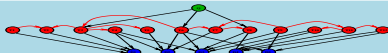


Applications of Influence Diagrams to Information Retrieval

Luis M. de Campos
Juan F. Huete
Juan M. Fernández-Luna

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E.T.S.I. Informática y Telecomunicaciones, Universidad de Granada
Spain



Information Retrieval and Influence Diagrams. The Future of Web Search Workshop – p.1/44

Outline

- Structured Information Retrieval.
- Bayesian network-based models.
- Why probabilities are not enough? Making decisions.
- Influence diagrams.
- Influence diagram-based models for structured information retrieval.
 - Topology.
 - Inference.
- Conclusions.



Information Retrieval and Influence Diagrams. The Future of Web Search Workshop – p.2/44

Structured Information Retrieval

Classical Information Retrieval methods treat documents as they were **atomic** entities:

Document Example

Information Retrieval on the Web.

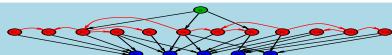
The application to systems ...

1.- Introduction and Overview.

Although the litterature on specific implementation ...

2.- Implementation and Efficiency of the Model.

The first kind of related activity ..



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Information Retrieval on the Web.

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The first kind of related activity ..

- Documents are indexed as a single unit.
- The IR system retrieves complete documents.
- The different document components are not taken into account.



Structured Information Retrieval

Modern methods have to deal with **structured** documents, whose content is organized around a well defined structure (SGML, XML, MPEG-7, books, scientific papers,...):

```
<?xml version="1.0" encoding="ISO-8859-1"?>
```

```
<article>
```

```
  <title> Information Retrieval on the Web. </title>
```

```
  <parag> The application to systems ... </parag>
```

```
  <section>
```

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    <title>Introduction and Overview </title>
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```
  </section>
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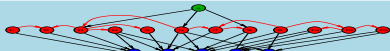
```
  <section>
```

```
    <title>Implementation and Efficiency of the Model </title>
```

```
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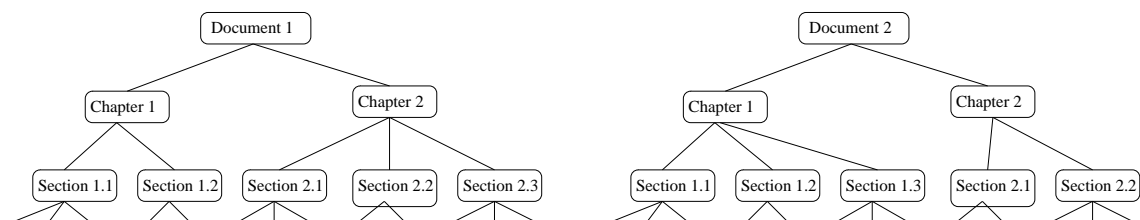
```
  </section>
```

