

On sequence kernels for SVM classification of sets of vectors: *application to speaker verification*

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Major part of the Ph.D. work of

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within **E-TEAM 11**

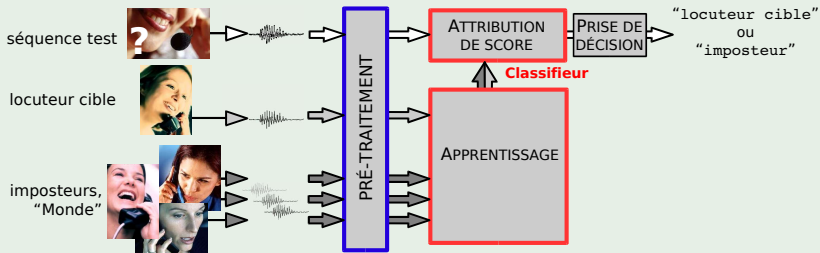


Text independent speaker verification

A binary classification task

Determine if a speech sequence has been uttered by a target speaker

Classical approach

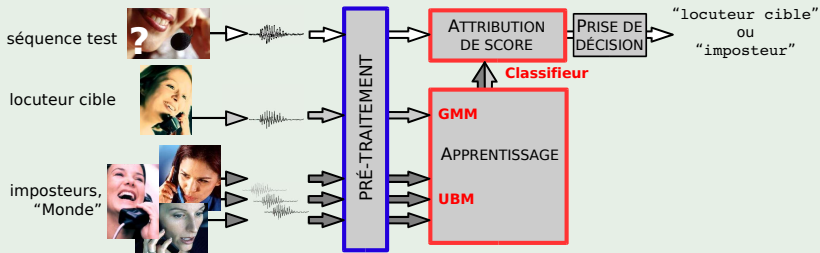


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Classical approach : UBM-GMM system



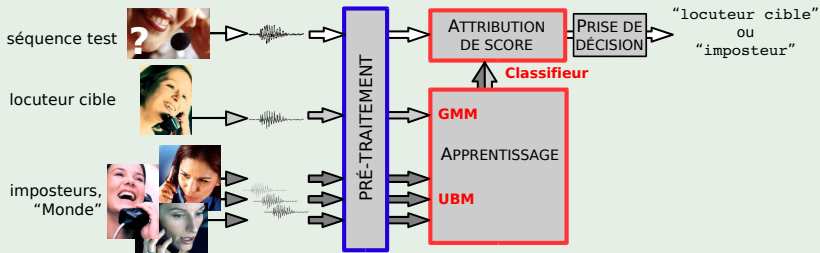
- Probabilistic GMM modeling (generative)

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y **Motivation** : apply SVM, powerful for binary classification

Support Vector Machines (SVM)

- + Good theoretical foundations
- + Well mastered learning algorithms
- + Good results in static data classification

In speech processing ...

- Extension to dynamic data is not easy
- Size of training corpus \Rightarrow time & memory consuming
- Bad results of SVM when applied at the frame level

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y Conception and study of **sequence kernels**
for speaker verification

Outline

- 1 **Sequence kernels**
- 2 FSNS sequence kernels
- 3 Experimental evaluation of sequence kernels

Basics on kernels

- Similarity measure
- Mercer property : symmetric, positive definite

$$\implies k(x, y) = \boldsymbol{\phi}(x)^\top \boldsymbol{\phi}(y)$$

$\boldsymbol{\phi}$: expansion in a *Feature Space* \mathbb{R}^D (dimension $D \leq +\infty$)

Sequence kernels

Three families of kernels

- 1 Mutual Information kernels
Based on *a priori* data distribution
- 2 Kernels between probability densities
Sequence \mapsto distribution
- 3 Combination of vector kernels
Function of kernel values between inter(intra)-sequence elements

Sequence kernels

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Definition of a sequence

“Variable-length set of acoustic vectors

- same speaker,
- same recording session”

Mutual Information kernels

Exploit the a priori (generative) UBM
which parameters θ_o are estimated on non-labeled data

Fisher kernel [Jaakkola and Haussler, 1998]

