

Randomized techniques for parameterized algorithms

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Why randomized?

- A guaranteed error probability of 10^{-100} is as good as a deterministic algorithm.
(Probability of hardware failure is larger!)
- Randomized algorithms can be more efficient and/or conceptually simpler.
- Can be the first step towards a deterministic algorithm.

Polynomial time vs. FPT

FPT

A parameterized problem is fixed-parameter tractable if it can be solved in time $f(k) \cdot n^{O(1)}$ for some computable function f .

Polynomial time vs. FPT

FPT

A parameterized problem is fixed-parameter tractable if it can be solved in time $f(k) \cdot n^{O(1)}$ for some computable function f .

Polynomial-time randomized algorithms

- Randomized selection to pick a **typical, unproblematic, average** element/subset.
- Error probability is constant or at most polynomially small.

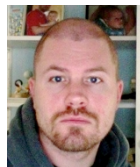
Randomized FPT algorithms

- Randomized selection to satisfy a **bounded number** of (unknown) constraints.
- Error probability might be exponentially small.

Randomization

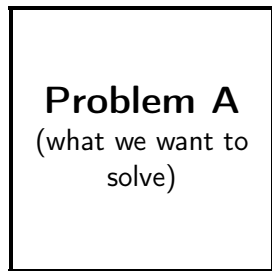
There are two main ways randomization appears:

- Algebraic techniques (Schwartz-Zippel Lemma)
See **Andreas Björklund**'s talk, Friday 13:30.

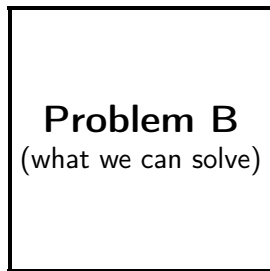


- Combinatorial techniques.
This talk.

Randomization as reduction



Randomized magic



Color Coding

k -PATH

Input: A graph G , integer k .

Find: A simple path of length k .

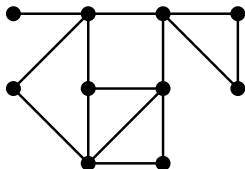
Note: The problem is clearly NP-hard, as it contains the HAMILTONIAN PATH problem.

Theorem [Alon, Yuster, Zwick 1994]

k -PATH can be solved in time $2^{O(k)} \cdot n^{O(1)}$.

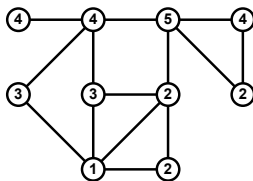
Color Coding

- Assign colors from $[k]$ to vertices $V(G)$ uniformly and independently at random.



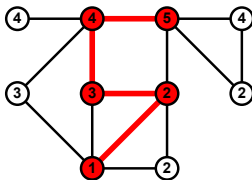
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Color Coding

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- Check if there is a path colored $1 - 2 - \dots - k$; output “YES” or “NO”.
 - If there is no k -path: no path colored $1 - 2 - \dots - k$ exists \Rightarrow “NO”.
 - If there is a k -path: the probability that such a path is colored $1 - 2 - \dots - k$ is k^{-k} thus the algorithm outputs “YES” with at least that probability.

Error probability

Useful fact

If the probability of success is at least p , then the probability that the algorithm **does not** say “YES” after $1/p$ repetitions is at most

$$(1 - p)^{1/p} < (e^{-p})^{1/p} = 1/e \approx 0.38$$

Error probability

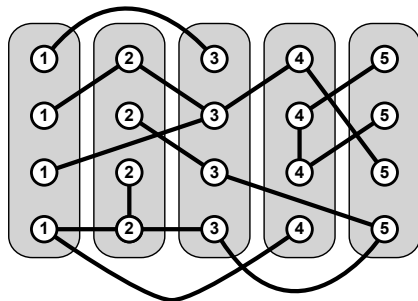
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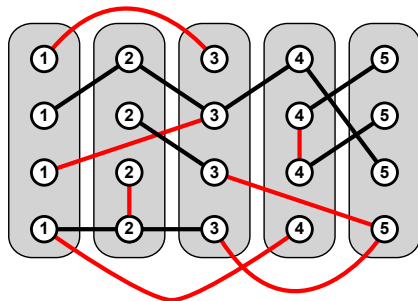
- Thus if $p > k^{-k}$, then error probability is at most $1/e$ after k^k repetitions.
- Repeating the whole algorithm a constant number of times can make the error probability an arbitrary small constant.
- For example, by trying $100 \cdot k^k$ random colorings, the probability of a wrong answer is at most $1/e^{100}$.