```
</article>
```



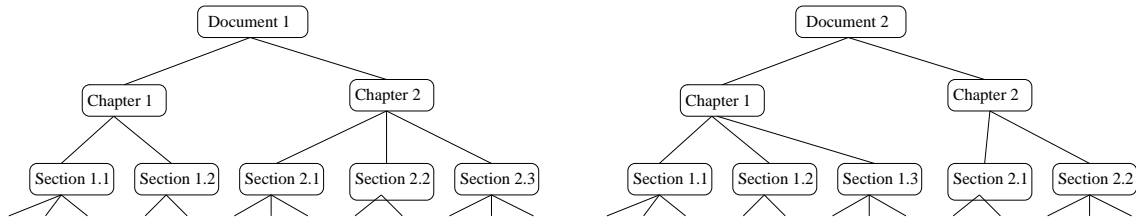
Structured Information Retrieval (2)

The inclusion of the **structure of a document** affects the design and implementation of an IR system:



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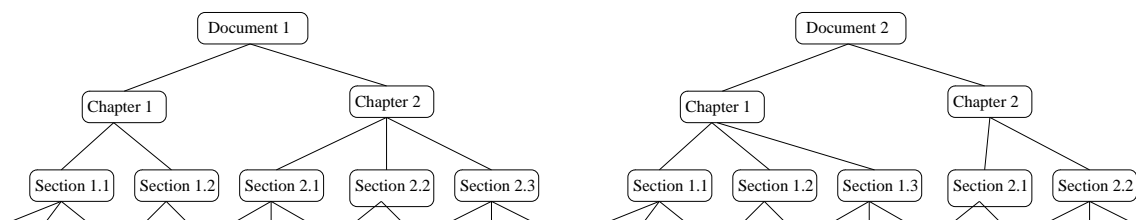


- **Indexing:** users can search both by content (**what**) and structure (**where**).



Structured Information Retrieval (2)

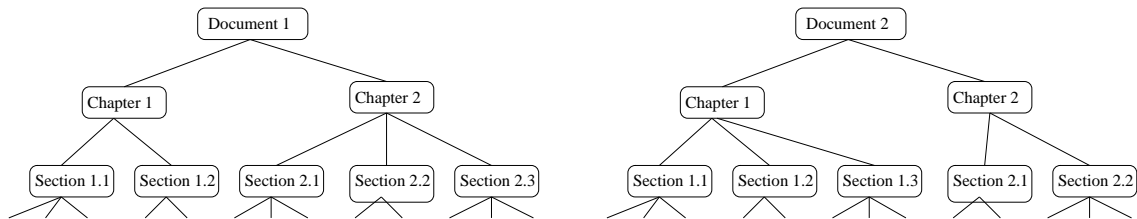
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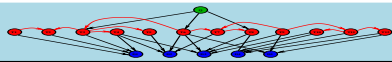
- **Indexing:** users can search both by content (**what**) and structure (**where**).
- **Retrieval:** use both structure and content to estimate the **relevance** of documents or document components.



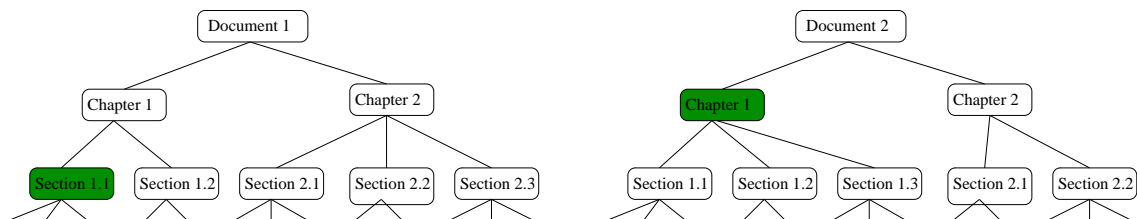
Structured Information Retrieval (3)



Goal: To retrieve, dynamically,



Structured Information Retrieval (3)

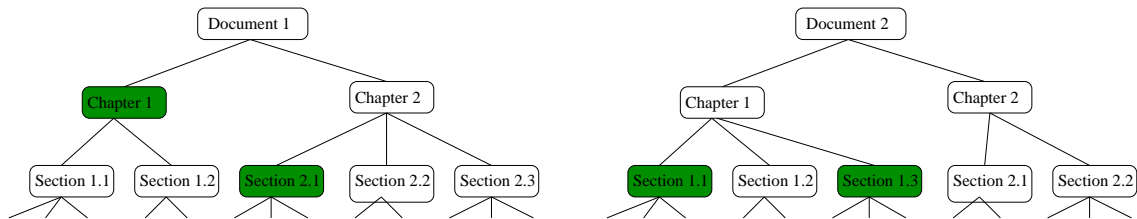


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- Document **components** of varying complexity.

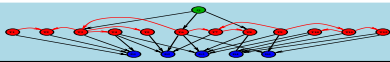


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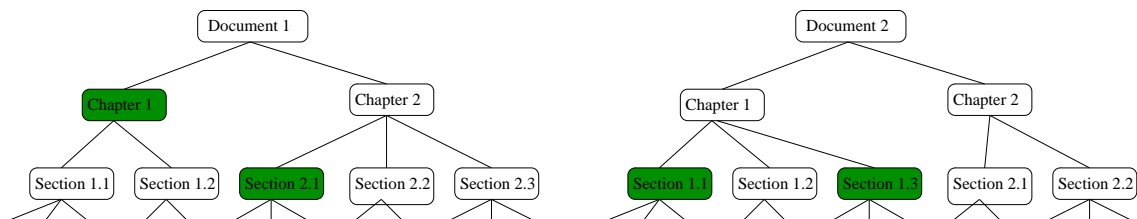


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As classical IR, structured IR is also an inherently **uncertain process**.

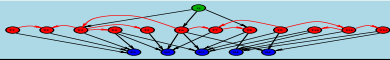
- The dominant formalism to deal with probabilistic uncertainty is **Bayesian networks**.



Bayesian Networks

A **Bayesian network (BN)** has two components:

- Qualitative, directed acyclic graph $G = (V, E)$.
- Quantitative, conditional probability distributions.



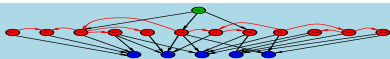
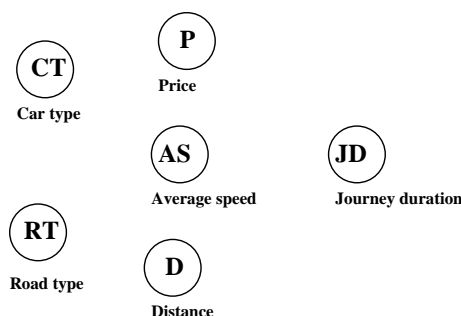
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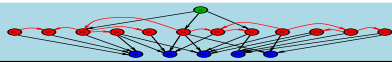
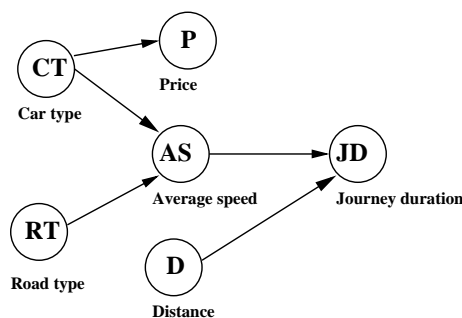
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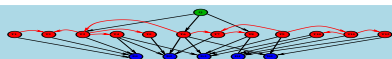
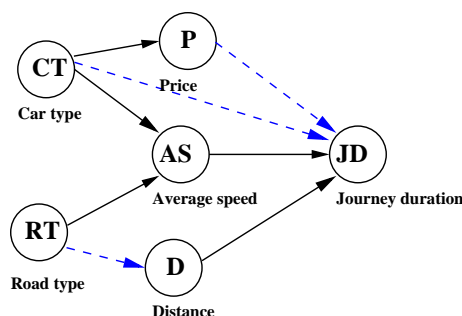
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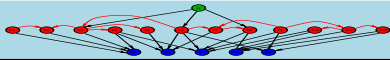


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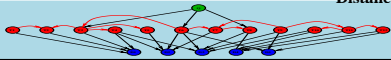
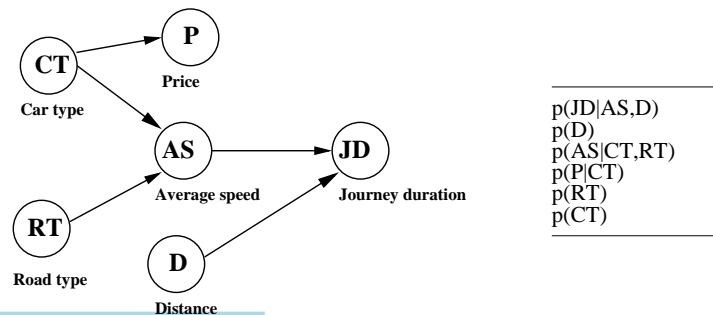
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Quantitative component:

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- Each variable X stores a **family of probability distributions** $p(X|pa(X))$, one for each **configuration** $pa(X)$ of its **parent set** $Pa(X)$.

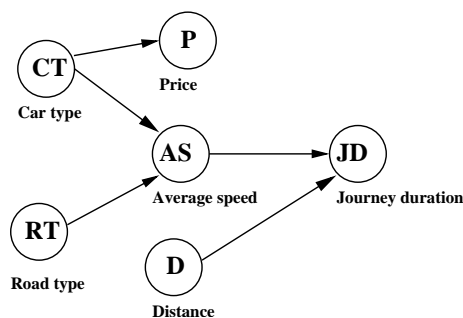


Bayesian Networks (3)

Joint distribution: Product of the local distributions:

$$p(CT, RT, P, AS, D, JD) = p(CT) \times p(RT) \times p(P|CT) \times p(AS|CT, RT) \times p(D) \times p(JD|AS, D)$$

Inference in Bayesian networks: based on the **factorization** of the joint distribution, to carry out local computations → **efficiency**



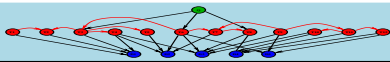
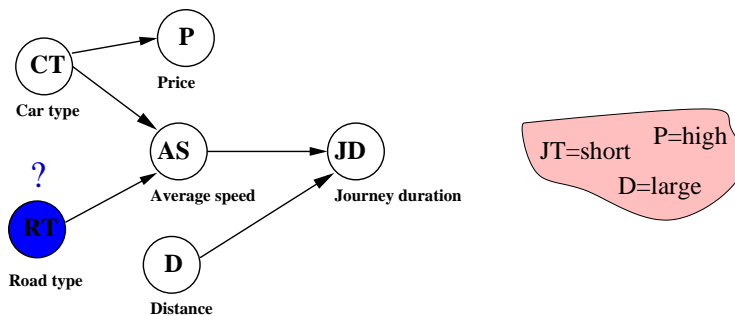
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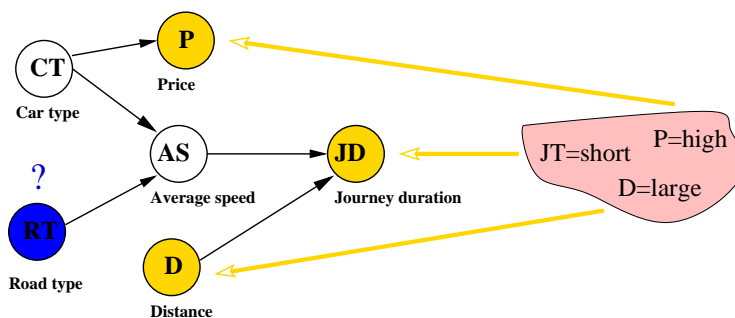
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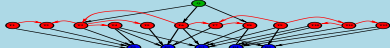
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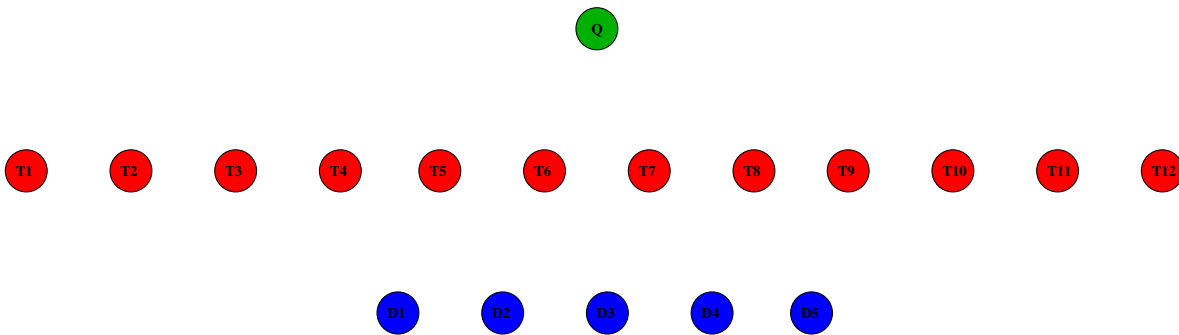
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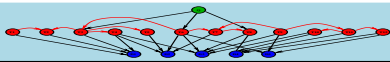
$$P(\text{Road type} \mid \text{Price}=\text{high}, \text{Distance}=\text{large}, \text{Journey duration}=\text{short})$$



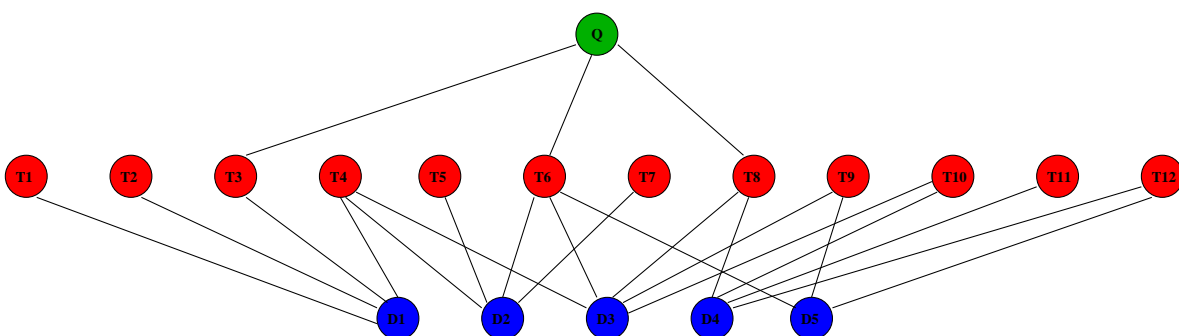
IR models based on Bayesian nets



- Nodes: **term**, **document** and **query**
 - Documents $\mathcal{D} = \{D_1, \dots, D_N\}$. Terms $\mathcal{T} = \{T_1, \dots, T_M\}$
 - Variables $d_j \in \{d_j^-, d_j^+\}$ and $t_i \in \{t_i^-, t_i^+\}$ ({not relevant, relevant})



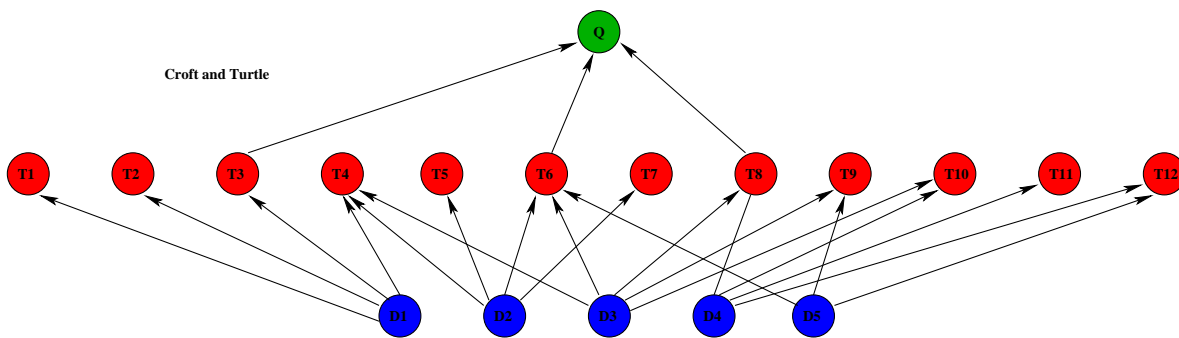
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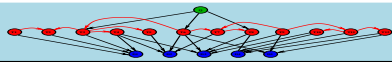


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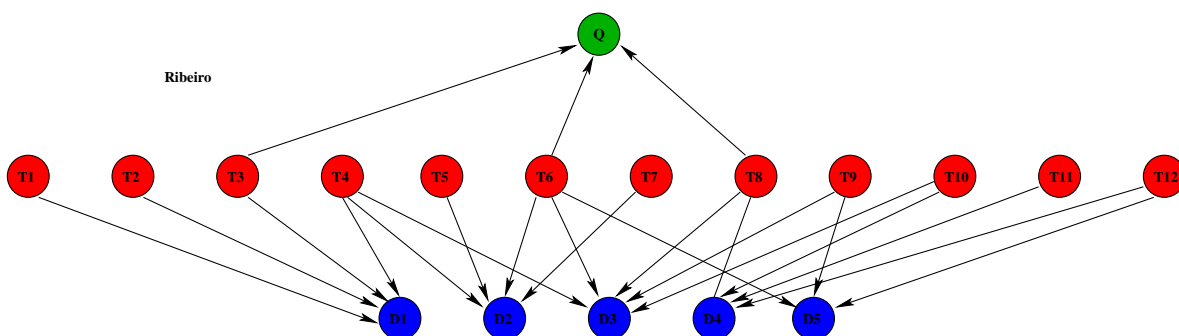


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Different models depending on the **orientation** of the arcs and the existence of **additional arcs**.

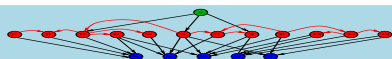


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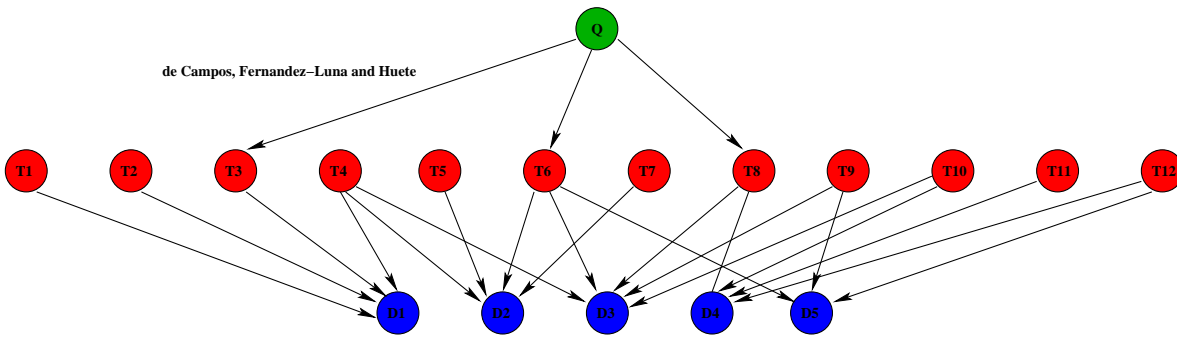