- Approximation of a Mutual Information kernel [Seeger, 2002]

$$\kappa(X, Y) = \boldsymbol{\phi}(X)^\top \mathbf{S}^{-1} \boldsymbol{\phi}(Y)$$

- $\boldsymbol{\phi}(X) = \nabla_{\boldsymbol{\theta}} \log p(X|\boldsymbol{\theta})|_{\boldsymbol{\theta}=\boldsymbol{\theta}_o}$ (Fisher expansion)
- \mathbf{S} : second moments of $\boldsymbol{\phi}$ (Fisher information matrix)

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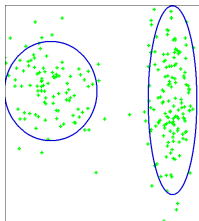
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2 Gaussians GMM

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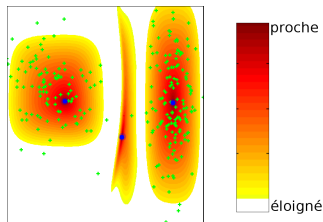
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\mathbf{S} : second moments of Φ (Fisher information matrix)



Distance between Fisher expansions

Kernels between probability densities

$$X, Y \xrightarrow[\text{learning}]{\text{Generative}} p_X, p_Y$$

probability product kernels [Jebara and Kondor, 2003]

- $$\kappa(X, Y) = \int p_X(z)^q p_Y(z)^q dz$$
- Analytic form a GMM with degree $q = 1$ [Lyu, 2005]
- “spherical” normalization for more robustness :

$$\hat{\kappa}(X, Y) = \frac{\kappa(X, Y)}{\sqrt{\kappa(X, X)\kappa(Y, Y)}}$$

Exponential embedding of divergences

- Analogous of the Gaussian kernel :
$$\kappa(X, Y) = e^{-\frac{\mathcal{D}(p_X, p_Y)^2}{2\rho^2}}$$
- \mathcal{D} : Distance between GMMs, approximation of KL divergence [Do, 2003]
- y “supervectors GMM” Approach [Campbell et al., 2006]

Combination of vector kernels

Sequences of vectors $X = \{x_t \mid t = 1 \cdots T_X\}$
 $Y = \{y_{t'} \mid t' = 1 \cdots T_Y\}$

Similarity between vectors	Similarity between sets of vectors
$k(x, y) = \Phi(x)^\top \Phi(y)$	$\kappa(X, Y)$
<i>Mercer kernel</i>	<i>function of $k(x_t, y_{t'})$, $k(x_t, x_{t'})$, $k(y_t, y_{t'})$, ...</i>

Simple example :

$$\kappa(X, Y) = \frac{1}{T_X T_Y} \sum_{t=1}^{T_X} \sum_{t'=1}^{T_Y} k(x_t, y_{t'})$$

complexity $O(T^2)$ for *each* kernel computation

Combination of vector kernels

GLDS kernel [Campbell, 2001]

$$\kappa(X, Y) = \left(\frac{1}{T_X} \sum_t \phi_q(x_t) \right)^\top \mathbf{S}_B^{-1} \left(\frac{1}{T_Y} \sum_{t'} \phi_q(y_{t'}) \right)$$

ϕ_q : Polynomial expansion ($\mathbb{R}^d \rightarrow \mathbb{R}^D$)

$D = \frac{(q+d)!}{q!d!}$ monomes of degree $\leq q$

\mathbf{S}_B : Matrix of second moments of ϕ_q estimated on B

- Normalization by \mathbf{S}_B :
 - Kernel \sim scoring on X a discr. model learned on Y
 - Same amplitude for each component of the *Feature Space*
- Explicit expansion of data :
 - + high efficiency during test (linear SVM model)
 - Impossible to use expansions ϕ_q of high or infinite dimension (in practice, maxi degree $q = 3$)

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Extension of the GLDS kernel : FSNS kernels

