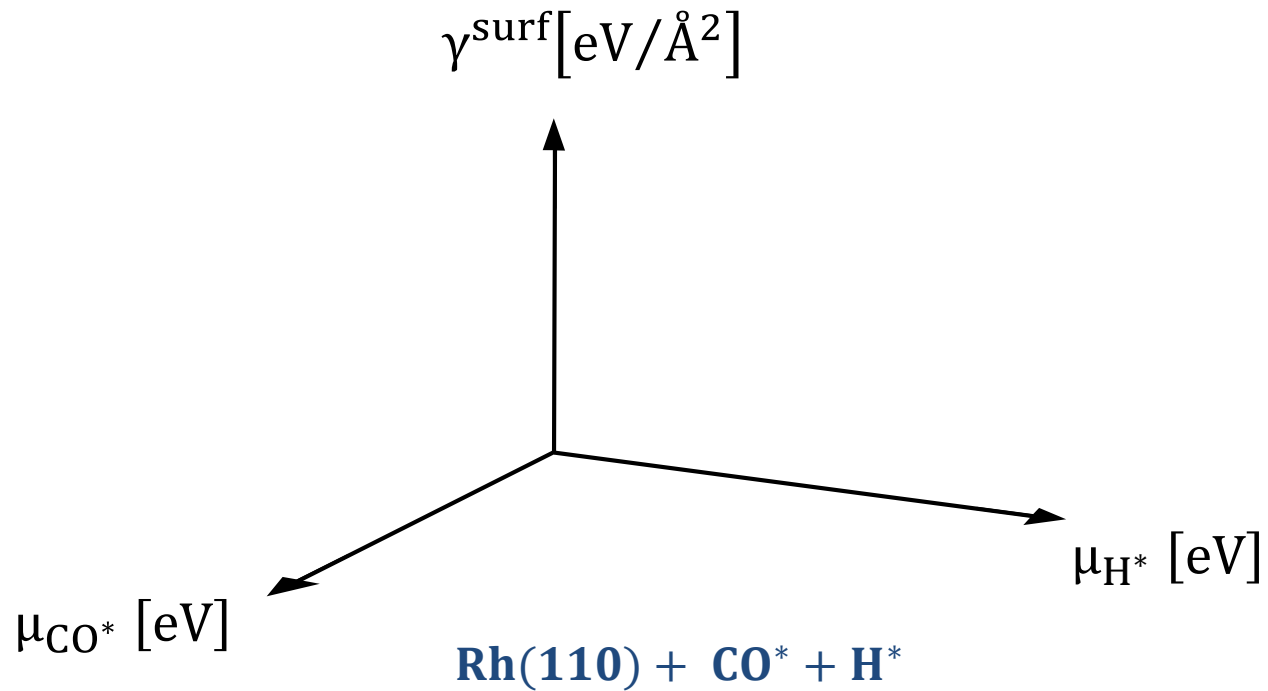
# $Rh_2O_3$ catalyst morphology in zone 1 of the reactor



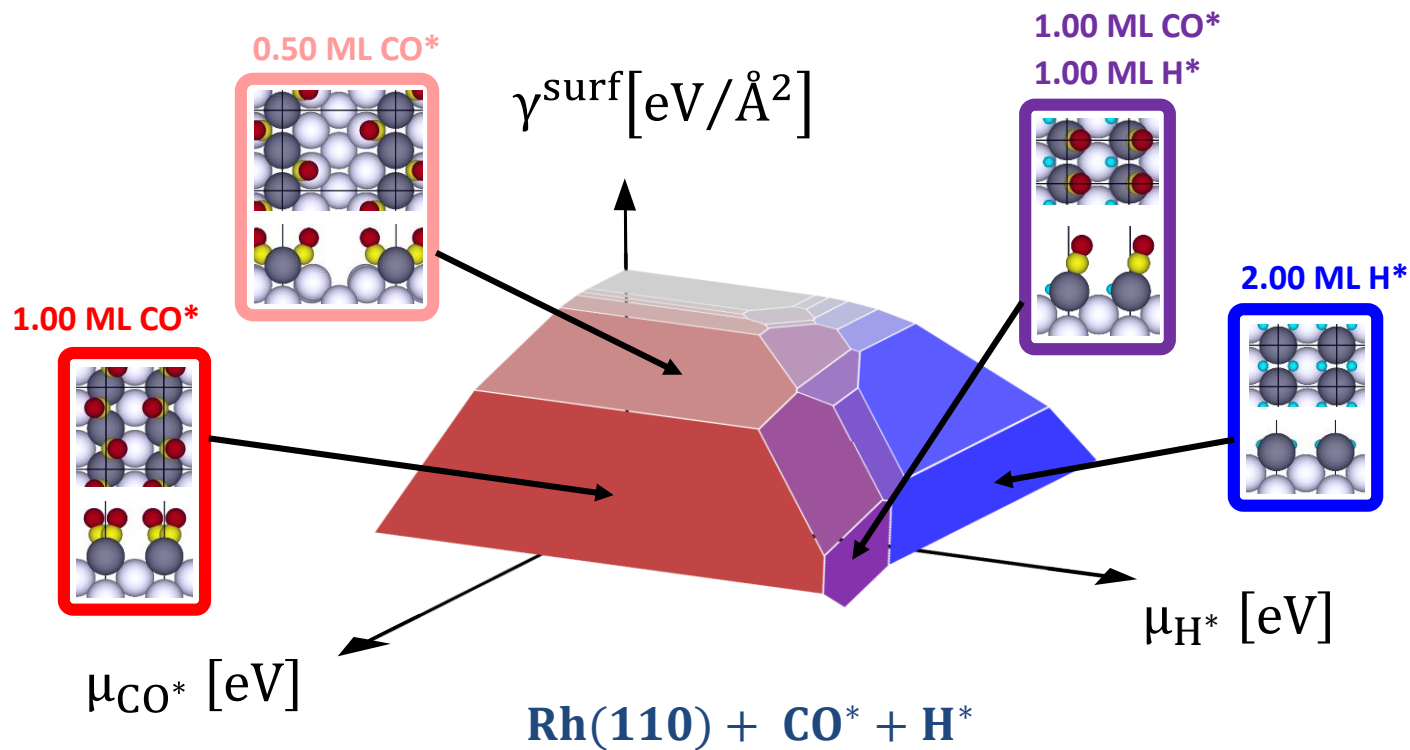
# $Rh_2O_3$ catalyst morphology in zone 2 of the reactor



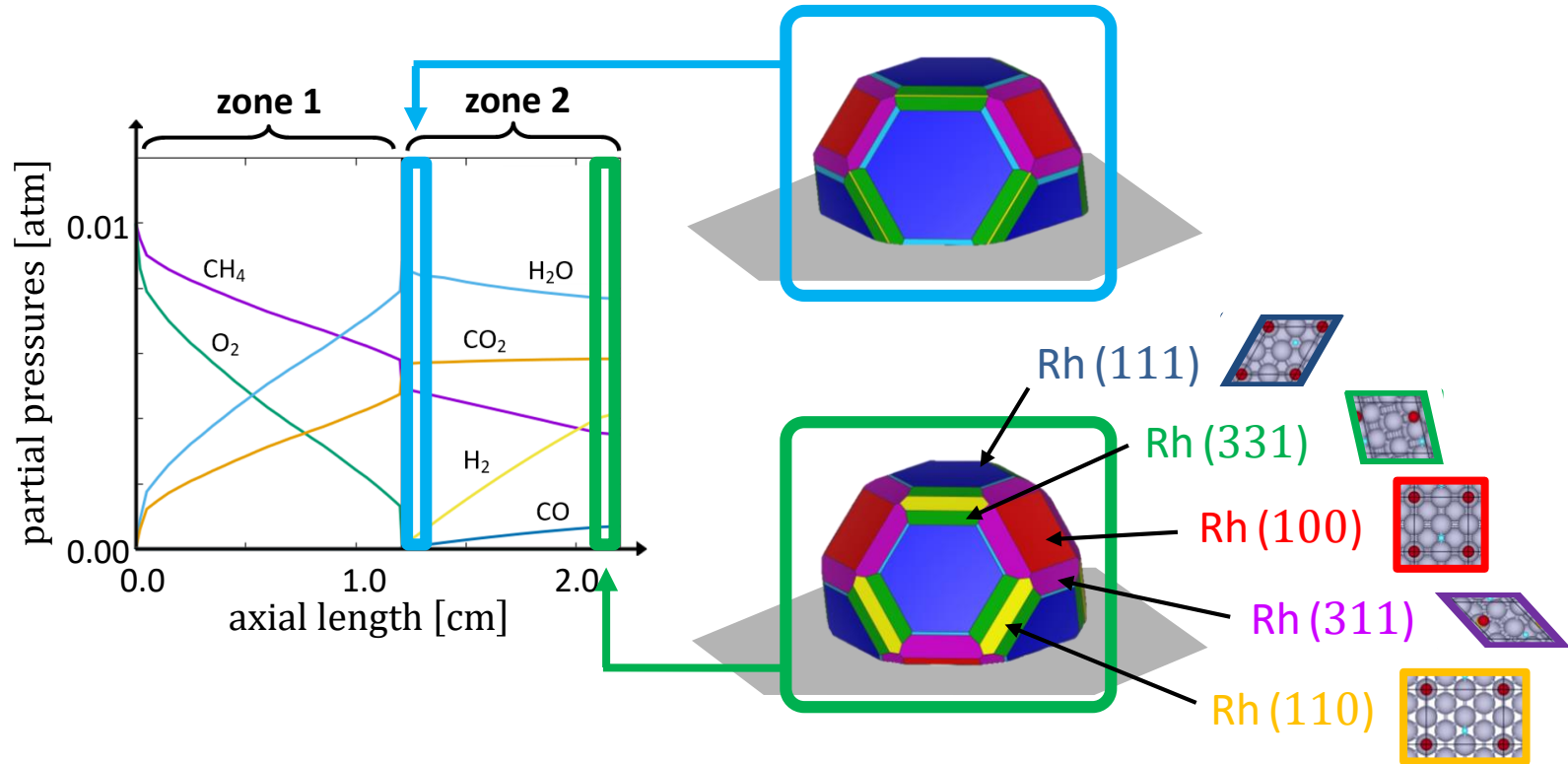
# *How CO\* and H\* affect the surface free energy*



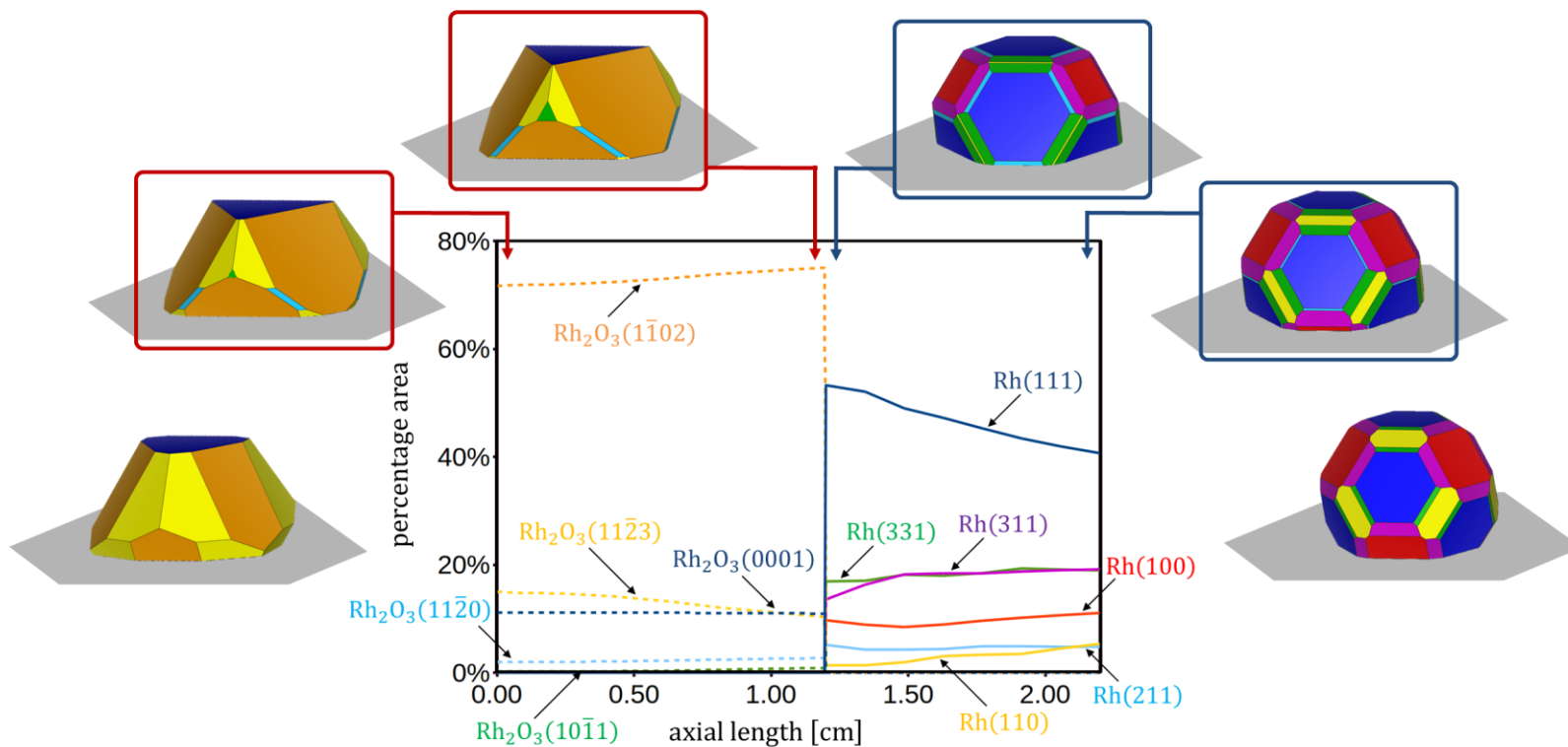
# How $\text{CO}^*$ and $\text{H}^*$ affect the surface free energy



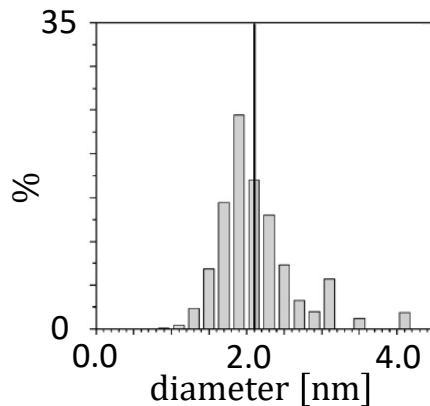
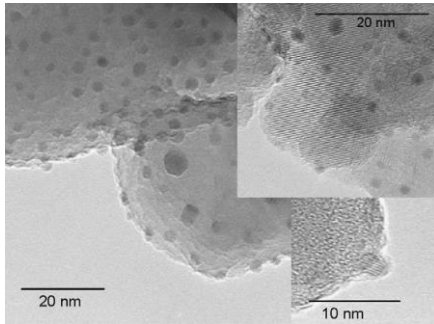
# $Rh_2O_3$ catalyst morphology in zone 2 of the reactor



# *$Rh_2O_3$ catalyst morphology in zone 2 of the reactor*

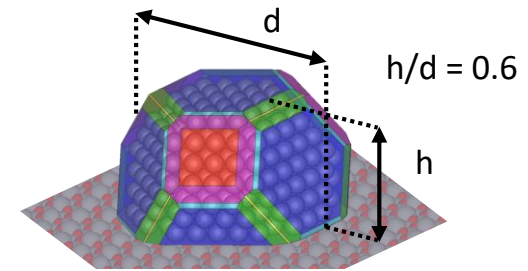


# Comparison with characterization experiments

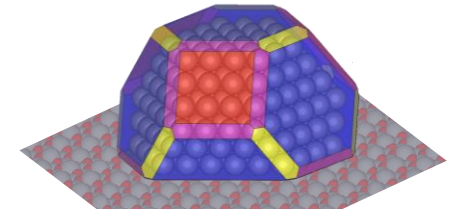


A. Beretta et al., App. Cat. B 83, 96-109 (2008)

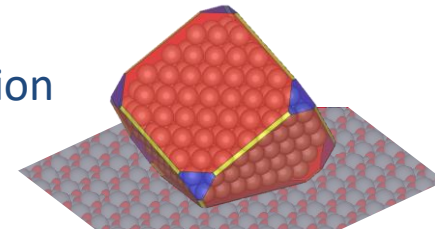
HRTEM



H<sub>2</sub> chemisorption



CO chemisorption



R. Cheula, A. Soon, M. Maestri, Cat. Sci. Tech., 2018

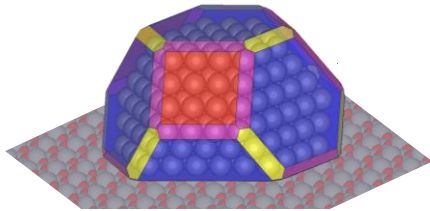
# Comparison with characterization experiments

H<sub>2</sub> uptake

$$\text{dispersion} = 0.47 \frac{H^*}{Rh_{\text{tot}}} / 1.00 \frac{H^*}{Rh_{\text{surf}}} = 0.47 \frac{Rh_{\text{surf}}}{Rh_{\text{tot}}}$$

Wulff-Kaisheew construction:

$$\text{dispersion} = 0.45 \frac{Rh_{\text{surf}}}{Rh_{\text{tot}}}$$

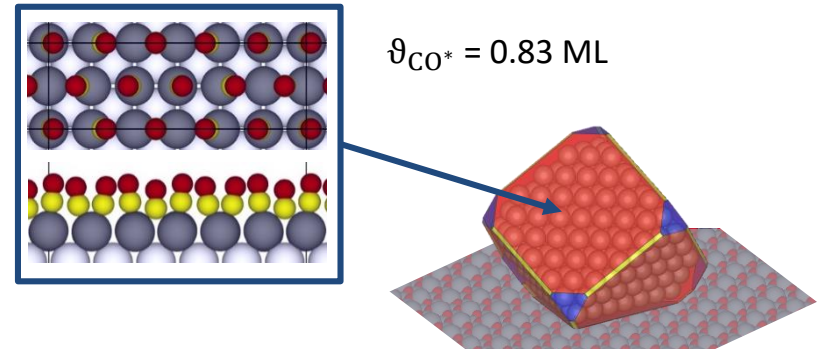


CO uptake

$$\text{dispersion} = 0.38 \frac{CO^*}{Rh_{\text{tot}}} / 0.83 \frac{CO^*}{Rh_{\text{surf}}} = 0.46 \frac{Rh_{\text{surf}}}{Rh_{\text{tot}}}$$

Wulff-Kaisheew construction

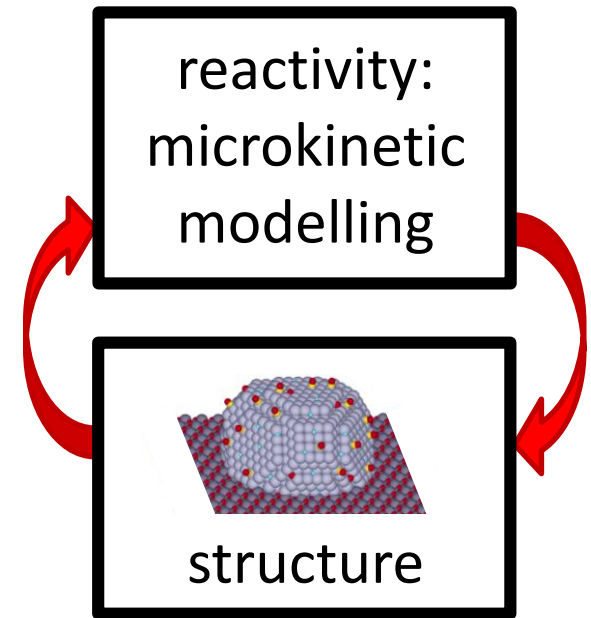
$$\text{dispersion} = 0.46 \frac{Rh_{\text{surf}}}{Rh_{\text{tot}}}$$





# ***Coupling microkinetic modeling and ab-initio thermodynamics***

- ✓ Wulff construction and *ab initio* thermodynamics have been applied to predict morphological changes of catalyst material in reacting conditions
- ✓ The findings are in agreement with operando spectroscopy analysis and characterization analysis
- ✓ **This methodology paves the way for the development of structure-dependent microkinetic models**



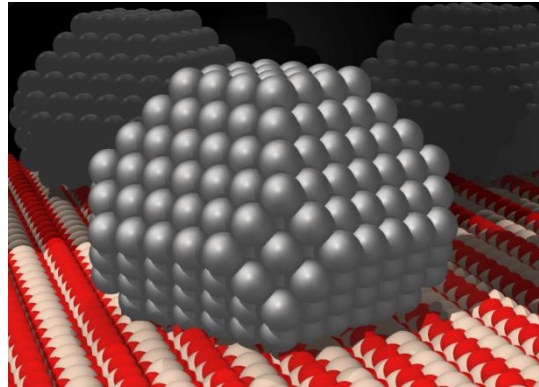
*R. Cheula, A. Soon, M. Maestri, Cat. Sci. Tech., 2018*

# *Outline*

- ✓ Theoretical methods for the prediction of dynamic changes of nanoparticles and phase transformation.
- ✓ Parametrization of kinetic parameters on different sites.
- ✓ Novel experimental tools: spectroscopy & kinetically relevant data.
- ✓ Confinement effects: «physical» catalysis

# ***Structure-dependent microkinetic modeling***

Assessment of BEP relations for hierarchical structure-dependent microkinetic modeling

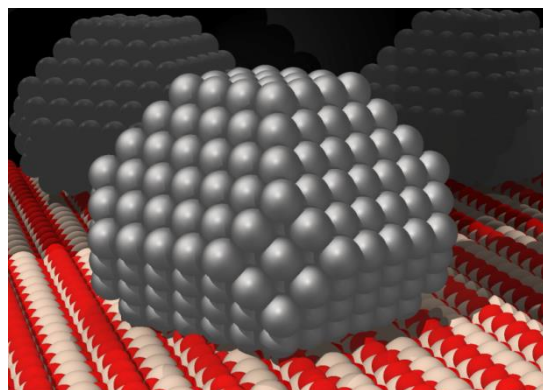


$$E_a = E_a^0 + \gamma_P \Delta H_r$$

**Can BEP deal with the «complication» when «complexity» makes the higher level theories not applicable?**

# Structure-dependent microkinetic modeling

## Assessment of BEP relations for hierarchical structure-dependent microkinetic modeling



$$E_a = E_a^0 + \gamma_P \Delta H_r$$

From selected DFT  
calculations

Z.B. Ding, S. Guffanti, M. Maestri, submitted

# Methodology: theory-to-theory comparison

Density  
Functional  
Theory  
Calculation

- Binding Energies
- Activation Energy: Climbing Image – Nudged Elastic Band

BEP  
assessment

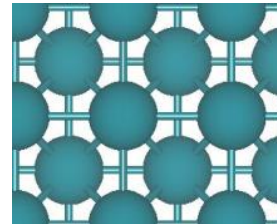
## Dissociation Reactions:

- $\text{CO} \rightarrow \text{C} + \text{O}$
- $\text{CH} \rightarrow \text{C} + \text{H}$
- $\text{t-COOH} \rightarrow \text{CO}_2 + \text{H}$

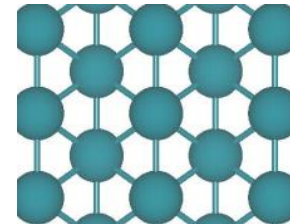
## Metal catalyst:

- Rh, Pt, Cu, Ag, Pd, Ni

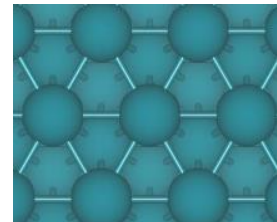
## Low index surfaces:



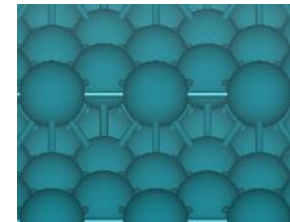
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(110)