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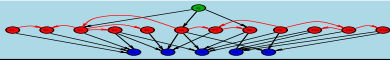
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de Campos, Fernandez-Luna and Huete



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Information Retrieval and Influence Diagrams. The Future of Web Search Workshop – p.10/44

Structured IR models based on BNs

Natural **extension** of the models for flat documents to hierarchical ones:

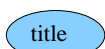
- Nodes:



Terms \mathcal{T} : Used to express the Information needs:

$$\mathcal{T} = \{T_1, T_2, \dots, T_l\}$$

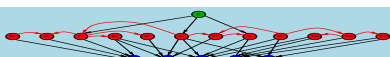
states: Non Relevant or Relevant, $T_k \in \{t_k^-, t_k^+\}$



Structural Units \mathcal{U} : components that may be shown to the user, *tags* (piece of data) in the XML format.

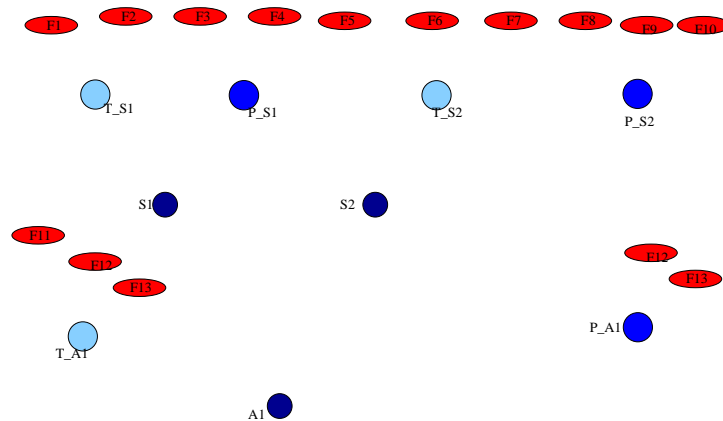
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paragraph, section, article, title, ...

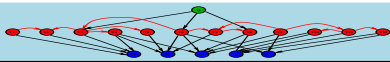


Information Retrieval and Influence Diagrams. The Future of Web Search Workshop – p.11/44

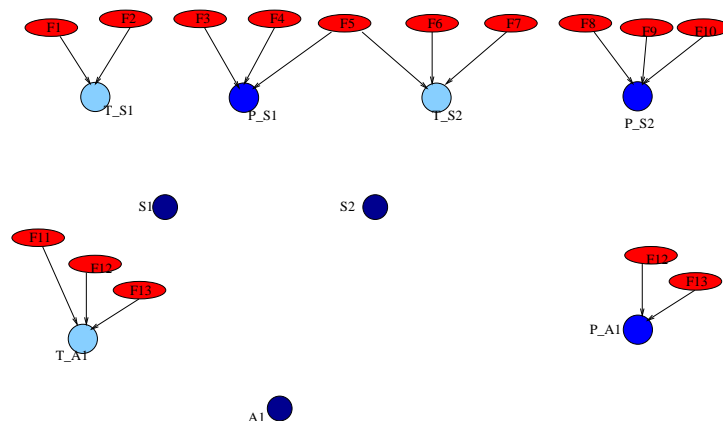
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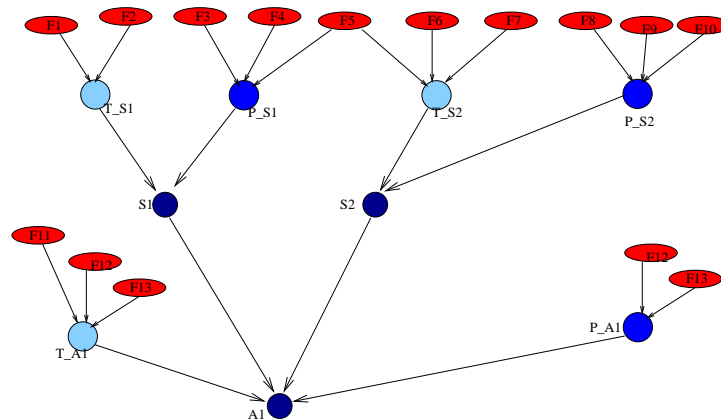
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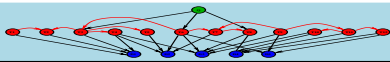
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Structured IR models based on BNs



- Arcs: The outcome of event A affects the probability of event B
 - From **terms** to the **basic structural units** where they appear.
paragraph, title, ...
 - From each **structural unit** to the **single complex unit** that contains it.
article, section, subsection, ...



Why probabilities are not enough?

Characteristics of probabilistic (BN-based) retrieval:

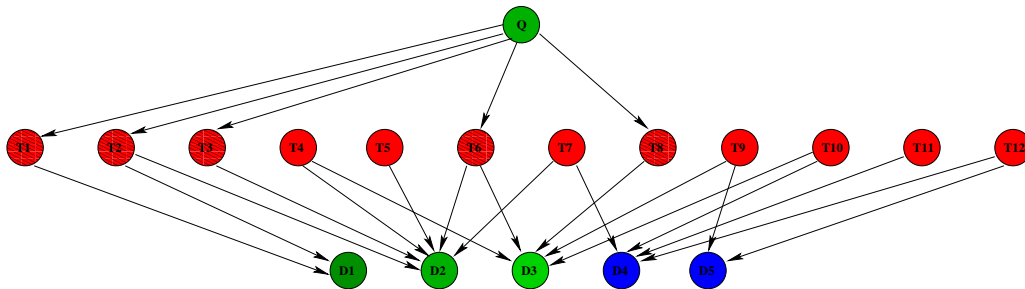
- Probability of relevance \approx **degree of matching** with the query: the more terms in the document appears in the query, the more relevant the document.
- The probabilities of relevance of **larger documents** tend to be **smaller**.
- The retrieval of a document is **independent** on the retrieval of any other document in the collection.



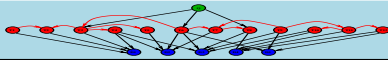
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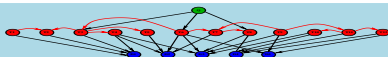
Flat retrieval: The retrievable elements are complete documents, which are all “similar”. The previous characteristics are **OK**.



Why probabilities are not enough? (2)

Structured retrieval: The retrievable elements are structural units, which are different.

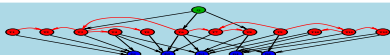
- Structural units **more specific and reduced** (e.g. paragraphs) tend to have probabilities of relevance **greater** than those of **more generic and wider** units (e.g. chapters).



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Section 1.1 **relevant**

Section 1.2 **relevant**

Section 1.3 **relevant**

Retrieve chapter 1

Do not retrieve sections



Information Retrieval and Influence Diagrams. The Future of Web Search Workshop – p.14/44

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Section 1.1 **relevant**

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Section 1.2 **relevant**

Section 1.2 **irrelevant**

Section 1.3 **relevant**

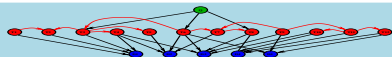
Section 1.3 **irrelevant**

Retrieve chapter 1

Do not retrieve chapter 1

Do not retrieve sections

Retrieve section 1.1



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- The retrieval on a structural unit may affect the retrieval of other units (**redundancy**).

Section 1.1 **relevant**

Section 1.1 **relevant**

Section 1.2 **relevant**

Section 1.2 **irrelevant**

Section 1.3 **relevant**

Section 1.3 **irrelevant**

Retrieve chapter 1

Do not retrieve chapter 1

Do not retrieve sections

Retrieve section 1.1

- Some types of structural units may be more interesting than others for different users, depending on their own **preferences and goals**.



Why probabilities are not enough? (3)

The most probable relevant units are not necessarily the best. In addition to be probably relevant they should also be **useful**.



Information Retrieval and Influence Diagrams. The Future of Web Search Workshop – p.15/44

Why probabilities are not enough? (3)

The most probable relevant units are not necessarily the best. In addition to be probably relevant they should also be **useful**.

