# Some Basic Principles of Adaptive Computation 

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## ADAPTATION is a UNIVERSAL PHENOMENON

## Illustrations


a ball in a bowl
steering a car


autonomous control
Visualization

oscillates to a stable position
animals

active sensing, grasp, touch

ENVIRONMENT: FEATURES, ACTIONS

ENVIRONMENT

The OBJECT has time dependent STATES and can observe and influence the ENVIRONMENT.
The ENVIRONMENT can time dependent influence the OBJECT.

## TIME and PROCESSES

As TIME any (partial) ordered set ( $\mathbf{T},<$ ) can be defined, a single / multiple (=parallel) PROCESS on a set $\mathbf{M}$ is a time indexed family: $\left(\mathrm{M}_{\mathrm{t}} \mid \mathrm{M}_{[\mathrm{t}]} \subseteq \mathbf{M}\right)_{\mathrm{t} \in \mathrm{U} \subseteq \mathrm{T} .}$.

Illustration: $\mathbf{M}=\{\mathrm{a}, \mathrm{b}, \mathrm{c}, \mathrm{d}, \mathrm{e}\}, \mathbf{T}=$ integers, $\mathbf{U}=\{1,2,3,4\}$

same color: is a single part - process, at $t=3$ is $M_{[3]}=\{e, c\}$ A special case is a constant process: $\mathrm{M}_{[t]}=$ const. If $(\mathbf{M},<)$ is an additive group: periodic and time shifted processes can be defined.

For an object OBJ let be $\mathbf{S}$ a set of possible states of OBJ, let ( $\mathbf{T},<$ ) be a time set, let $\varnothing \neq U \subseteq \mathbf{T}$, let $S_{U}=_{\text {def }}\left(S_{t}\right)_{t \in U}$ be a process on pow $\mathbf{S}$ (pow means power set), i.e. $\mathrm{S}_{[t]} \subseteq \mathbf{S}$.

Illustration:

( $\mathrm{T},<$ )

For existing constraints, requirements, etc. assume $A=\left\{\left(S_{t}(i)\right)_{t \in U} \mid i \in l\right\}$ is a set of admissible processes $\left(\mathrm{S}_{\mathrm{t}}(\mathrm{i})\right)_{\mathrm{t} \in \mathrm{U}}$. By definition, these processes are all equivalent with respect to requirements. A forms itself a process $\left(\overline{\mathbf{S}}_{[t]}\right)_{t \in U}$. A family of "concatenations $K_{[t]}$ " can exist with $S^{*}[t]=$ def $K_{[t]}\left(S_{t}(i), S_{t}(j)\right), i, j \in l . S^{*} u$ can be $\in A$.
Examples: $K_{[t]}=\cup, \cap$, if arithmetic exists: a mean value. K maps parallel processes onto one single process.

## TOPOLOGICAL CONCEPTS

Given a non-empty set $M$ and a family $B=\left(B_{[i]} \mid i \in l\right)$ of subsets $\varnothing$ $\neq B_{[i]} \subseteq M$ with the property : for any pair $(i, j) \in|x|$ exists $k \in I$ with $\mathrm{B}_{[j]} \subseteq \mathrm{B}_{[k]}$ and $\mathrm{B}_{[j]} \subseteq \mathrm{B}_{[\mathrm{kk}}$. $\mathbf{B}$ is a "filter base on M", For our purpose we assume $\lim \mathbf{B}==_{\text {def }} \cap \mathbf{B} \in \mathbf{B}$, and $\operatorname{supp} \mathbf{B}=_{\text {def }} \cup \mathbf{B} \in \mathbf{B}$, and say, $\mathbf{B}$ is a "neighborhood system to $\lim \mathbf{B}$ " with support supp B. Visualization: neighborhood system to $\lim B$ with supp $\mathbf{B} \in \mathbf{B}$

a special case: a monotonous neighborhood system


The neighborhood system can be homomorphous valuated by values $\mathrm{v} \in(\mathrm{V},<), \mathrm{V}$ is mostly a linearly ordered set: $\mathrm{B} \rightarrow \mathrm{v}(\mathrm{B})$ The symmetric
difference $D={ }_{\text {der }} B^{\prime} \backslash B^{\prime \prime} \cup B^{\prime \prime} \backslash B^{\prime}$ defines a general distance of $B^{\prime}$ from $B^{\prime \prime}$ which can also be valuated.

a valuated neighborhood system
The simplest case $V=\left\{0, \ldots, 1=\mathrm{v}_{0}\right\} \subseteq[0,1] \subset \mathbf{R}$ is known as "fuzzy set"

An example are concentric circles in the Euclidean plane around a minimum circle, $v$ is the radius of the circles.

The set union of neighborhood systems forms again a neighborhood system; an example is a metric space in $\mathbf{R}^{\mathbf{2}}$. If all neighborhood systems are uniformly valuated, the topology is "uniform". A particular case is a metric space.