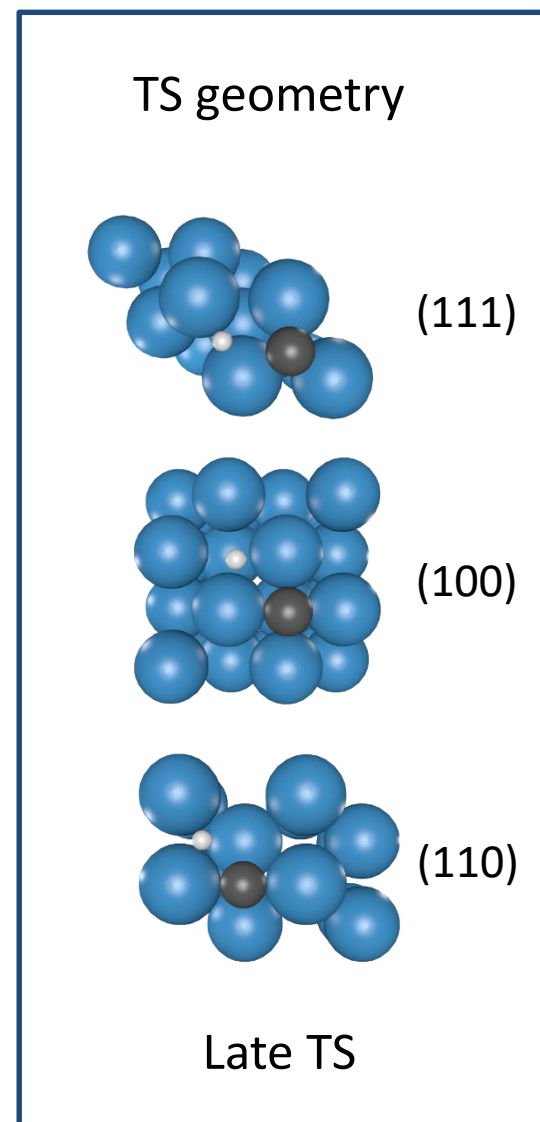
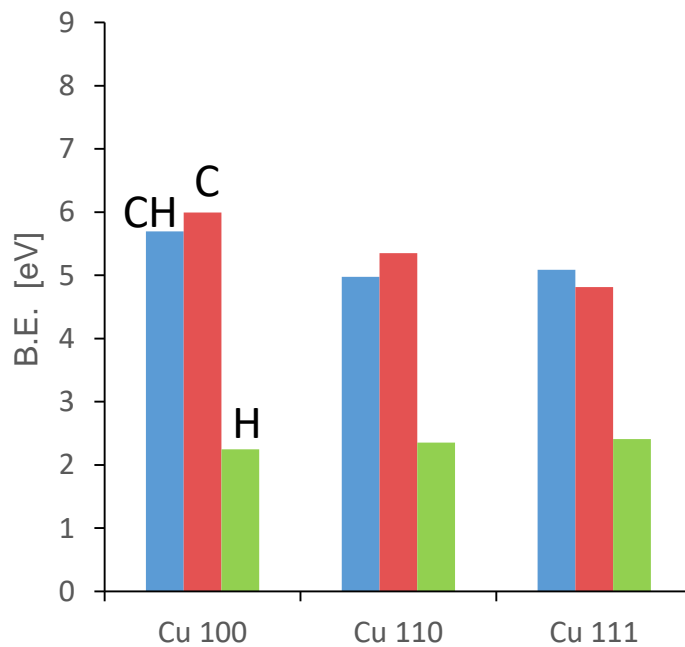
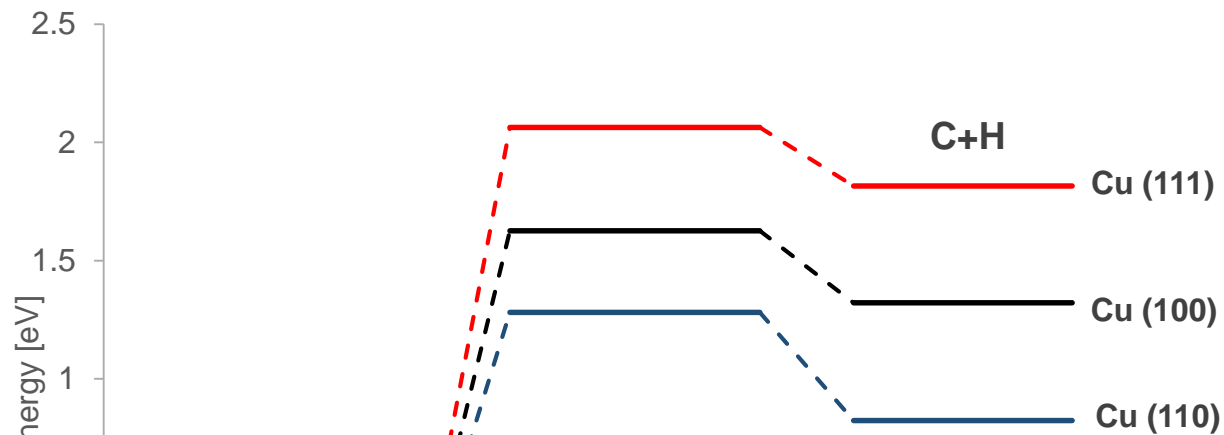
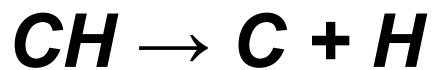
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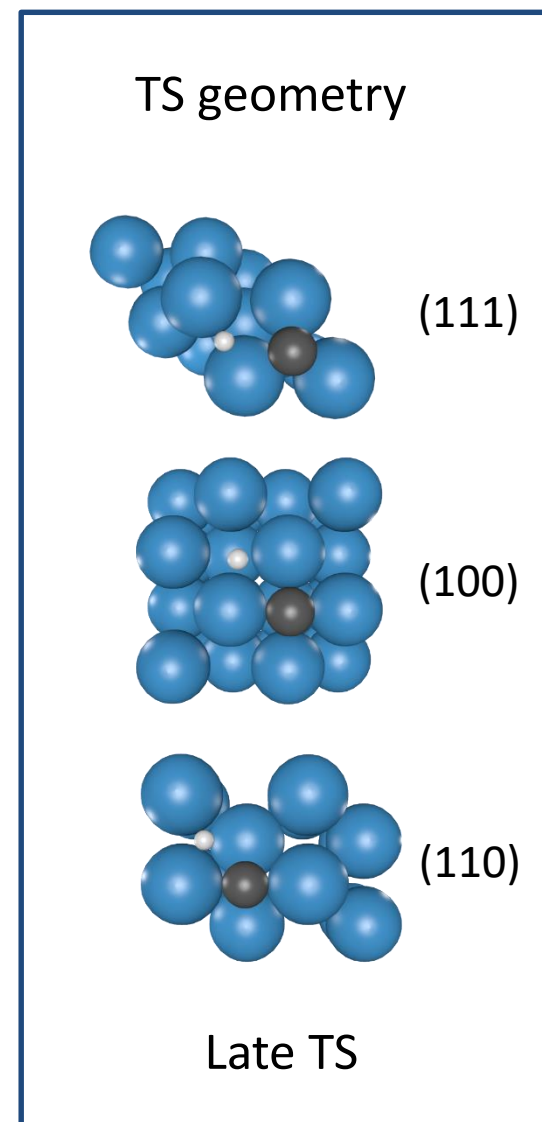
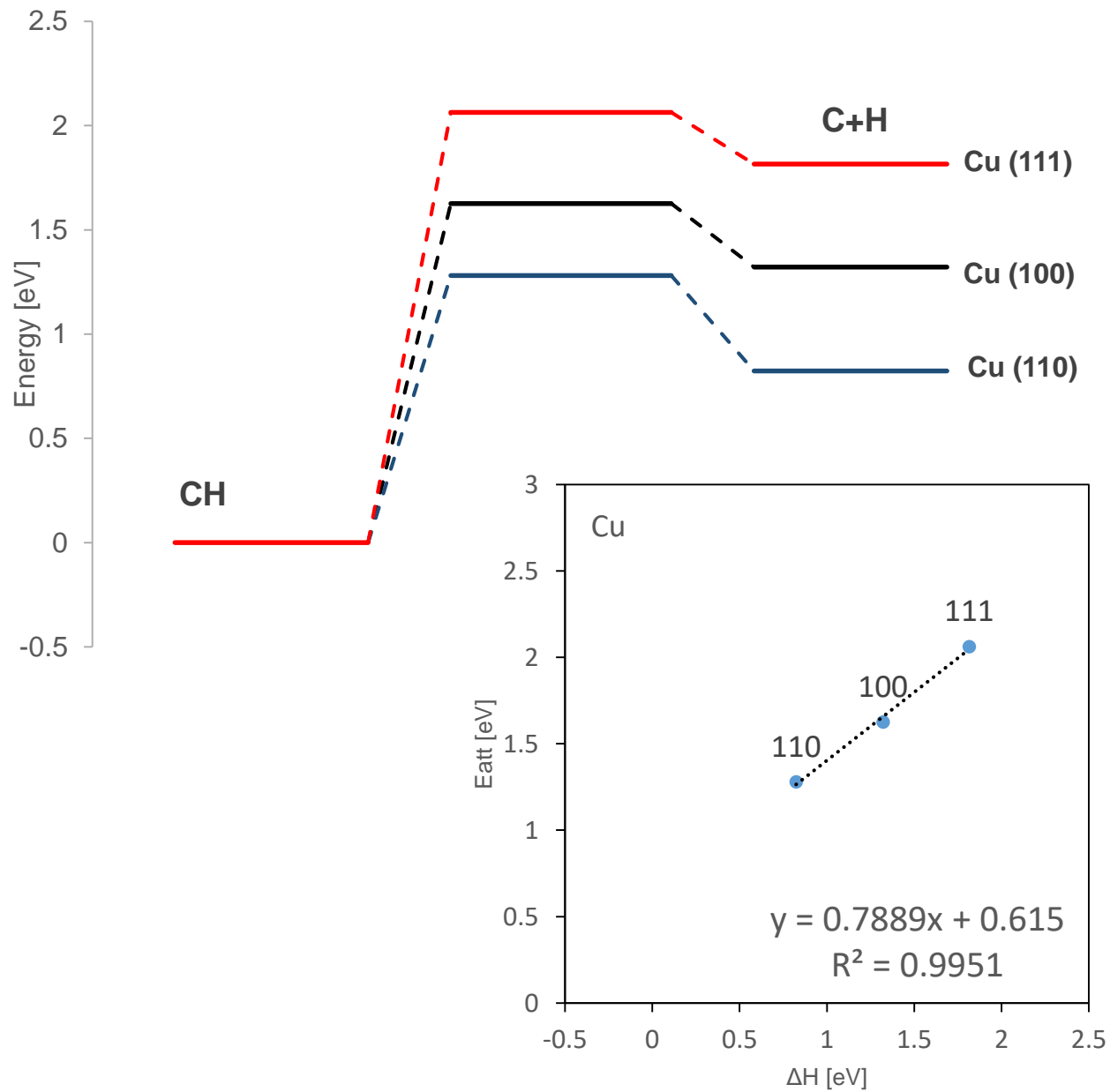
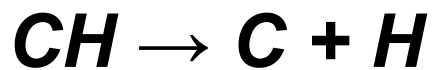


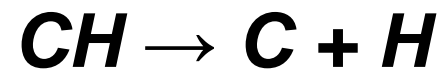
(211)



- QuantumEspresso code
- Plane wave – uspp - PBE
  - CI-NEB – 0.05 eV/Å





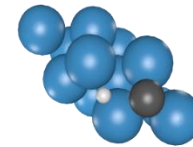
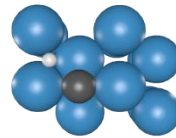
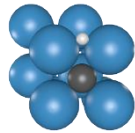


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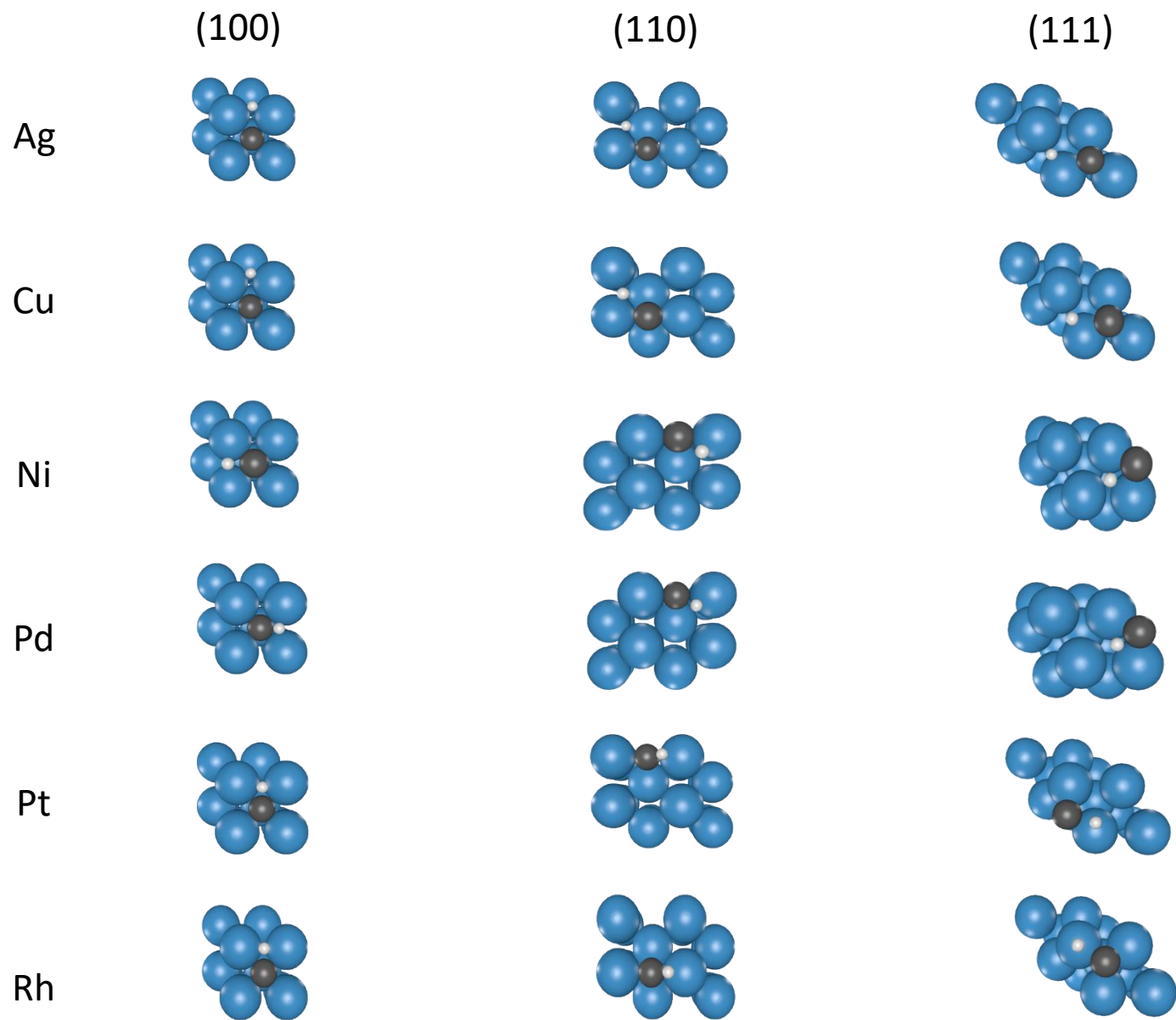
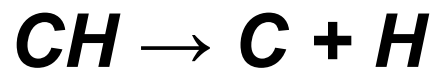
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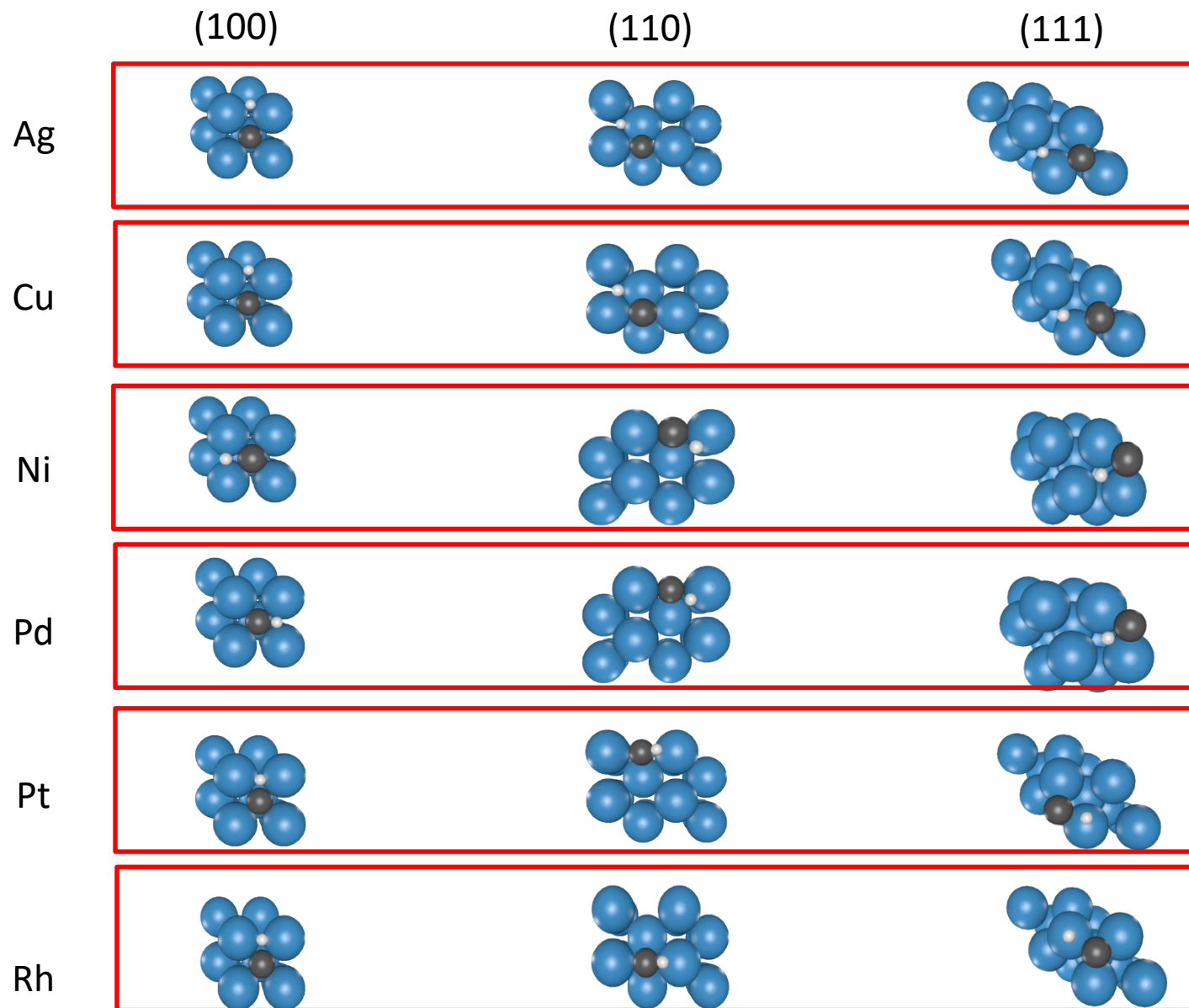
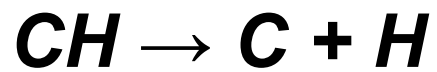
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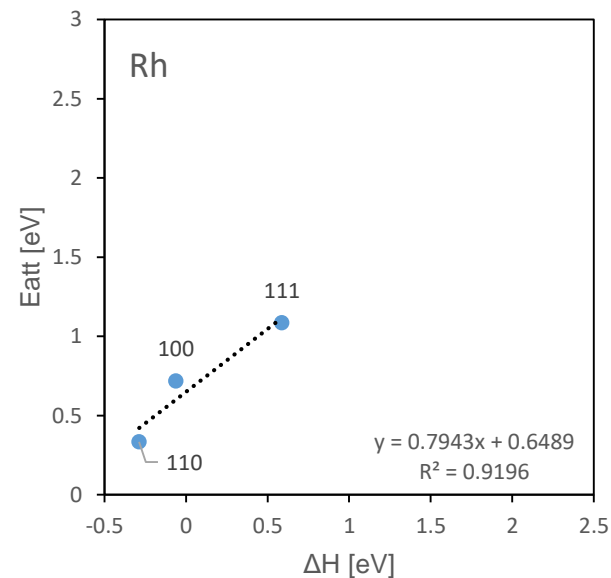
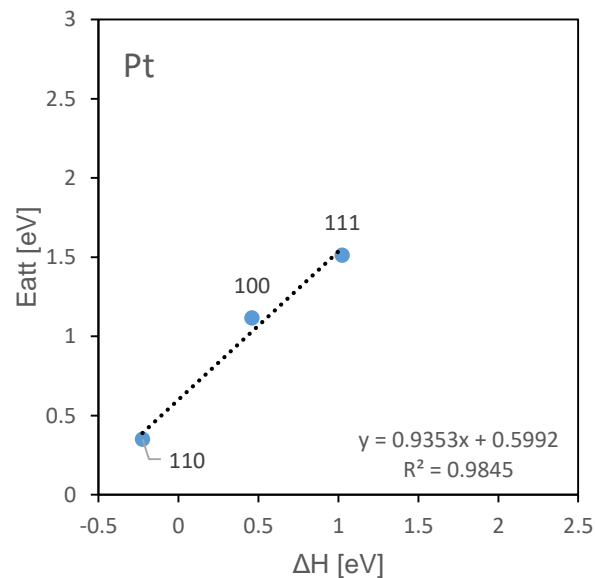
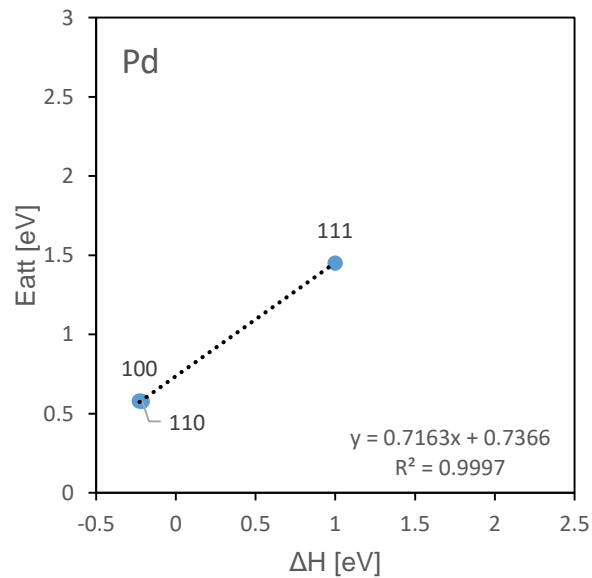
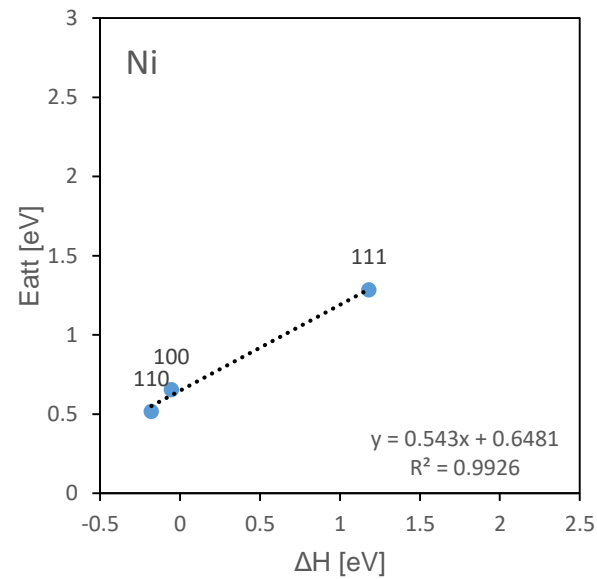
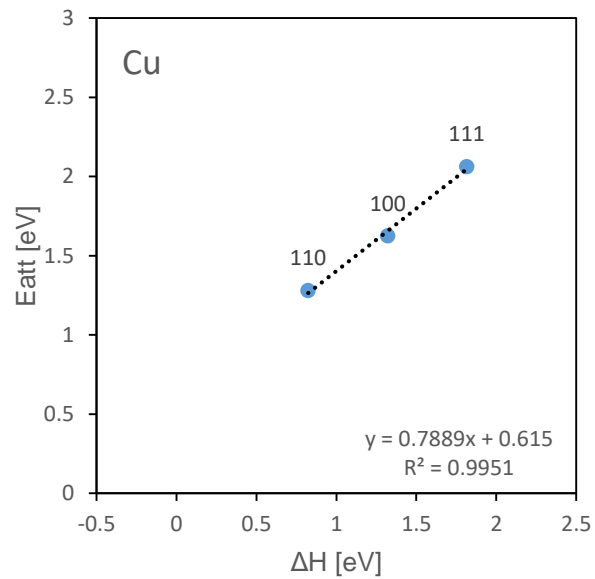
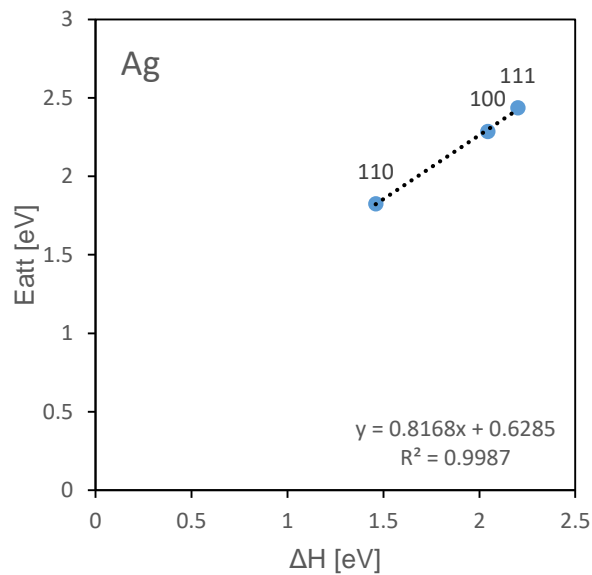
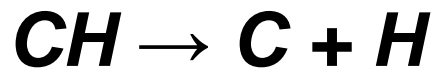
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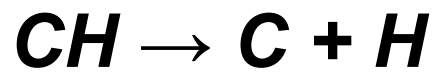










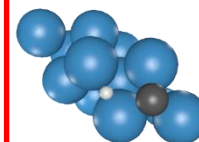
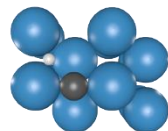
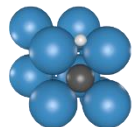


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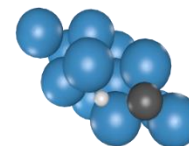
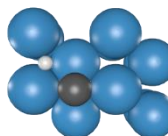
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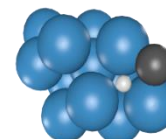
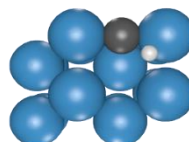
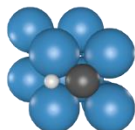
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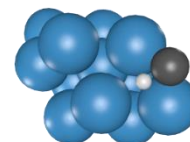
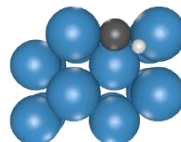
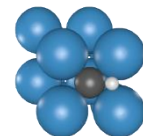
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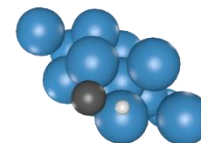
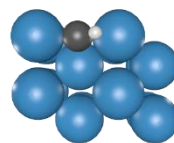
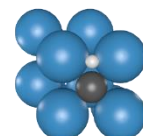
Ni



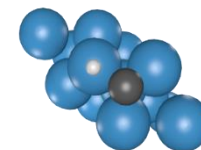
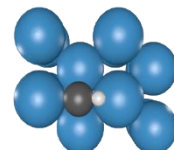
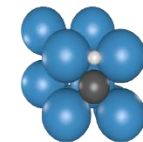
Pd



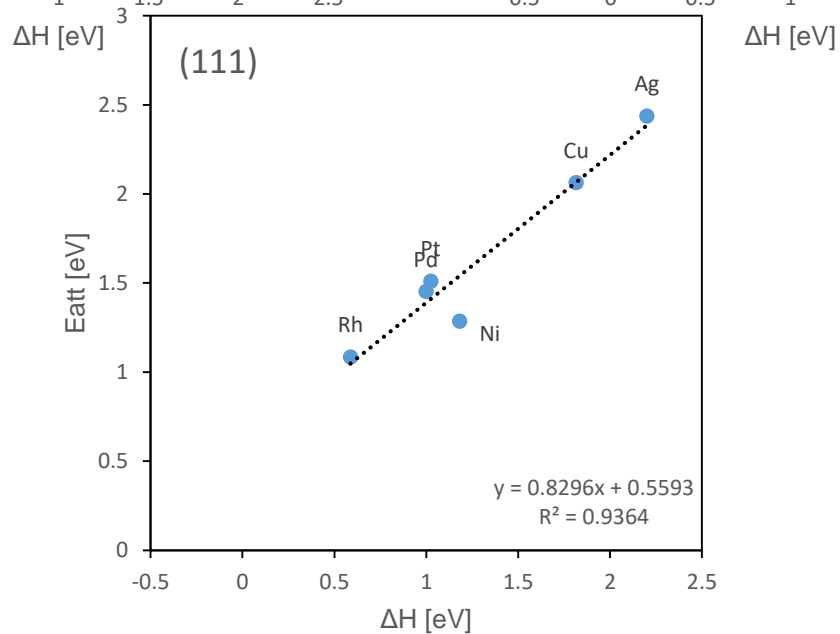
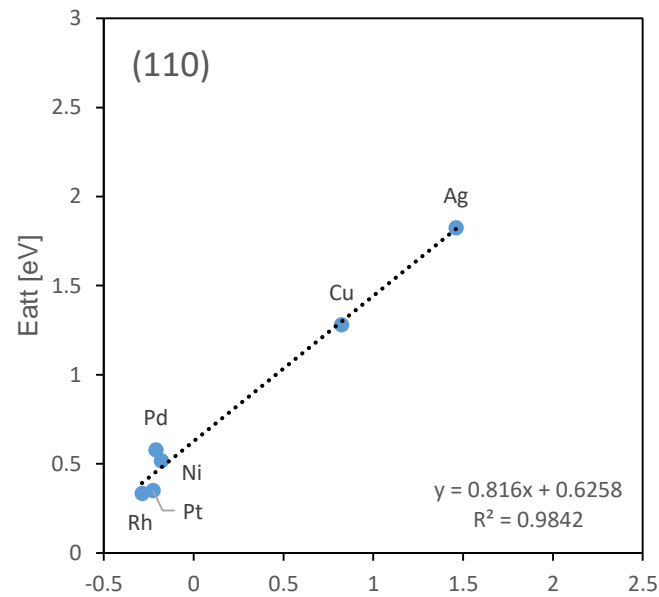
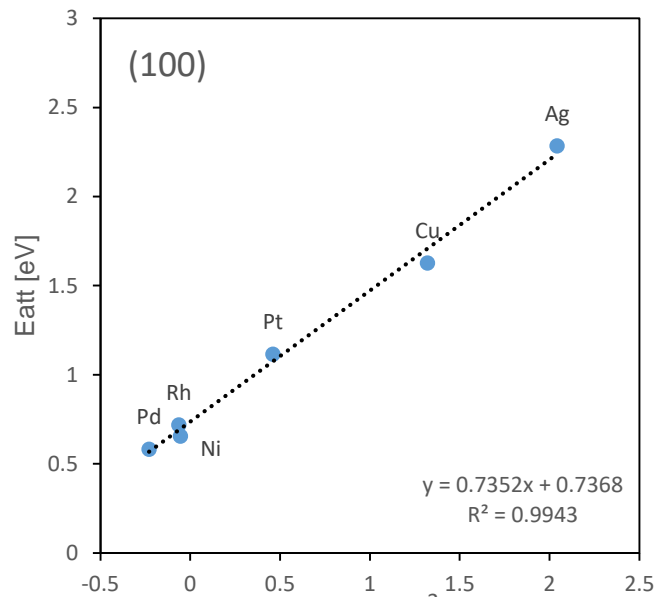
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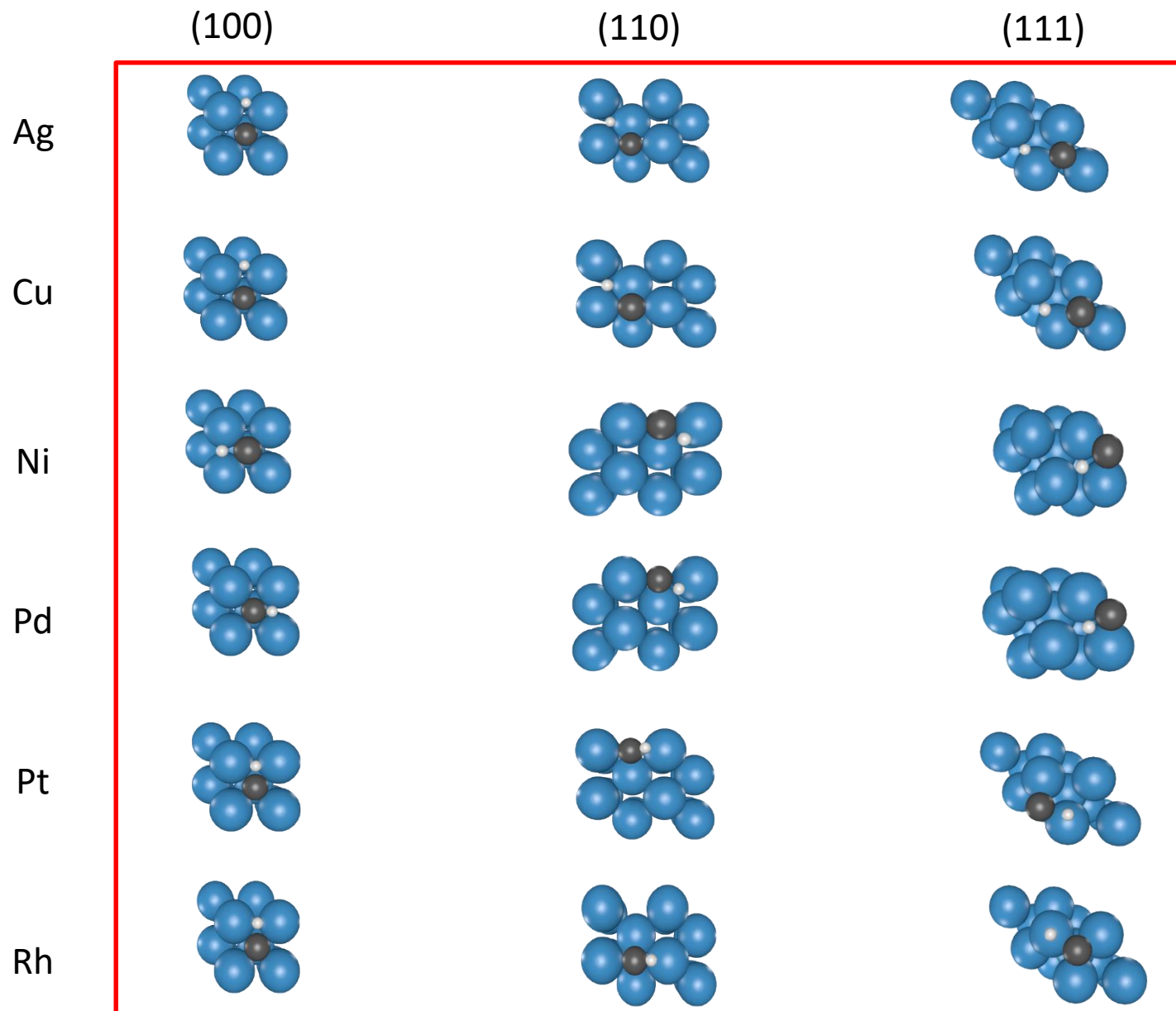
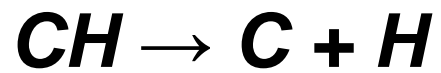