Proposed solution: To add a **decision module**, in order to determine which structural units are more useful, as a function of

- the **relevance probabilities**,
- the **utility** of these units for the user,
- and the **context** where these units appear.



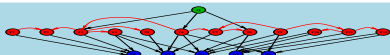
Information Retrieval and Influence Diagrams. The Future of Web Search Workshop – p.15/44

Influence diagrams

Decision making: the choice of one among a number of possible alternatives.

Decision analysis: Framework for analyzing decision problems by structuring and breaking them down into more manageable parts, explicitly considering:

- problem structure, available information
- possible alternatives, relevant preferences
- uncertainties involved



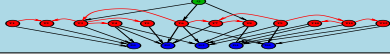
Influence diagrams

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- uncertainties involved

State of the world θ	Value of alternatives	
	treat	do not treat
Disease A		
θ_1 =yes	v_{yt}	v_{yn}
θ_2 =no	v_{nt}	v_{nn}



Influence diagrams

Decision making: the choice of one among a number of possible alternatives.

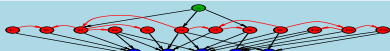
Decision analysis: Framework for analyzing decision problems by structuring and breaking them down into more manageable parts, explicitly considering:

- problem structure, available information
- possible alternatives, relevant preferences
- uncertainties involved

State of the world θ Disease A	Probability $p(A)$	Value of alternatives	
		treat	do not treat
$\theta_1 = \text{yes}$	$p(A = \text{yes})$	v_{yt}	v_{yn}
$\theta_2 = \text{no}$	$p(A = \text{no})$	v_{nt}	v_{nn}

Decision making under risk: We know (or estimate) the probabilities of states. Decision criterion: Select the alternative which maximizes the

Expected Value: $EV(a_i) = \sum_{j=1}^n p(\theta_j) y_{ji}$



Influence diagrams (2)

Without using utilities (more precisely, using boolean utilities): **If A=yes then treat; if A=no then do not treat.**

State Disease A	Probability $p(A)$	Value of alternatives	
		treat	do not treat
yes	$p(A = \text{yes})$	$v_{yt} = 1$	$v_{yn} = 0$
no	$p(A = \text{no})$	$v_{nt} = 0$	$v_{nn} = 1$

Decision: Treat iff $p(A = \text{yes}) > p(A = \text{no}) \iff p(A = \text{yes}) > \frac{1}{2}$



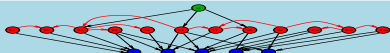
Influence diagrams (2)

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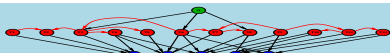
Decision: Treat iff $p(A = \text{yes}) > p(A = \text{no}) \iff p(A = \text{yes}) > \frac{1}{2}$

To treat a person do not suffering A may have a very different value that not to treat a person suffering A (e.g. $v_{nt} = 0$, $v_{yn} = -1$; in this case the decision of maximum expected utility is **Treat iff $p(A = \text{yes}) > \frac{1}{3}$**).



Influence diagrams (3)




Influence Diagrams: generalization of Bayesian networks to deal with decision problems.



Influence diagrams (3)

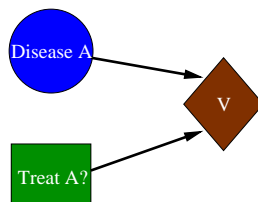
Influence Diagrams: generalization of Bayesian networks to deal with decision problems.

- **Nodes in influence diagrams:**

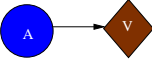
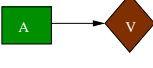
-  **Chance nodes:** represent random variables (states of nature).
-  **Decision nodes:** represent alternatives.
-  **Utility nodes:** represent (numerically) consequences of the decisions.



Influence diagrams: Examples (4)



- **Arcs in influence diagrams:**

-  The outcome of event A affects the value of utility.
-  Decision A affects the value of utility.

- **Quantitative Information:**

Disease A $p(A)$	Value of alternatives	
	treat	do not treat
$p(A = \text{yes}) = 0.3$	10	0
$p(A = \text{no}) = 0.7$	5	10

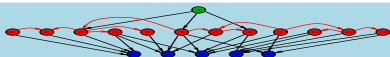


Influence diagrams: Examples (5)

We may have to manage **several decisions**:

Examples:

- A medical test could improve our knowledge about the possibility of suffering disease A
 - First decision: **Perform test?**
 - Second decision: **Treatment for A?**

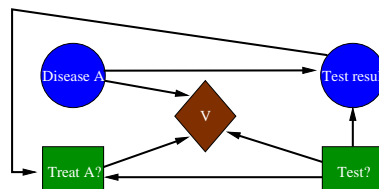


Influence diagrams: Examples (5)

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Arcs in influence diagrams:

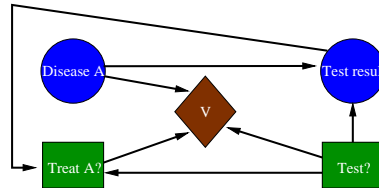


Influence diagrams: Examples (5)

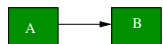
