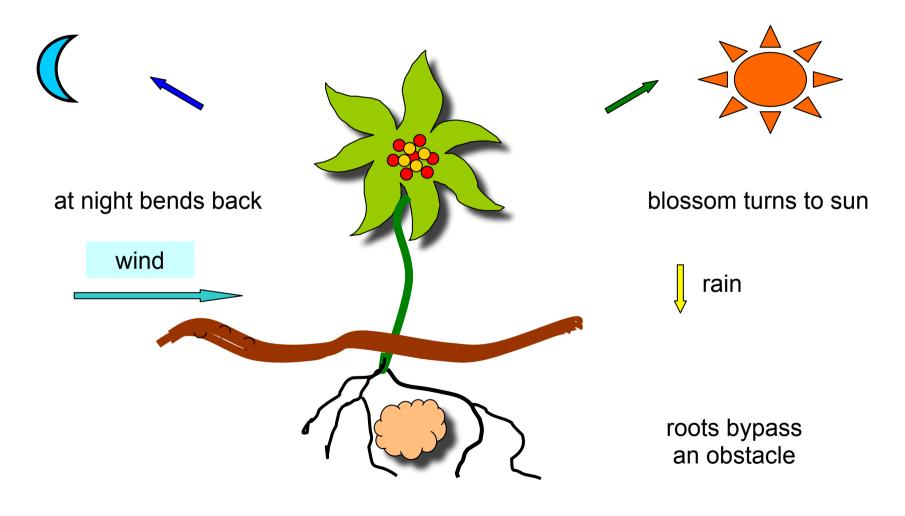
Some Basic Principles of Adaptive Computation

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ADAPTATION is a **UNIVERSAL** PHENOMENON

Illustrations



a ball in a bowl animals steering a car oscillates to a active sensing, stable position autonomous control grasp, touch Visualization **INTERACTION** PROCESSES **ENVIRONMENT:** OBJECT FEATURES, STATES **ACTIONS OBJECT ENVIRON-MENT**

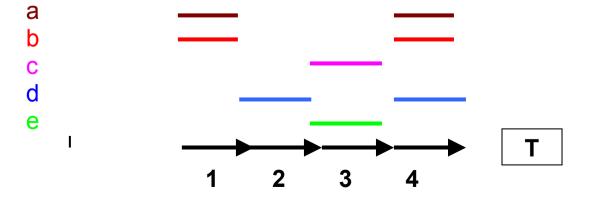
The **OBJECT** has time dependent STATES and can <u>observe</u> and <u>influence</u> the **ENVIRONMENT**.

The **ENVIRONMENT** can time dependent influence the **OBJECT**.

TIME and PROCESSES

As TIME any (partial) ordered set (T, <) can be defined, a single / multiple (=parallel) PROCESS on a set **M** is a time indexed family: $(M_t \mid M_{[t]} \subseteq \mathbf{M})_{t \in \mathbf{U} \subseteq \mathbf{T}}$.

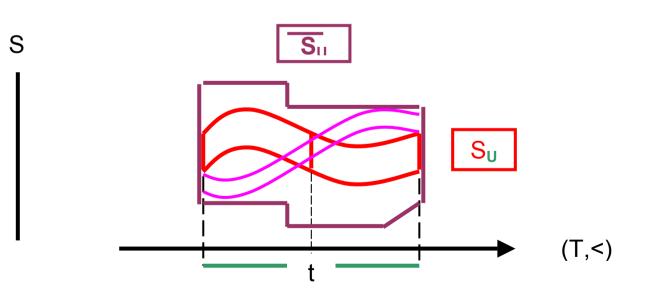
<u>Illustration</u>: $\mathbf{M} = \{a, b, c, d, e\}, \mathbf{T} = integers, \mathbf{U} = \{1, 2, 3, 4\}$



same color: is a single part - process, at t =3 is $M_{[3]}$ = {e,c} A *special case* is a constant process: $M_{[t]}$ = const. If (**M**,<) is an additive group: periodic and time shifted processes can be defined.

For an object OBJ let be **S** a set of possible states of OBJ, let (**T**, <) be a time set, let $\emptyset \neq U \subseteq T$, let $S_U =_{def} (S_t)_{t \in U}$ be a process on pow **S** (pow means power set), i.e. $S_{[t]} \subseteq S$.