Finding a path colored $1 - 2 - \dots - k$



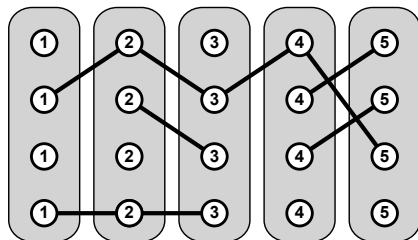
- Edges connecting nonadjacent color classes are removed.
- The remaining edges are directed towards the larger class.
- All we need to check is if there is a directed path from class 1 to class k .

Finding a path colored $1 - 2 - \dots - k$



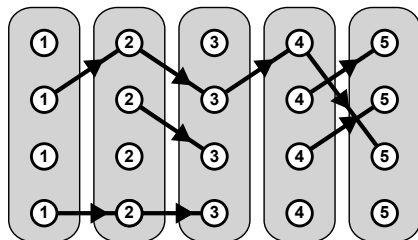
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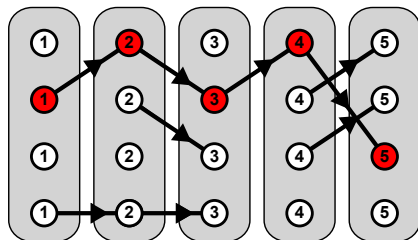
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Finding a path colored $1 - 2 - \dots - k$



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Color Coding

k -PATH

Color Coding
success probability:

k^{-k}

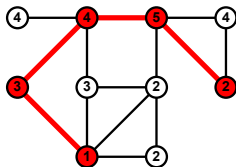


Finding a
 $1 - 2 - \dots - k$
colored path

polynomial-time
solvable

Improved Color Coding

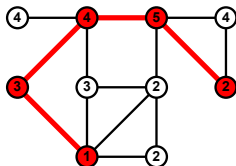
- Assign colors from $[k]$ to vertices $V(G)$ uniformly and independently at random.



- Check if there is a **colorful** path where each color appears exactly once on the vertices; output "YES" or "NO".

Improved Color Coding

- Assign colors from $[k]$ to vertices $V(G)$ uniformly and independently at random.



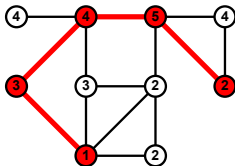
- Check if there is a **colorful** path where each color appears exactly once on the vertices; output “YES” or “NO”.
 - If there is no k -path: no **colorful** path exists \Rightarrow “NO”.
 - If there is a k -path: the probability that it is **colorful** is

$$\frac{k!}{k^k} > \frac{\left(\frac{k}{e}\right)^k}{k^k} = e^{-k},$$

thus the algorithm outputs “YES” with at least that probability.

Improved Color Coding

- Assign colors from $[k]$ to vertices $V(G)$ uniformly and independently at random.



- Repeating the algorithm $100e^k$ times decreases the error probability to e^{-100} .

How to find a colorful path?

- Try all permutations ($k! \cdot n^{O(1)}$ time)
- Dynamic programming ($2^k \cdot n^{O(1)}$ time)

Finding a colorful path

Subproblems:

We introduce $2^k \cdot |V(G)|$ Boolean variables:

$x(v, C) = \text{TRUE}$ for some $v \in V(G)$ and $C \subseteq [k]$



There is a P path ending at v such that each color in C appears on P exactly once and no other color appears.

Answer:

There is a colorful path $\iff x(v, [k]) = \text{TRUE}$ for some vertex v .

Initialization & Recurrence:

Exercise.

Improved Color Coding

k -PATH

Color Coding
success probability:

$$e^{-k}$$



Finding a
colorful path

Solvable in time
 $2^k \cdot n^{O(1)}$

Derandomization

Definition

A family \mathcal{H} of functions $[n] \rightarrow [k]$ is a **k -perfect** family of hash functions if for every $S \subseteq [n]$ with $|S| = k$, there is an $h \in \mathcal{H}$ such that $h(x) \neq h(y)$ for any $x, y \in S$, $x \neq y$.

Theorem

There is a k -perfect family of functions $[n] \rightarrow [k]$ having size $2^{O(k)} \log n$ (and can be constructed in time polynomial in the size of the family).

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Theorem

There is a k -perfect family of functions $[n] \rightarrow [k]$ having size $2^{O(k)} \log n$ (and can be constructed in time polynomial in the size of the family).