FSNS kernels (*Feature Space Normalized Sequence kernels*)

$$\kappa(X, Y) = \left(\frac{1}{T_X} \sum_t \boldsymbol{\phi}(x_t) \right)^\top (\mathbf{S}_B + \varepsilon \mathbf{I})^{-1} \left(\frac{1}{T_Y} \sum_{t'} \boldsymbol{\phi}(y_{t'}) \right)$$

- $\boldsymbol{\phi}$: any Mercer expansion
- \mathbf{S}_B : second moments matrix of $\boldsymbol{\phi}$
- Regularization $\varepsilon > 0$: necessary if $\boldsymbol{\phi}$ is of high dimension

y Objectif : $\left\{ \begin{array}{l} \text{avoid to compute } \boldsymbol{\phi} \\ \text{rewrite using the Mercer kernel } k = \boldsymbol{\phi}^\top \boldsymbol{\phi} \end{array} \right.$

Extension of the GLDS kernel : FSNS kernels

FSNS kernels (*Feature Space Normalized Sequence kernels*)

$$\kappa(X, Y) = \left(\frac{1}{T_X} \sum_t \Phi(x_t) \right)^\top (\mathbf{S}_B + \varepsilon \mathbf{I})^{-1} \left(\frac{1}{T_Y} \sum_{t'} \Phi(y_{t'}) \right)$$

- Φ : any Mercer expansion
- \mathbf{S}_B : second moments matrix of Φ
- Regularization $\varepsilon > 0$: necessary if Φ is of high dimension

y Objectif : $\left\{ \begin{array}{l} \text{avoid to compute } \Phi \\ \text{rewrite using the Mercer kernel } k = \Phi^\top \Phi \end{array} \right.$

FSMS kernels (*Feature Space Mahalanobis Sequence kernels*)

$$\kappa(X, Y) = \left(\frac{1}{T_X} \sum_t \Phi(x_t) \right)^\top (\Sigma_B + \varepsilon \mathbf{I})^{-1} \left(\frac{1}{T_Y} \sum_{t'} \Phi(y_{t'}) \right)$$

- Σ_B : covariance matrix of Φ
- SVM are invariant to translations in the *Feature Space*
 \Rightarrow same as FSNS with centring of Φ
- kernel \sim KL divergence between Gaussians in the *Feature Space*

Dual form of FSNS kernels

Training (Background) data : $B = \{ \mathbf{b}_i \mid i = 1 \dots N \}$



Gram matrix

$$\mathbf{K} = \begin{bmatrix} k(\mathbf{b}_1, \mathbf{b}_1) & \dots & k(\mathbf{b}_1, \mathbf{b}_N) \\ \vdots & k(\mathbf{b}_i, \mathbf{b}_j) & \vdots \\ k(\mathbf{b}_N, \mathbf{b}_1) & \dots & k(\mathbf{b}_N, \mathbf{b}_N) \end{bmatrix}$$

Empirical map

$$\psi_B(\mathbf{x}) = \begin{bmatrix} k(\mathbf{b}_1, \mathbf{x}) \\ \vdots \\ k(\mathbf{b}_N, \mathbf{x}) \end{bmatrix}$$

Proposition (without regularization, $\varepsilon = 0$)

$$\begin{aligned} \kappa(X, Y) &= \left(\frac{1}{T_X} \sum_t \Phi(\mathbf{x}_t) \right)^\top \mathbf{S}_B^{-1} \left(\frac{1}{T_Y} \sum_{t'} \Phi(\mathbf{y}_{t'}) \right) \\ &= \left(\frac{1}{T_X} \sum_t \psi_B(\mathbf{x}_t) \right)^\top \left(\frac{1}{N} \mathbf{K}^2 \right)^{-1} \left(\frac{1}{T_Y} \sum_{t'} \psi_B(\mathbf{y}_{t'}) \right) \end{aligned}$$

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Proposition

$$\begin{aligned} \kappa(X, Y) &= \left(\frac{1}{T_X} \sum_t \phi(\mathbf{x}_t) \right)^\top (\mathbf{S}_B + \varepsilon \mathbf{I})^{-1} \left(\frac{1}{T_Y} \sum_{t'} \phi(\mathbf{y}_{t'}) \right) \\ &= \left(\frac{1}{T_X} \sum_t \psi_B(\mathbf{x}_t) \right)^\top \left(\frac{1}{N} \mathbf{K}^2 + \varepsilon \mathbf{K} \right)^{-1} \left(\frac{1}{T_Y} \sum_{t'} \psi_B(\mathbf{y}_{t'}) \right) \end{aligned}$$