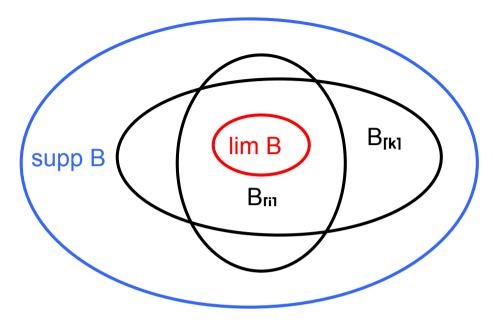
Illustration:



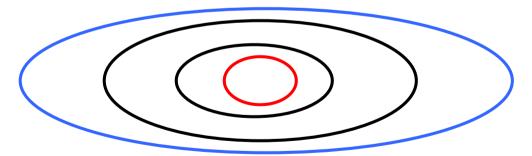
For existing constraints, requirements, etc. assume $A = \{(S_t(i))_{t \in U} | i \in I\}$ is a set of admissible processes $(S_t(i))_{t \in U}$. By definition, these processes are all equivalent with respect to requirements. A forms itself a process $(\overline{S}_{[t]})_{t \in U}$. A family of "concatenations $K_{[t]}$ " can exist with $S^*_{[t]} =_{def} K_{[t]}(S_t(i), S_t(j))$, $i,j \in I$. S^*_U can be $\in A$. *Examples*: $K_{[t]} = \bigcup, \cap$, if arithmetic exists: a mean value. K maps parallel processes onto one single process.

TOPOLOGICAL CONCEPTS

Given a non-empty set M and a family $\mathbf{B} = (B_{[i]} | i \in I)$ of subsets $\varnothing \neq B_{[i]} \subseteq M$ with the property : for any pair $(i,j) \in I \times I$ exists $k \in I$ with $B_{[i]} \subseteq B_{[k]}$ and $B_{[j]} \subseteq B_{[k]}$. **B** is a "filter base on M", For our purpose we assume lim $\mathbf{B} =_{def} \cap \mathbf{B} \in \mathbf{B}$, and supp $\mathbf{B} =_{def} \cup \mathbf{B} \in \mathbf{B}$, and say, **B** is a "neighborhood system to lim **B**" with support supp **B**. *Visualization*: neighborhood system to lim **B** with supp $\mathbf{B} \in \mathbf{B}$

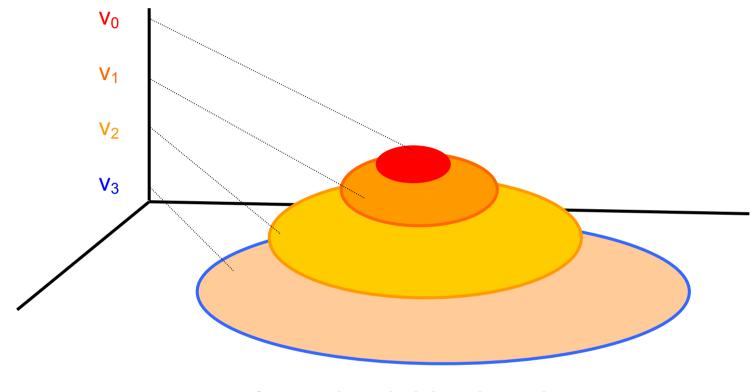


a special case: a *monotonous* neighborhood system



The neighborhood system can be homomorphous *valuated* by values $v \in (V, <), V$ is mostly a linearly ordered set: $B \rightarrow v(B)$ The symmetric

difference $D =_{der} B' B' \cup B' \setminus B'$ defines a general distance of B' from B' which can also be valuated.



a valuated neighborhood system

The simplest case V = {0,..., 1= v_0 } \subseteq [0,1] \subset **R** is known as "*fuzzy set*"

An example are concentric circles in the Euclidean plane around a minimum circle, v is the radius of the circles.

The set union of neighborhood systems forms again a neighborhood system; an example is a metric space in \mathbb{R}^2 . If all neighborhood systems are uniformly valuated, the topology is "*uniform*". A particular case is a metric space.

A neighborhood system $\mathbf{B} = (B_{[i]} | i \in I)$ on a set M with M $\in \mathbf{B}$ defines a *topology* on M: Let be