Instead of trying $O(e^k)$ **random colorings**, we go through a **k -perfect family** \mathcal{H} of functions $V(G) \rightarrow [k]$.

If there is a solution S

\Rightarrow The vertices of S are colorful for at least one $h \in \mathcal{H}$

\Rightarrow Algorithm outputs "YES".

\Rightarrow k -PATH can be solved in **deterministic** time $2^{O(k)} \cdot n^{O(1)}$.

Derandomized Color Coding

k -PATH

k -perfect family
 $2^{O(k)} \log n$ functions



Finding a
colorful path

Solvable in time
 $2^k \cdot n^{O(1)}$

Bounded-degree graphs

Meta theorems exist for bounded-degree graphs, but randomization is usually simpler.

DENSE k -VERTEX SUBGRAPH

Input: A graph G , integers k, m .

Find: A set of k vertices inducing $\geq m$ edges.

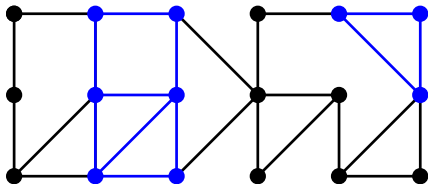
Note: on general graphs, the problem is $W[1]$ -hard parameterized by k , as it contains k -CLIQUE.

Theorem [Cai, Chan, Chan 2006]

DENSE k -VERTEX SUBGRAPH can be solved in randomized time $2^{k(d+1)} \cdot n^{O(1)}$ on graphs with maximum degree d .

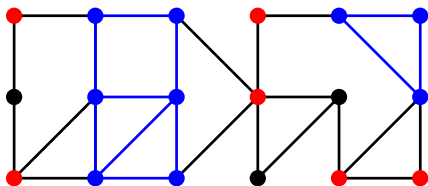
DENSE k -VERTEX SUBGRAPH

- Remove each vertex with probability $1/2$ independently.



DENSE k -VERTEX SUBGRAPH

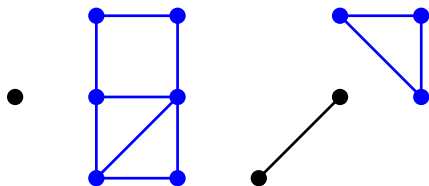
- Remove each vertex with probability $1/2$ independently.



- With probability 2^{-k} no vertex of the solution is removed.
- With probability 2^{-kd} every neighbor of the solution is removed.
- \Rightarrow We have to find a solution that is the union of connected components!

DENSE k -VERTEX SUBGRAPH

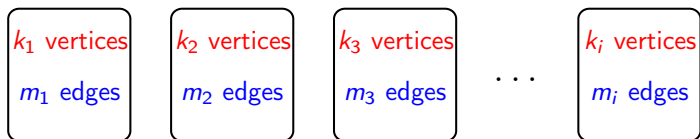
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Select connected components with

- at most k vertices and
- at least m edges.

What problem is this?

DENSE k -VERTEX SUBGRAPH

Select connected components with

- at most k vertices and
- at least m edges.

This is exactly KNAPSACK!

(I.e., pick objects of total weight at most S and value at least V .)

We can interpret

- number of vertices = weight of the items
- number of edges = value of the items

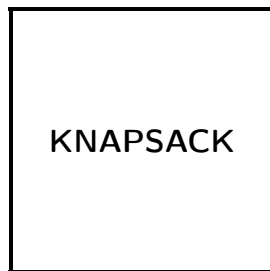
If the weights are integers, then DP solves the problem in time polynomial in the number of objects and the maximum weight.

DENSE k -VERTEX SUBGRAPH



Random deletions
success probability:

$$2^{-k(d+1)}$$



Polynomial time

BALANCED SEPARATION

Useful problem for recursion:

BALANCED SEPARATION

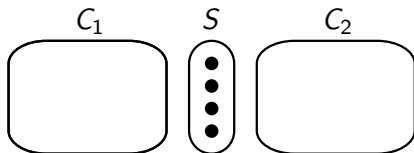
Input: A graph G , integers k, q .

Find: A set S of at most k vertices such that $G \setminus S$ has **two** components of size at least q .

Theorem [Chitnis et al. 2012]

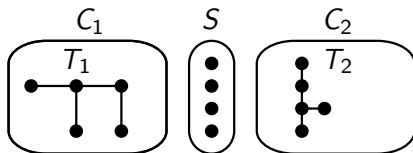
BALANCED SEPARATION can be solved in randomized time $2^{O(q+k)} \cdot n^{O(1)}$.