- **Hypothesis** : the $\phi(\mathbf{b}_i)$ span the training " $\phi(\mathbf{x})$ "

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Training (Background) data : $B = \{ \mathbf{b}_i \mid i = 1 \dots N \}$



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Empirical map

$$\psi_B(\mathbf{x}) = \begin{bmatrix} k(\mathbf{b}_1, \mathbf{x}) \\ \vdots \\ k(\mathbf{b}_N, \mathbf{x}) \end{bmatrix}$$

Proposition (with centering)

$$\begin{aligned} \kappa(X, Y) &= \left(\frac{1}{T_X} \sum_t \boldsymbol{\phi}(x_t) \right)^\top (\boldsymbol{\Sigma}_B + \epsilon \mathbf{I})^{-1} \left(\frac{1}{T_Y} \sum_{t'} \boldsymbol{\phi}(y_{t'}) \right) \\ &= \left(\frac{1}{T_X} \sum_t \psi_B(x_t) \right)^\top \left(\frac{1}{N} \mathbf{K} \boldsymbol{\Pi} \mathbf{K} + \epsilon \mathbf{K} \right)^{-1} \left(\frac{1}{T_Y} \sum_{t'} \psi_B(y_{t'}) \right) \end{aligned}$$

- **Hypothesis** : the $\boldsymbol{\phi}(\mathbf{b}_i)$ span the training “ $\boldsymbol{\phi}(\mathbf{x})$ ”
- **Centering** : $\boldsymbol{\Pi} = \mathbf{I} - \frac{1}{N} \mathbf{1}$ (instead of \mathbf{I})

Computational complexity

Dot product of normalized expansions

$$\kappa(X, Y) = \bar{\boldsymbol{\phi}}(X)^\top \mathbf{M}_B \bar{\boldsymbol{\phi}}(Y) = \langle \mathbf{U}\bar{\boldsymbol{\phi}}(X), \mathbf{U}\bar{\boldsymbol{\phi}}(Y) \rangle \quad (1)$$

$$= \bar{\boldsymbol{\psi}}_B(X)^\top \mathbf{R}_B \bar{\boldsymbol{\psi}}_B(Y) = \langle \mathbf{V}\bar{\boldsymbol{\psi}}_B(X), \mathbf{V}\bar{\boldsymbol{\psi}}_B(Y) \rangle \quad (2)$$

	form (1)	form (2)
Pre-computation of \mathbf{U}/\mathbf{V}	$O(D^3)$	$O(N^3)$
Sequence expansion $\mathbf{U}\bar{\boldsymbol{\phi}}/\mathbf{V}\bar{\boldsymbol{\psi}}_B$	$O(TD^2)$	$O(TN^2)$
Dot product comput.	$O(D)$	$O(N)$

D : dimension of the *Feature Space* (size of $\boldsymbol{\phi}$)

N : number of background vectors (size of $\boldsymbol{\psi}$)

- + Possibility to use expansions $\boldsymbol{\phi}$ of infinite dim (Gaussian kernel)
- Complexity problem for large databases

Computational complexity

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D : dimension of the *Feature Space* (size of ϕ)

N : number of background vectors (size of ψ)

- + Possibility to use expansions ϕ of infinite dim (Gaussian kernel)
- Complexity problem for large databases
- y **Objective** : find an appropriate approximation

Kernel Approximation

Goal

- 1 Reduce the size of the empirical map ψ
- 2 Keep a maximum of information

ICD : Incomplete Cholesky Decomposition [Fine and Scheinberg, 2001]

- 1 Selection of a sub-population of background vectors :

$$\begin{array}{l} \text{codebook } C = \{ \mathbf{b}_{p_1} \cdots \mathbf{b}_{p_i} \cdots \mathbf{b}_{p_m} \} \subset B \\ \text{index } I = \{ p_1 \cdots p_i \cdots p_m \} \subset \{1 \cdots N\} \end{array} \quad (\text{taille } m)$$