Rh

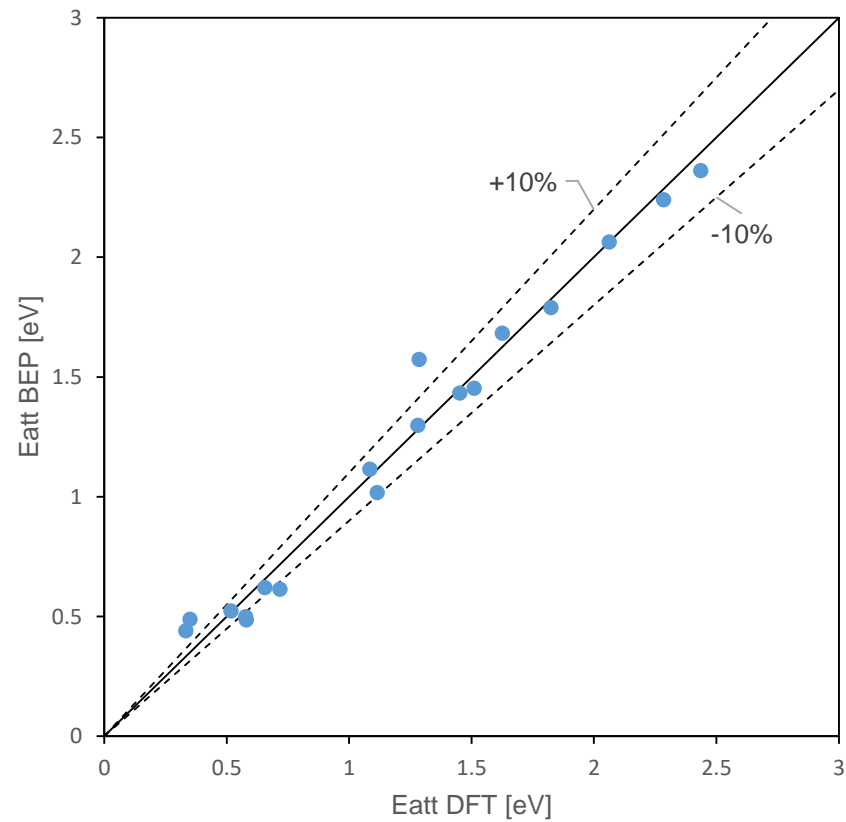
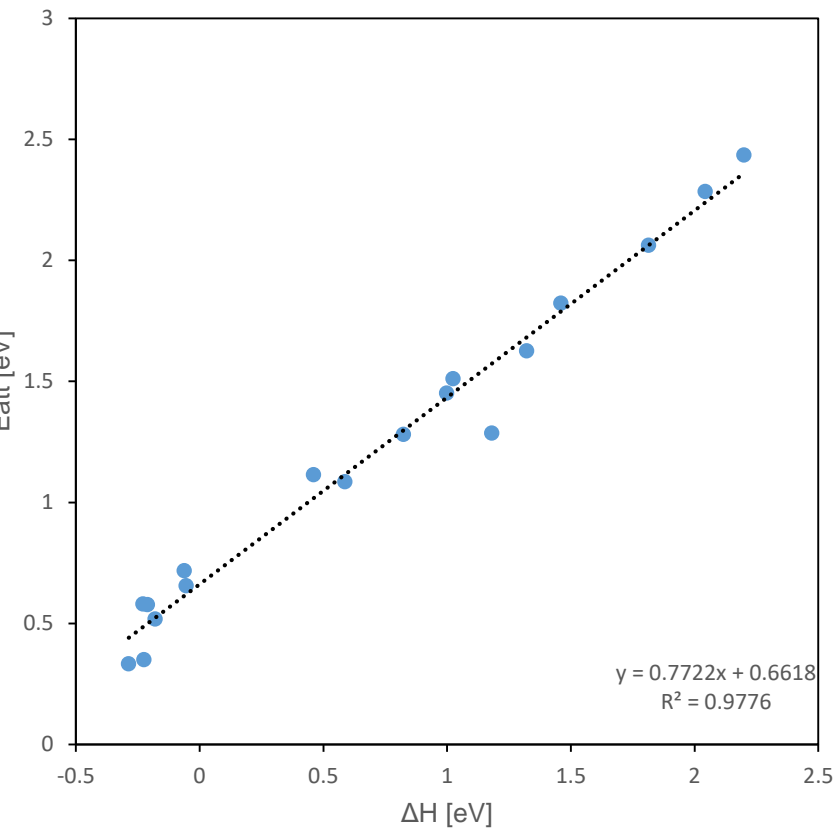


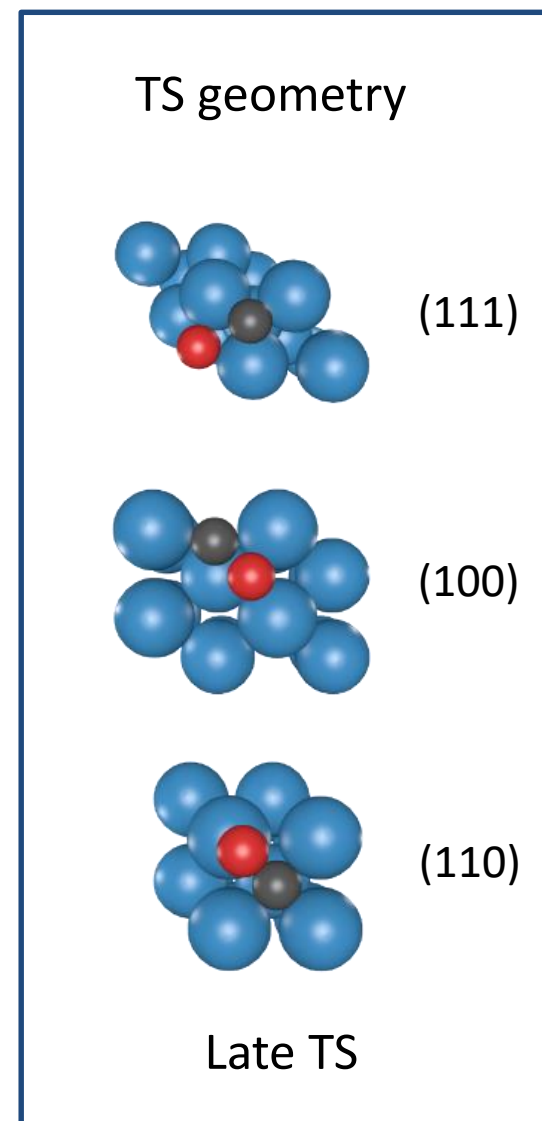
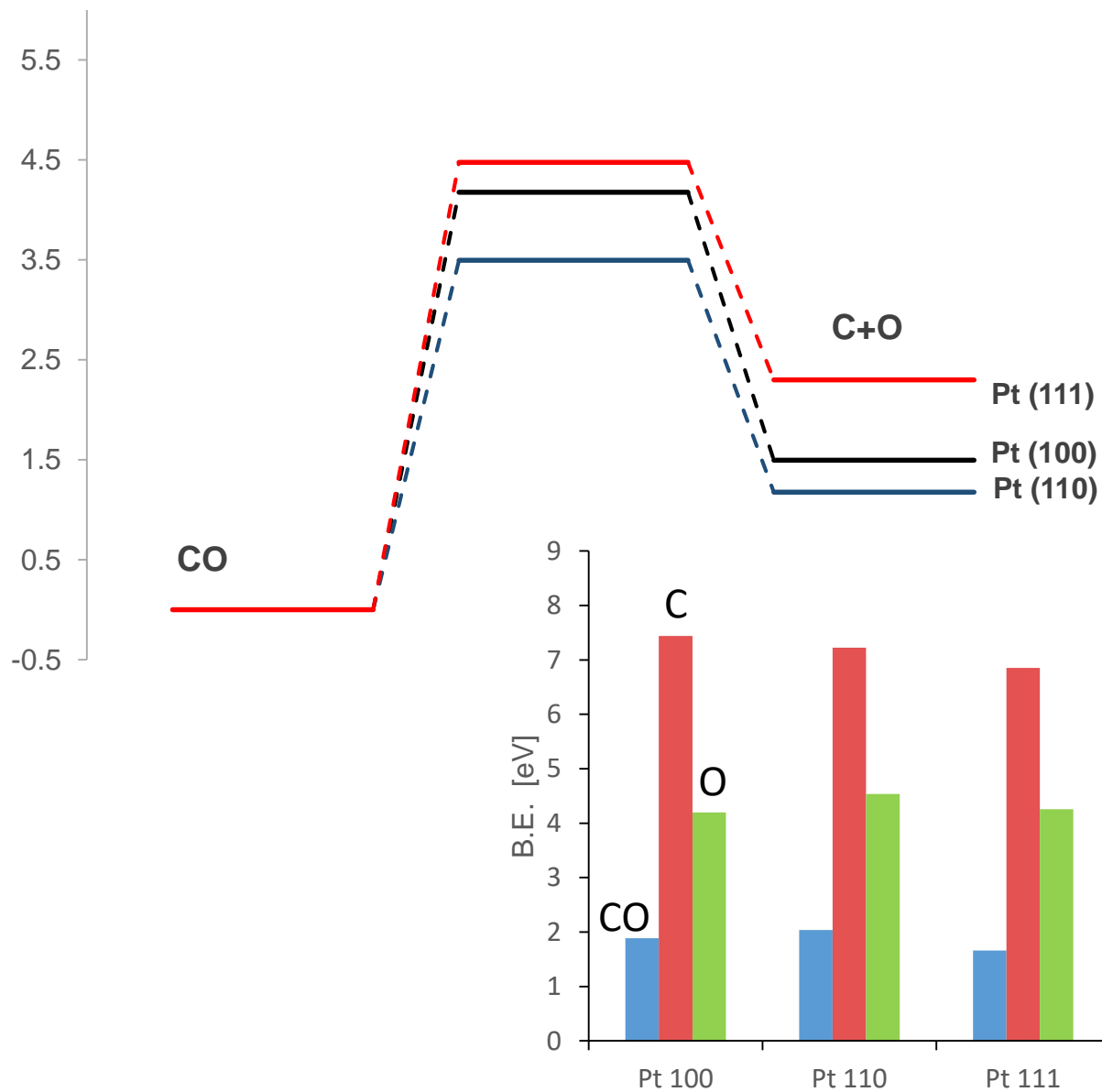
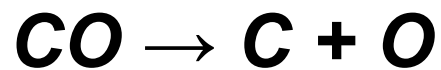
# Different metals for each structure



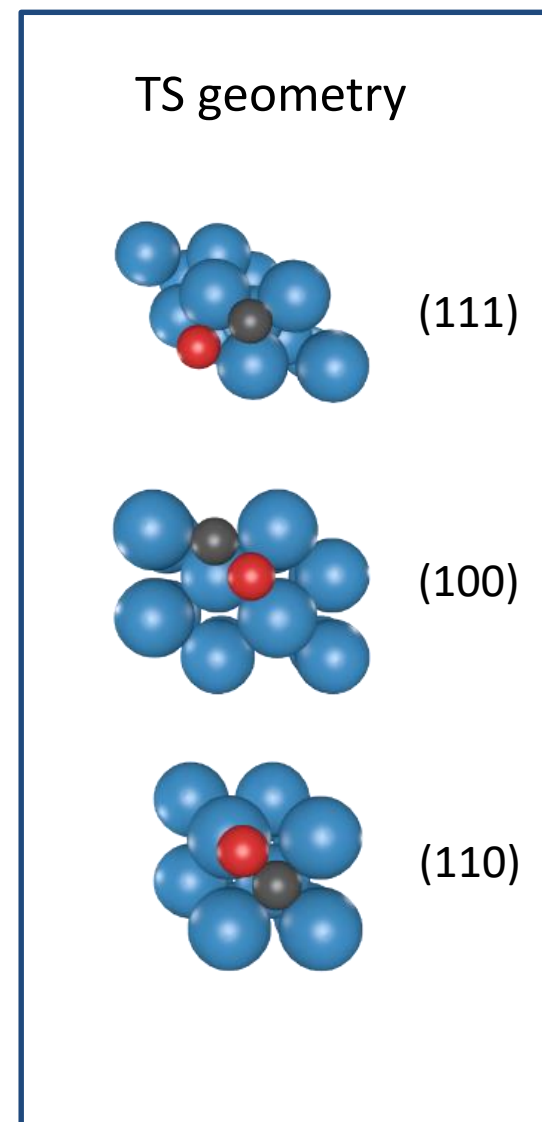
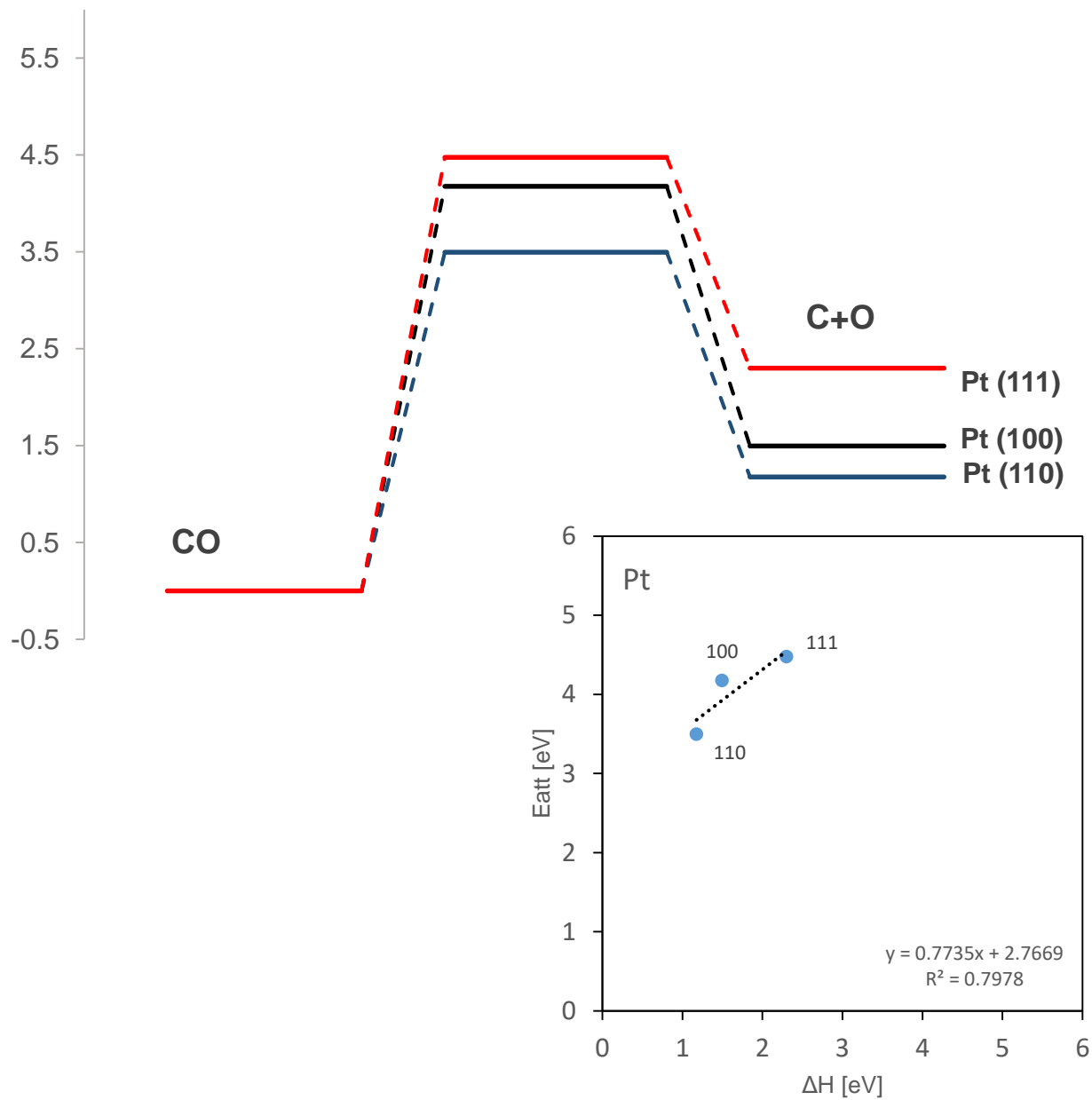
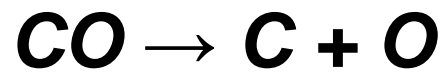


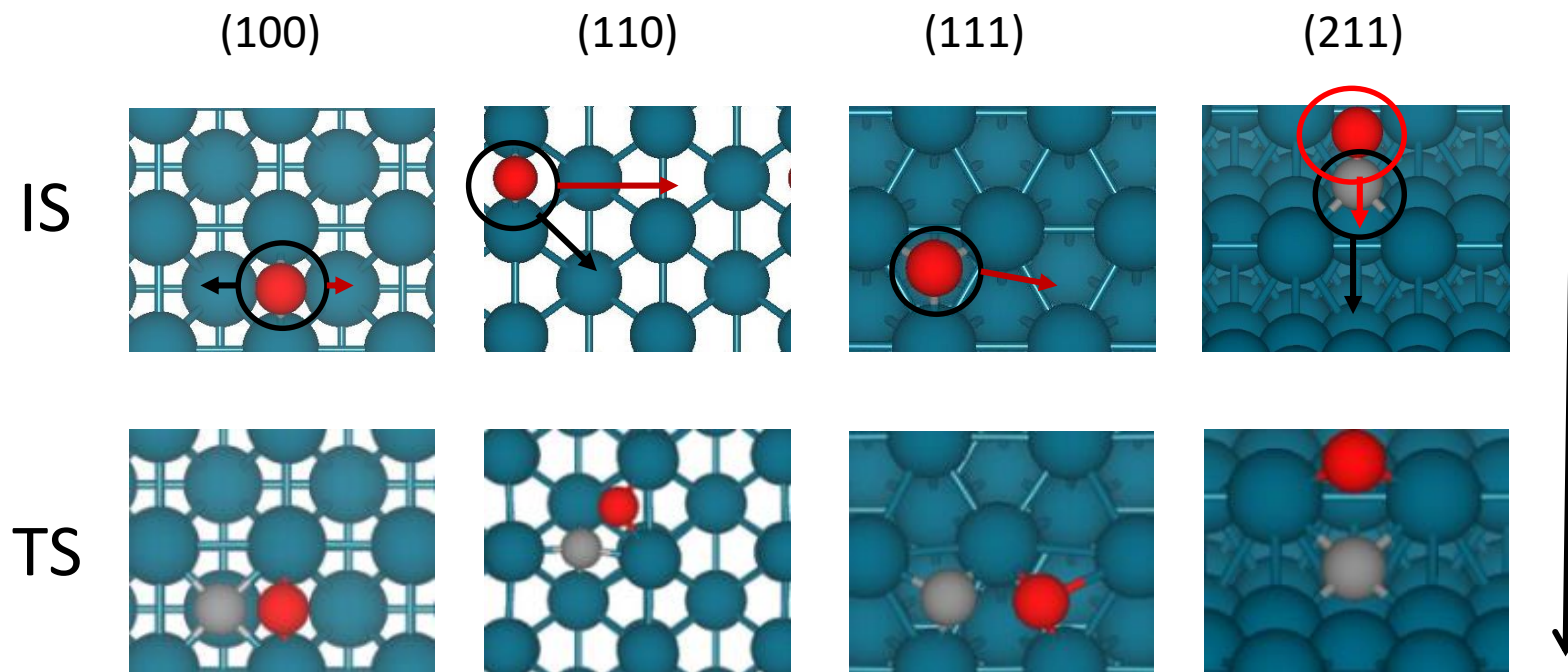
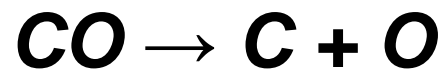
# All structures – all metals









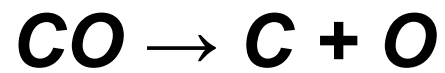


Dissociation sequence:

- CO migration
- CO bending
- C-O elongation

Determining factors:

- Anchoring C
- Lateness

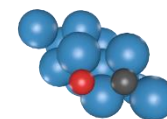
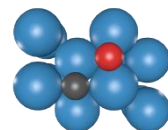
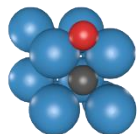


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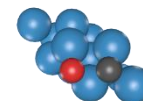
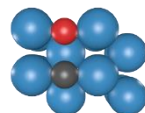
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(111)

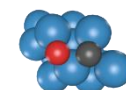
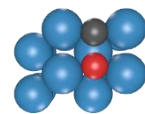
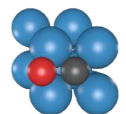
Ag



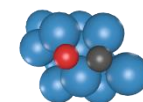
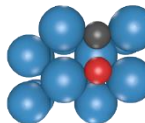
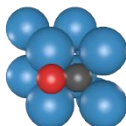
Cu



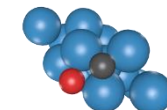
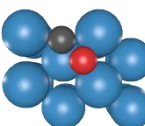
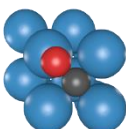
Ni



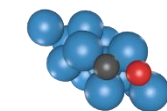
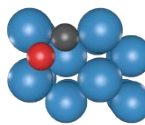
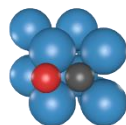
Pd

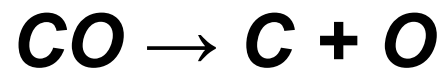


Pt



Rh



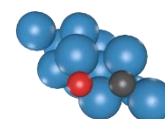
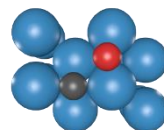
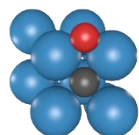


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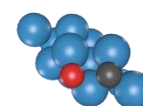
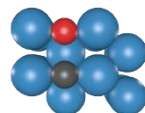
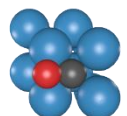
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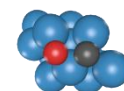
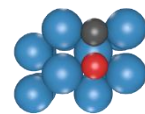
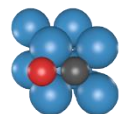
Ag



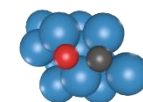
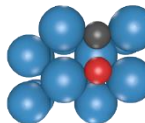
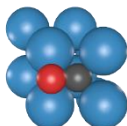
Cu



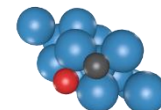
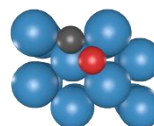
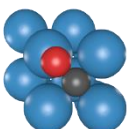
Ni



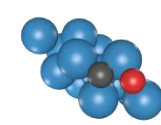
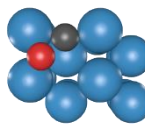
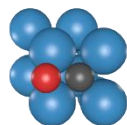
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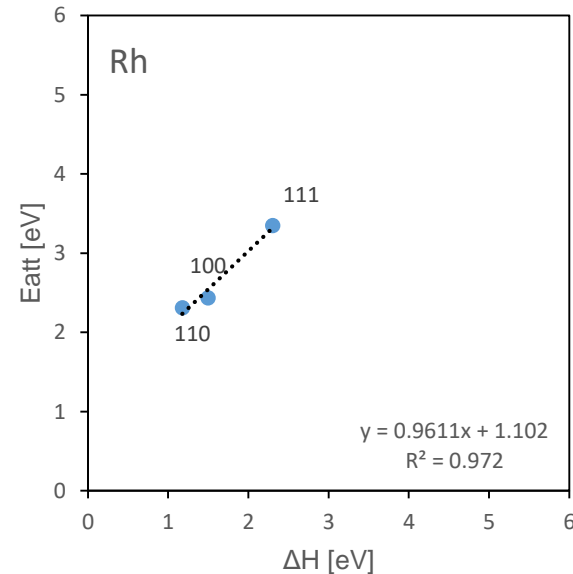
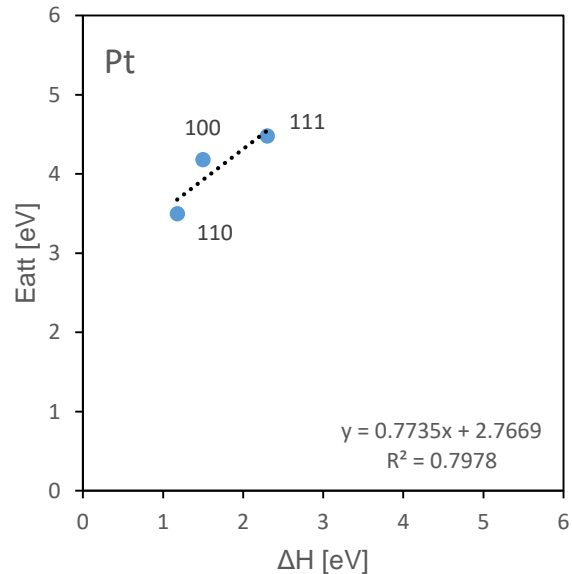
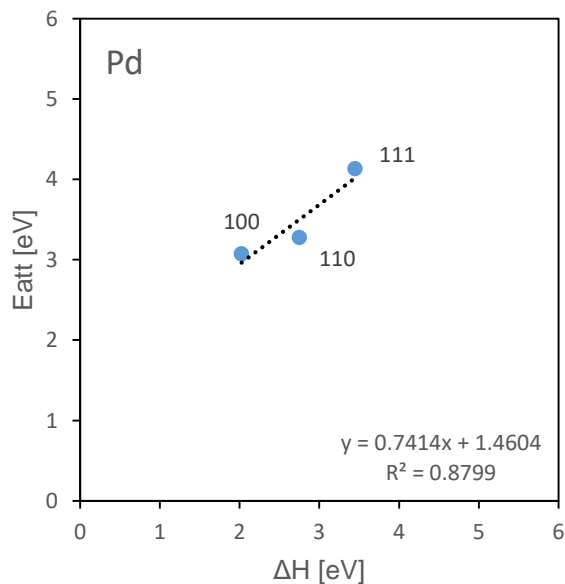
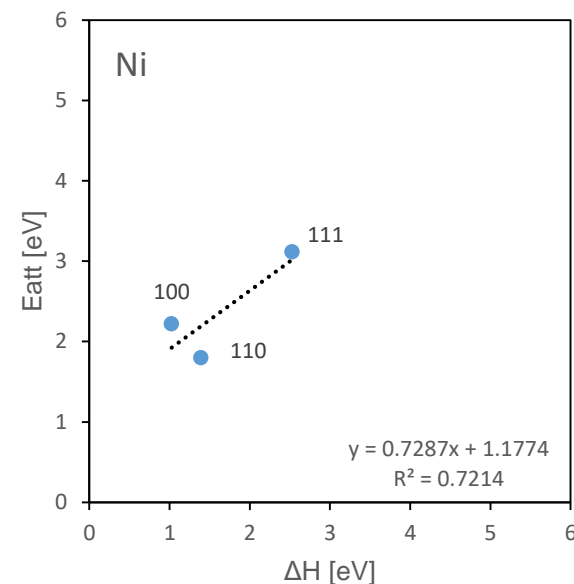
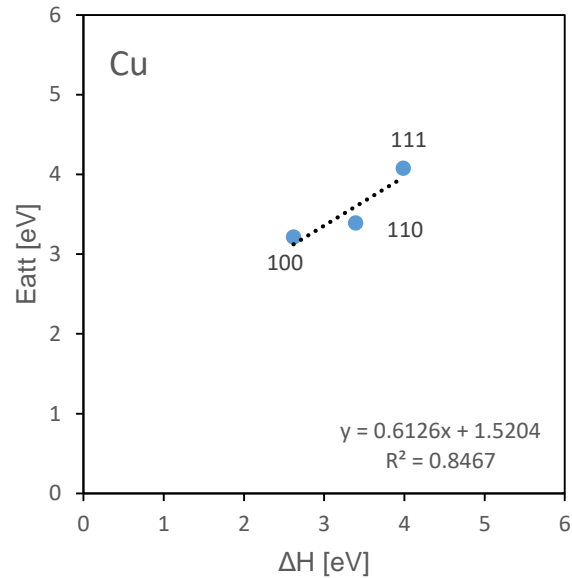
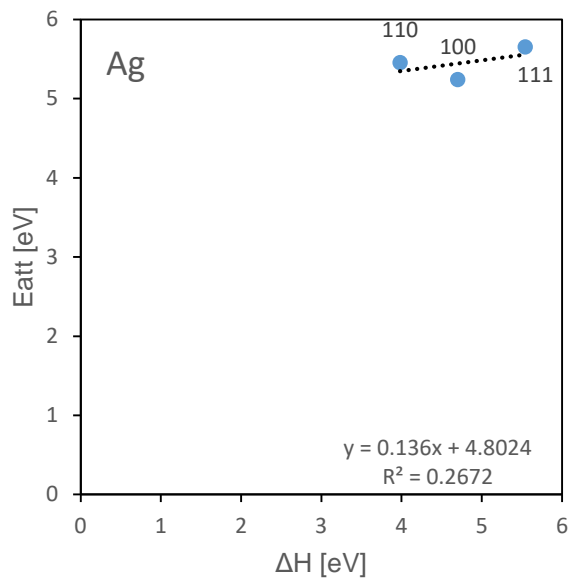
Pt