We may have to manage **several decisions**:

Examples:

- A medical test could improve our knowledge about the possibility of suffering disease A
 - First decision: **Perform test?**
 - Second decision: **Treatment for A?**



Arcs in influence diagrams:

 Decision A occurs before decision B. Decisions A and B are sequential.

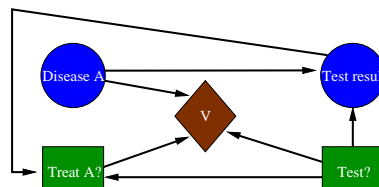


Influence diagrams: Examples (5)

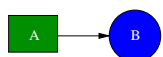
We may have to manage **several decisions**:

Examples:

- A medical test could improve our knowledge about the possibility of suffering disease A
 - First decision: **Perform test?**
 - Second decision: **Treatment for A?**



Arcs in influence diagrams:

 Decision A affects the probabilities of event B. Decision A is relevant for event B.

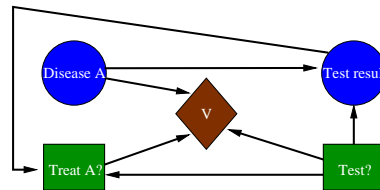


Influence diagrams: Examples (5)

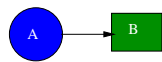
We may have to manage **several decisions**:

Examples:

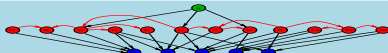
- A medical test could improve our knowledge about the possibility of suffering disease A
 - First decision: **Perform test?**
 - Second decision: **Treatment for A?**



Arcs in influence diagrams:



Decision B occurs after event A. The outcome of A is known when deciding about B.



Influence diagrams: Examples (6)

Or the patient may also suffer another more serious disease B, which is commonly caused by A

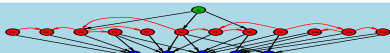
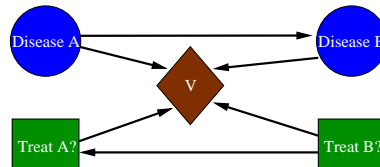
- First decision: **Treatment for B?**
- Second decision: **Treatment for A?**



Influence diagrams: Examples (6)

Or the patient may also suffer another more serious disease B, which is commonly caused by A

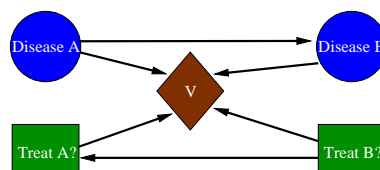
- First decision: Treatment for B?
- Second decision: Treatment for A?



Influence diagrams: Examples (6)

Or the patient may also suffer another more serious disease B, which is commonly caused by A

- First decision: Treatment for B?
- Second decision: Treatment for A?

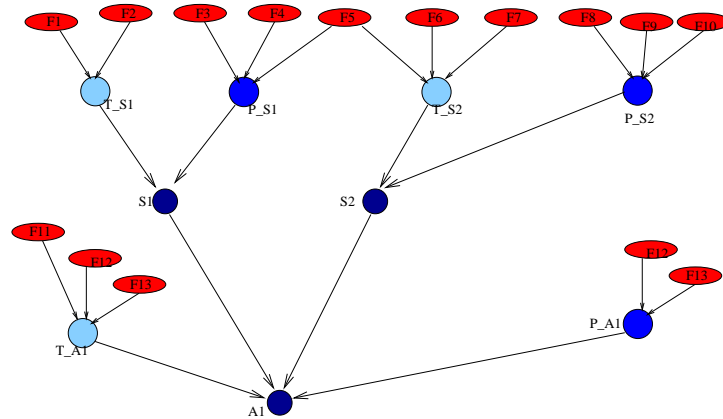


Solving an ID is finding the set of decisions that **maximize the expected utility**, for each one of the scenarios of interest.

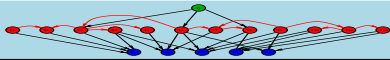


Building ID for Structured IR

Problem: Modeling the original BN towards a decision model



We have to include Decision and Utility nodes



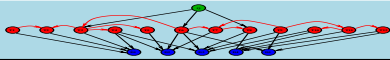
Building ID for Structured IR (2)

- **Decision Nodes, \mathcal{R} :**
Representing decision alternatives for the system.



Building ID for Structured IR (2)

- Decision Nodes, \mathcal{R} :
Representing decision alternatives for the system.
 - Retrieve a Section
 - Retrieve the Article
 - Retrieve the Title of a Section



Building ID for Structured IR (2)

- Decision Nodes, \mathcal{R} :
Representing decision alternatives for the system.
 - Retrieve a Section
 - Retrieve the Article
 - Retrieve the Title of a Section




Decision For each retrievable structural unit the system has to decide between retrieval or not retrieval

$$\forall U_i \Rightarrow R_i \text{ with } R_i \in \{r_i^+, r_i^-\}$$



Building ID for Structured IR (2)

- Decision Nodes, \mathcal{R} :
Representing decision alternatives for the system.
 - Retrieve a Section
 - Retrieve the Article
 - Retrieve the Title of a Section

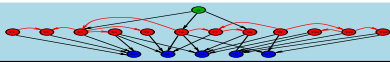
 Decision For each retrievable structural unit the system has to decide between retrieval or not retrieval

$$\forall U_i \Rightarrow R_i \text{ with } R_i \in \{r_i^+, r_i^-\}$$

- Utility or Value Nodes, \mathcal{V} : Used to measure the utility (profit) of the decisions

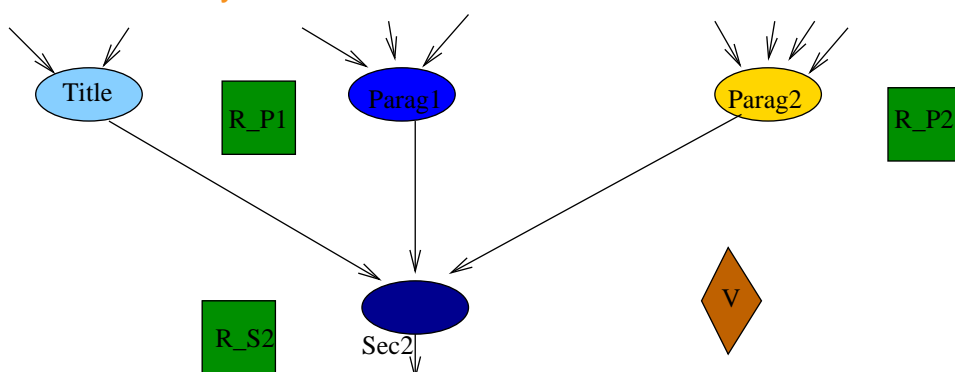


Goal: utility of retrieval a unit depends on the context



Building ID for Structured IR (3)

Relations with utility nodes:

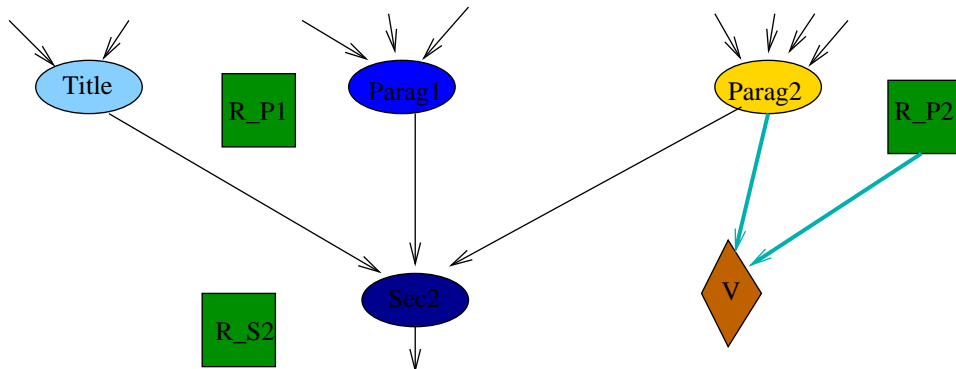


The utility of retrieval *paragraph 2* will depend on ...



Building ID for Structured IR (4)

Relations with utility nodes:



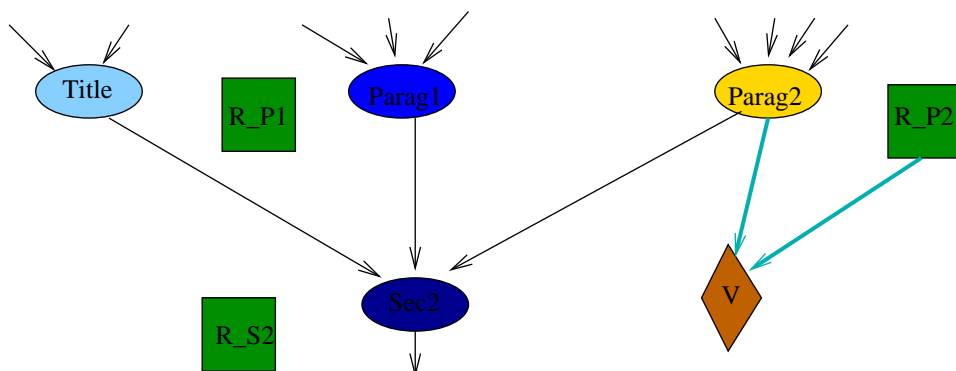
So, we can encode decision rules as

- If the paragraph is relevant AND we retrieve it we gain 100\$.
- If the paragraph is relevant AND we do not retrieve it we gain 0\$.



Building ID for Structured IR (4)

Relations with utility nodes:



So, we can encode decision rules as

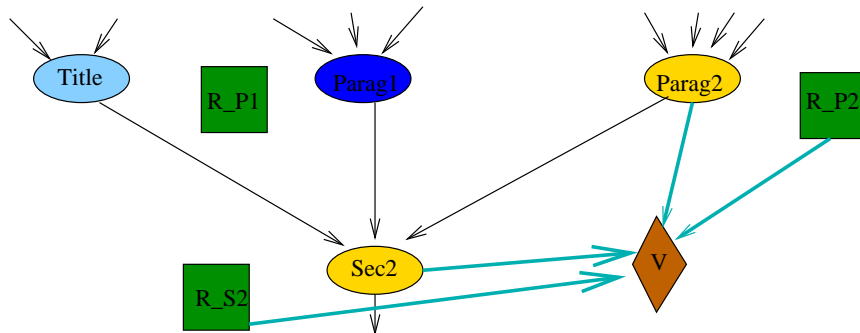