- 2 Low-rank approximation of the Gram matrix :

$$\mathbf{K} \approx \mathbf{L}_I = \mathbf{K}(:, I) \mathbf{K}(I, I)^{-1} \mathbf{K}(:, I)^T \quad (\text{rang } m)$$

$$\min_I \text{tr}(\mathbf{K} - \mathbf{L}_I) \equiv \min_C \sum \|\Phi(\mathbf{b}_i) - \Phi_C(\mathbf{b}_i)\|^2$$

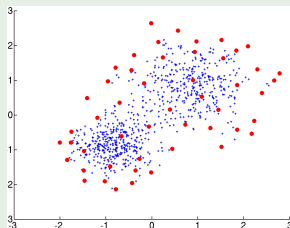
+ CPU and memory

Kernel Approximation

Goal

- 1 Reduce the size of the empirical map ψ
- 2 Keep a maximum of information

ICD : Incomplete Cholesky Decomposition



ICD, Gaussian kernel

Training data
Codebook

Approximate Form of FSNS kernels

Proposition

ICD

$$\mathbf{K} \quad \mathbf{w} \quad \mathbf{K}(:, I)\mathbf{K}(I, I)^{-1}\mathbf{K}(:, I)^\top$$

$$\kappa(X, Y) = \bar{\boldsymbol{\psi}}_B(X)^\top \mathbf{R}_B \bar{\boldsymbol{\psi}}_B(Y) \quad \mathbf{w} \quad \kappa(X, Y) \approx \bar{\boldsymbol{\psi}}_C(X)^\top \mathbf{R}_{B \times C} \bar{\boldsymbol{\psi}}_C(Y)$$

- Expansion of size $m \ll N$:

$$\bar{\boldsymbol{\psi}}_C(X) = \frac{1}{T_X} \sum_t \begin{bmatrix} k(\mathbf{b}_{p_1}, \mathbf{x}_t) \\ \vdots \\ k(\mathbf{b}_{p_m}, \mathbf{x}_t) \end{bmatrix}$$

$$\mathbf{R}_{B \times C} = \left(\frac{1}{N} \mathbf{K}(:, I) \mathbf{K}(:, I)^\top + \varepsilon \mathbf{K}(I, I) \right)^{-1}$$

- Complexity

	form (1)	form (2)	approx form
Expansion norm.	$O(TD^2)$	$O(TN^2)$	$O(Tm^2)$
Dot product	$O(D)$	$O(N)$	$O(m)$

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Data

Speech corpus : NIST Speaker Recognition Evaluation

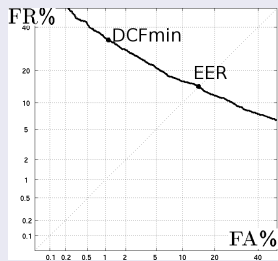
Development : NIST 2003 and 2004

- Background corpus (1/2)
- Validation corpus (2/2)
 - Hyper-parameters of kernels
 - Parameter C (SVM learning)
 - Decision threshold

Evaluation : NIST 2005

~ 18000 tests, 400 target speakers

$$DCF = \tau_{FR} P_{loc} FR\% + \tau_{FA} P_{imp} FA\%$$



Data

Speech corpus : NIST Speaker Recognition Evaluation

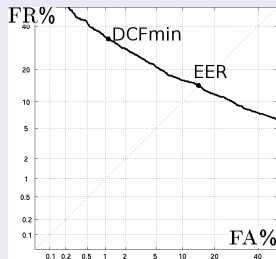
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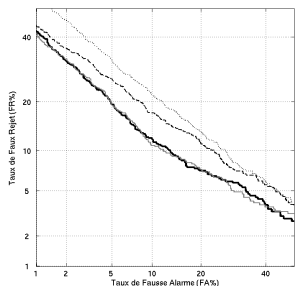