Rh

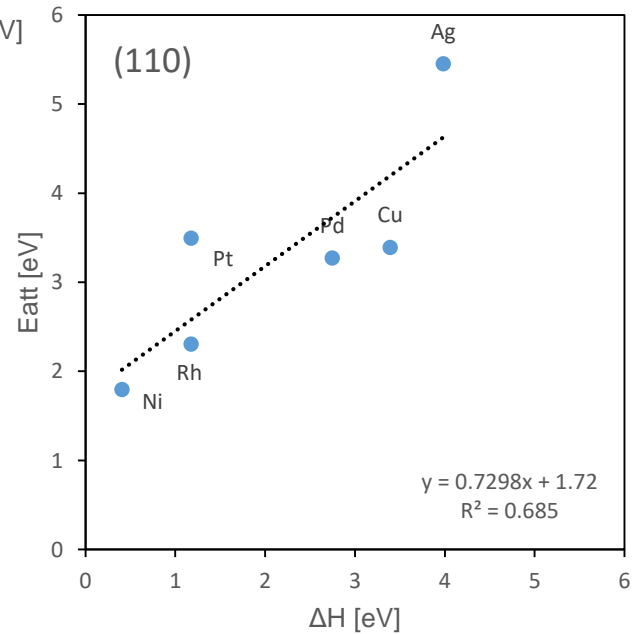
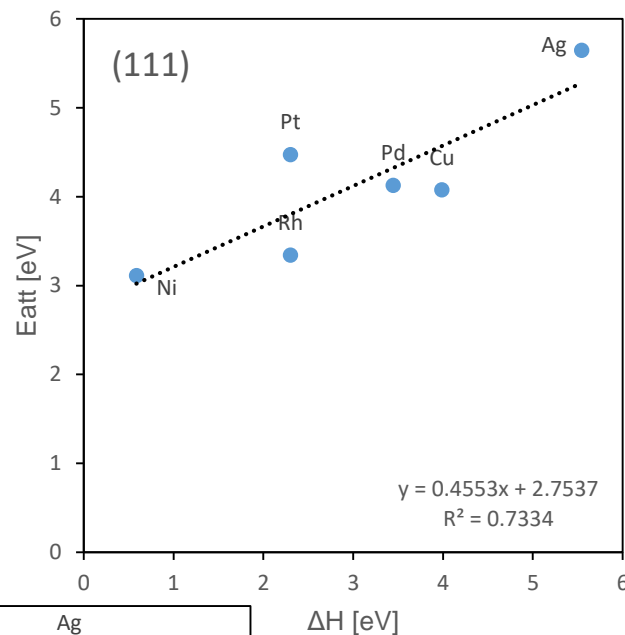
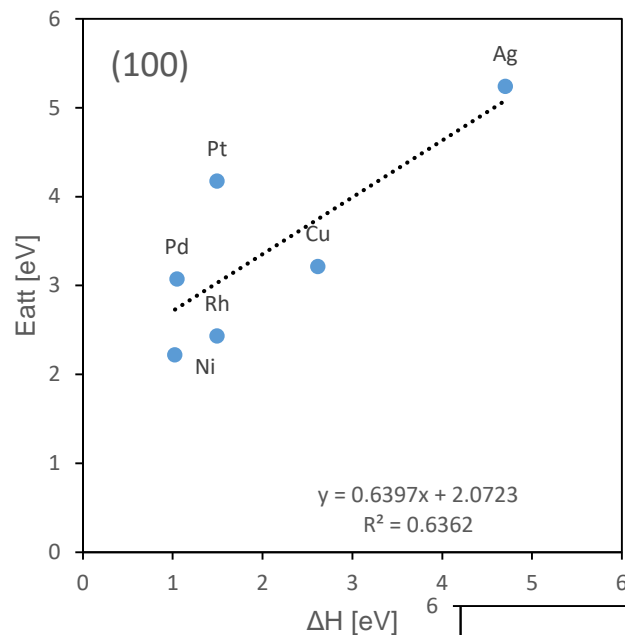


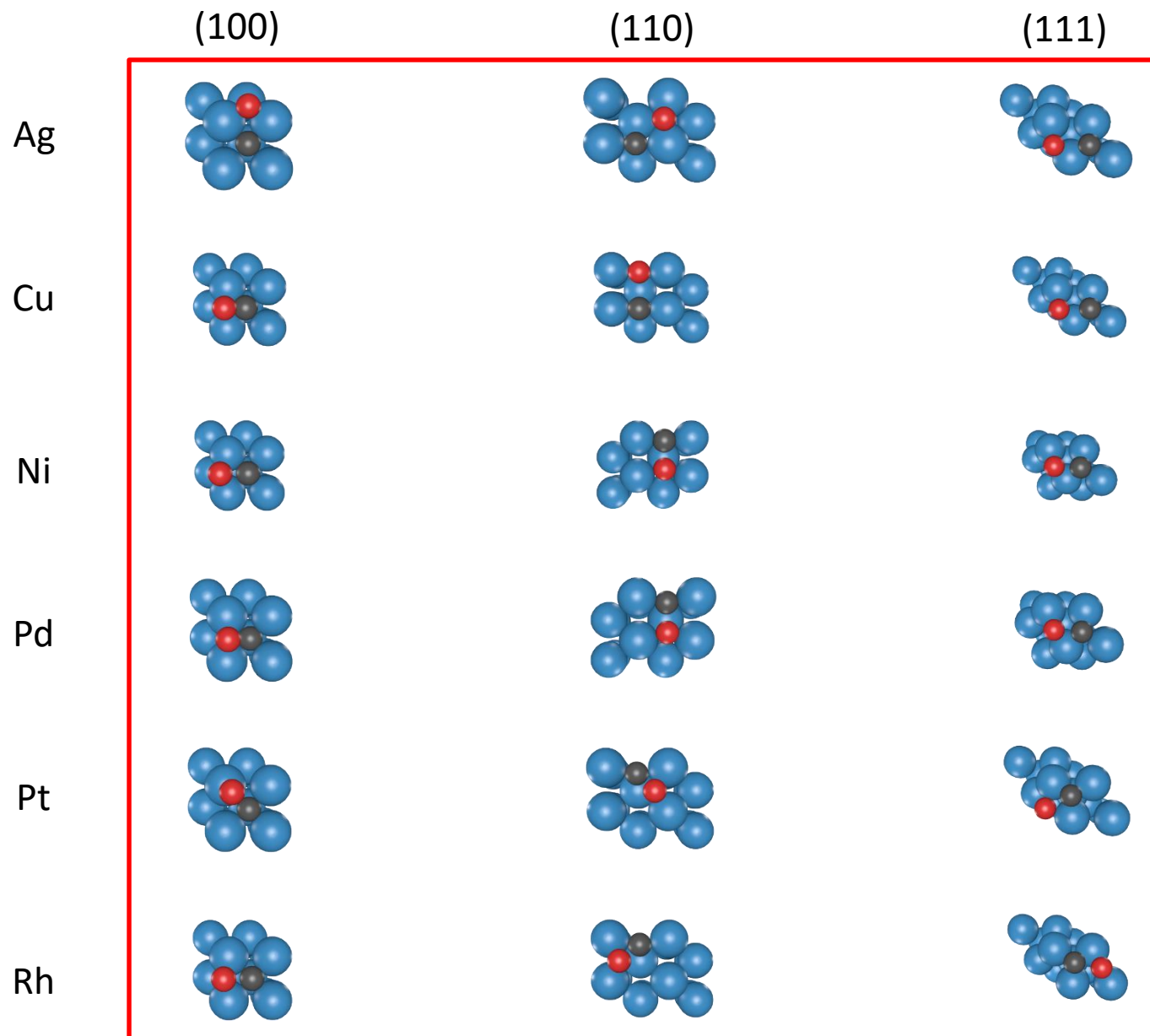
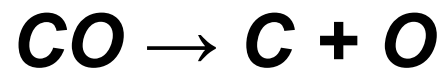
# Different structures for each metal





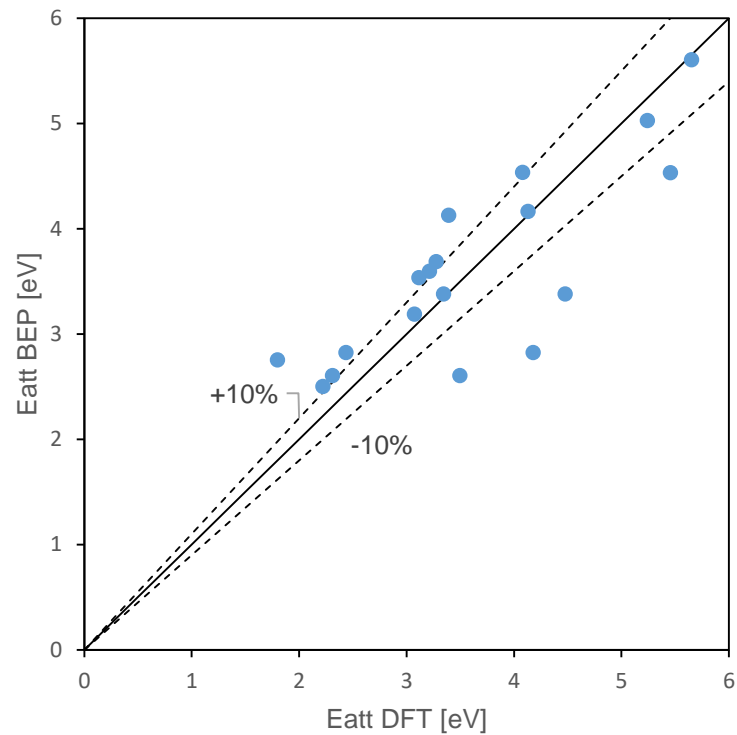
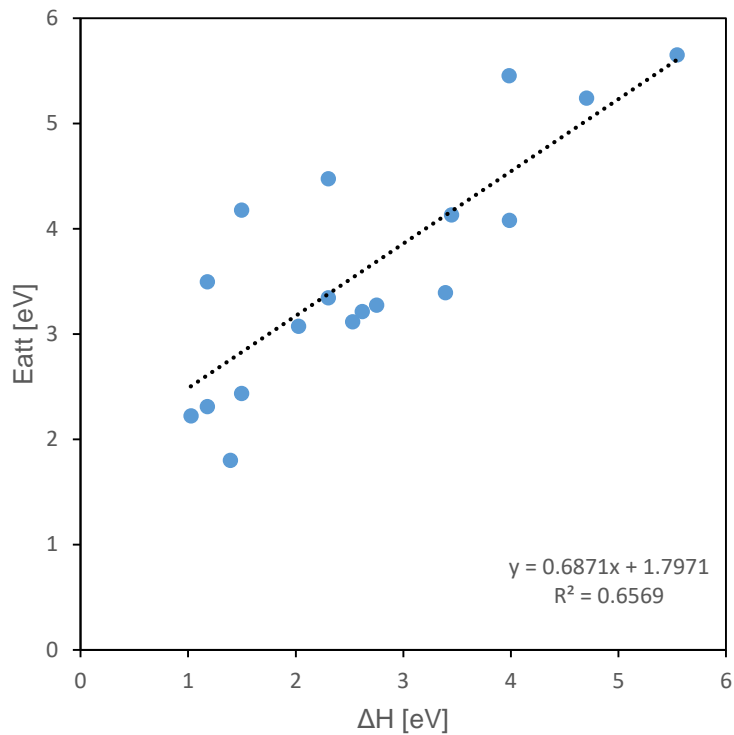
# Different metals for each structure



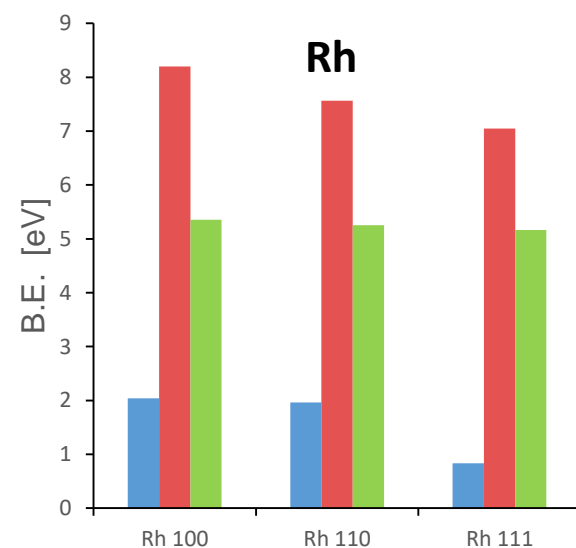
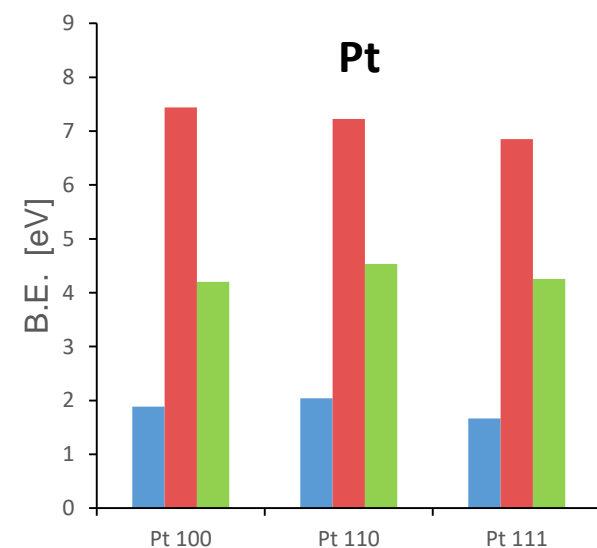
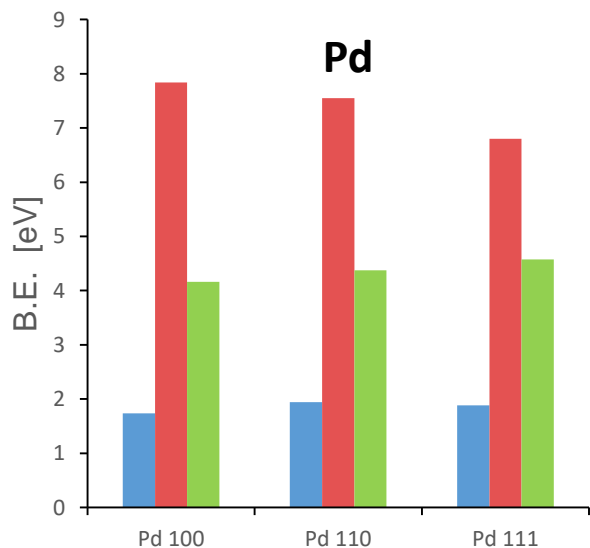
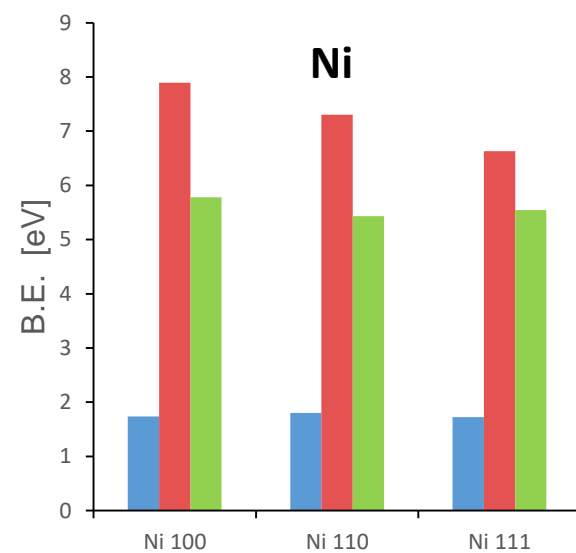
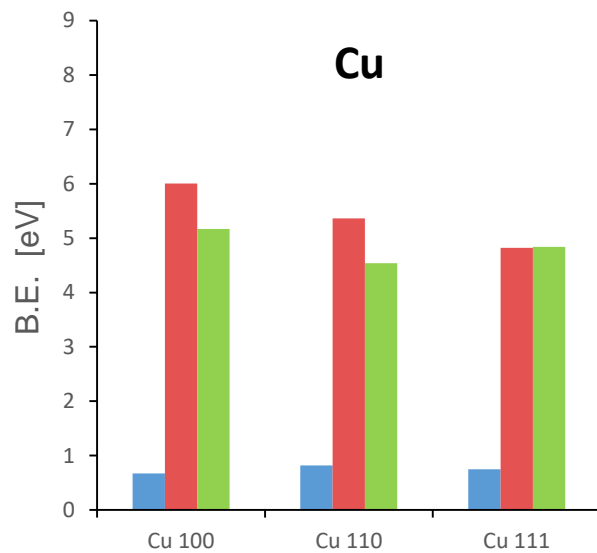
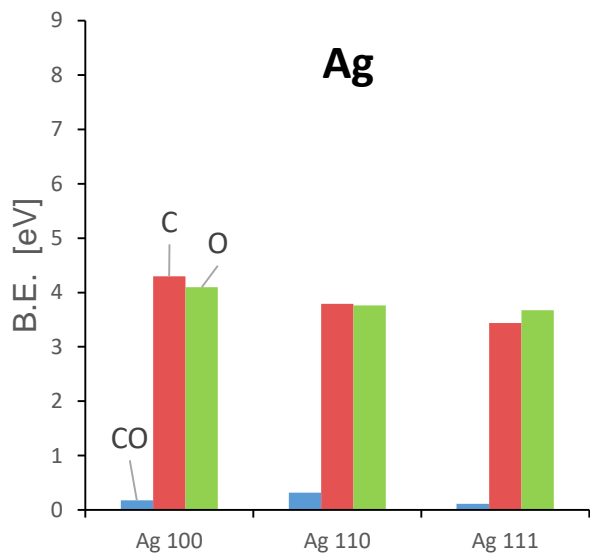




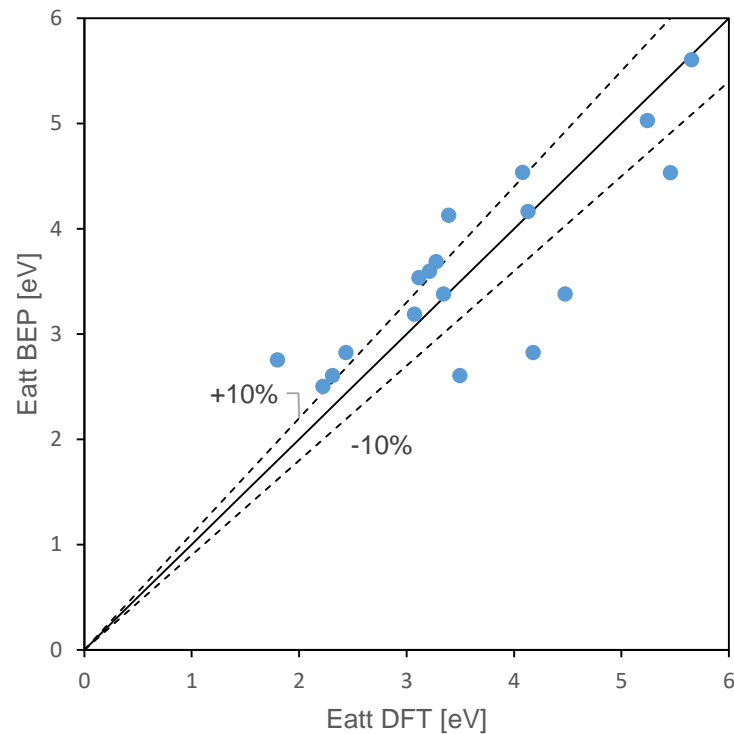
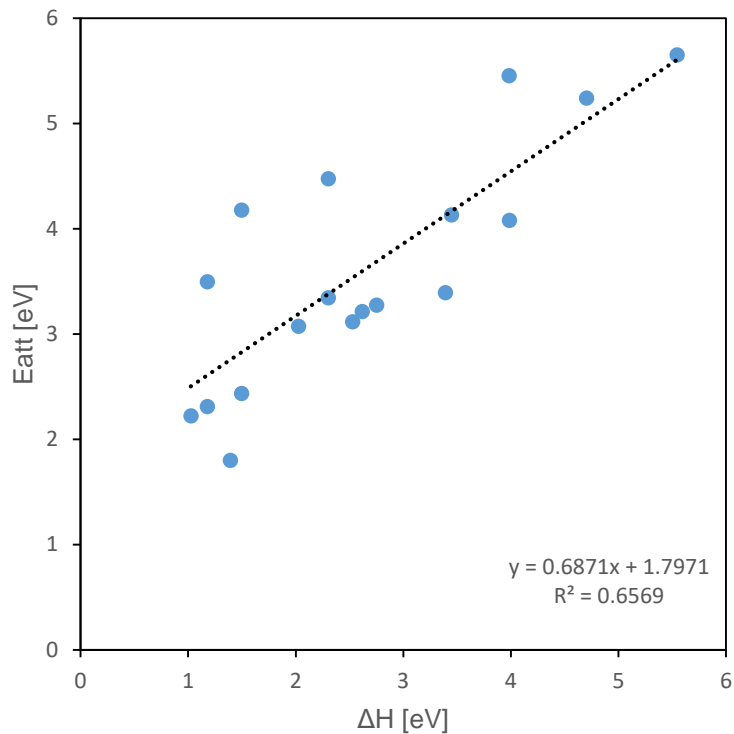
# All structures – all metals



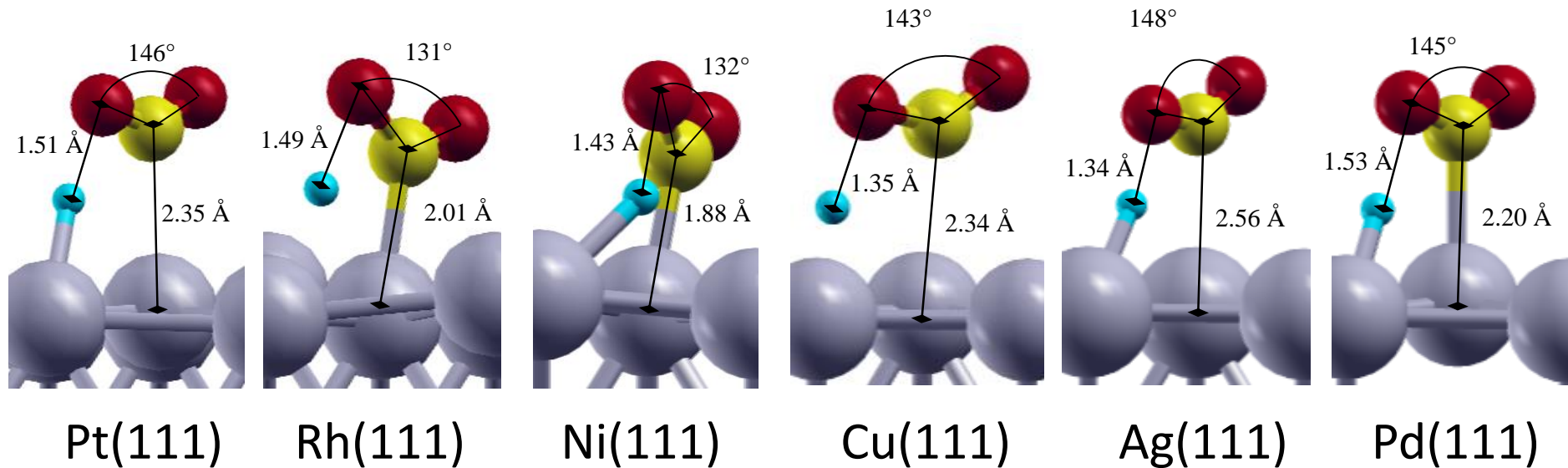
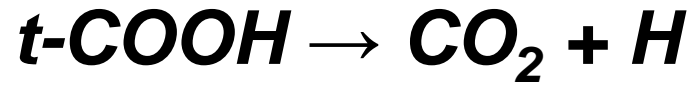
# Reactant and products binding energies



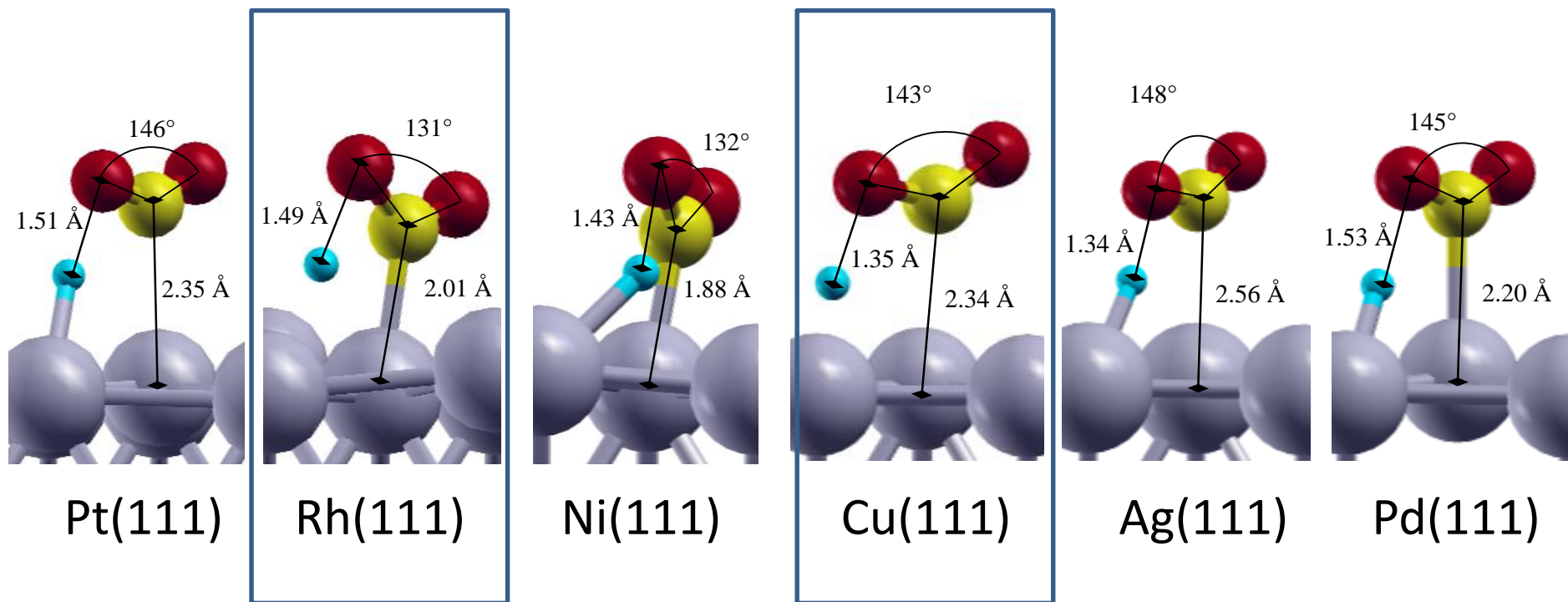
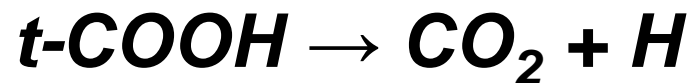
# All structures – all metals



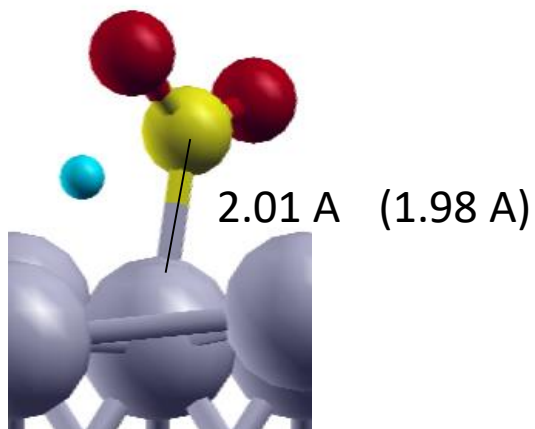
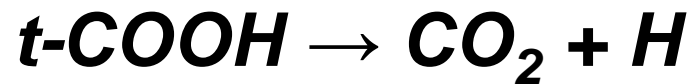
Different stabilities of reactants and products  
and their concomitant effect on the TS can affect the BEP



Transition state geometry changes among the different metals.