- If the paragraph is relevant AND we retrieve it we gain 100\$.
- If the paragraph is relevant AND we do not retrieve it we gain 0\$.

But, what about the **context** in which the decision has been made ?



Building ID for Structured IR (5)

Relations with utility nodes:



Assuming that node Sec2 summarizes the context, we could encode decision rules as

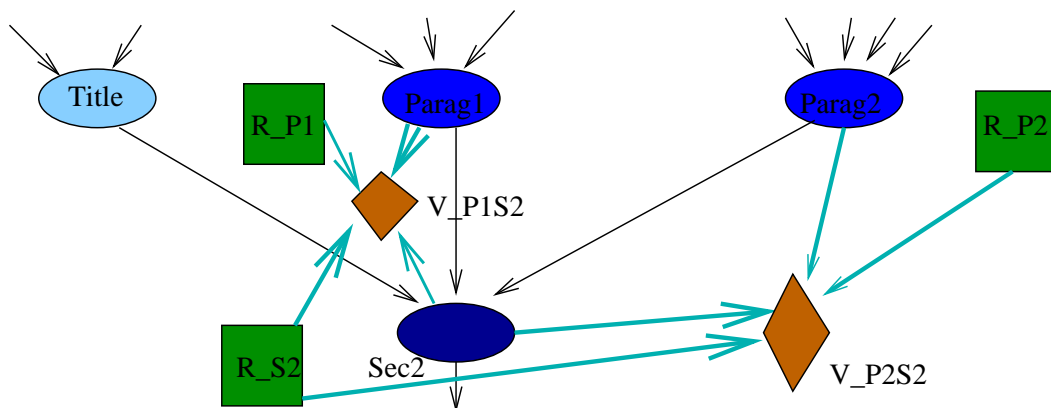
- If the paragraph and the section are relevant AND we retrieve the section and not the paragraph we gain 100\$.
- If the paragraph and the section are relevant AND we do not retrieve the section but retrieve the paragraph we gain 50\$.



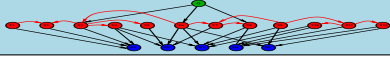
Building ID for Structured IR (5)

Relations with utility nodes:

Finally,



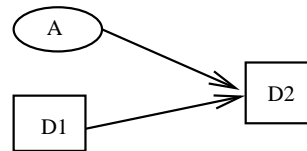
Note that each utility node depends on a unit U_i and the (unique) unit that contains it $U_j = U_{C(U_i)}$. So they will be denoted by $V_{i,j}$.



Analyzing Decision nodes

Relations with decision nodes:

Indicate that the value of the source node is available when the decision is made.



Considering that:

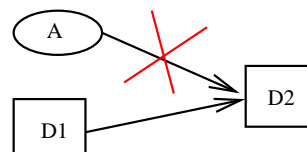
- we can not know a priori the relevance value of a unit



Analyzing Decision nodes

Relations with decision nodes:

Indicate that the value of the source node is available when the decision is made.

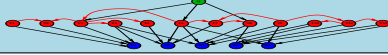


Considering that:

- we can not know a priori the relevance value of a unit
- the **redundancy** *it will convenient not to retrieve a unit U_i if we have previously retrieved the unit U_k that contains it.*

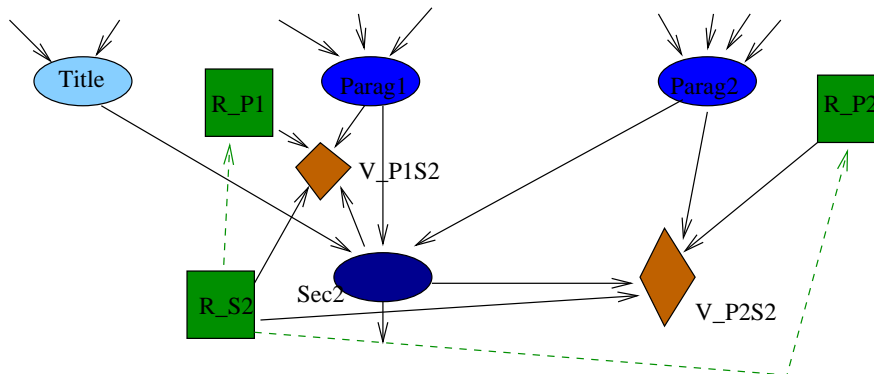
we can define a partial ordering between decisions nodes.

General (article) < Specific (section)

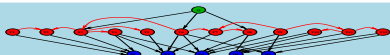


Analyzing Decision nodes (2)

Relations with decision nodes:

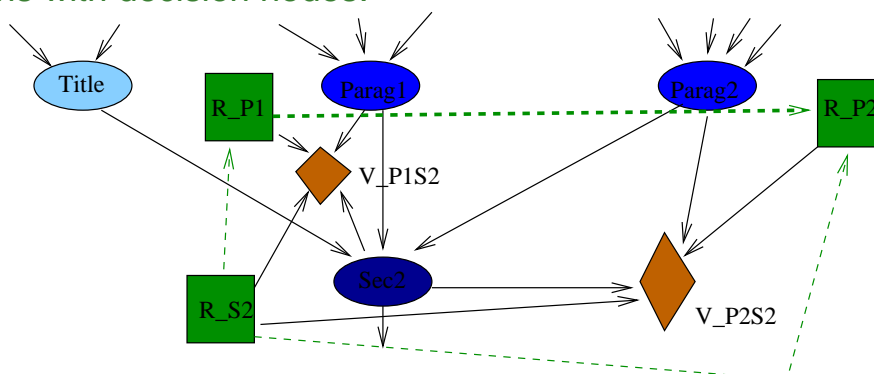


To complete the ordering between decision nodes:
we consider *left* < *right* in the same level of the hierarchy
(siblings units),



Analyzing Decision nodes (2)

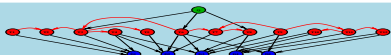
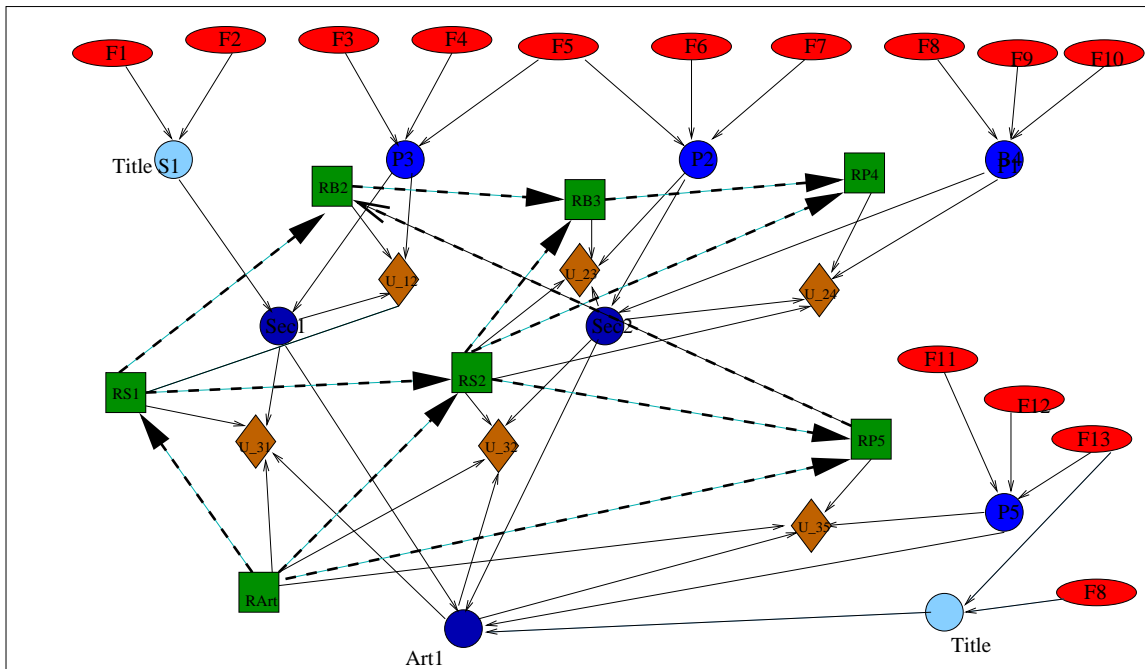
Relations with decision nodes:



and putting all together we get ...



ID for Structured IR



Specifying Probabilities and Utilities

- Probabilities (Chance node U_i):
exponential number of prob. values, $2^{|Pa(U_i)|}$
Solution: Canonical models

$$\forall U_i p(u_i^+ | pa(U_i)) = \sum_{F \in R(pa(U_i))} w(F, U_i)$$

$$p(art^+ | p1^+, s1^+, s2^-) = w(p1, art) + p(s1, art)$$

$$p(art^+ | p1^-, s1^+, s2^+) = w(s1, art) + p(s2, art)$$

- Utilities (e.g. $A \leftarrow S1$): $V_{a,s}(A, S1, R_a, R_{s1})$ needs 16 utility values

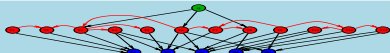
$v(++++) = 0$	$v(+++-) = 5$	$v(++-+) = 0$	$v(++--) = -5$
$v(+--+)= 0$	$v(+-+-) = 10$	$v(+--+) = -15$	$v(+---) = -15$
$v(-+++)= -15$	$v(-++-) = -15$	$v(-+-+) = 15$	$v(-+--) = 0$
$v(---+)= -15$	$v(--+-) = -15$	$v(---+) = -15$	$v(----) = 15$



Solving ID: Inference

- Input: Query, $Q \subseteq \mathcal{T}$:
- Output: Subset of \mathcal{U}
- How?: Solving the ID
 - For each document, select the strategy (set of decisions) with the highest expected utility.

	Art	P_A1	Sec1	Sec2	P_S1.1	P_S2.1	P_S2.2	V
Str1	-	-	+	-	-	+	-	200\$
Str2	-	-	+	-	-	-	+	150\$
Str3	+	-	-	-	-	-	-	50\$
...
Str _m	+	+	-	+	-	-	-	-250\$



Solving ID: Inference

Doc1	Art1	P_A1	Sec1	Sec2	P_S1.1	P_S2.1	P_S2.2	V
Str	-	-	+	-	-	+	-	200\$
Doc2	Art2	Sec1	Sec2	Sec3	P_S1.1	P_S2.2	P_S2.3	
Str	-	-	-	+	-	-	-	180\$
Doc3	Art3	Sec1	PS1.1	PS1.2	PS1.3			
Str	-	-	-	-	-			250\$
...

System output:

It is necessary to **merge** all the optimal strategies (one for each document), into a unique output

Solution: To rank the decisions in decreasing order of the utility of retrieval each particular unit U_i : $EU(r_i^+|q)$.

Art1\Sec2\Parag1 < Art2\Sec3 < Art1\Sec1 < ...



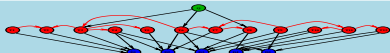
Solving ID: Inference

Problem: High computational cost due to

- large number of variables (terms and structural units),
- large number of decision nodes in a document
 - the exponential number of strategies $O(2^{|U_D|})$ to explore
- the topology of the underlying BN with
 - multiple pathways connecting nodes,
 - the great number of parents of each unit.

Solution: Two step approach

Probability inference + Decision Making