Preprocessing

	SVM	GMM
acoustic vectors	$MFCC + \Delta MFCC + \Delta \log E$	$LFCC + \Delta LFCC + \Delta \log E$
silence suppression	clustering of the energy	
normalization	feature warping	centring-reduction

Development : Centring & Regularization ?

$$\kappa(X, Y) = \overline{\psi_C}(X)^\top \left[\frac{1}{N} \mathbf{K}(:, I)^\top \mathbf{P} \mathbf{K}(:, I) + \epsilon \mathbf{K}(I, I) \right]^{-1} \overline{\psi_C}(Y)$$

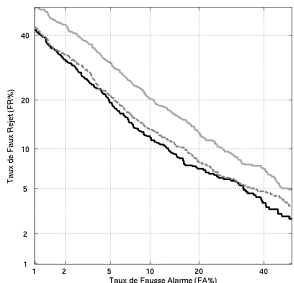


	Centring	Regul.	EER(%)	DCF _{min}
.....	$\mathbf{P} = \mathbf{\Pi}$	$\epsilon = 10^{-4}$	15.83	63.5
--	$\mathbf{P} = \mathbf{\Pi}$	$\epsilon = 10^{-6}$	14.11	53.1
—	$\mathbf{P} = \mathbf{\Pi}$	$(\epsilon = 0)$	10.62	49.3
—	$(\mathbf{P} = \mathbf{I})$	$(\epsilon = 0)$	10.95	49.9

- ➡ No significant gain with centering
- ➡ Regularization degrades

Developement : Choice and tuning of the vector kernel

$$\kappa(X, Y) = \overline{\psi}_C(X)^\top \left[\frac{1}{N} \mathbf{K}(:, I)^\top \mathbf{P} \mathbf{K}(:, I) + \varepsilon \mathbf{K}(I, I) \right]^{-1} \overline{\psi}_C(Y)$$

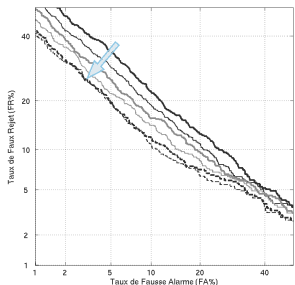


		EER(%)	DCF _{min}
—	$\rho = 2\rho_0$	15.7	60.2
--	$\rho = \rho_0/2$	11.90	50.5
—	$\rho = \rho_0$	10.95	49.9

- Best results are obtained with a Gaussian kernel $k(\mathbf{x}, \mathbf{y}) = e^{-\frac{\|\mathbf{x}-\mathbf{y}\|^2}{2\rho^2}}$
- ... using a good spread parameter $\rho \approx \rho_0 = \sqrt{d\bar{\sigma}}$

Developement : Size of the codebook

$$\kappa(X, Y) = \overline{\psi}_{\mathbf{C}}(X)^{\top} \left[\frac{1}{N} \mathbf{K}(:, \mathbf{I})^{\top} \mathbf{P} \mathbf{K}(:, \mathbf{I}) + \varepsilon \mathbf{K}(\mathbf{I}, \mathbf{I}) \right]^{-1} \overline{\psi}_{\mathbf{C}}(Y)$$

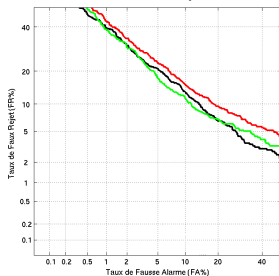


Codebook size		EER(%)	DCF _{min}
—	$m = 300$	15.70	65.0
—	$m = 600$	14.38	61.2
—	$m = 1250$	13.59	57.4
—	$m = 2500$	12.52	53.5
--	$m = 5000$	10.95	49.9
--	$m = 8000$	10.17	48.9

- Augmenting the codebook size improves the performances
- ... until a certain point ($m \sim 5000$)

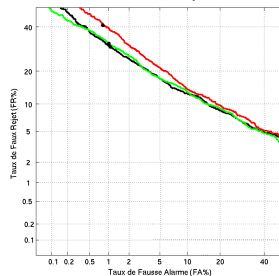
Evaluation : FSNS kernels vs. Classical approaches