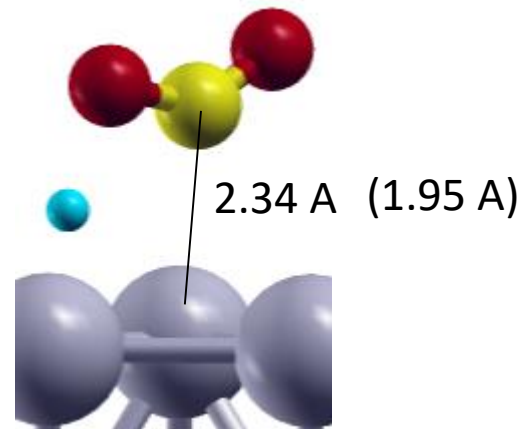


Transition state geometry changes among the different metals.



**Rh(111)**

H b.e. = 2.85 eV

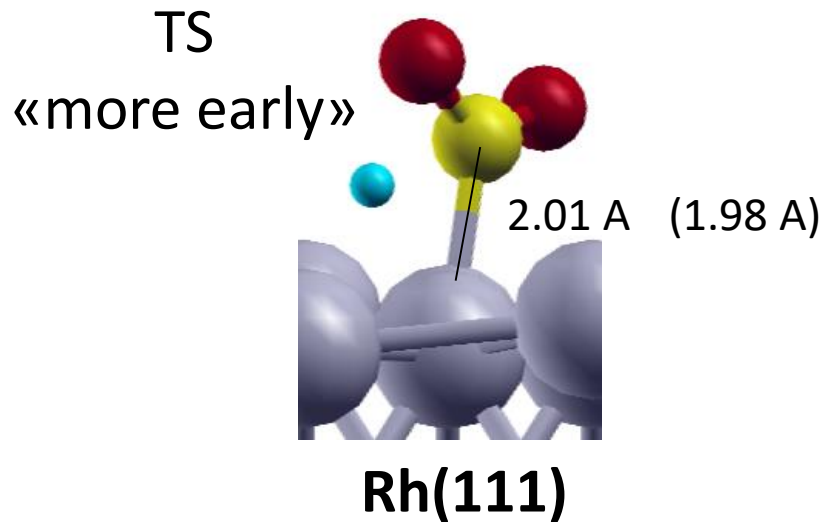
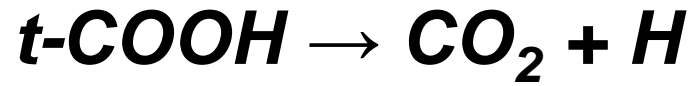


**Cu(111)**

H b.e. = 2.58 eV

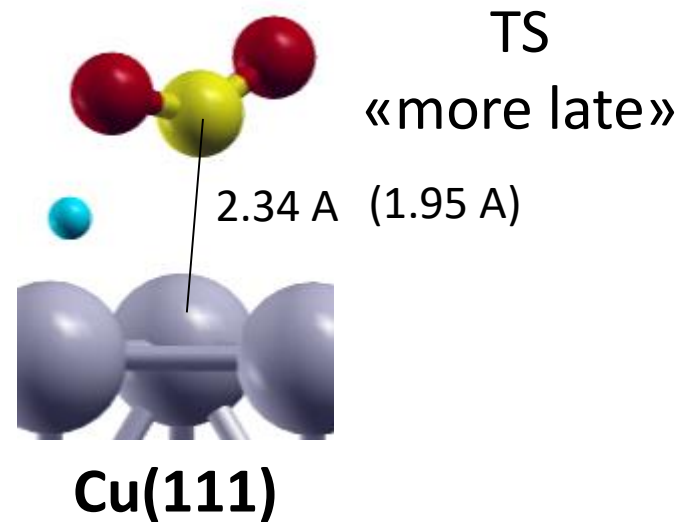
t-COOH b.e. = 3.08 eV

t-COOH b.e. = 2.12 eV



H b.e. = 2.85 eV

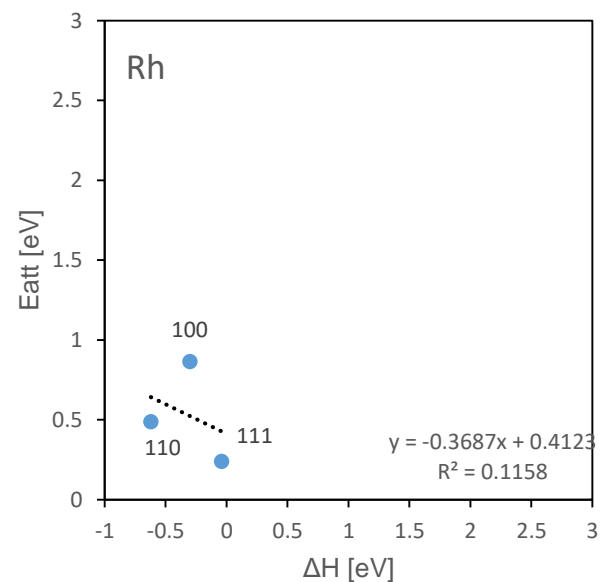
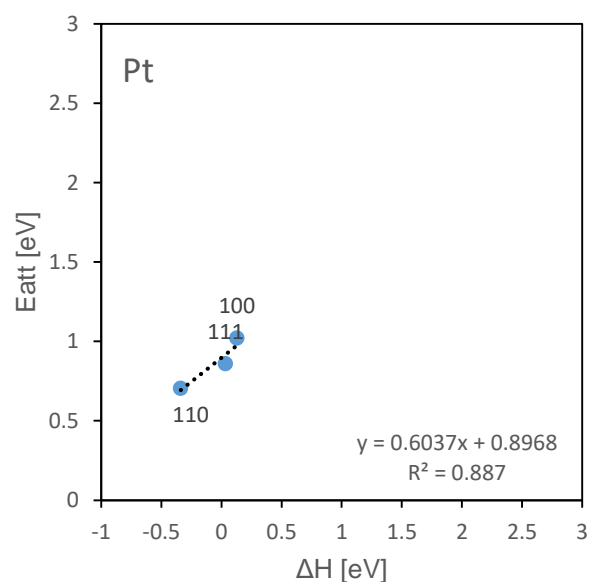
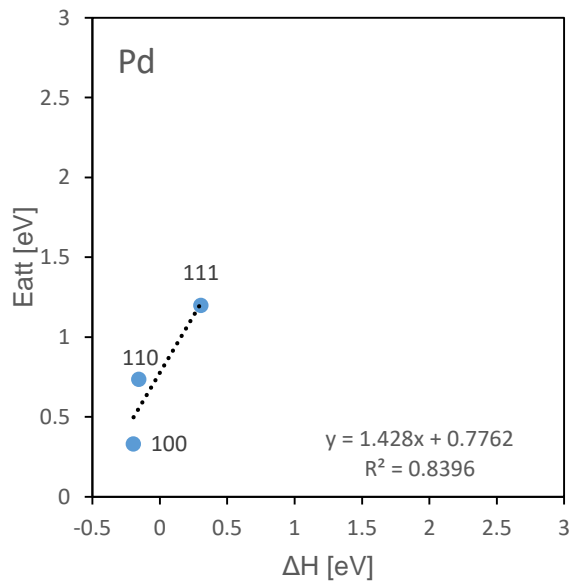
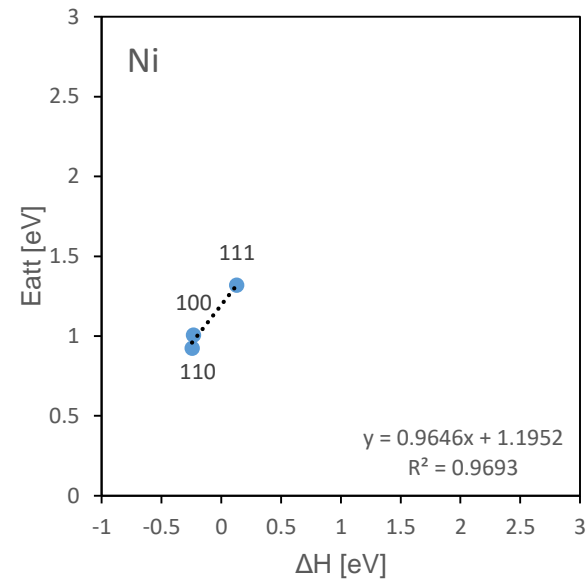
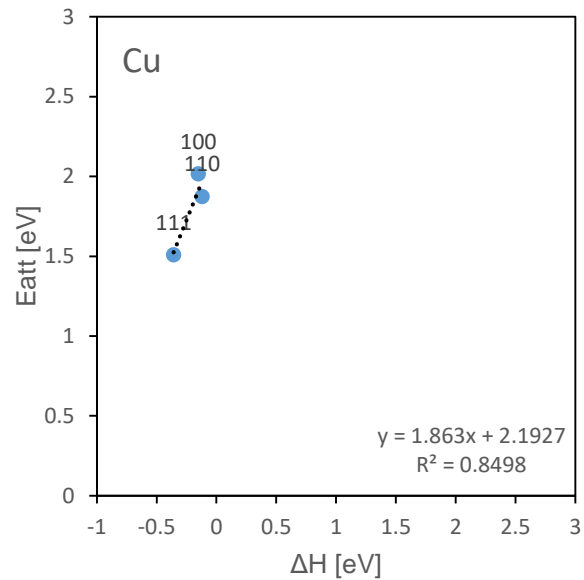
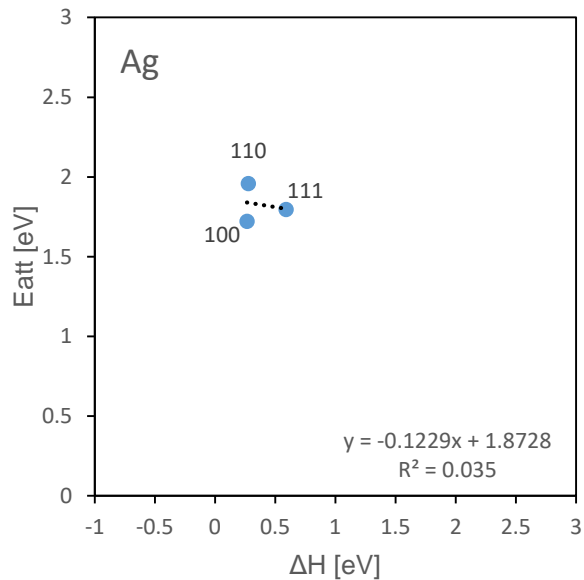
t-COOH b.e. = 3.08 eV



H b.e. = 2.58 eV

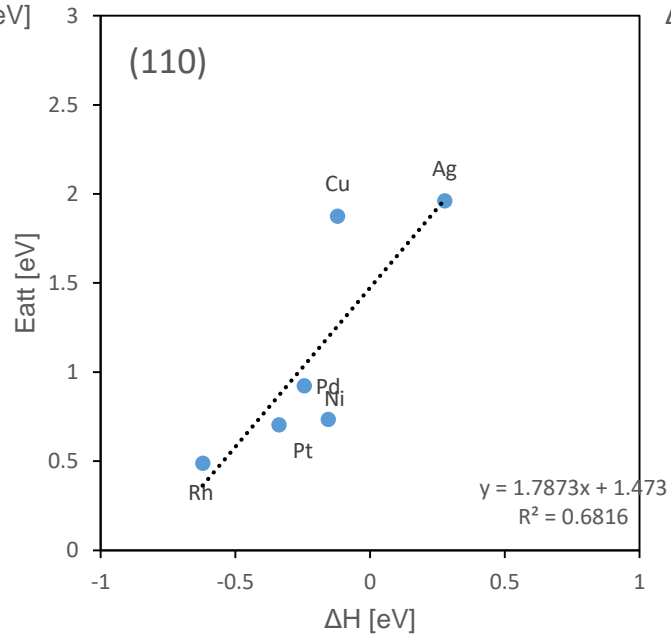
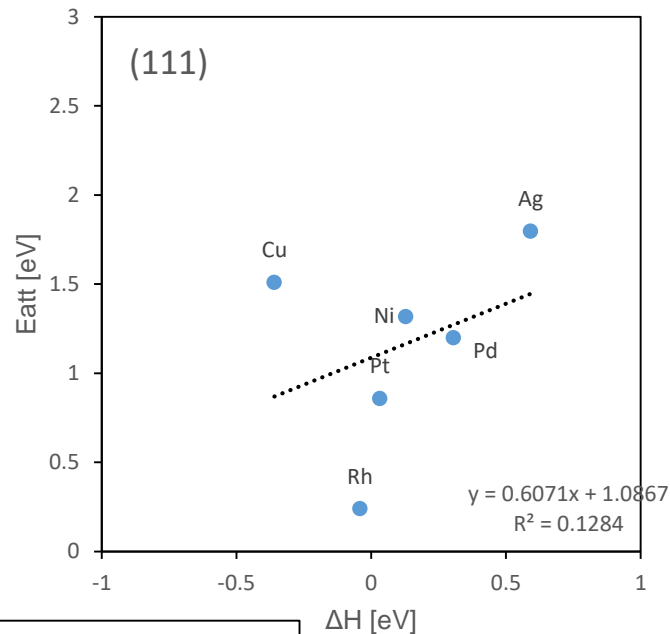
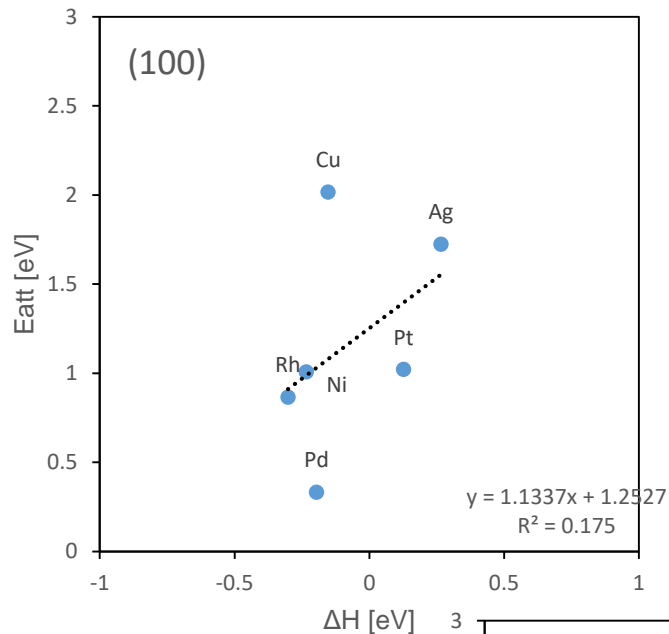
t-COOH b.e. = 2.12 eV

# Different structures for all metals

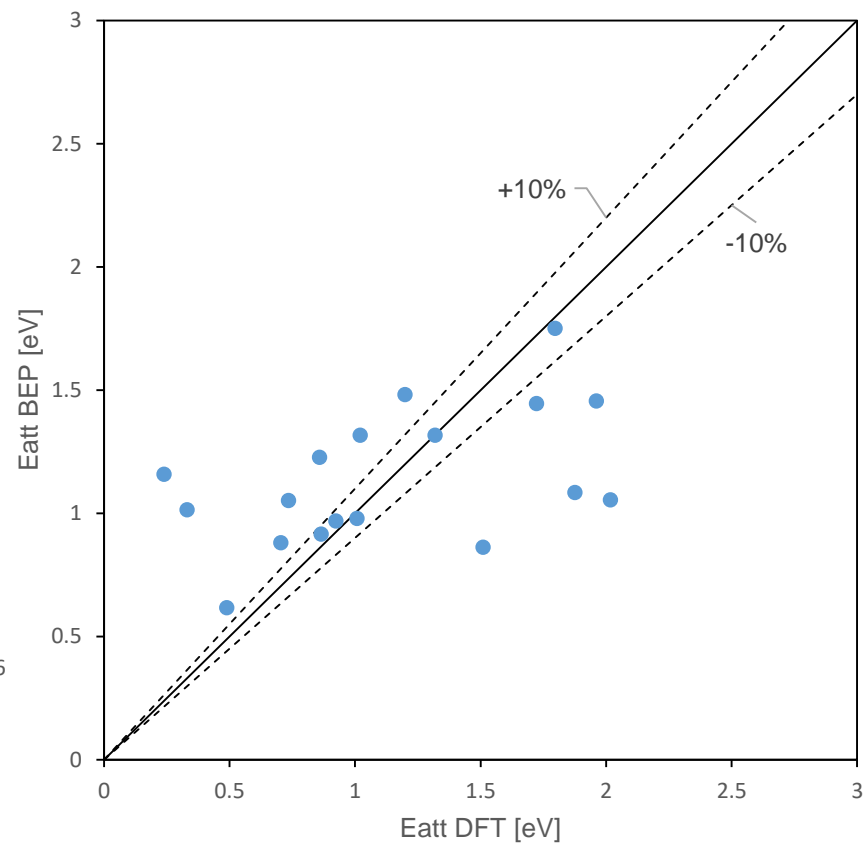
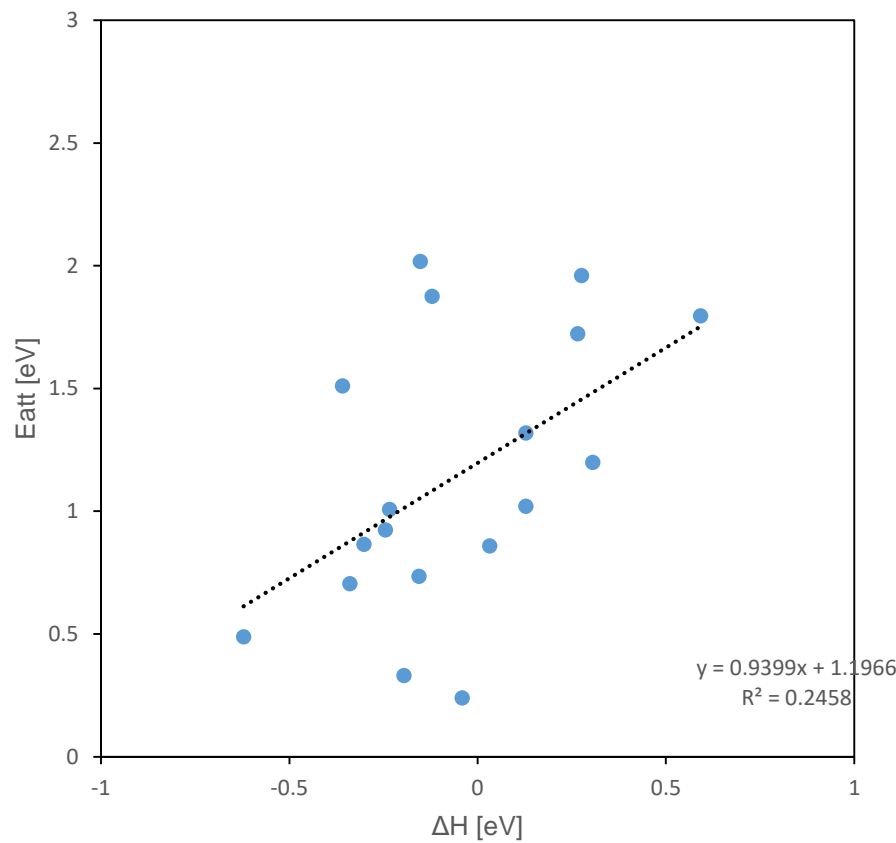




# Different metals for each structure



# All structures – all metals



# ***Conclusions on BEP***

- a) A first-principles assessment of BEP relations to be used in hierarchical modeling for the development of structure-dependent microkinetic models.
- b) The problem is not about their use as an alternative to higher level theories, but about their reliability **where higher level theories are ruled out by complexity: are they able to deal with the «complication» when «complexity» makes the higher level theories not applicable?**
- c) Information on the TS is crucial.
- d) The differences in the interactions between reactants/products and the surface affect the nature of the TS and this limits the applicability of the BEP relation.

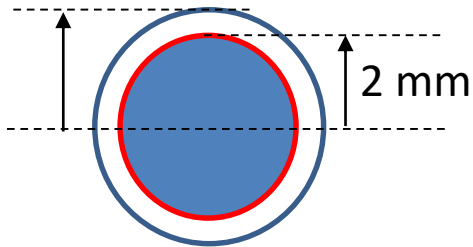
# *Outline*

- ✓ Theoretical methods for the prediction of dynamic changes of nanoparticles and phase transformation.
- ✓ Parametrization of kinetic parameters on different sites.
- ✓ Novel experimental tools: spectroscopy & kinetically relevant data.
- ✓ Confinement effects: «physical» catalysis

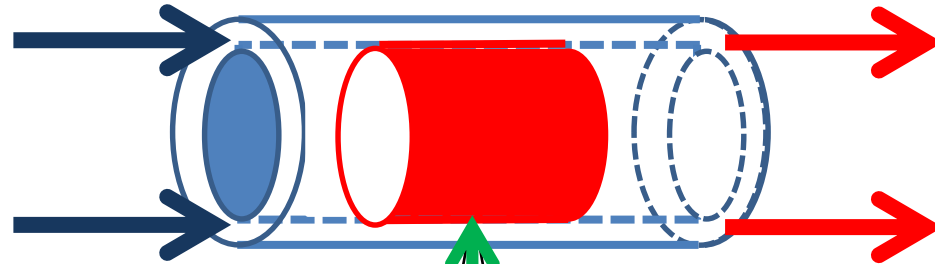
# Monitoring activity and structure

## Annular Reactor

2.5 mm

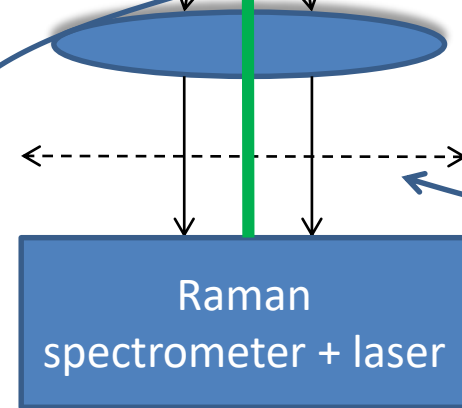


Minimization of the mutual invasiveness of the two techniques is a requirement.



- ✓ Optimization of the **optic system** to allow for an efficient collection of the back-scattering signal
- ✓ Proper integration of the Raman with the **heating system**
- ✓ Calibration ( $< 1 \text{ mW}/\mu\text{m}^2$ ) of the laser **power** to avoid interaction with the chemistry
- ✓ Excitation laser in the blue-green (e.g., 457 nm) to avoid **black-body effect at the high reaction temperature** ( $800^\circ\text{C}$ )

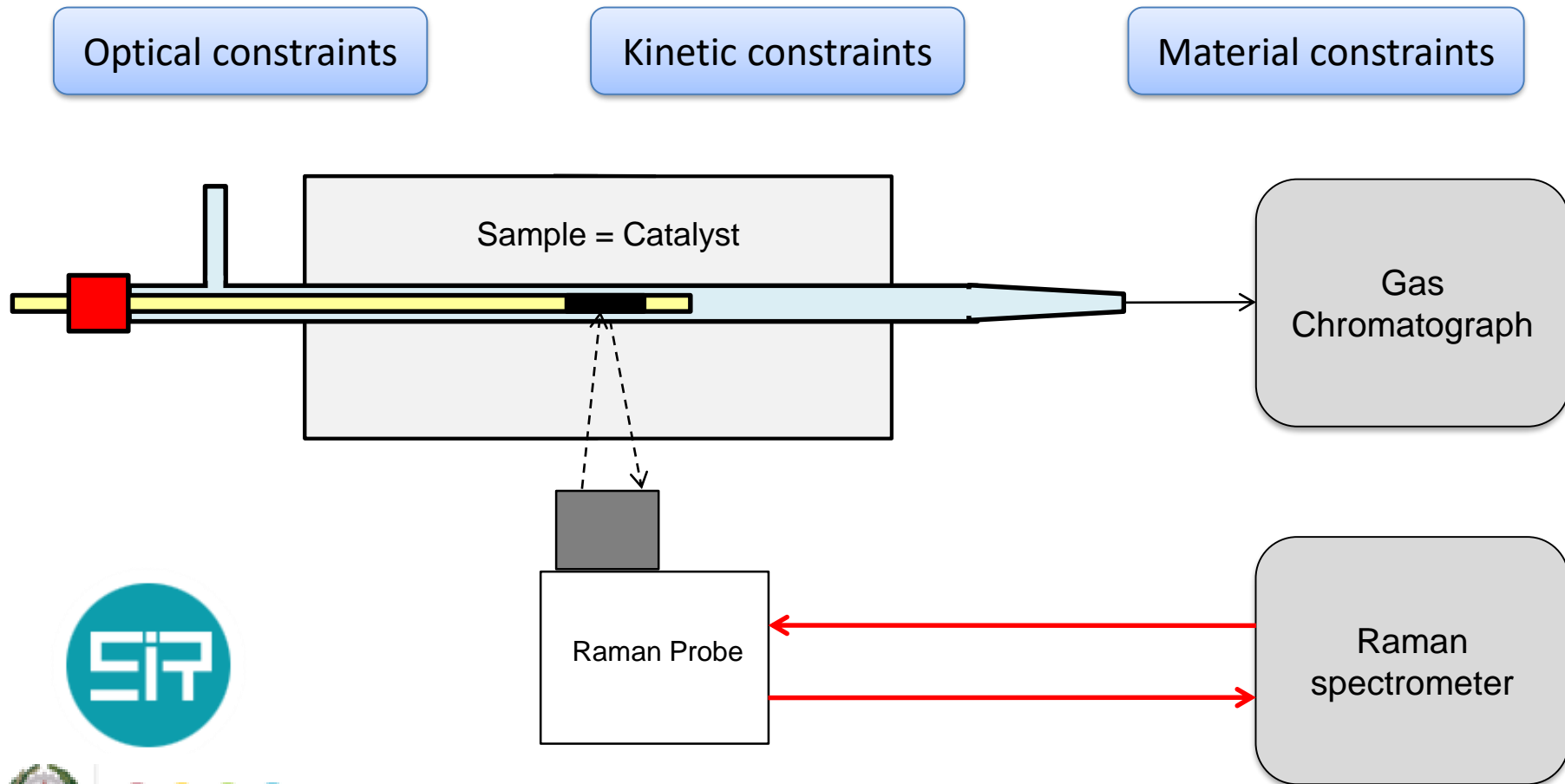
- ✓ Connection with fiber-optics to allow spatially-resolved measurement