BALANCED SEPARATION



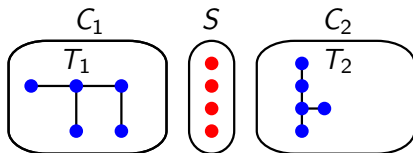
- Remove each vertex with probability $1/2$ independently.

BALANCED SEPARATION



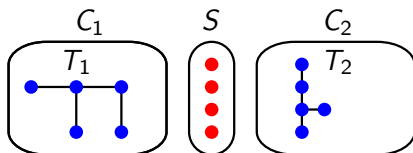
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BALANCED SEPARATION



- Remove each vertex with probability $1/2$ independently.
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BALANCED SEPARATION



- Remove each vertex with probability $1/2$ independently.
- With probability 2^{-k} every vertex of the solution is removed.
- With probability 2^{-q} no vertex of T_1 is removed.
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- \Rightarrow The reduced graph G' has two components of size $\geq q$ that can be separated in the original graph G by k vertices.
- For any pair of large components of G' , we find a minimum $s - t$ cut in G .

BALANCED SEPARATION

BALANCED
SEPARATION

Random deletions
success probability:

$$2^{-k+2q}$$



MINIMUM $s - t$
CUT

Polynomial time

Randomized sampling of important separators

A new technique used by several results:

- MULTICUT [M. and Razgon STOC 2011]
- Clustering problems [Lokshtanov and M. ICALP 2011]
- DIRECTED MULTIWAY CUT [Chitnis, Hajiaghayi, M. SODA 2012]
- DIRECTED MULTICUT in DAGs [Kratsch, Pilipczuk, Pilipczuk, Wahlström ICALP 2012]
- DIRECTED SUBSET FEEDBACK VERTEX SET [Chitnis, Cygan, Hajiaghayi, M. ICALP 2012]
- PARITY MULTIWAY CUT [Lokshtanov, Ramanujan ICALP 2012]
- ... more work in progress.

Transversal problems

Let G be a graph and let \mathcal{F} be a set of subgraphs in G .

Definition

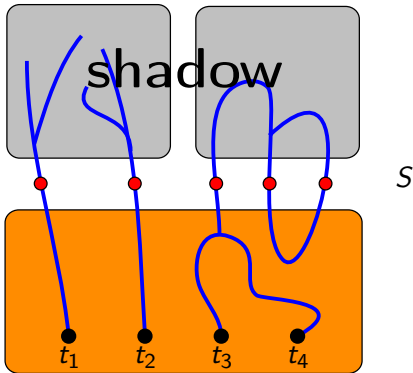
\mathcal{F} -transversal: a set of edges of vertices intersecting each subgraph in \mathcal{F} (i.e., “hitting” or “killing” every object in \mathcal{F}).

Classical problems formulated as finding a minimum transversal:

- $s - t$ CUT:
 \mathcal{F} is the set of $s - t$ paths.
- MULTIWAY CUT:
 \mathcal{F} is the set of paths between terminals.
- (DIRECTED) FEEDBACK VERTEX SET:
 \mathcal{F} is the set of (directed) cycles.
- Delete edges/vertices to make the graph bipartite:
 \mathcal{F} is the set of odd cycles.

The setting

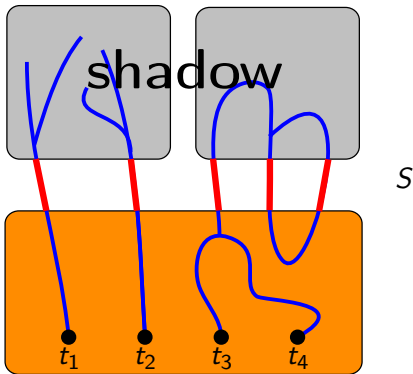
Let \mathcal{F} be a set of **connected** (not necessarily disjoint!) subgraphs, each **intersecting** a set T of vertices.



The **shadow** of an \mathcal{F} -transversal S is the set of vertices not reachable from T in $G \setminus S$.

The setting

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The **shadow** of an \mathcal{F} -transversal S is the set of vertices not reachable from T in $G \setminus S$.

The random sampling (undirected edge version)

Shadow: Set of vertices not reachable in $G \setminus S$.

Condition: every $F \in \mathcal{F}$ is **connected** and **intersects** T .

