

Conseil national de recherches Canada



## Multiview Semi-Supervised Learning for Ranking Multilingual Documents

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## **Ranking Multilingual Documents**

#### Ranking documents for

- Relevance (eg search),
- Importance (eg summarization),
- Recommendation...



## **Ranking Multilingual Documents**

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Many countries and organizations handle multiple languages:

- Canada: English and French;
- European Union: 23 official languages and more...
- United Nations: 6 official languages;
- PAHO: Spanish, English, Portuguese, French.

Yet most document processing is monolingual (often English).



## **Semisupervised Ranking of Multilingual Documents**

- Ranking documents
- $\longrightarrow$  bipartite ranking
- Multilingual documents
- $\longrightarrow$  multiview learning
- Incomplete ranking
- $\longrightarrow$  semisupervised learning

We propose

- 1. Efficient multilingual ranking;
- 2. Multiview learning from partially observed labels;
- 3. Improvement over single-view semisupervised ranking;
- 4. Improvement over semisupervised multiview classification.



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## **Multiview ranking framework**

Bipartite ranking labeled data  $Z = (\mathbf{x}^i, y^i)_{i=1}^n$ :

- Observations  $x^i$ , sampled i.i.d. from fixed but unknown distribution,
- ▶  $y^i \in \{-1, +1\}$  the *relevance* of observation  $\mathbf{x}^i$ .

Unlabeled data  $U = (\mathbf{x}^{n+j})_{j=1}^m$  i.i.d. from same distribution.

Goal: ranking observations x so that relevant (y = +1) observations are above non relevant (y = -1) observations.

Multiview observations  $\mathbf{x} = (x_1, ..., x_V)$ ,  $x_v \in \mathcal{X}_v, v \in \{1 \dots V\}$ .

Eg: document x available in V languages:  $x_1, x_2, \ldots x_V$ .

Goal: learn ranking functions  $h_v : \mathcal{X}_v \to \mathbb{R}$ ,  $v \in \{1, \dots V\}$ .



## Ranking Risk(s)

Ranking = minimize ranking risk:<sup>1</sup>

$$L(h) = \mathbb{P}\big((Y - Y')sgn(h(X) - h(X')) < 0\big)$$

which may be estimated by the empirical estimate:

$$\hat{L}_{Z}(h) = \frac{1}{n(n-1)} \sum_{i,j} \mathbf{I}_{\left\{y^{i} > y^{j}\right\}} \mathbf{I}_{\left\{h(\mathbf{x}^{i}) \le h(\mathbf{x}^{j})\right\}}$$

Multiview learning: minimize average risk of *view-specific* scoring functions  $h_v$ .

Plus: want rankers to agree on all views.

<sup>&</sup>lt;sup>1</sup>Clémençon, Lugosi, Vayatis (2005) Ranking and scoring using empirical risk minimization, *COLT*.





## (Dis)Agreement Constraint

Joint learning of view-specific rankers = reduce risk + constrain to agree.

Constraining view-specific predictors to agree  $\Rightarrow$  Reduce function space  $\Rightarrow$  Regularization  $\Rightarrow$  Better generalization.

(Dis)agreement estimated without labels  $\Rightarrow$  semisupervised learning.

Using Rademacher complexity argument,<sup>2</sup> given disagreement threshold t:

$$\forall (h_1, \dots, h_V) \in \mathcal{H}(t), \underbrace{\frac{1}{V} \sum_{v=1}^{V} L(h_v)}_{\text{true risk}} \leq \underbrace{\frac{1}{V} \sum_{v=1}^{V} \hat{L}_Z(h_v)}_{\text{emp. risk}} + \underbrace{\frac{\mathcal{R}_n(\mathcal{H}(t), \delta)}_{\text{complexity}}}_{\text{penalty}}.$$

- $\rightarrow$  Principle of semisupervised multiview ranking:
- small empirical risk on labeled data.
- small empirical disagreement on unlabeled data.

<sup>&</sup>lt;sup>2</sup>Usunier, Amini, Gallinari (2005) A data-dependent generalization error bound for the AUC, *ICML workshop*.



## **Disagreement for Bipartite Ranking**

Natural measure: probability that  $h_v$  and  $h_{v'}$  disagree over two observations:

$$D(h_v, h_{v'}) = \mathbb{P}\big(sgn(h_v(X) - h_v(X')) \neq sgn(h_{v'}(X) - h_{v'}(X'))\big)$$

May be estimated on unlabeled data:

$$\widehat{D}_{U}(h_{v}, h_{v'}) \propto \sum_{i \neq j} \mathbb{I}_{\left\{ \left( h_{v}(x_{v}^{n+i}) - h_{v}(x_{v}^{n+j}) \right) \left( h_{v'}(x_{v}^{n+i}) - h_{v'}(x_{v}^{n+j}) \right) < 0 \right\}}$$

Same as Kendall's tau statistic.

To extend to any number of views:

$$D(h_1, \dots, h_V) = \frac{2\sum_{v < v'} D(h_v, h_{v'})}{V(V-1)} \text{ and } \widehat{D}_U(h_1, \dots, h_V) = \frac{2\sum_{v < v'} \widehat{D}_U(h_v, h_{v'})}{V(V-1)}$$



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## Algorithm

Iterative pseudolabeling, relying on efficient supervised bipartite ranking algo: label examples on which all view-specific models agree.  $\rightarrow$  a natural way to get low disagreement.