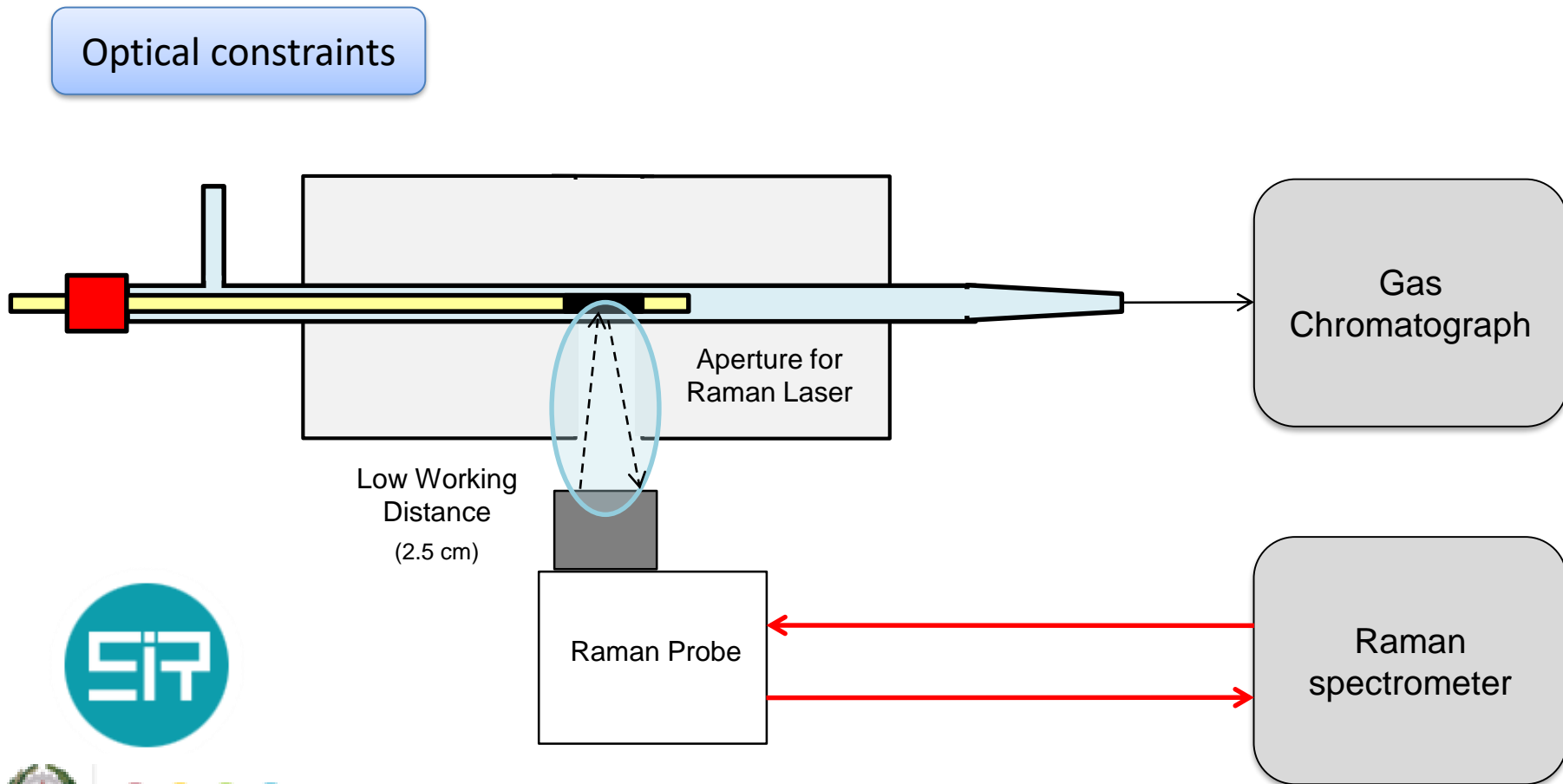
Raman Spectrometer



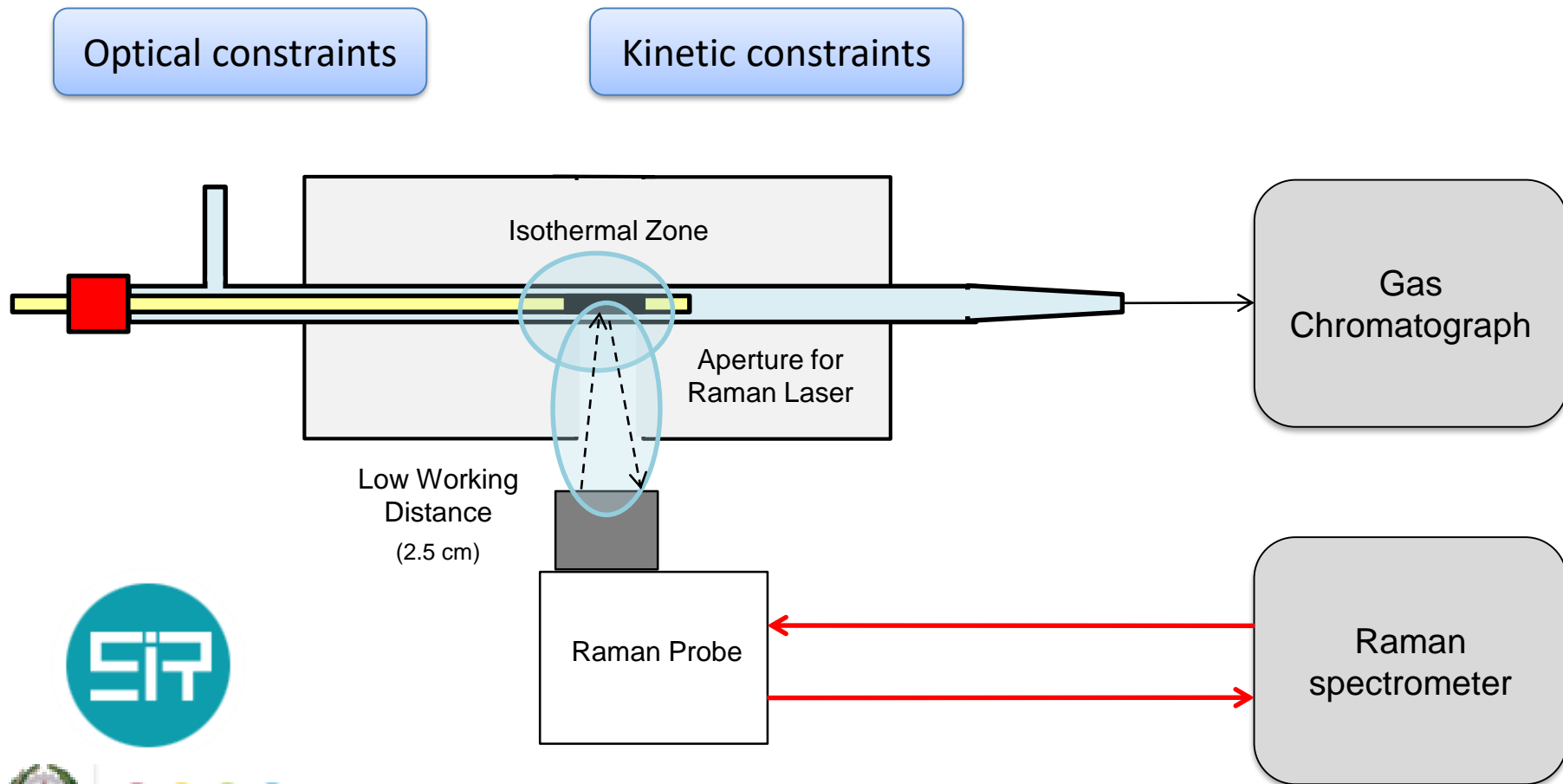
# Coupling is more than «plug&play»



# Coupling is more than «plug&play»

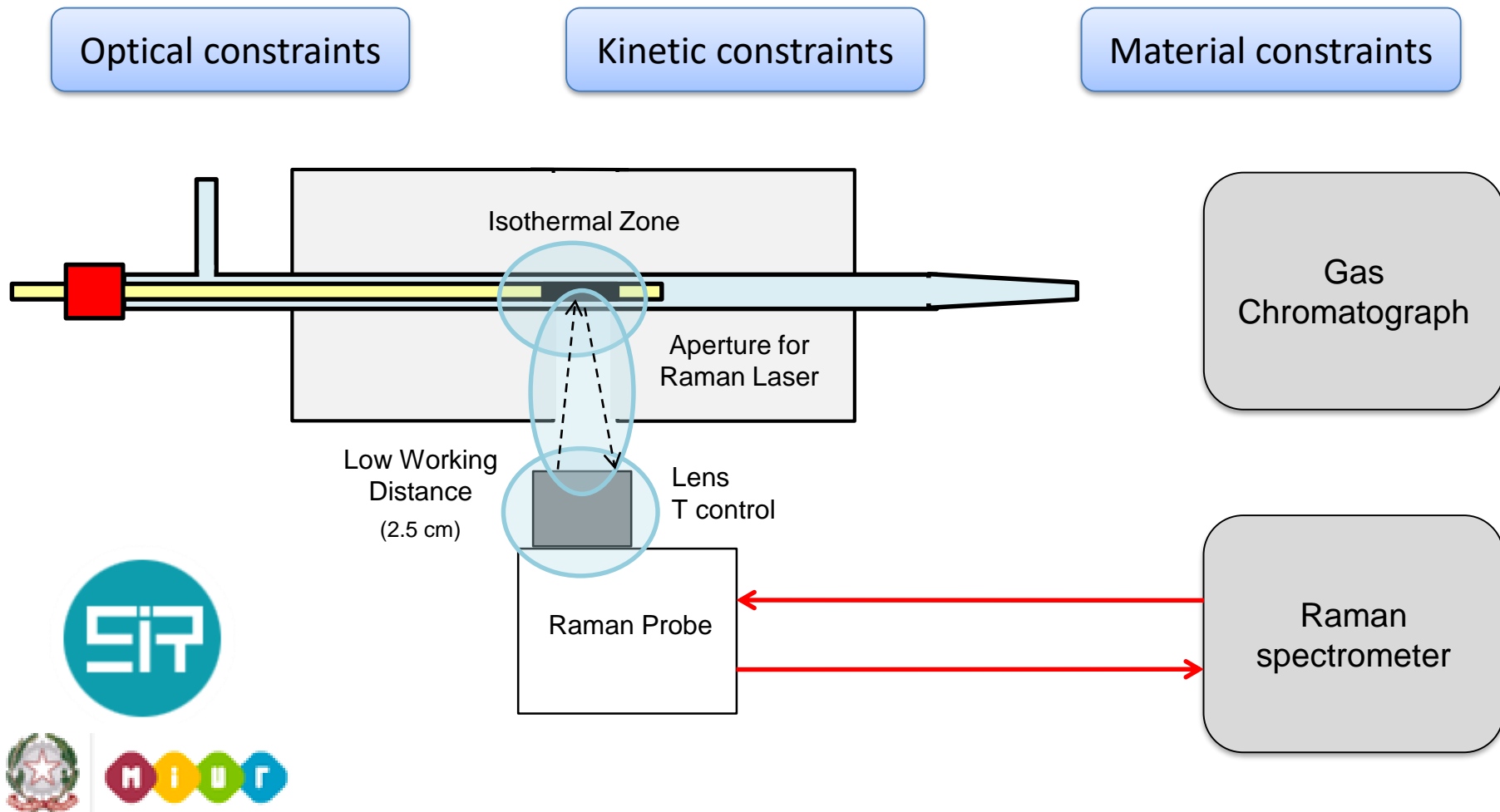


# Coupling is more than «plug&play»

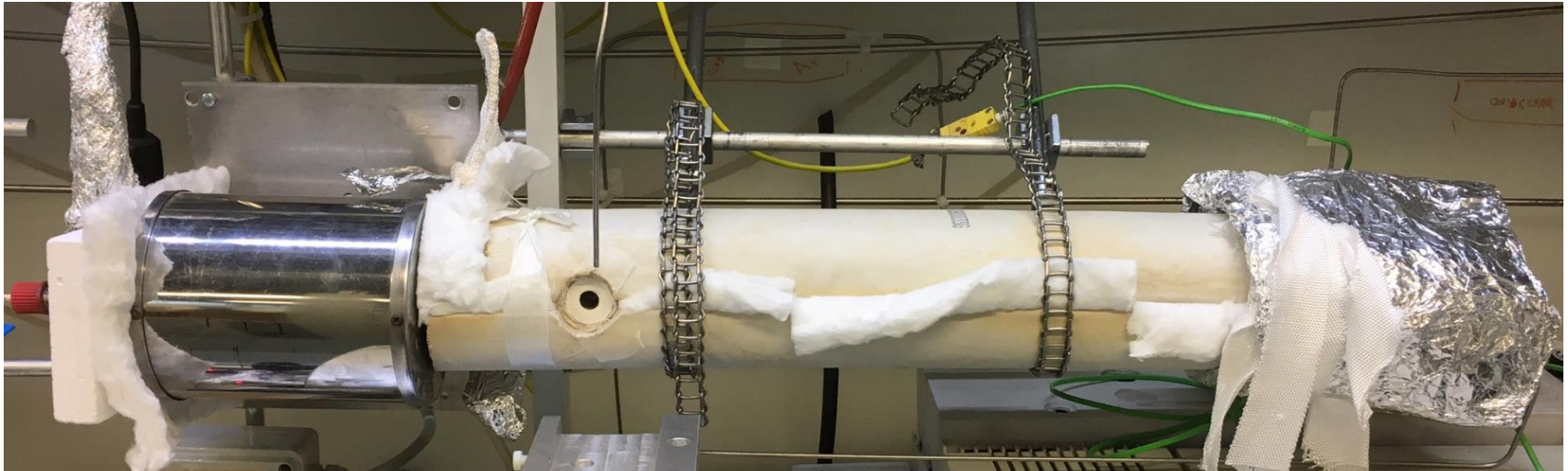




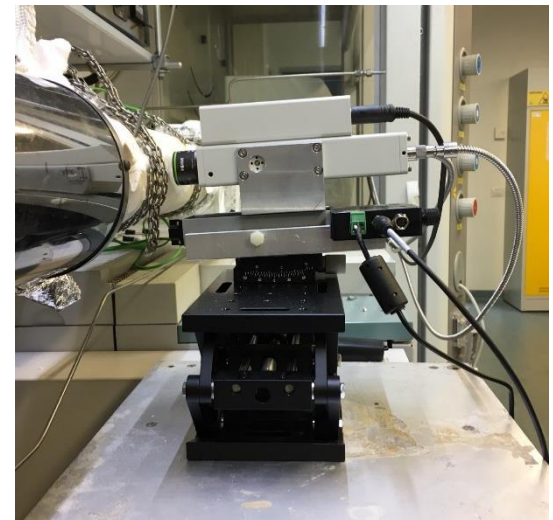
# Coupling is more than «plug&play»



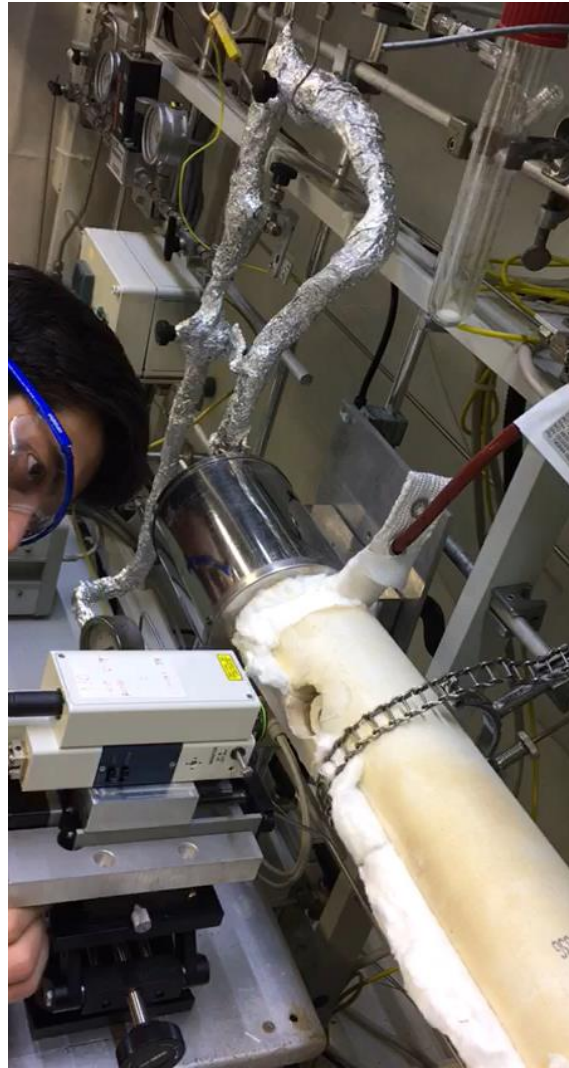
# ***Spectro-annular reactor.***



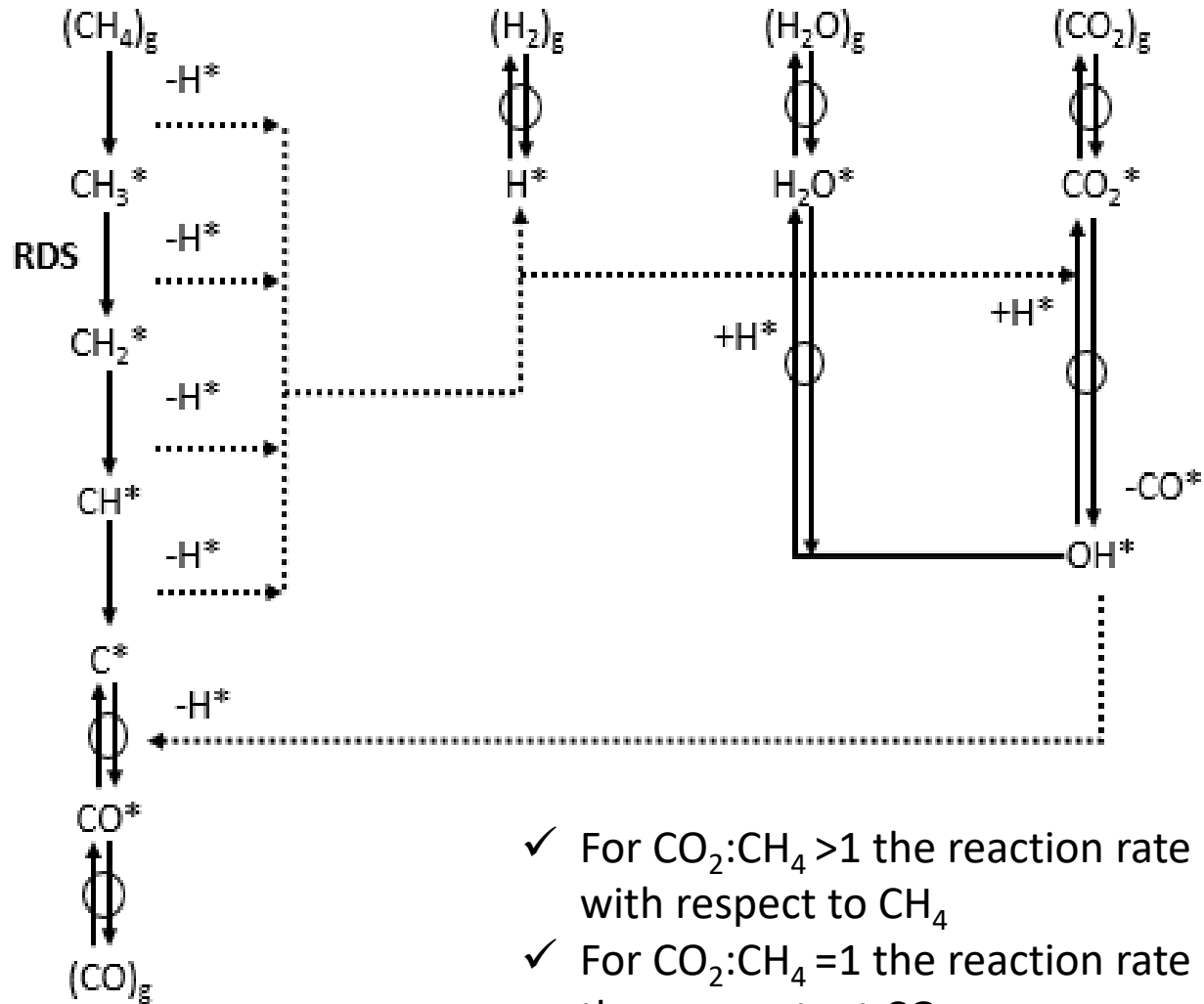
- ✓ Spectroscopic & kinetic measurements
- ✓ conditions of T, P, and concentration relevant to catalysis



# ***Spectro-annular reactor.***

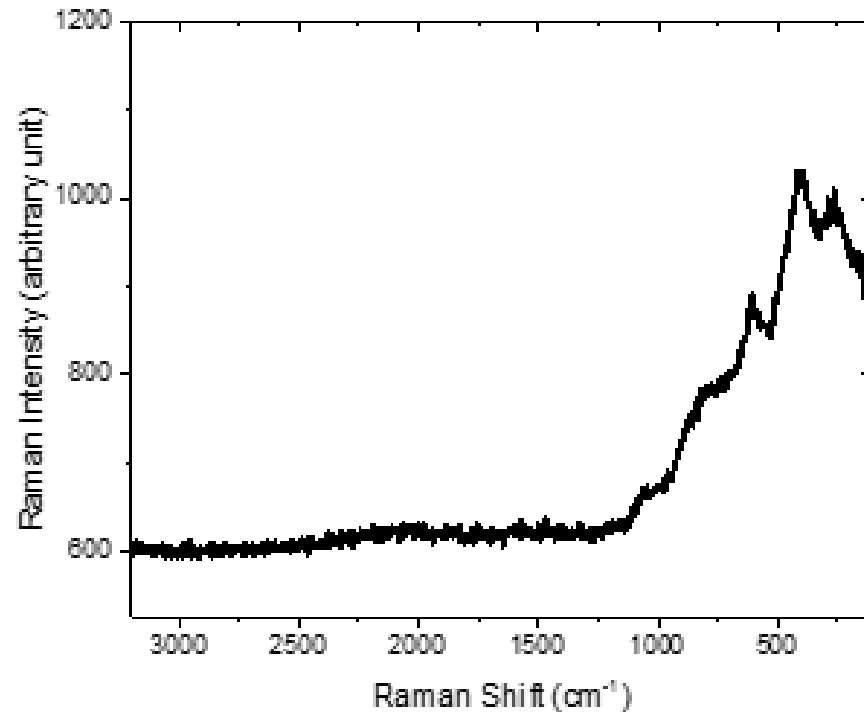
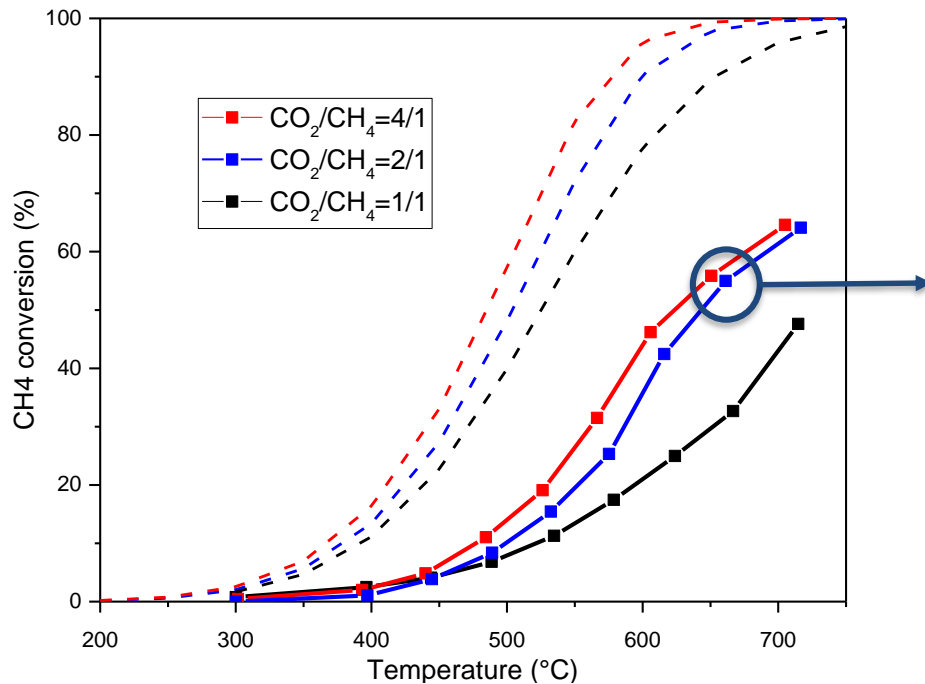


# Dry-reforming of methane on Rh

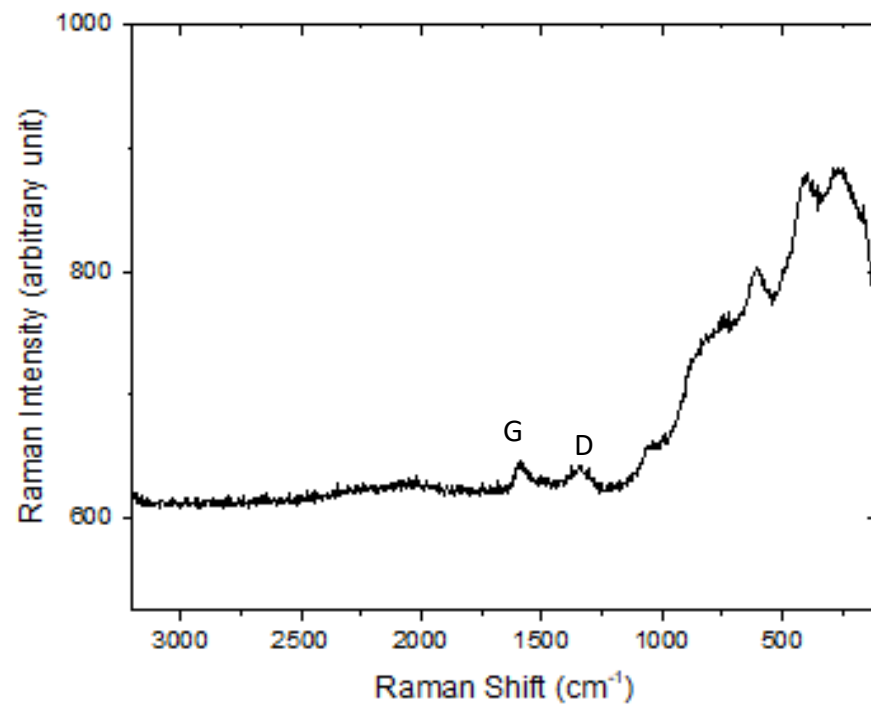
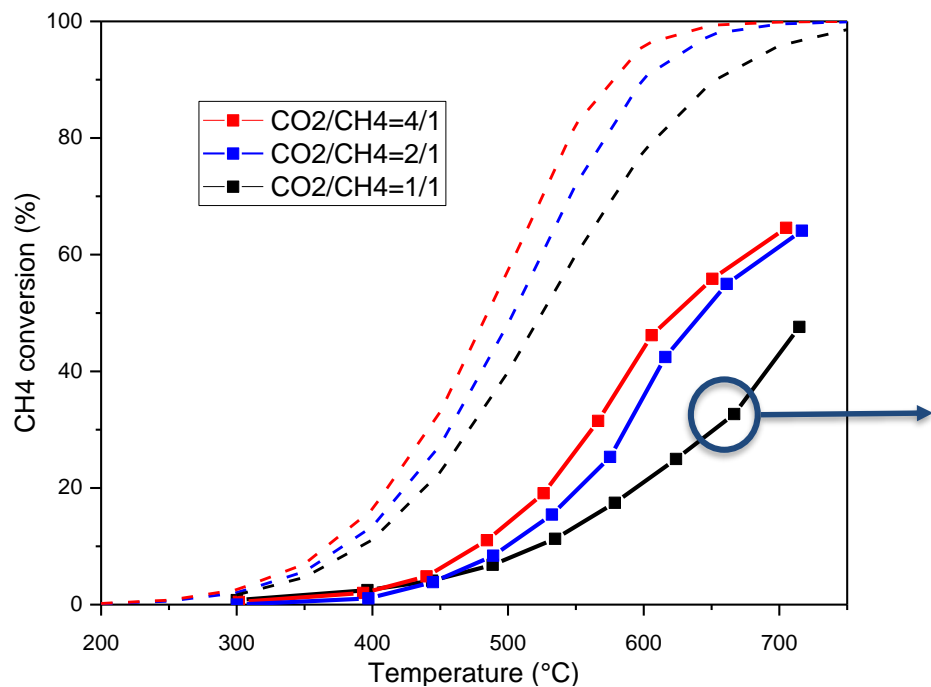


- ✓ For  $\text{CO}_2:\text{CH}_4 > 1$  the reaction rate is first order with respect to  $\text{CH}_4$
- ✓ For  $\text{CO}_2:\text{CH}_4 = 1$  the reaction rate is dependent on the co-reactant  $\text{CO}_2$