A neighborhood system $B=\left(B_{[j]} \mid i \in l\right)$ on a set $M$ with $M \in B$ defines a topology on M : Let be
$B \cup\{\varnothing\}$ closed sets,
arbitrary intersections $\cap$ and finite unions $\cup$ of closed sets are closed sets
set complements with respect to $M$ of closed sets are open sets

## ADAPTATION of a STATE PROCESS to the ADMISSIBLE DOMAIN

A process $\mathrm{s}_{\mathrm{U}}=_{\text {def }}\left(\mathrm{s}_{\mathrm{t}}\right)_{t \in \mathrm{U}}$, is admissible if it satisfies all constraints, requirements, limitations, properties etc. imposed on it bat U. In general, the admissible domain forms a process $A_{U}$, to which we consider a neighborhood system $N=\left\{D_{n} \mid n \in N\right\}$, lim $N=A_{u}$.


In the illustration, $s_{u}$ has distance $\mathrm{D}^{\prime \prime} \mathrm{u}$ from $\mathrm{A}_{\mathrm{u}}$, (adaptation degree), $s_{u}$ to be in $A_{u}$ is the "goal". A process of processes $s_{u}$ passing trough a neighborhood system N towards limN adapts limN. If N is homomorphous valuated, the adaptation process tends to a minimal/maximal value (class of gradient methods).

## STATE- and CONTROL-PROCESSES in $R^{n}$ and $R^{m}$

Dual incremental eqs. for same $\mathbf{T}$, s states, c control, $\mathrm{T}(\mathrm{s}), \mathrm{T}(\mathrm{c})$ elapsed s-process-, c-process times, $\mathrm{T}(\mathrm{s})<\tau, \mathrm{T}(\mathrm{c})<\sigma ; \tau, \sigma \in \mathrm{T}$, with $\Delta\left(\mathrm{S}_{\mathrm{T}(\mathrm{s})}\right)_{\tau}, \Delta\left(\mathrm{C}_{\mathrm{T}(\mathrm{c})}\right)_{\tau}$ variables on predefined domains. If assigned
$\Delta\left(\mathrm{S}_{\mathrm{T}(\mathrm{s})}\right)_{\tau}=\mathrm{F}\left(\mathrm{S}_{\mathrm{T}(\mathrm{s})}, \mathrm{C}_{\mathrm{T}(\mathrm{c})}, \Delta\left(\mathrm{C}_{\mathrm{T}(\mathrm{c})}\right)_{\mathrm{c}}\right), \sigma<\tau, \Delta\left(\mathrm{S}_{\mathrm{T}(\mathrm{s})}\right)_{\mathrm{T}}$ has to be admissible, depends on (parts of) past $s$ - and $c$ - processes
$\Delta\left(\mathrm{C}_{\mathrm{T}(\mathrm{c})}\right)_{\tau}=\mathrm{G}\left(\mathrm{S}_{\mathrm{T}(\mathrm{s})}, \mathrm{C}_{\mathrm{T}(\mathrm{c})}, \Delta\left(\mathrm{S}_{\mathrm{T}(\mathrm{s})}\right)_{\tau}\right) \sigma>\tau, \Delta\left(\mathrm{C}_{\mathrm{T}(\mathrm{c})}\right)_{\sigma}$ has to be admissible, depends on (parts of) past s-and c- processes

## Illustration

$\Delta s / \Delta t$ corresponds c, branching marks possible choices


C
continuation of s by $\Delta \mathrm{s}$ such that the resulting process is admissible
dual for c

Feedback Based Control and Corrections

corrected and still not admissible!
Feed Back Synchronization Problem

| IMPROVEMENTS |
| :--- |
| shorten time step |
| for $\Delta s$ |
| shorten time step |
| for deviation |
| measurements |
| shorten operation |
| time for correction |
| by feed back |
| admission process |
| look ahead |
| state/control |
| process look ahead |


$\pi>0$ duration of $\mathbf{f}$ $\varphi-\pi>0$ duration of back feeding ( $\mathrm{x}_{\mathrm{t}+\varphi}, \mathrm{x}_{{ }^{*}{ }^{*}+\varphi}$ ) combined to $\mathrm{x}^{*{ }_{\mathrm{t}+\varphi}}$ cases:
$\mathrm{X}^{* *}{ }_{\mathrm{t}+\varphi}=,<,>\mathrm{X}_{\mathrm{t}+\varphi}$ $\tau \neq \varphi$, influences input/ output frequency, enables