Information Retrieval and Influence Diagrams. The Future of Web Search Workshop – p.34/44

Reducing the set of valid strategies

Coherent criteria that help to prune the search

- If the query is not related with particular unit we shall decide “not to retrieve” the unit and none of the units included in it.

We do not have to explore the strategies in non relevant documents

- considering specificity, if we decide “to retrieve” a unit, none of the units included in it will be also recommended.

We reduce the strategies in relevant documents

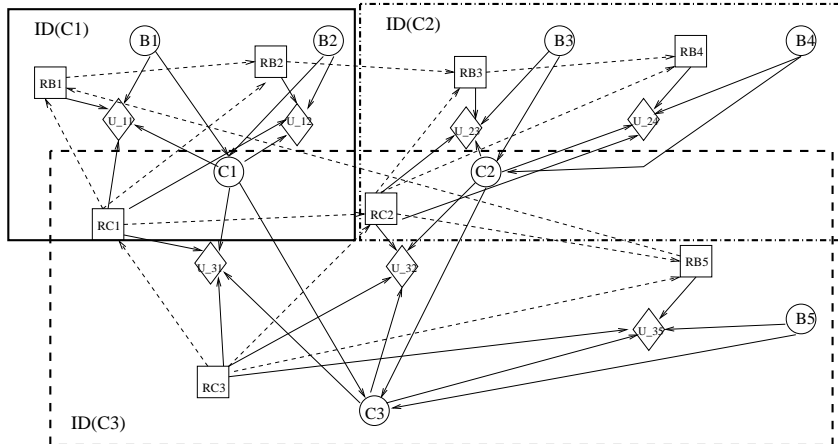
Nevertheless the number of compatible strategies is exponential.

Final Strategy: **Bottom-up** approach making decisions with the information that can be computed “locally”.



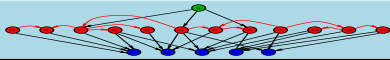
Information Retrieval and Influence Diagrams. The Future of Web Search Workshop – p.35/44

Splitting into local Influence Diagrams

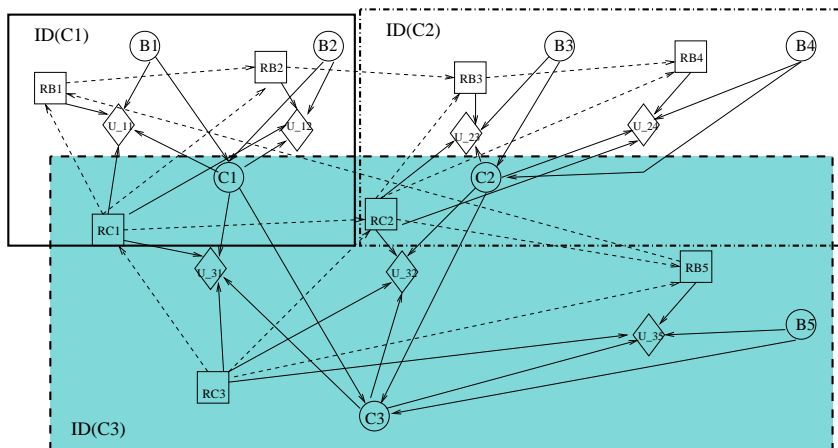


Each local ID relates a structural unit with their immediate sub-components (*parents*).

ID_{U_i} relates U_i with $Pa(U_i)$



Splitting into local Influence Diagrams

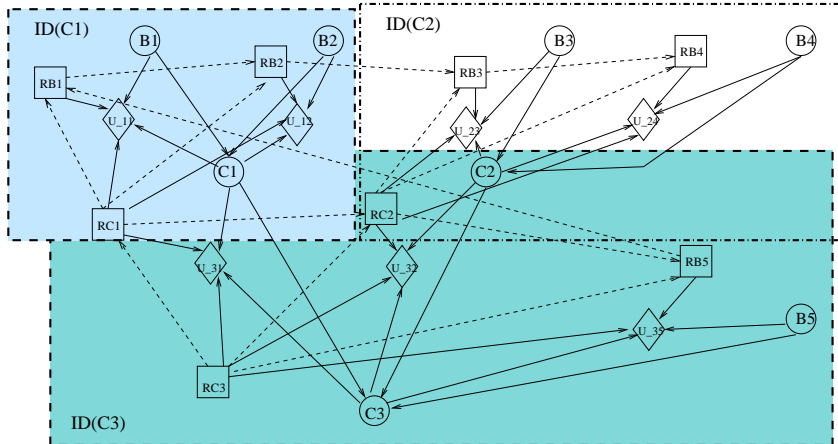


ID(Article): Candidate Strategies:

- Art Parag1 Sec1 Sec2
- Art Parag1 Sec1 Sec2
- Art Parag1 Sec1 Sec2



Splitting into local Influence Diagrams



ID(Section1): Candidate Strategies:

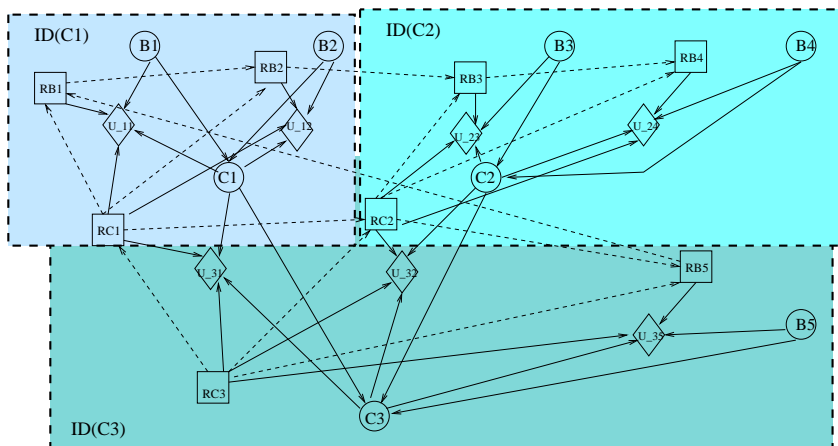
Sec1 Parag1 Parag2

Sec1 Parag1 Parag2

Sec1 Parag1 Parag2



Splitting into local Influence Diagrams

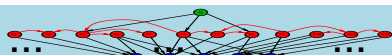


ID(Section2): Candidate Strategies:

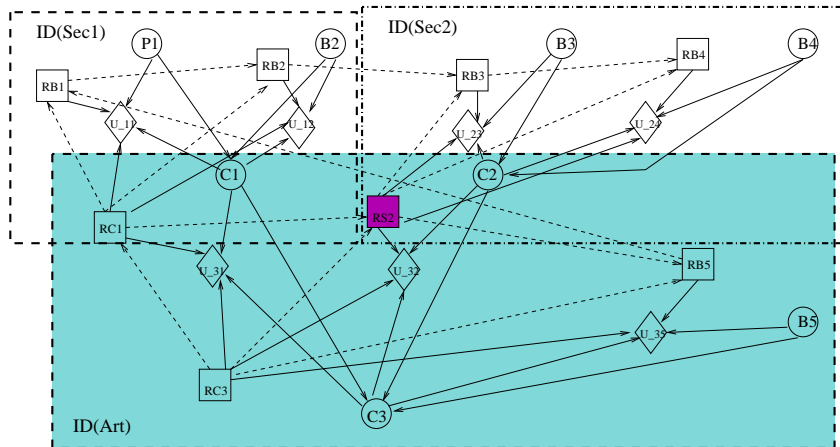
Sec2 Parag1 Parag2

Sec2 Parag1 Parag2

Sec2 Parag1 Parag2

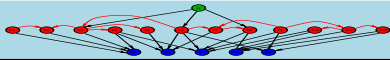


Splitting into local Influence Diagrams

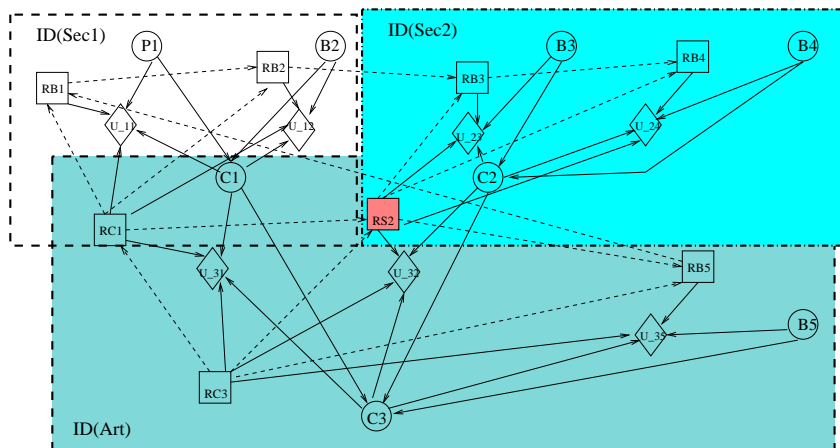


TWO local decisions for each complex structural unit.

1.- related with the unique structural unit containing U_i
Opt. Strategy ID(Art): Art ; Parag1 ; Sec1 ; Sec2



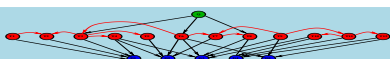
Splitting into local Influence Diagrams



TWO local decisions for each complex structural unit.

2.- related with the units contained by U_i

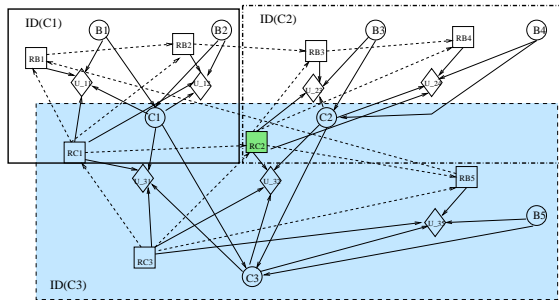
Opt. Strategy ID(Sec2): Sec2 ; Parag1 ; Parag2



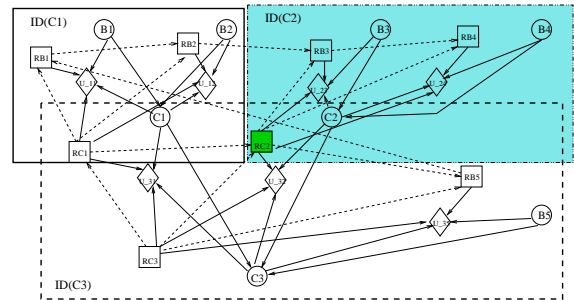
Relating Decisions ID_{U_i} and $ID_{C(U_i)}$

	$ID_{U_i} = r_i^+$	$ID_{U_i} = r_i^-$
$ID_{C(U_i)} = r_i^+$?	
$ID_{C(U_i)} = r_i^-$		

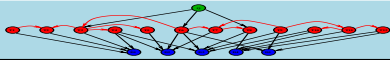
There is no doubt, retrieve the unit U_i .



Art; Parag1; Sec1; Sec2



Sec2; Parag 1; Parag2

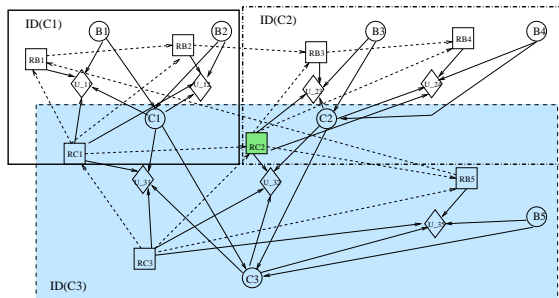


Relating Decisions ID_{U_i} and $ID_{C(U_i)}$

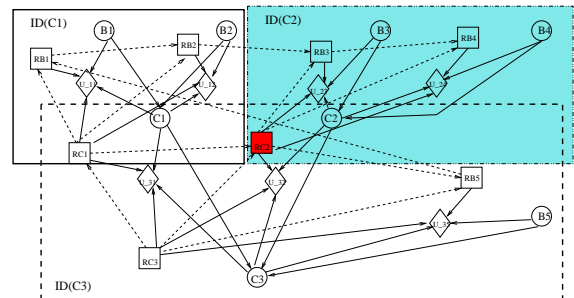
	$ID_{U_i} = r_i^+$	$ID_{U_i} = r_i^-$
$ID_{C(U_i)} = r_i^+$	r_i^+	?
$ID_{C(U_i)} = r_i^-$		

r_i^+ : U_i is more relevant than its siblings.

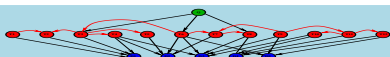
r_i^- : is better to retrieve some of its parents.



Art; Parag1; Sec1; Sec2



Sec2; Parag 1; Parag2

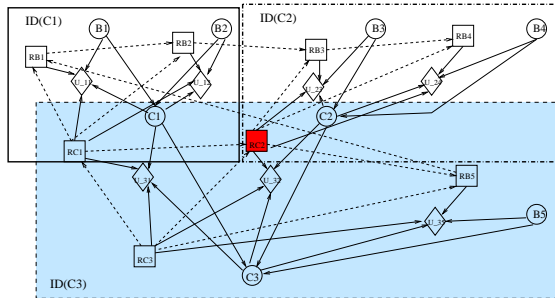


Relating Decisions ID_{U_i} and $ID_{C(U_i)}$

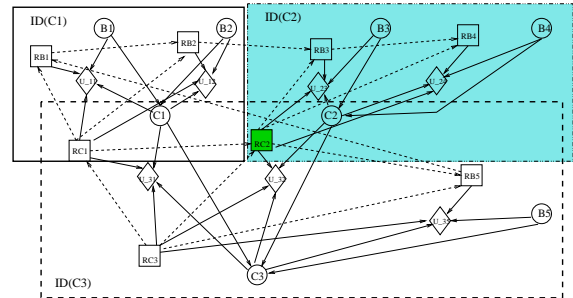
	$ID_{U_i} = r_i^+$	$ID_{U_i} = r_i^-$
$ID_{C(U_i)} = r_i^+$	r_i^+	r_i^-
$ID_{C(U_i)} = r_i^-$?	

r_i^- : U_i is less relevant than its siblings.

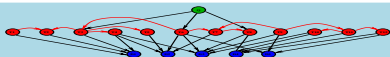
r_i^+ : U_i is more relevant than its parents.



Art; Parag1; Sec1; Sec2



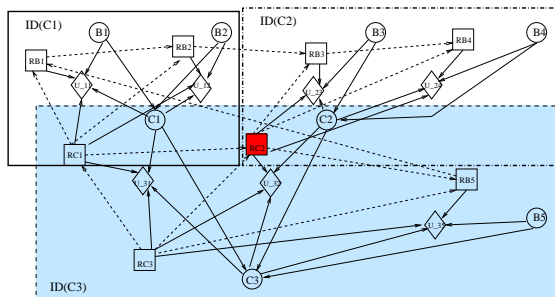
Sec2; Parag 1; Parag2



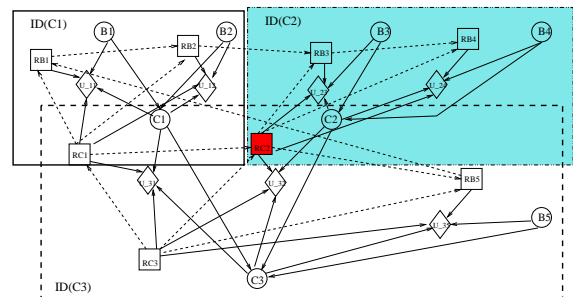
Relating Decisions ID_{U_i} and $ID_{C(U_i)}$

	$ID_{U_i} = r_i^+$	$ID_{U_i} = r_i^-$
$ID_{C(U_i)} = r_i^+$	r_i^+	r_i^-
$ID_{C(U_i)} = r_i^-$	r_i^+	?

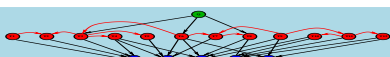
There is no doubt: Not to retrieve U_i .



Art; Parag1; Sec1; Sec2



Sec2; Parag 1; Parag2

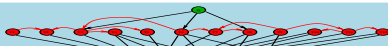


Relating Decisions ID_{U_i} and $ID_{C(U_i)}$

	$ID_{U_i} = r_i^+$	$ID_{U_i} = r_i^-$
$ID_{C(U_i)} = r_i^+$	r_i^+	r_i^-
$ID_{C(U_i)} = r_i^-$	r_i^+	r_i^-

So, decision about U_i will only depend on the strategy of maximum expected utility in ID_{U_i} .

Ej. The decision about an article will be made considering the decisions about the units directly included in it (its paragraphs and sections).



How the decisions have been made

Let RetrievalList be a list retrieval units

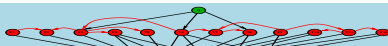
```