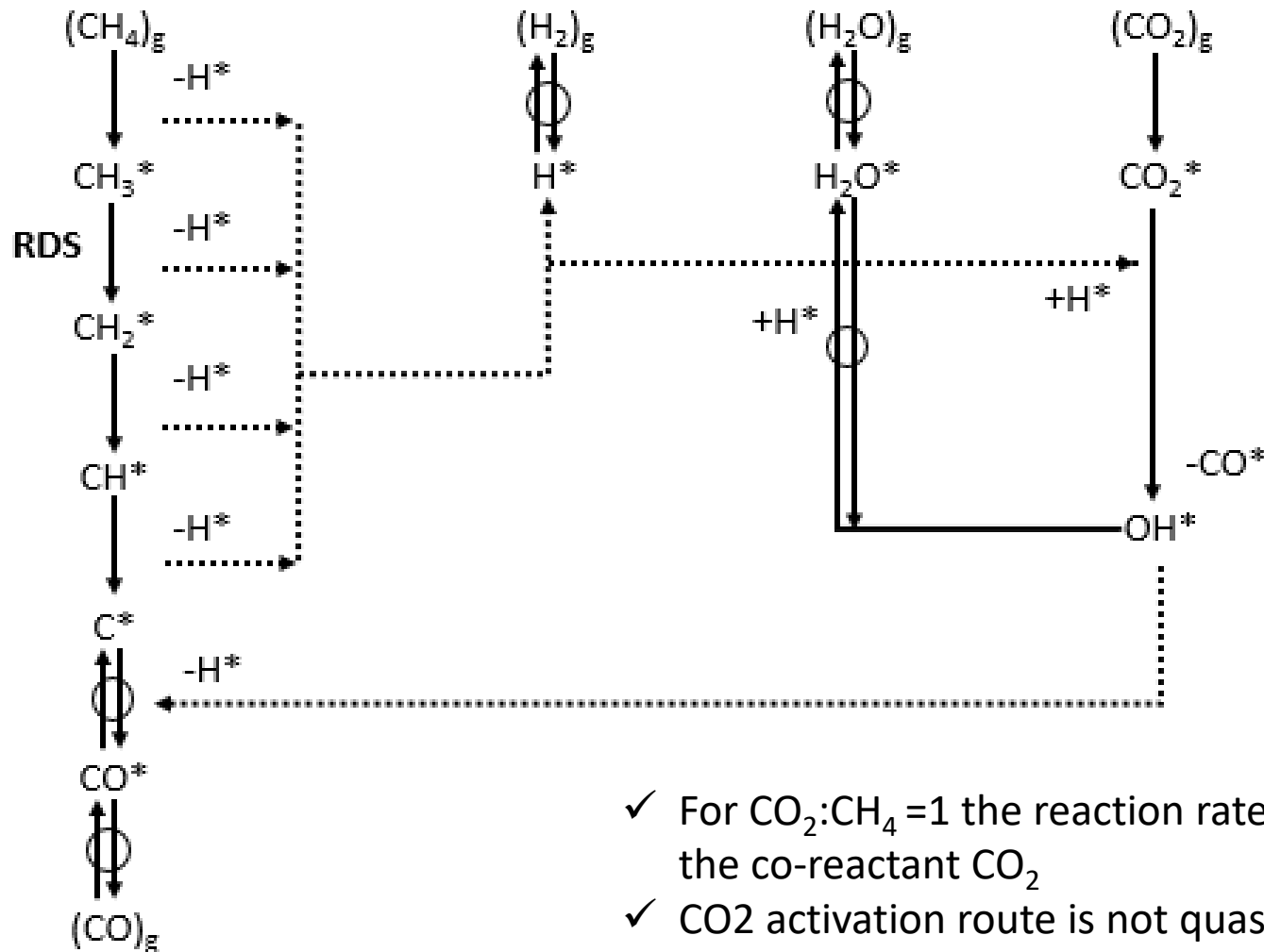
# $CH_4=4\%$ $CO_2/CH_4=2:4$



**DR:  $CH_4=4\%$   $CO_2/CH_4=1$**

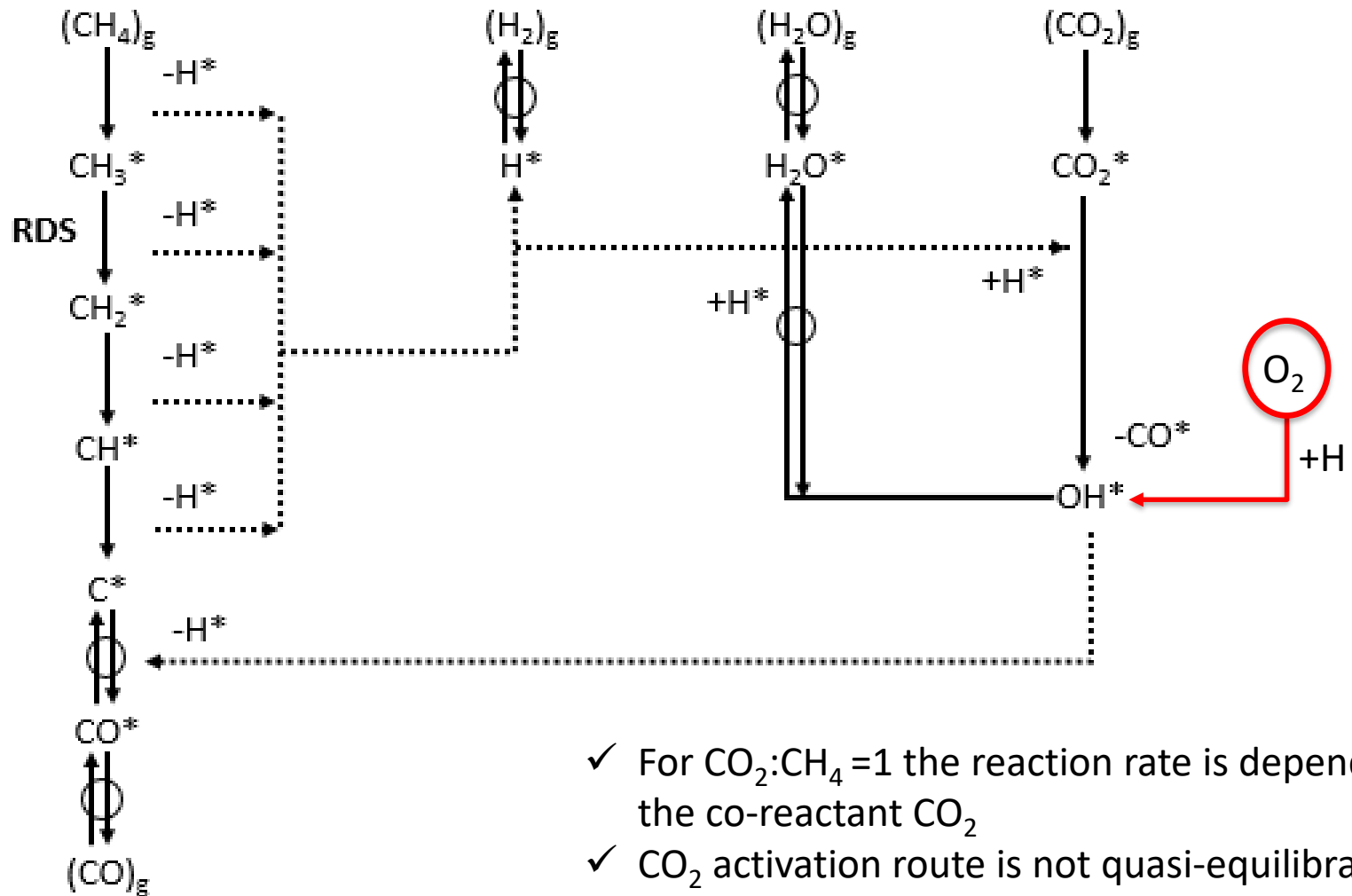


**DR:  $CH_4=4\%$   $CO_2/CH_4=1$**



- ✓ For  $CO_2:CH_4 = 1$  the reaction rate is dependent on the co-reactant  $CO_2$
- ✓  $CO_2$  activation route is not quasi-equilibrated

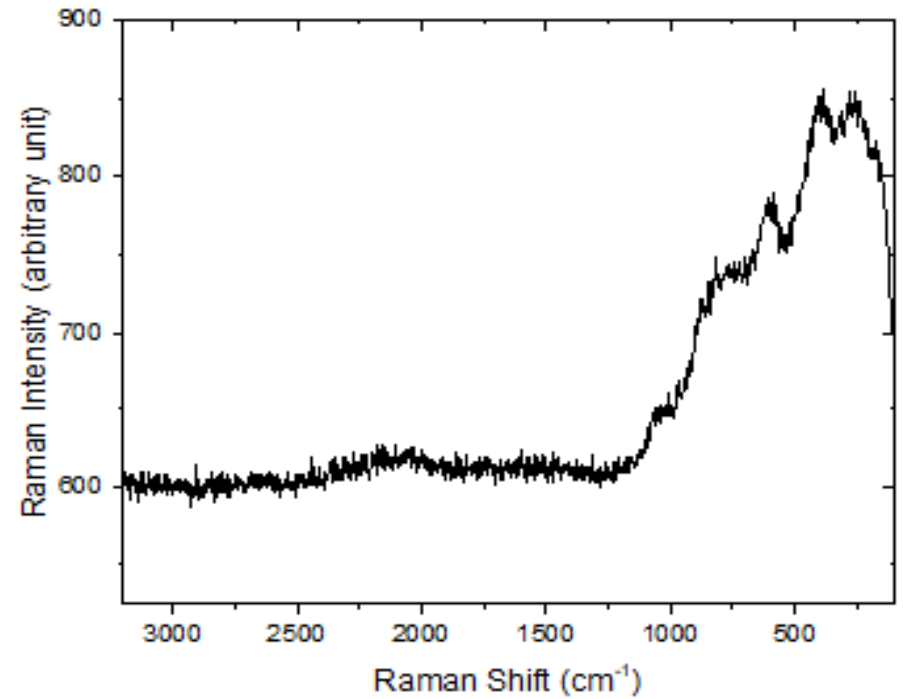
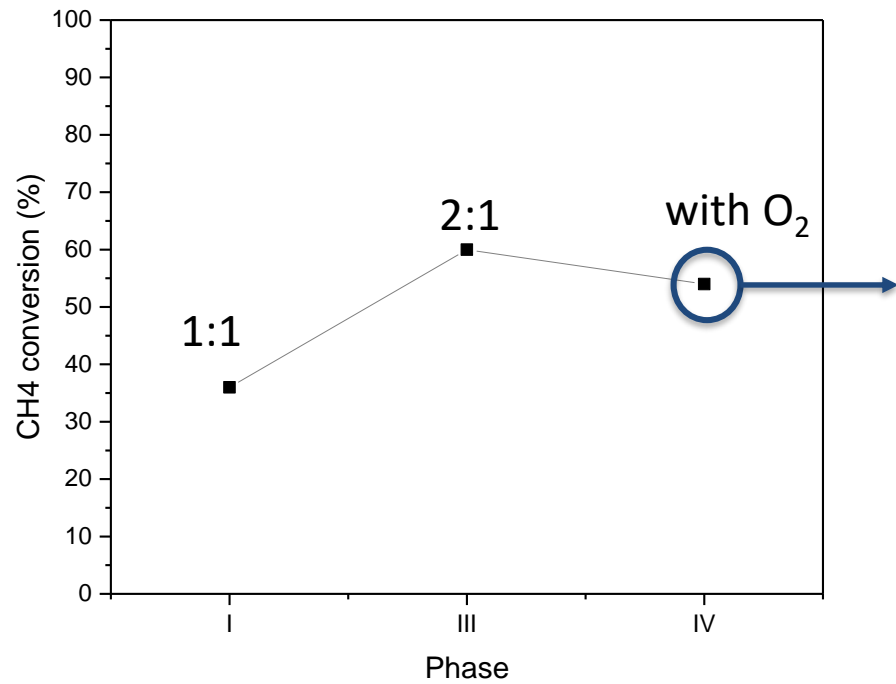
**DR:  $CH_4=4\%$   $CO_2/CH_4=1$**



- ✓ For  $CO_2:CH_4 = 1$  the reaction rate is dependent on the co-reactant  $CO_2$
- ✓  $CO_2$  activation route is not quasi-equilibrated
- ✓  $O_2$  co-feed increases OH at the surface



# *DR with O<sub>2</sub>: CH<sub>4</sub>=4% CO<sub>2</sub>/CH<sub>4</sub>/O<sub>2</sub>=1/1/0.1*




# *Outline*

- ✓ Theoretical methods for the prediction of dynamic changes of nanoparticles and phase transformation.
- ✓ Parametrization of kinetic parameters on different sites.
- ✓ Novel experimental tools: spectroscopy & kinetically relevant data.
- ✓ Confinement effects: «physical» catalysis

# First-principles assessment of catalysis by confinement: NO oxidation on pure silica frameworks with voids of molecular dimensions

Laboratory  
of Catalysis and  
Catalytic Processes



**LCCP**



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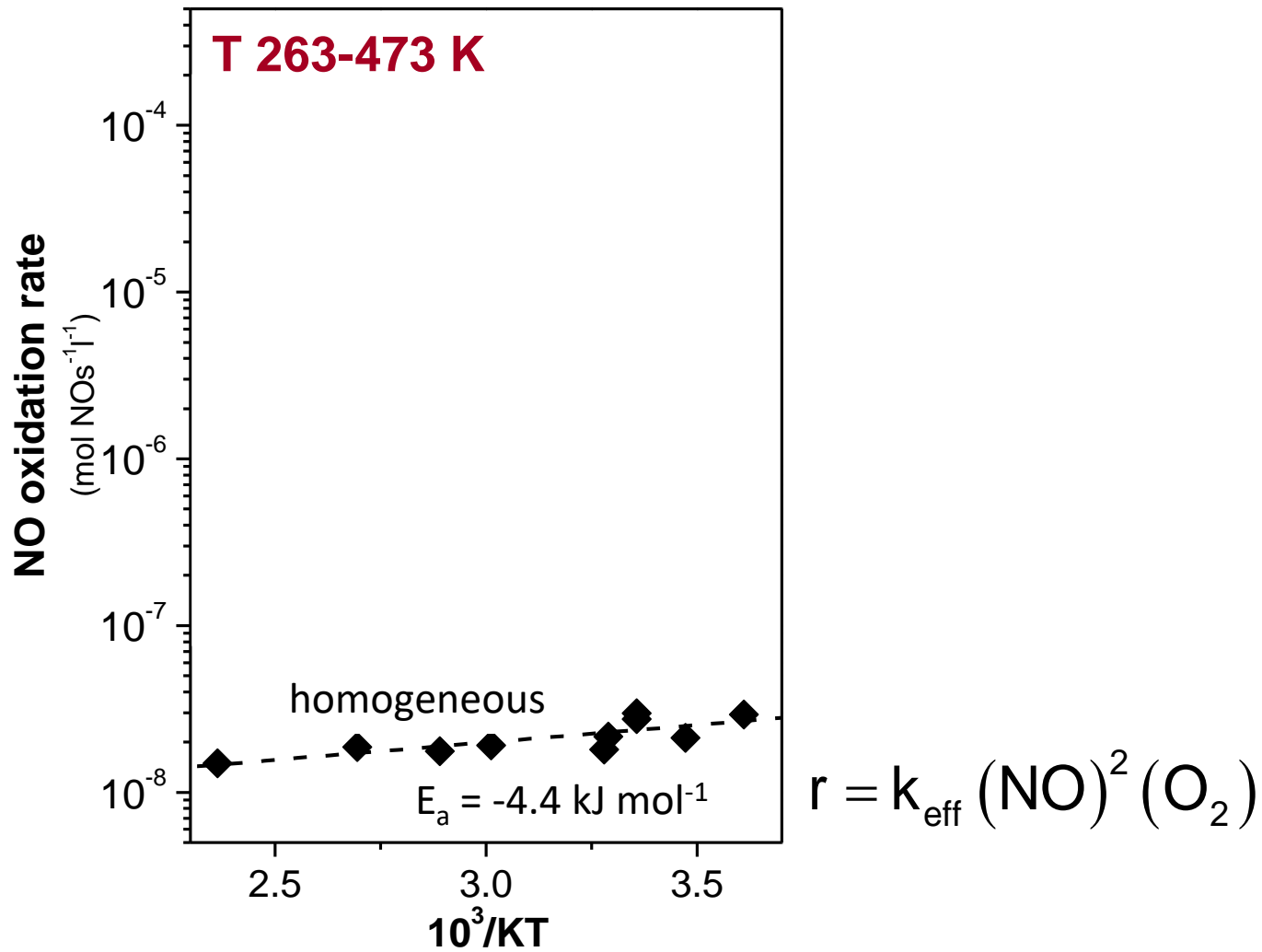


**LSAC** Laboratory for the  
Science and Applications  
of Catalysis

*M. Maestri and E. Iglesia, PCCP, 2018*

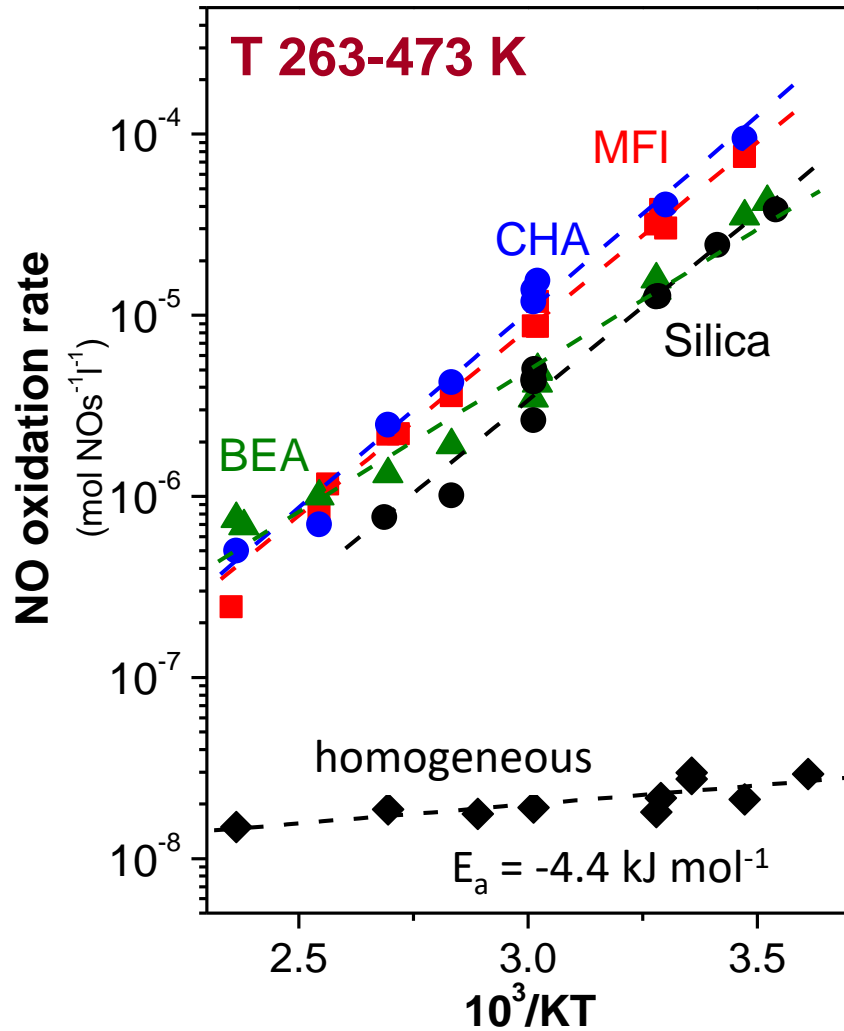
# Catalytic Consequences of Confinement

NO oxidation rate on microporous and mesoporous PURE SILICA materials



# Catalytic Consequences of Confinement

NO oxidation rate on microporous and mesoporous PURE SILICA materials

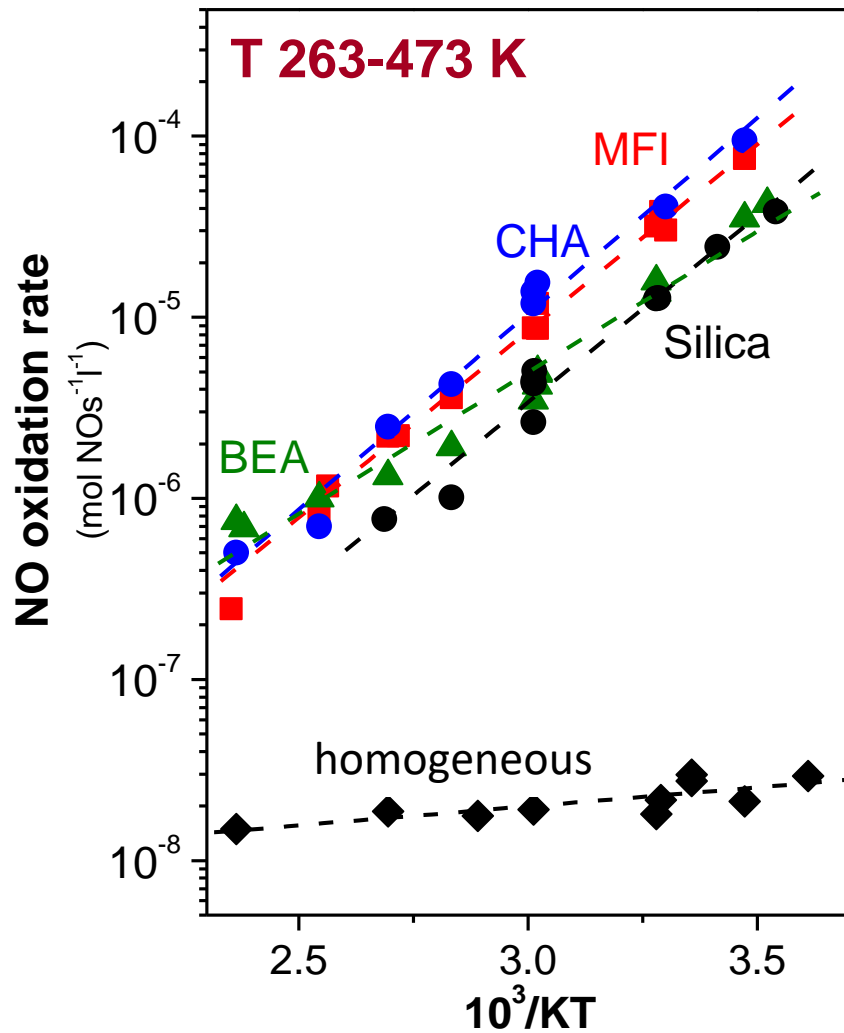


Same NO and O<sub>2</sub> kinetic dependences in the constrained and unconstrained volumes:

$$r = k_{\text{eff}} (\text{NO})^2 (\text{O}_2)$$

# Catalytic Consequences of Confinement

NO oxidation rate on microporous and mesoporous **PURE SILICA** materials



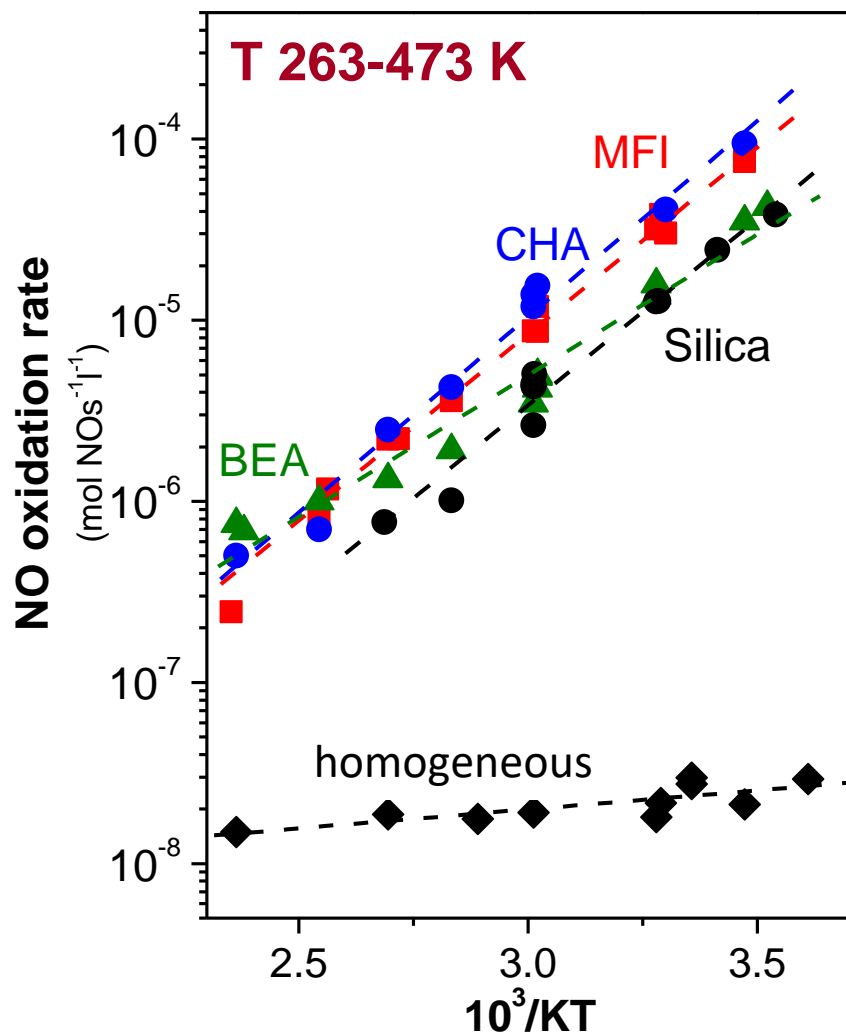
Microporous structures influence the Gibbs free energy of specific transition states by their **mere confinement**, without the specific involvement of distinct binding sites.

Same NO and O<sub>2</sub> kinetic dependences in the constrained and unconstrained volumes:

$$r = k_{\text{eff}} (\text{NO})^2 (\text{O}_2)$$

# Catalytic Consequences of Confinement

Rigorous mechanistic interpretations using theory are required



How the **TS structures** that mediate homogeneous reactions in unconfined spaces can be stabilized relative to their precursors **by their mere “adsorption”** within voids?

## Theoretical methods

*Energy:*

DFT: PBE, B3LYP (QEpresso/Gaussian09)

vdW scheme: Grimme-D2

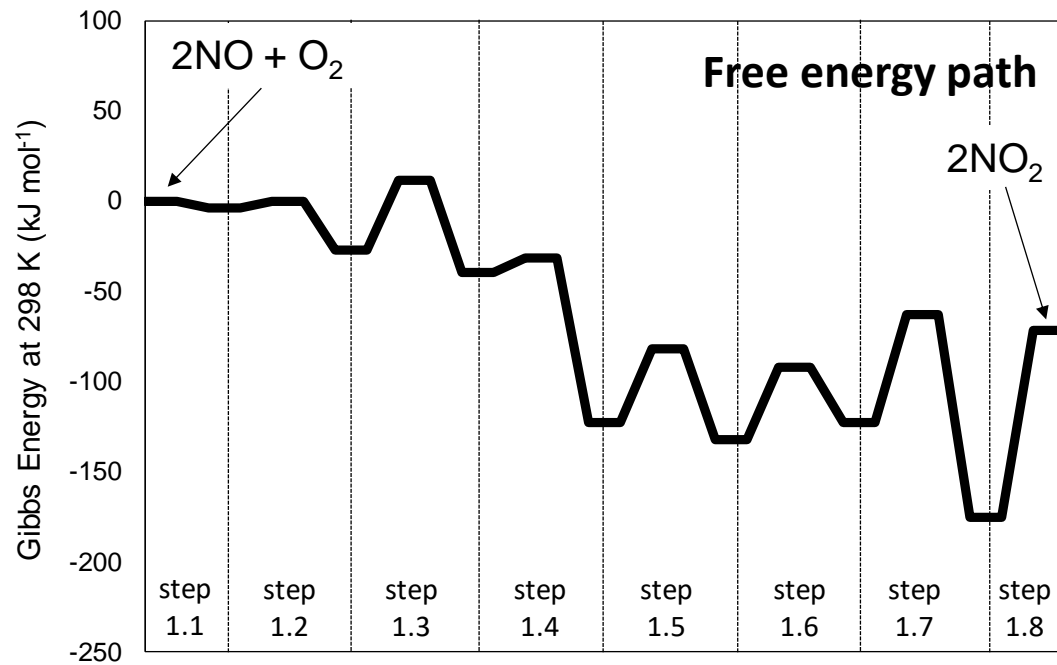
MP2 (Gaussian09)

*TS search:* CI-NEB/Berny algorithm

*Enthalpy/Entropy:*

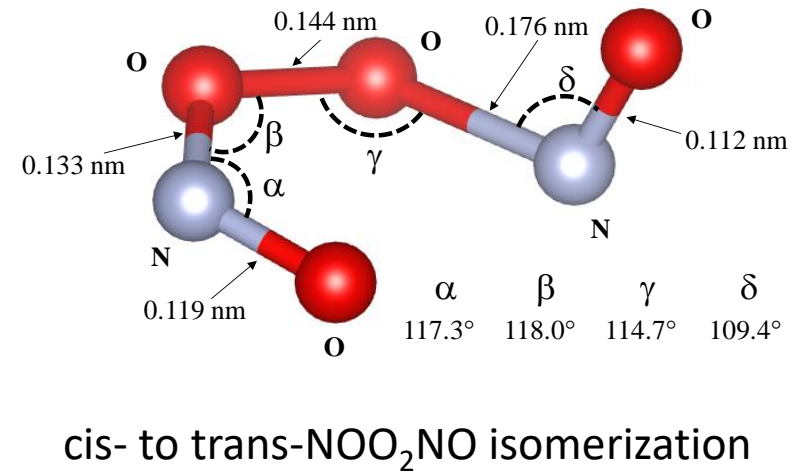
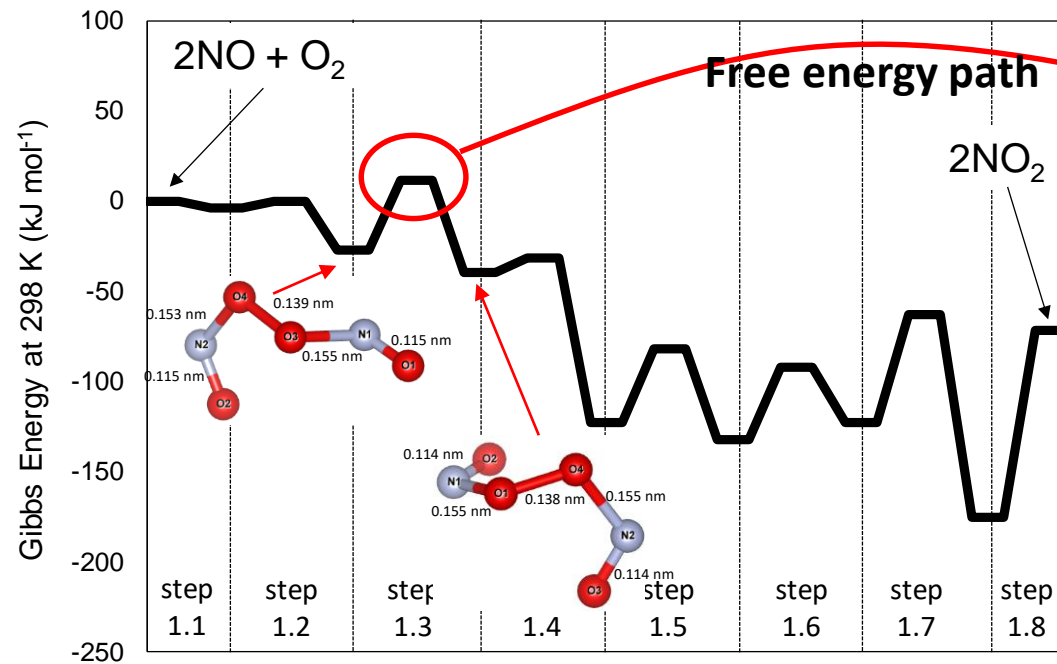
Statistical thermodynamics/ideal gas