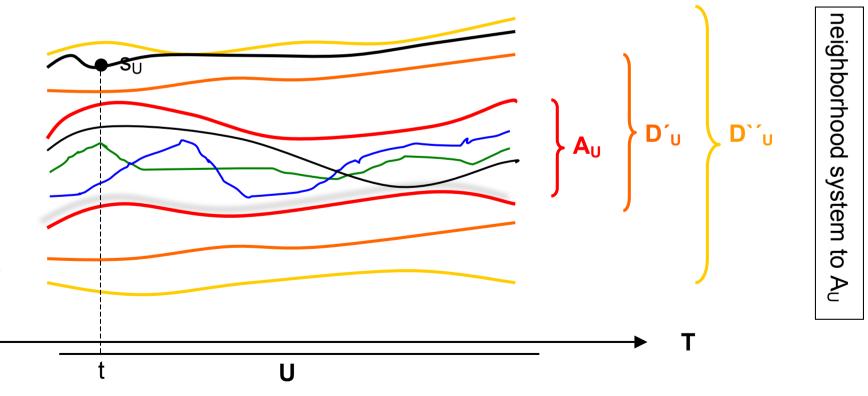
 $\mathbf{B} \cup \{\emptyset\}$ closed sets,

arbitrary intersections $\ \cap \$ and finite unions $\ \cup \$ of closed sets are closed sets

set complements with respect to M of closed sets are open sets

ADAPTATION of a STATE PROCESS to the ADMISSIBLE DOMAIN

A process $s_U =_{def} (s_t)_{t \in U}$, is *admissible* if it satisfies all constraints, requirements, limitations, properties etc. imposed on it bat U. In general, the admissible domain forms a process A_U , to which we consider a neighborhood system $N = \{D_n \mid n \in N\}$, lim $N = A_U$.



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In the illustration, s_U has distance D'_U from A_U , (*adaptation degree*), s_U to be in A_U is the "goal". A process of processes s_U passing trough a neighborhood system N towards limN *adapts* limN. If N is homomorphous valuated, the adaptation process tends to a minimal/maximal value (class of *gradient* methods).

STATE- and **CONTROL- PROCESSES** in \mathbb{R}^n and \mathbb{R}^m

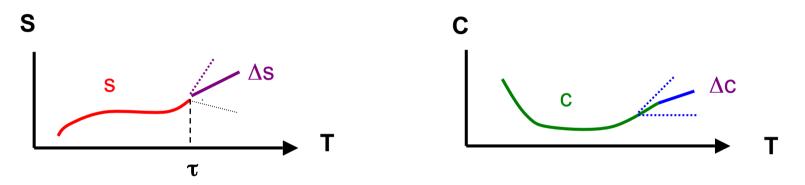
Dual incremental eqs. for same **T**, s states, c control, T(s), T(c) elapsed s-process-, c-process times, T(s) < τ , T(c) < σ ; τ , $\sigma \in$ **T**, with $\Delta(s_{T(s)})_{\tau}$, $\Delta(c_{T(c)})_{\tau}$ variables on predefined domains. If assigned

 $\Delta(s_{T(s)})_{\tau} = F(s_{T(s)}, c_{T(c)}, \Delta(c_{T(c)})_{\sigma}), \quad \sigma < \tau, \Delta(s_{T(s)})_{\tau} \text{ has to be admissible,} \\ \text{depends on (parts of) past s- and c- processes}$

 $\Delta(c_{T(c)})_{\tau} = G(s_{T(s)}, c_{T(c)}, \Delta(s_{T(s)})_{\tau}) \quad \sigma > \tau, \quad \Delta(c_{T(c)})_{\sigma} \text{ has to be admissible,} \\ \text{depends on (parts of) past s- and c- processes}$

Illustration

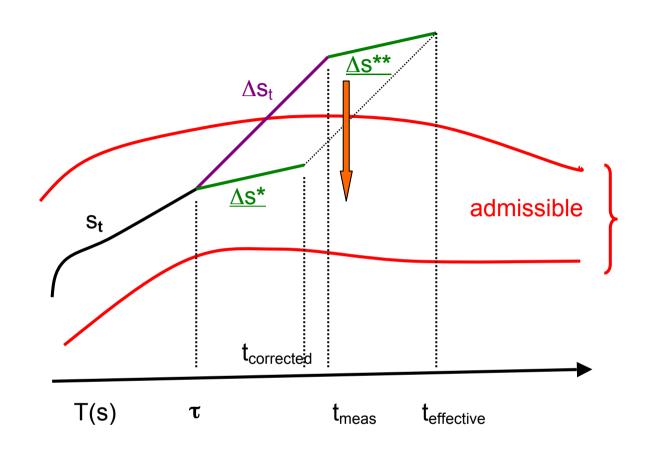
 $\Delta s/\Delta t$ corresponds c, branching marks possible choices



continuation of s by Δs such that the resulting process is admissible

dual for c

Feedback Based Control and Corrections



corrected and still not admissible !