In classification, checking consensus and labeling examples is straightforward.

Could do the same in ranking by labeling pairs of examples, but:

- Iabeling arbitrary pairs may be inconsistent with bipartite ranking,
- needs a pass over pairs of examples ( $O(\ell^2)$ ), and
- need algorithm that learns from arbitrary pairs ( $O(\ell^2)$ ).

Solve this by

- Subsampling pairs of example for pseudolabeling;
- Weighted pseudolabeling: examples may be included several times;
- Relying on efficient ( $O(\ell)$ ) algorithms for bipartite ranking (linear SVM).



## Semisupervised Multiview Ranking Algorithm

**Input:** Labeled and unlabeled sets  $Z = (\mathbf{x}^i, y^i)_{i=1}^n$  and  $U = (\mathbf{x}^{n+j})_{j=1}^m$ ; Supervised bipartite ranking algorithm  $\mathcal{A}$ ; sampling size S.

Initialize:  $t \leftarrow 0$ 

• Train  $h_v^{(0)}$  on Z with  $\mathcal{A}, \forall v = 1 \dots V$ .

```
Repeat: t \leftarrow t+1;
```

**Output:**  $\forall v \in \{1, ..., V\}, h_v^{(t)}$ 



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## **Experiments: Data**

- Extracted from RCV1/RCV2;
- 6 categories;
- 5 languages / views;
- All docs translated to all languages;
- ►  $\Rightarrow$  111k docs, 5 views.

Documents indexed using title+body, lowercased, filtering stopwords, non words and low frequency tokens, digit-mapped, tf-idf weighting.

Split 75-25% for training-testing.

10 random labeled/unlabeled/test splits.

Evaluation in Average Precision (AvP) and Area Under the ROC Curve (AUC).



		# docs	cat	# docs	(%)
	En	18,758	C15	18,816	16.84
	Fr	26,648	CCAT	21,426	19.17
	Ge	29,953	ECAT	13,701	12.26
-	It	24,039	E21	19,198	17.18
	Sp	12,342	GCAT	19,178	17.16
	$\Sigma =$	111,740	M11	19,412	17.39

### **Experiments: Models**

**1R:** fully supervised, single view ranking. (step 0 in algo)

- ightarrow absolute baseline in ranking.
- **S1R:** semisupervised single view ranking.<sup>3</sup>
  - $\rightarrow$  adds semisupervised learning,
  - $\rightarrow$  checks performance of single view vs. multiview.
- **SMC:** semisupervised multiview classification.<sup>4</sup>
  - $\rightarrow$  classification counterpart to our approach,
  - $\rightarrow$  checks performance of classification vs. ranking.
- **SCR:** semisupervised ranking on concatenated views.
  - $\rightarrow$  alternate, "baseline" semisup multiview ranking,
  - -- requires having all views available at test time!
- **SMR:** semi-supervised multi-view ranking.
  - $\rightarrow$  our approach.

<sup>&</sup>lt;sup>3</sup>Amini, Truong, Goutte (2008) A boosting algorithm for learning bipartite ranking functions..., *SIGIR*. <sup>4</sup>Amini, Usunier, Goutte (2009) Learning from multiple partially observed views..., *NIPS-22*.



#### **Experiments: Performance (AUC)**

Model	C15	CCAT	E21	ECAT	GCAT	M11
1R	.669↓	$.624^{\downarrow}$	$.621^{\downarrow}$	$.638^{\downarrow}$	$.755^{\downarrow}$	.811↓
SMC	.698↓	$.645^{\downarrow}$	$.652^{\downarrow}$	$.649^{\downarrow}$	$.773^{\downarrow}$	$.821^{\downarrow}$
S1R	$.724^{\downarrow}$	$.658^{\downarrow}$	$.665^{\downarrow}$	$.662^{\downarrow}$	$.802^{\downarrow}$	$.836^{\downarrow}$
SCR	$.752^{\downarrow}$	$.679^{\downarrow}$	$.672^{\downarrow}$	$.671^{\downarrow}$	$.839^{\downarrow}$	$.875^{\downarrow}$
SMR	.805	.727	.681	.694	.866	.901

AUC averaged over 10 random splits (10 labeled examples) and 5 languages.

Our method (semisupervised multiview ranking, SMR) improves over

- (semi-supervised) single view ranking,
- (semi-supervised) multiview classification,
- (semi-supervised) ranking on concatenated views.



#### Performance vs. training set size



Performance improves with more labeling (duh!) and difference decreases.



### **Disagreement during learning**



Algorithm effectively enforces agreement  $\Rightarrow$  better generalization. One iteration with 10 examples yields better agreement than 200 at start.



#### **Effect of class imbalance**



Ranking outperforms classification when classes are imbalanced.



#### **Comparison with concatenated views**



Better than concatenation (SCR) especially when many views are available.



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### Conclusion

- Consider learning from multilingual document as a *multiview* problem.
- Learn multiview (bipartite) ranking from partially annotated data.
- Outperform independant single-view ranking;
- Outperform multiview classification;
- Outperform simple view concatenation.
- Better performance when 1) few annotated examples, 2) unbalanced data and 3) many views.
- Importance of optimizing a ranking (vs. binary classification) criterion.
- May generalize to arbitrary ranking (with complexity hit?).



#### The end

# Thank you.

**Questions?** 



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