## EXAMPLE: ARTIFICIAL NEURAL NETS

## nature


engineer

variable data $\mathrm{x}=\left(\mathrm{x}_{\mathrm{i}}\right)_{\mathrm{i}=1,2, \ldots \mathrm{n}}$; variable control parameters $\mathrm{c}=$ $\left(c_{k}\right)_{k=1,2, \ldots n+1}$; given $x, c$ is the "program for processing $y=L(x, c)=\Sigma_{1 \ldots n} c_{i} x_{i}+c_{n+1}$, let $c$ be such that for all $x \in M \quad L(x, c) \geq 0$ Visualization for $\mathrm{n}=2, \mathrm{~L}$ in Hessian form:

$$
L(x, \alpha, p)=(\cos \alpha) x_{1}+(\sin \alpha) x_{2}-p
$$


$L \geq 0, L^{*} \geq 0, L^{* *} \geq 0, \ldots$ are all admissible half planes forming a neighborhood system with lim containing M

## more L's for finer discrimination



| $\left(\mathrm{x}_{1}, \mathrm{x}_{2}\right) \Rightarrow$ | $L_{1} \geq 0$ | $\mathrm{V}_{1}$ |
| :---: | :---: | :---: |
| $\left(x_{1}, x_{2}\right) \Rightarrow$ | $L_{2} \geq 0$ | $\mathrm{V}_{2}$ |
| $\left(\mathrm{x}_{1}, \mathrm{x}_{2}\right) \Rightarrow$ | $L_{3} \geq 0$ | $\mathrm{V}_{3}$ |
| $\left(\mathrm{x}_{1}, \mathrm{x}_{2}\right) \Rightarrow$ | $L_{1} L_{2} L_{3} \geq 0$ | y |

$K=L_{1} L_{2} L_{3}$ is a cubic fct. M is enclosed by triangle, a convex neighborhood of $M$

Generalization: any neighborhood system N with $\mathrm{M} \subseteq \lim \mathrm{N}$ Examples for N : circles, ellipses, polygons


Architectural generalizations: multiple layers $x \Rightarrow f(x)=y \Rightarrow g(y)=z \Rightarrow h(z)=w$, and so on, any $\mathrm{f}, \mathrm{g}, \mathrm{h}, \ldots$; e.g. $\mathrm{h}=\mathrm{g}$ (reuse of operations at later time possible)

## compare

 with computer architecture: control, layers algorithmic time

## EXAMPLE: "GENETIC ALGORITHMS" (sketch of personal view)

$S=\left\{s_{j} \mid j \in J\right\}, J=\{1,2, \ldots m\}$ individuals,
$E=\left\{e_{i} \mid i \in l\right\}, I=\{1,2, \ldots n\}$ properties $e_{j}$ of each individual $s_{i}$
$W \subset R, s_{j}$ has property $e_{i}$ with weight $W_{[j \mathrm{j}} \in \mathrm{W}$, weight function $\mathrm{w}_{\mathrm{j}}=$ $\left(w_{i j}\right)_{i \in l}$, for all j let uniform upper and lower bounds for $w_{[j]}$ be given: $\bar{b}_{[i]}$ and $\underline{b}_{[j]}$ respectively, $\underline{\mathrm{b}}_{[i]} \leq \mathrm{w}_{[i j]} \leq \overline{\mathbf{b}}_{[\mathrm{ij}}$.


Concatenation $\kappa$ of pairs $\left(\mathrm{s}_{\mathrm{j}}, \mathrm{s}_{\mathrm{k}}\right)$, gives next level objects, for simplicity sake: 1 "child" only, generated objects on next level only.
$S^{(1)}==_{\text {def }}\left\{S_{j k}{ }^{(1)}==_{\text {def }} K\left(S_{j}, S_{k}\right) \mid(j, k) \in J \times J, j \neq k\right\}$, level 1, valuation of $\kappa\left(\mathrm{s}_{\mathrm{j}}, \mathrm{s}_{\mathrm{k}}\right)$ is defined by $\left(\mathrm{w}\left(\mathrm{s}_{[\mathrm{ij}]}\right) \kappa_{\mathrm{i}}\left(\mathrm{s}_{[\mathrm{k}]}\right)\right)_{\mathrm{i} \in 1}$,
$\kappa_{i}$ for example min, max, convex mean $=a \alpha+(1-\alpha) b, 0 \leq \alpha \leq 1$, these $\kappa_{i}$ are associative. For this case and for pairs with all elements distinct, levels form a hierarchy up to level $L=$ "least integer $\geq \mathrm{ld} \mathrm{n}$ ". The valuations tend to $\left(\kappa_{i}\left(W_{[i j}\right)_{j \in J}\right)_{i \in I}$


Adaptation Problem (breeder's problem)
For given set of individuals, properties and weight relation $\left(w_{i j}\right)_{j \in \mid x J}$, and for given adaptation domain $A=\left\{\left[a_{i}, b_{i}\right] \subset W \mid a_{i}<b_{i}, i \in l\right\}$ find within object generation $0,1, \ldots$ an object which (approximately) fits $A$


May have no solution, or approximations only. Is polynomial complex