Feed Back Synchronization Problem

IMPROVEMENTS

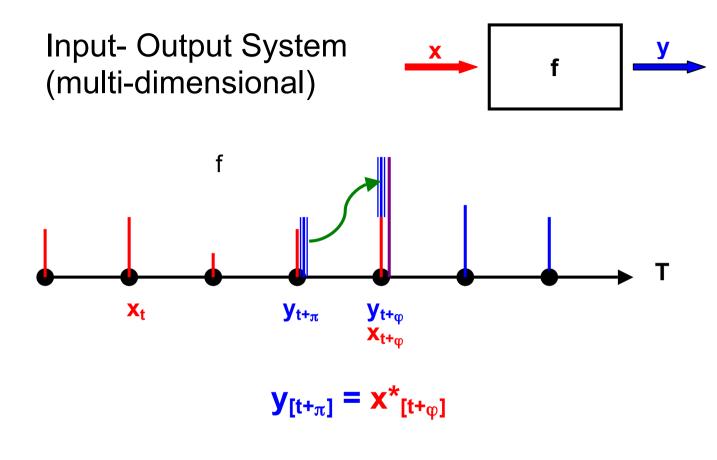
shorten time step for Δs

shorten time step for deviation measurements

shorten operation time for correction by feed back

admission process look ahead

state/control process look ahead



 $\pi > 0 \quad \text{duration of } \mathbf{f}$ $\varphi - \pi > 0 \quad \text{duration of}$ back feeding $(\mathbf{x}_{t+\varphi}, \mathbf{x}^{*}_{t+\varphi})$ $combined to \mathbf{x}^{**}_{t+\varphi}$ cases: $\mathbf{x}^{**}_{t+\varphi} = , <, > \mathbf{x}_{t+\varphi}$ $\tau \neq \varphi, \text{ influences}$ input/ output frequency, enables

EXAMPLE: ARTIFICIAL NEURAL NETS

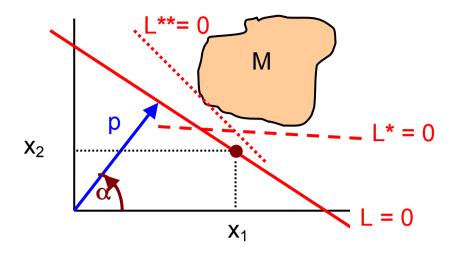
engineer

nature

 $\begin{array}{c} \text{nerve} \\ \text{cell} \\ \end{array}$ $\begin{array}{c} x_1 \\ x_i \\ x_n \end{array}$ $\begin{array}{c} L(x,C) \\ \uparrow & \uparrow \\ C_1 \\ C_k \\ C_{n+1} \end{array}$

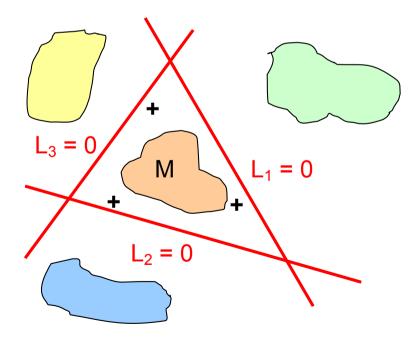
variable data $x = (x_i)_{i=1,2,...n}$; variable control parameters $c = (C_k)_{k=1,2,...n+1}$; given x, c is the "program for processing $y = L(x,c) = \Sigma_{1...n} c_i x_i + c_{n+1}$, let c be such that for all $x \in M$ $L(x,c) \ge 0$ Visualization for n = 2, L in Hessian form:

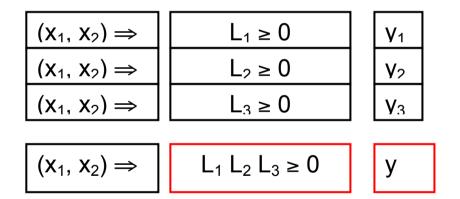
$$L(x, \alpha, p) = (\cos \alpha) x_1 + (\sin \alpha) x_2 - p$$



 $L \ge 0, L^* \ge 0, L^{**} \ge 0, ...$ are all admissible half planes forming a neighborhood system with lim containing M

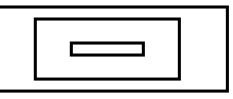
more L's for finer discrimination





 $K = L_1 L_2 L_3$ is a cubic fct. M is enclosed by triangle, a convex neighborhood of M <u>Generalization</u>: any neighborhood system N with $M \subseteq \lim N$ Examples for N: circles, ellipses, polygons