MakeDecision( $U_j$ , RetrievalList);
{
  if ( $U_j$  is relevant to  $Q$ )
  {
    Compute Decision for  $U_j$ 
    if (Decision  $U_j$  == Retrieval)
      RetrievalList.insert( $U_j, EU(U_j)$ )
    else for each  $U_k$  parent of  $U_j$ 
      MakeDecision( $U_k$ , RetrievalList);
  }
}

```

Where a unit U_j is relevant to a query Q iff

$$P(U_j|Q) > P(U_j)$$



Computing Decisions for U_i

The decision of retrieval or not U_i is made considering if $EU(r_i^+) > EU(r_i^-)$ in the $ID(U_i)$.

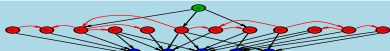
The computation of $EU(r_i^+)$ can be done with **linear cost** (in size and time) with the number of parents of U_i .

Thus $EU(r_i^+) =$

$$\sum_{U_j \in Pa(U_i)} \max \left\{ \begin{array}{l} \sum_{\substack{u_j \in \{u_j^-, u_j^+\}, \\ u_i \in \{u_i^-, u_i^+\}}} V_{i,j}(u_i, u_j, r_i^+, r_j^+) p(u_j|q) p(u_i|q), \\ \sum_{\substack{u_j \in \{u_j^-, u_j^+\}, \\ u_i \in \{u_i^-, u_i^+\}}} V_{i,j}(u_i, u_j, r_i^+, r_j^-) p(u_j|q) p(u_i|q) \end{array} \right\}$$

being

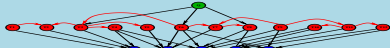
$$p(u_i^+ | Q) = \sum_{F \in Pa(U_i)} w(F, U_i) p(F | Q)$$



Summarizing

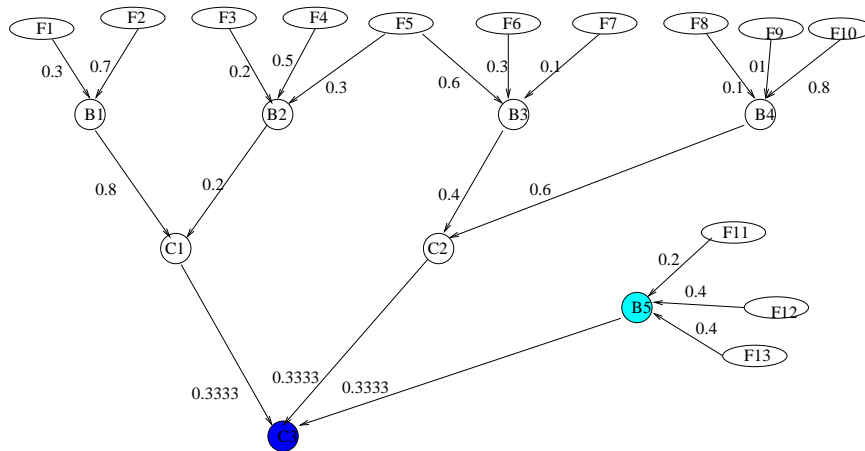
Three step process:

- **Probabilistic Inference**
Posterior probabilities of chance nodes in the BN, $P(U_i|Q)$.
Computed in a **Top-Down** manner
- **Decision process**
Solve local Influence Diagrams in order to obtain the strategy.
for each Document, D_i , relevant to Q
`MakeDecision(D_i , RetrievalList);`
Computed in a **bottom-up** manner
- **Sort RetrievalList in decreasing order of EU**



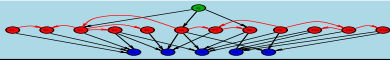
Example

Prior Prob.: $p(f^+) = 0.5$

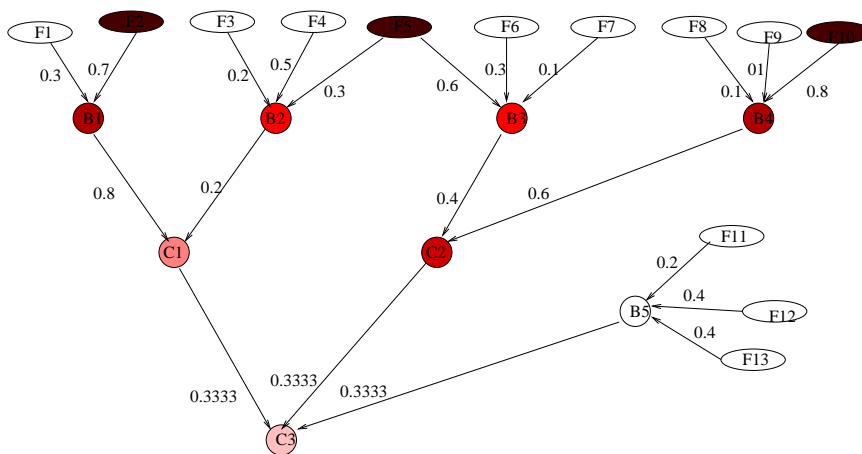


$V_{i,j} = \{U_c, U_p, R_c, R_p\}$

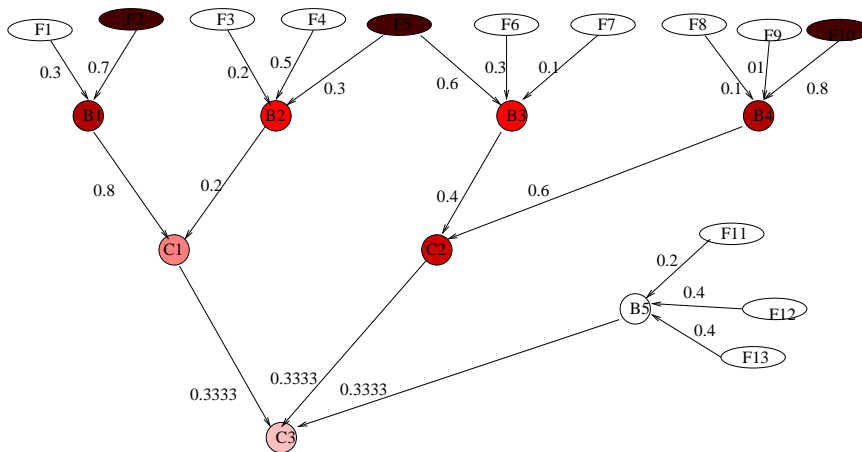
$v(++++) = 0$	$v(+++-) = 5$	$v(++-+) = 0$	$v(++--) = -5$
$v(+--+)= 0$	$v(+-+-) = 0$	$v(+--+) = -15$	$v(+---) = -15$
$v(-+++)= -15$	$v(-++-) = -15$	$v(-+-+) = 15$	$v(-+--)= 0$
$v(---+)= -15$	$v(--+-) = -15$	$v(---+) = -15$	$v(----)= 15$



Example (2): BNs vs IDs



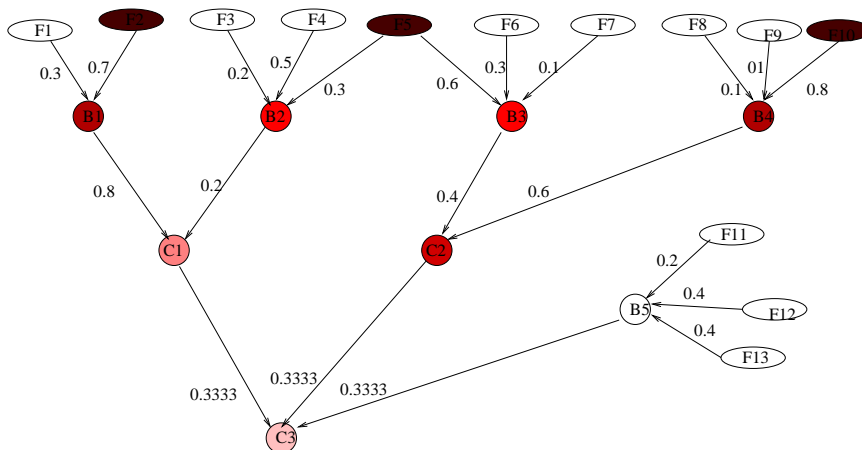
Example (2): BNs vs IDs



BNs	B4	C2	B1	B3	C1	C3	B2	B5
Q_1	0.90	0.86	0.85	0.80	0.750	0.703	0.65	0.50



Example (2): BNs vs IDs

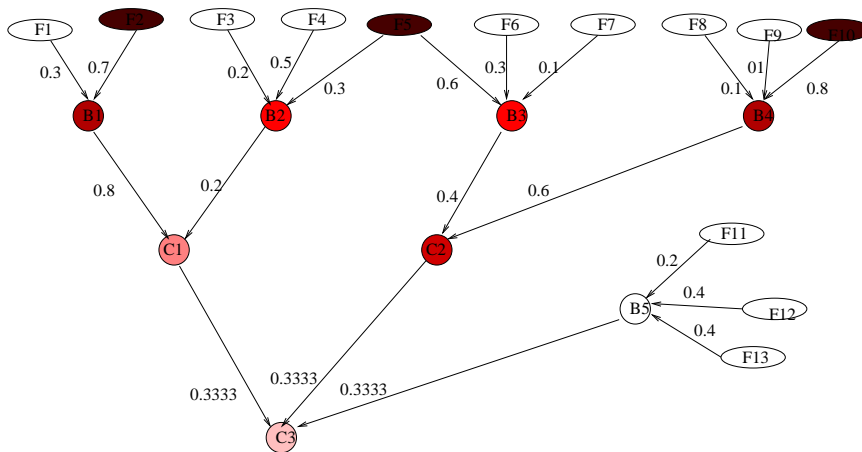


BNs	B4	C2	B1	B3	C1	C3	B2	B5
Q_1	0.90	0.86	0.85	0.80	0.750	0.703	0.65	0.50

Global ID	Optimal Strategy	
Q_1	$r_{c2}^+(1.12)$	$r_{c1}^+(-1.35)$



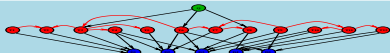
Example (2): BNs vs IDs



BNs	B4	C2	B1	B3	C1	C3	B2	B5
Q_1	0.90	0.86	0.85	0.80	0.750	0.703	0.65	0.50

Global ID	Optimal Strategy	
Q_1	$r_{c2}^+(1.12)$	$r_{c1}^+(-1.35)$

Local IDs	ID_{C1}	ID_{C2}	ID_{C3}	System Output
Q_1	$r_{c1}^+, r_{b1}^-, r_{b2}^-$	$r_{c2}^+, r_{b3}^-, r_{b4}^-$	$r_{c3}^-, r_{c1}^+, r_{c2}^+, r_{b5}^-$	$r_{c2}^+(3.11)$ $r_{c1}^+(-1.87)$



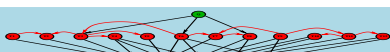
Example (3)

Queries:

$$Q_1 = \{f_2^+, f_5^+, f_{10}^+\} \quad Q_2 = \{f_2^+, f_6^+, f_{10}^+\} \quad Q_3 = \{f_2^+, f_5^-, f_{10}^+\}$$

Q	Optimal Strategy		C3	C1	C2	B1	B2	B3	B4	B5
Q_1	$r_{c2}^+(1.12)$	$r_{c1}^+(-1.35)$	0.703	0.750	0.86	0.85	0.65	0.80	0.90	0.50
Q_2	$r_{b1}^+(0.94)$	$r_{c2}^+(0.25)$	0.658	0.675	0.80	0.85	0.50	0.65	0.90	0.50
Q_3	$r_{b4}^+(2.82)$	$r_{b1}^+(1.89)$	0.593	0.600	0.62	0.85	0.35	0.20	0.90	0.50

Q	ID_{C1}	ID_{C2}	ID_{C3}	System Output
Q_1	$r_{c1}^+, r_{b1}^-, r_{b2}^-$	$r_{c2}^+, r_{b3}^-, r_{b4}^-$	$r_{c3}^-, r_{c1}^+, r_{c2}^+, r_{b5}^-$	$r_{c2}^+(3.11)$ $r_{c1}^+(-1.87)$
Q_2	$r_{c1}^-, r_{b1}^+, r_{b2}^-$	$r_{c2}^+, r_{b3}^-, r_{b4}^-$	$r_{c3}^-, r_{c1}^+, r_{c2}^+, r_{b5}^-$	$r_{b1}^+(1.89)$ $r_{c2}^+(0.2)$
Q_3	$r_{c1}^-, r_{b1}^+, r_{b2}^-$	$r_{c2}^-, r_{b3}^-, r_{b4}^+$	$r_{c3}^-, r_{c1}^+, r_{c2}^+, r_{b5}^-$	$r_{b4}^+(3.63)$ $r_{b1}^+(2.85)$



Conclusions

- Decision-based model for structured information retrieval
- Automatically detect the “best entry points”:
 - **Considering the context**
 - probabilities of relevance
 - utility for the user (user preferences)

Future Research

- Estimation of the weights in chance nodes
- Elicitation of the utility values
 - Explicitly in the query
 - By means of an user profile
- Adapt the model to use link information in Web framework
- Adapt the model for Recommending in hierarchical domains