# Assessment of NO oxidation pathway in **unconfined** space





# Assessment of NO oxidation pathway in **unconfined** space



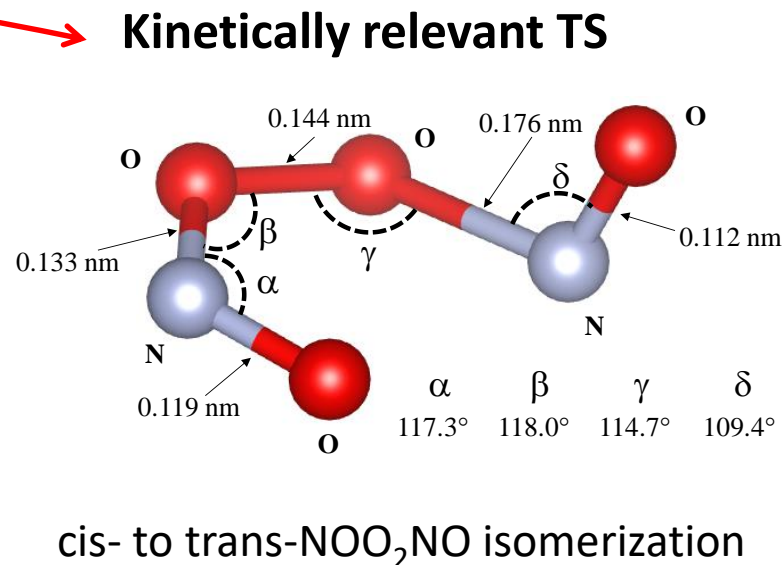
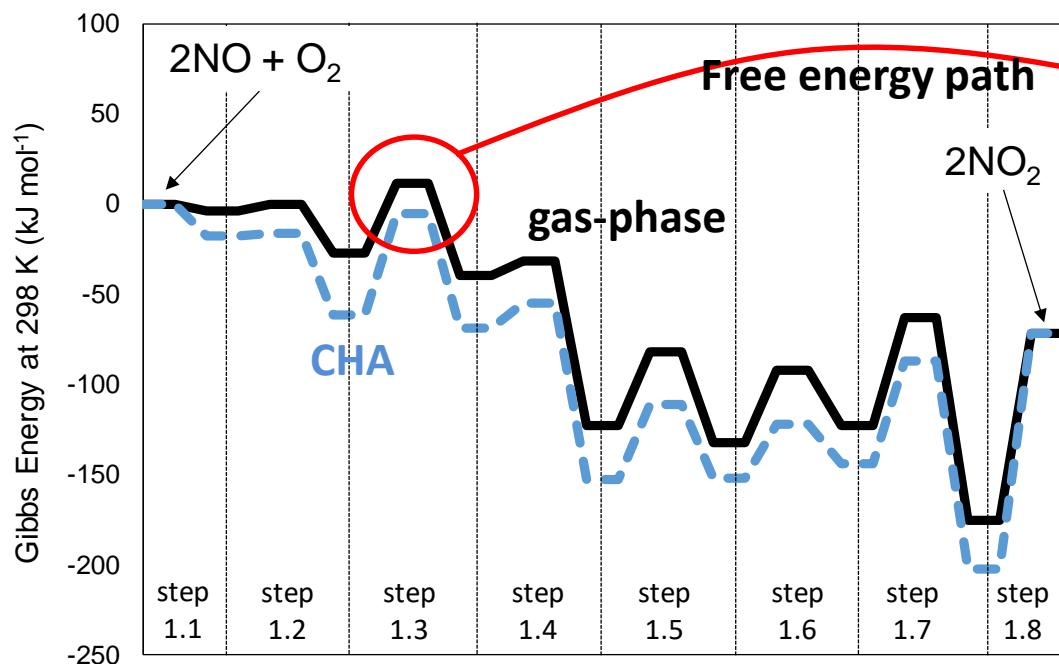
$$r = \vec{k}_{1.3} \frac{\vec{k}_{1.1}}{\vec{k}_{1.1}} \frac{\vec{k}_{1.2}}{\vec{k}_{1.2}} (NO)^2(O_2) = \vec{k}_{1.3} K_{1.1} K_{1.2} (NO)^2(O_2)$$

$$r = 2 \frac{k_B T}{h} \exp\left(-\frac{\Delta G_{app}}{RT}\right) (NO)^2(O_2)$$

$$\Delta H_{app} = H_{1.3}^\ddagger - 2H_{NO} - H_{O_2}$$

$$\Delta S_{app} = S_{1.3}^\ddagger - 2S_{NO} - S_{O_2}$$

# Assessment of NO oxidation pathway in **confined** space



$$r = \vec{k}_{1.3,conf} \frac{\vec{k}_{1.1,conf} \vec{k}_{1.2,conf}}{\bar{k}_{1.1,conf} \bar{k}_{1.2,conf}} (NO)^2 (O_2) = \vec{k}_{1.3,conf} K_{1.1,conf} K_{1.2,conf} (NO)^2 (O_2)$$

$$\Delta H_{app,conf} = H_{1.3,conf}^\ddagger - 2H_{NO} - H_{O_2}$$

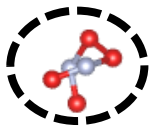
$$\Delta S_{app,conf} = S_{1.3,conf}^\ddagger - 2S_{NO} - S_{O_2}$$

Functional form of the rate equation same as the one in gas-phase, but **different kinetic and thermodynamic parameters**

# Effect of confinement on $\Delta H^\ddagger$ and $\Delta S^\ddagger$

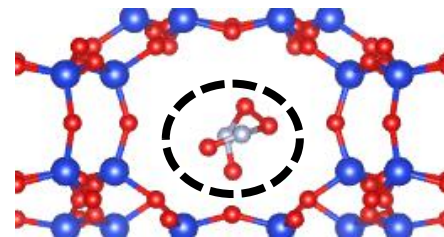
$$r = 2 \frac{k_B T}{h} \exp\left(-\frac{\Delta G_{app}}{RT}\right) (\text{NO})^2 (\text{O}_2)$$

Unconfined space



$$\Delta G_{app} = \Delta H_{app} - T\Delta S_{app}$$

Confined space



$$\Delta H_{app} = H_{1.3,gas}^\ddagger - 2H_{NO,gas} - H_{O_2,gas}$$

$$\Delta S_{app} = S_{1.3,gas}^\ddagger - 2S_{NO,gas} - S_{O_2,gas}$$

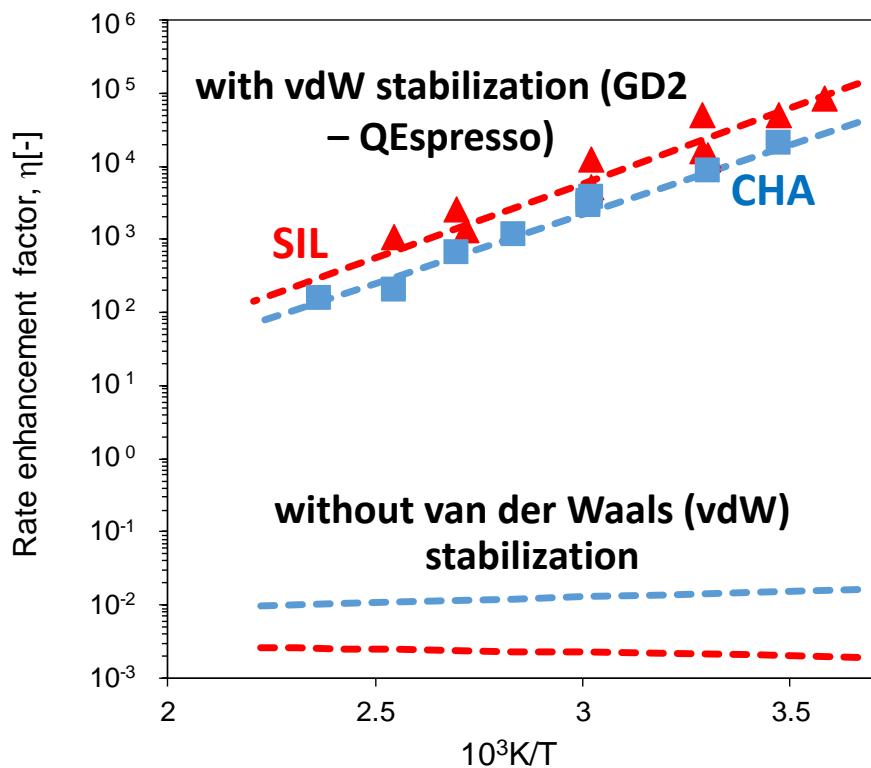
$$\Delta H_{app,conf} = H_{1.3,conf}^\ddagger - 2H_{NO,gas} - H_{O_2,gas}$$

$$\Delta S_{app,conf} = S_{1.3,conf}^\ddagger - 2S_{NO,gas} - S_{O_2,gas}$$

## Rate-enhancement factor

$$\eta = \frac{r_{confined}}{r_{unconfined}} = \exp\left(\frac{S_{1.3,conf}^\ddagger - S_{1.3,gas}^\ddagger}{R}\right) \exp\left(-\frac{H_{1.3,conf}^\ddagger - H_{1.3,gas}^\ddagger}{RT}\right)$$

# Effect of confinement on $\Delta H^\ddagger$ and $\Delta S^\ddagger$



## Enthalpic stabilization of the TS:

- ✓ dominates over entropy stabilization
- ✓ stabilization due to non-specific van der Waals interactions upon confinement

## Entropic stabilization of the TS:

- ✓ associated with the loss of translational entropy due to the restricted mobility of the transition states

M. Maestri and E. Iglesia, PCCP, 2018

### Rate-enhancement factor

$$\eta = \frac{r_{confined}}{r_{unconfined}} = \exp\left(\frac{S_{1.3,conf}^\ddagger - S_{1.3,gas}^\ddagger}{R}\right) \exp\left(-\frac{H_{1.3,conf}^\ddagger - H_{1.3,gas}^\ddagger}{RT}\right)$$

# ***Effect of confinement: «physical» catalysis***

- ✓ DFT methods that account for **dispersive forces** show how voids of molecular dimensions enhance reaction rates by confining the transition states that mediate homogeneous routes, **without requiring specific binding sites**.
- ✓ The enthalpic stabilization of TS **provided by vdW** dispersion forces **more than compensates the loss of entropy upon confinements at the low temperatures**, thus **leading to lower free energy of activation** than for the homogeneous reaction.

*M. Maestri and E. Iglesia, PCCP, 2018*

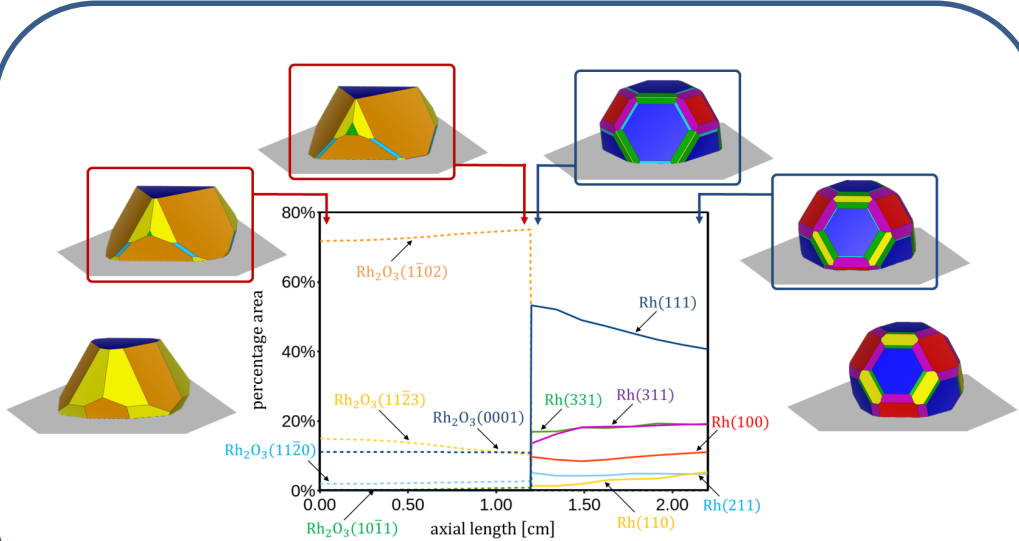
# Conclusions

- ✓ Inclusion of the effect of **structure** and **dynamic of catalyst surface** with changing reaction environment is key
- ✓ Several issues limit the use of first-principles calculations and hamper a direct application (**complication** and **complexity** traps)
- ✓ Semi-empirical methods can effectively be employed in conjunction with first-principles studies for the **exploration of complex reaction networks using hierarchical multiscale approaches**
- ✓ The problem **is not about their use as an alternative to higher level theories**, but about their **reliability where higher level theories are ruled out by complexity**: are they able to deal with the «complication» when «complexity» makes the higher level theories not applicable?
- ✓ **Complementary** information from **theory** and **experiments** is **essential**:  
correlation of observations to mechanistic insights  
Fill the gaps to complexity and practical performances

# ***Conclusions***

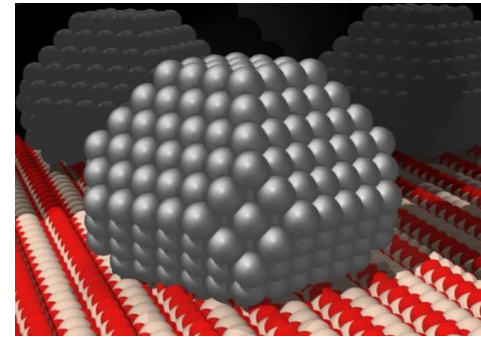
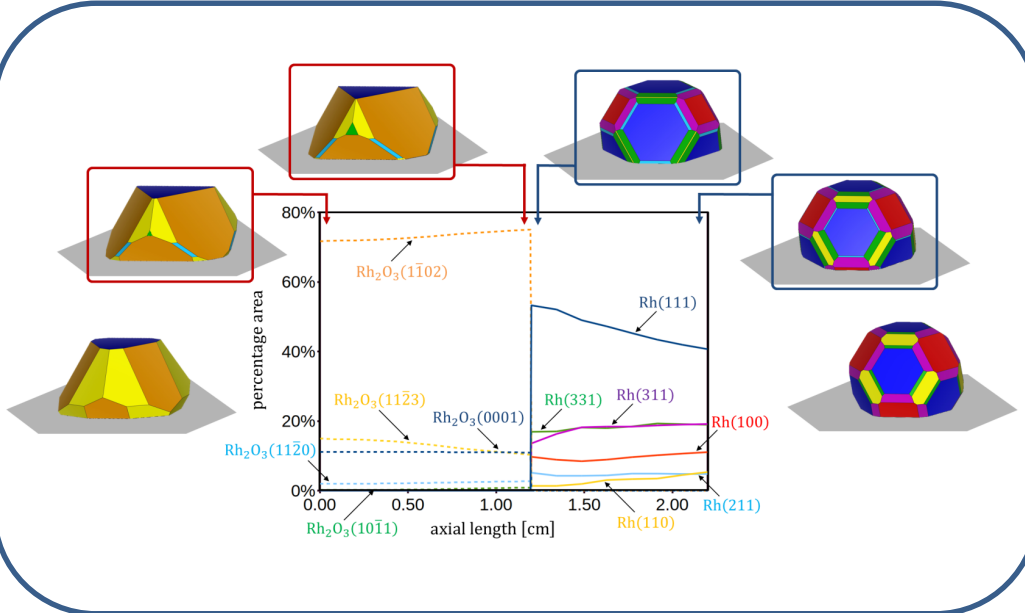
An empty rounded rectangular box with a dark blue border, intended for writing a conclusion.An empty rounded rectangular box with a dark blue border, intended for writing a conclusion.An empty rounded rectangular box with a dark blue border, intended for writing a conclusion.An empty rounded rectangular box with a dark blue border, intended for writing a conclusion.

# Conclusions



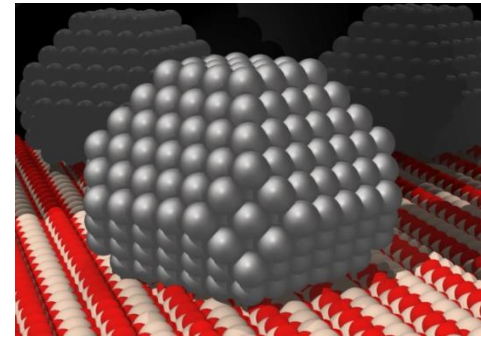
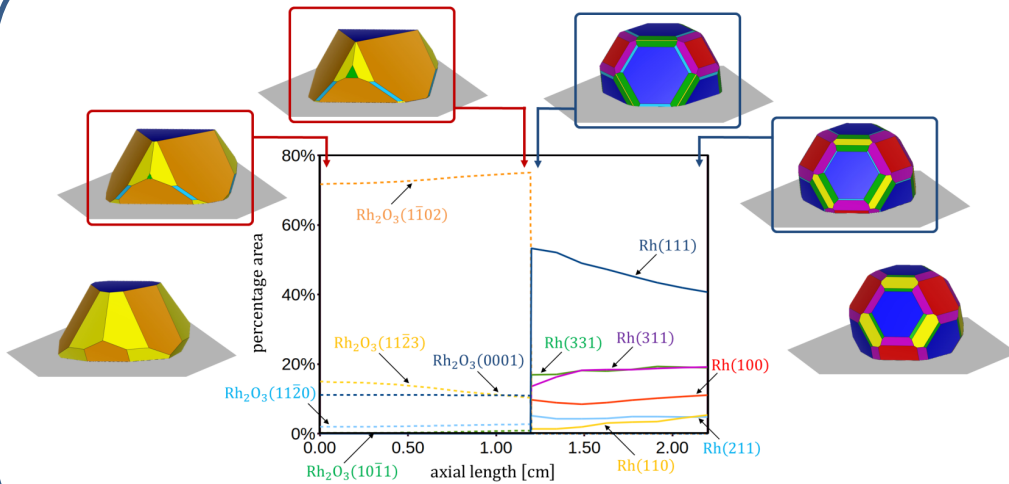


# Conclusions

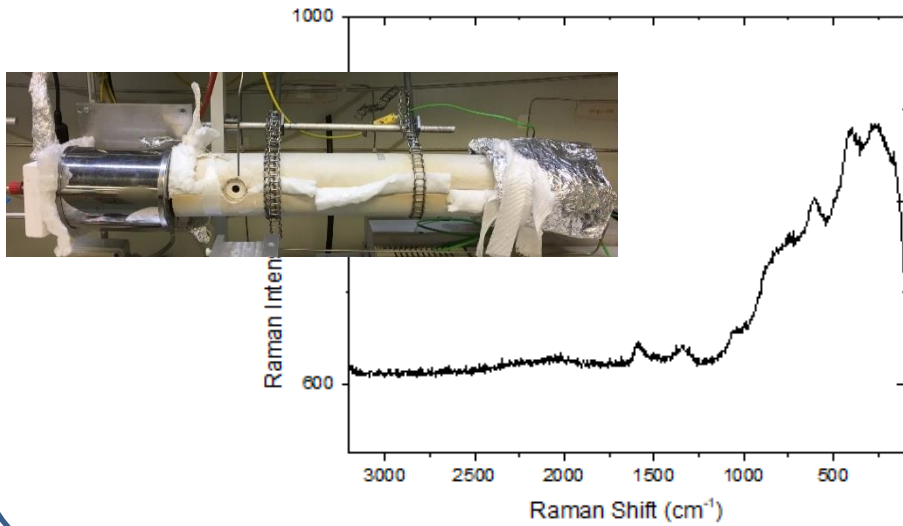


$$E_a = E_a^0 + \gamma_P \Delta H_r$$

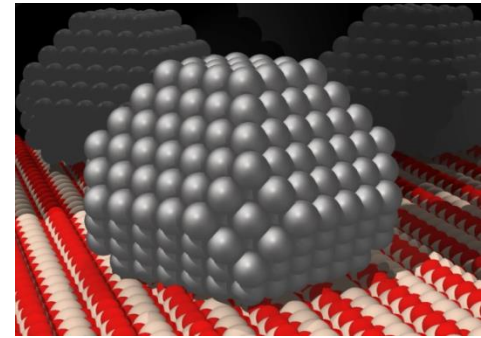
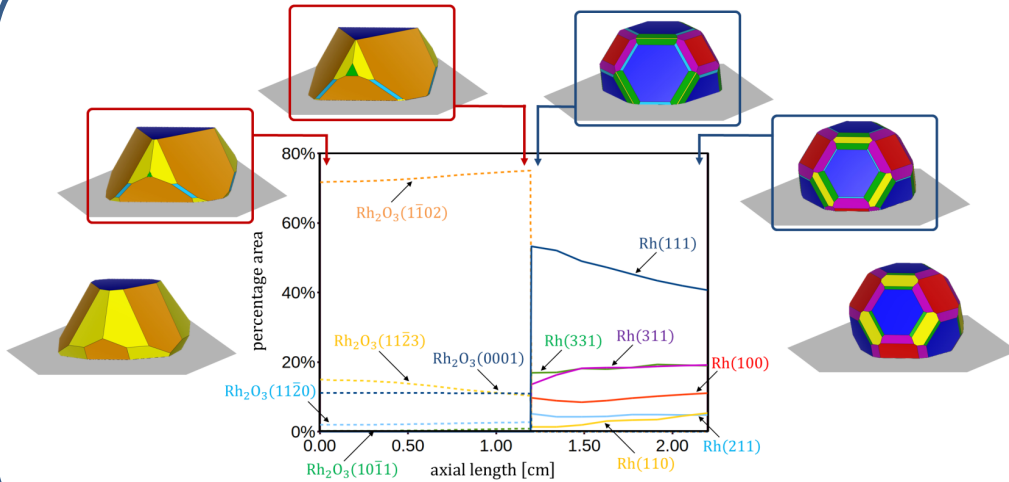
# Conclusions



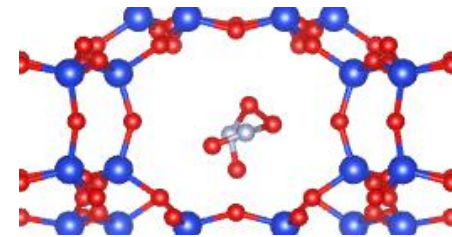
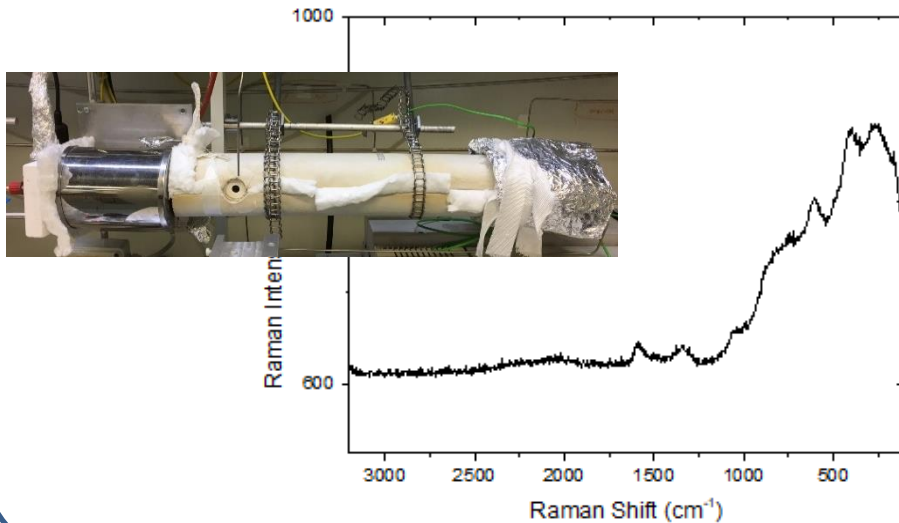
$$E_a = E_a^0 + \gamma_P \Delta H_r$$



# Conclusions

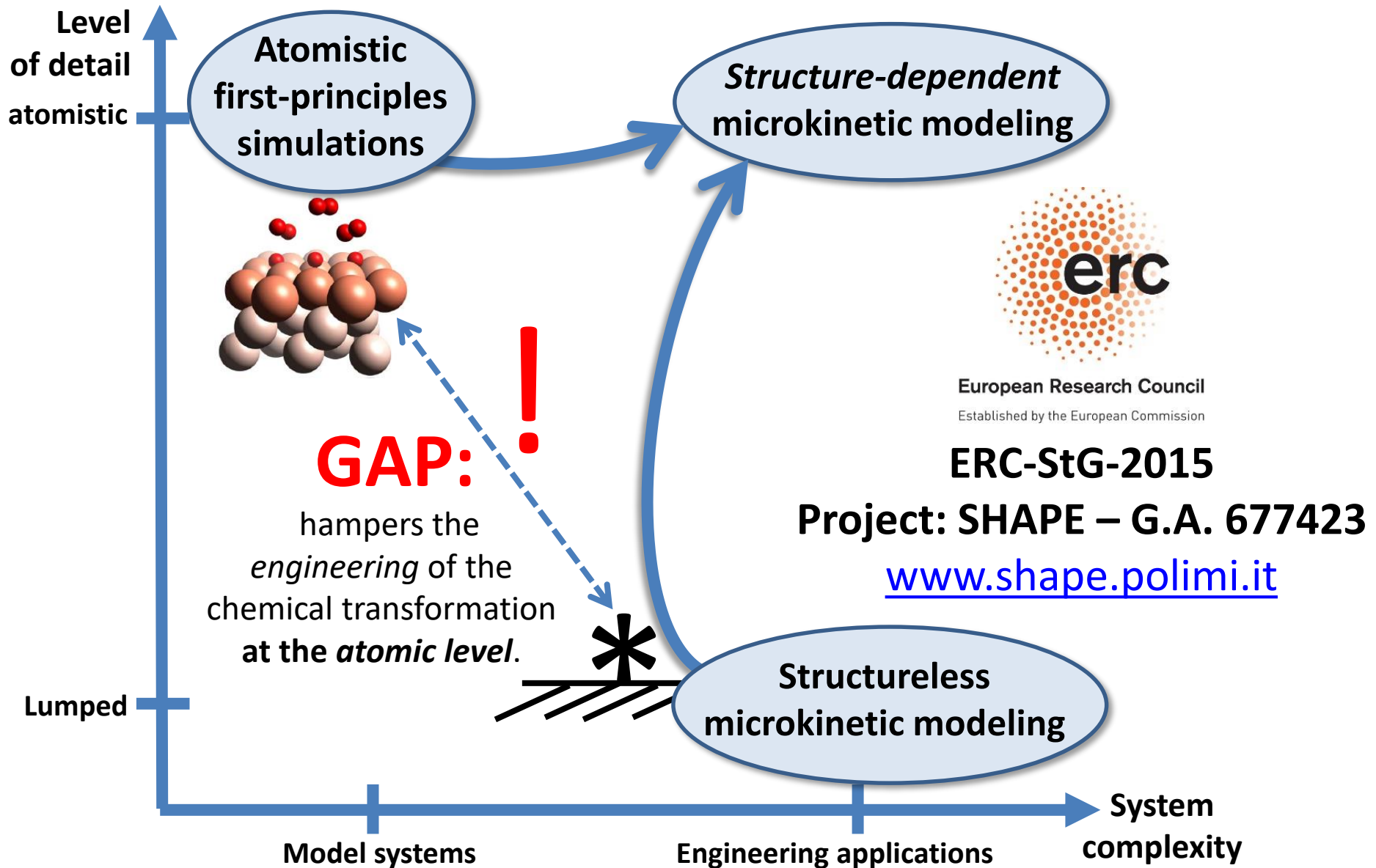


$$E_a = E_a^0 + \gamma_P \Delta H_r$$



$$\Delta G_{app} = \Delta H_{app} - T\Delta S_{app}$$

# Structure-dependent microkinetic modeling



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# Thank you for your attention!



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Established by the European Commission



**matteo.maestri@polimi.it**

*«As researchers, we are doing ‘things’ that are meant to be scientific, not necessarily practical. They will end up in practical applications as the result of having done them well»*