Validation corpus



		EER(%)	DCF _{min}
—	(1) GLDS SVM	12.80	51.9
—	(2) FSNS SVM	10.55	47.5
—	(3) UBM-GMM	11.48	49.1

Evaluation corpus

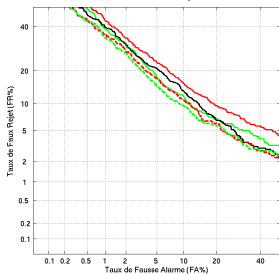


		EER	DCF
—	(1) GLDS SVM	12.54	48.8
—	(2) FSNS SVM	11.91	41.6
—	(3) UBM-GMM	12.06	40.6

- FSNS kernel : better performances than GLDS kernel
- FSNS-SVM competitive with UBM-GMM

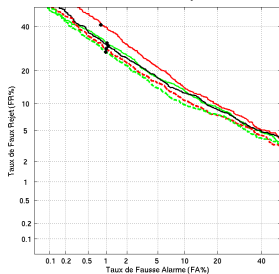
Evaluation : Perspectives of fusion

Validation corpus



		EER(%)	DCF _{min}
—	(1) GLDS SVM	12.80	51.9
—	(2) FSNS SVM	10.55	47.5
—	(3) UBM-GMM	11.48	49.1
	(1+2) fusion	no improvement	
--	(1+3) fusion	10.32	45.0
--	(2+3) fusion	9.50	43.5

Evaluation corpus

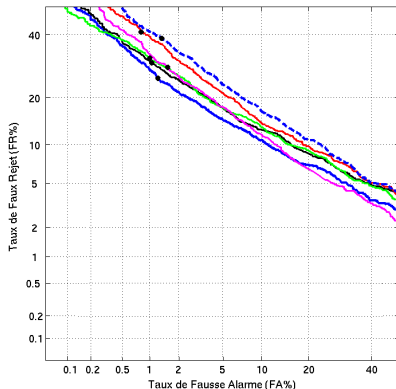


		EER	DCF
—	(1) GLDS SVM	12.54	48.8
—	(2) FSNS SVM	11.91	41.6
—	(3) UBM-GMM	12.06	40.6
	(1+2) fusion	no improvement	
--	(1+3) fusion	10.54	38.8
--	(2+3) fusion	9.71	37.0

Gain in discriminative / generative fusion

Evaluation : All sequence kernels

	EER	DCF
-- Probability product kernel	13.92	52.1
— GLDS kernel	12.54	48.8
— Fisher kernel	11.90	44.0
— FSNS kernel	11.91	41.6
— UBM-GMM (ref)	12.06	40.6
— supervectors GMM	10.40	37.7

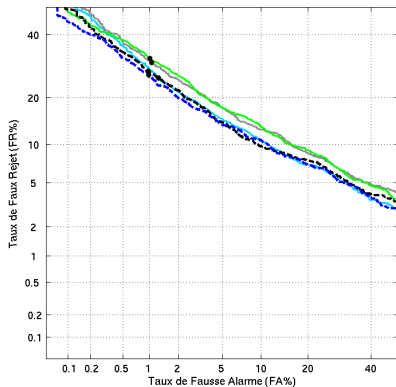


👉 Best results : exploiting GMM in SVM

Evaluation : Fusion

		EER	DCF
—	(1) FSNS kernel	11.91	41.6
—	(2) UBM-GMM	12.06	40.6
—	(3) Supervectors GMM	10.40	37.7
	(2+3) fusion	no improvement	
--	(1+2) fusion	9.71	37.0
--	(1+3) fusion	10.28	36.1

👉 Gain in GMM / SVM fusion



Conclusions and future work

Theoretical and experimental exploration of kernels between variable-length sets

- A lot of possible kernels
- A novel kernel (FSNS)
- Experimental comparison on NIST SRE
- Best performance : fusion FSNS/ GMM supervectors

Several ways to improve SVM for speaker verification

- Model adaptation
- String kernels for high-level features (prosody. . .)
- How to handle SVM scores ?