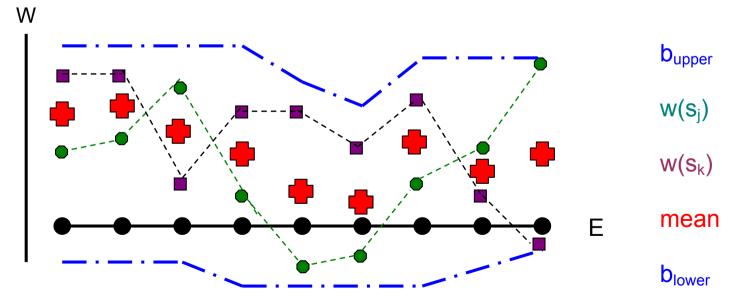
Architectural generalizations:

multiple layers $x \Rightarrow f(x) = y \Rightarrow g(y) = z \Rightarrow h(z) = w$, and so on, any f, g, h,...; e.g. h = g (reuse of operations at later time possible)

compare
with computer
architecture:
control, layers x_1
 x_2
 x_3
 x_4 \Rightarrow $x_1 + x_2 =$
 $x_3 \times x_2 =$ y_1
 y_2 \Rightarrow $(y_1 \times y_2)^2 =$
 \Rightarrow \Rightarrow z

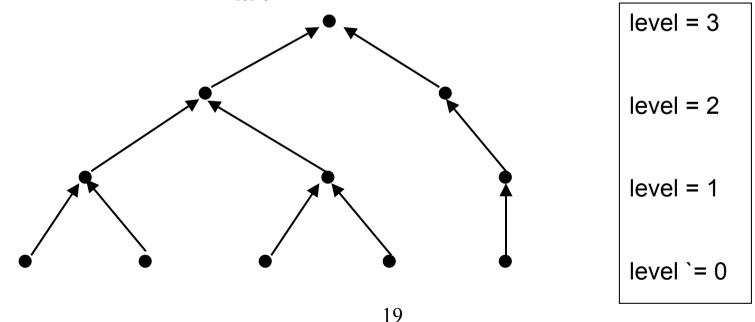
EXAMPLE: "GENETIC ALGORITHMS" (sketch of personal view)

 $\begin{array}{l} S = \{s_j \mid j \in J\}, \ J = \{1, 2, \ldots m\} \ \text{individuals}, \\ E = \{e_i \mid i \in I\}, \ I = \{1, 2, \ldots n\} \ \text{properties } e_j \ \text{of each individual } s_i \\ W \subset R, \ s_j \ \text{has property} \ e_i \ \text{with weight} \ w_{[ij]} \in W, \ \text{weight function} \ w_j = (w_{ij})_{i \in I}, \ \text{for all} \ j \ \text{let uniform upper and lower bounds for } w_{[ij]} \ \text{be given:} \\ \overline{b}_{[i]} \ \text{and} \ \underline{b}_{[i]} \ \text{respectively}, \ \underline{b}_{[i]} \leq w_{[ij]} \leq \overline{b}_{[i]} \ . \end{array}$



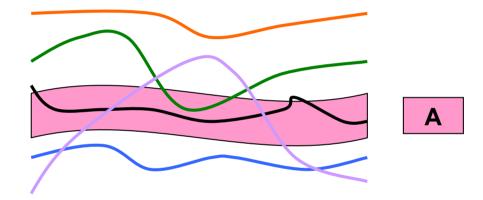
Concatenation κ of pairs (s_j, s_k), gives next level objects, for simplicity sake: 1 "child" only, generated objects on next level only.

 $S^{(1)} =_{def} \{s_{jk}^{(1)} =_{def} \kappa(s_j, s_k) \mid (j,k) \in J \times J, j \neq k\}$, level 1, valuation of $\kappa(s_j, s_k)$ is defined by $(w(s_{[ij]}) \kappa_i (s_{[ik]}))_{i \in I}$, κ_i for example min, max, convex mean = $a\alpha + (1 - \alpha)b$, $0 \le \alpha \le 1$, these κ_i are associative. For this case and for pairs with all elements distinct, levels form a hierarchy up to level L = "least integer $\ge Id n$ ". The valuations tend to $(\kappa_i(w_{[ij]})_{j \in J})_{i \in I}$



Adaptation Problem (breeder's problem)

For given set of individuals, properties and weight relation $(w_{ij})_{ij\in I_{\times}J}$, and for given adaptation domain A = { $[a_i, b_i] \subset W \mid a_i < b_i$, $i\in I$ } find within object generation 0, 1, ...an object which (approximately) fits A



May have no solution, or approximations only. Is polynomial complex