Theorem

In $2^{O(k)} \cdot n^{O(1)}$ time, we can compute a set Z with the following property. If there exists an \mathcal{F} -transversal of at most k edges, then with probability $2^{-O(k)}$ there is a minimum \mathcal{F} -transversal S with

- the shadow of S is covered by Z and
- no edge of S is contained in Z .

Note: The algorithm **does not** have to know \mathcal{F} !

What is this good for?

Clustering

We want to partition objects into clusters subject to certain requirements (typically: related objects are clustered together, bounds on the number or size of the clusters etc.)

(p, q) -CLUSTERING

Input: A graph G , integers p, q .

Find: A partition (V_1, \dots, V_m) of $V(G)$ such that for every i

- $|V_i| \leq p$ and
- $d(V_i) \leq q$.

$d(V_i)$: number of edges leaving V_i .

Theorem [Lokshtanov and M. 2011]

(p, q) -CLUSTERING can be solved in time $2^{O(q)} \cdot n^{O(1)}$.

A sufficient and necessary condition

Good cluster: size at most p and at most q edges leaving it.

Necessary condition:

Every vertex is contained in a good cluster.

A sufficient and necessary condition

Good cluster: size at most p and at most q edges leaving it.

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But surprisingly, this is also a **sufficient condition!**

Lemma

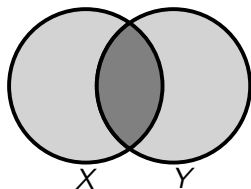
Graph G has a (p, q) -clustering if and only if every vertex is in a good cluster.

A sufficient and necessary condition

Lemma

Graph G has a (p, q) -clustering if and only if every vertex is in a good cluster.

Proof: Find a collection of good clusters covering every vertex and having minimum total size. Suppose two clusters intersect.

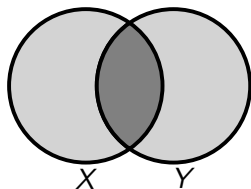


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$$d(X) + d(Y) \geq d(X \setminus Y) + d(Y \setminus X)$$

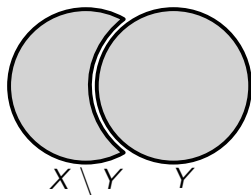
\Rightarrow either $d(X) \geq d(X \setminus Y)$ or $d(Y) \geq d(Y \setminus X)$ holds.

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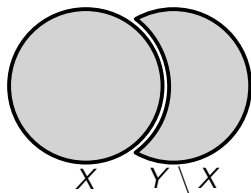
If $d(X) \geq d(X \setminus Y)$, replace X with $X \setminus Y$, strictly decreasing the total size of the clusters.

A sufficient and necessary condition

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Proof: Find a collection of good clusters covering every vertex and having minimum total size. Suppose two clusters intersect.



$$d(X) + d(Y) \geq d(X \setminus Y) + d(Y \setminus X)$$

If $d(Y) \geq d(Y \setminus X)$, replace Y with $Y \setminus X$, strictly decreasing the total size of the clusters.

QED ■

Finding a good cluster

We have seen:

Lemma

Graph G has a (p, q) -clustering if and only if every vertex is in a good cluster.

All we have to do is to check if a given vertex v is in a good cluster. Trivial to do in time $n^{O(q)}$.

Finding a good cluster

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Lemma

Graph G has a (p, q) -clustering if and only if every vertex is in a good cluster.

All we have to do is to check if a given vertex v is in a good cluster. Trivial to do in time $n^{O(q)}$.

We prove next:

Lemma

We can check in time $2^{O(q)} \cdot n^{O(1)}$ if v is in a good cluster.

This is a transversal problem: we want to hit with q edges every tree going through v and having more than p vertices.

Random sampling (repeated)

Shadow: Set of vertices not reachable in $G \setminus S$.

Condition: every $F \in \mathcal{F}$ is **connected** and **intersects** T .

Theorem

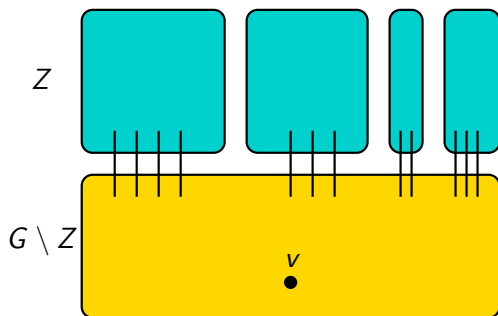
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Now:

- $T = \{v\}$
- \mathcal{F} contains every tree going through v having p vertices

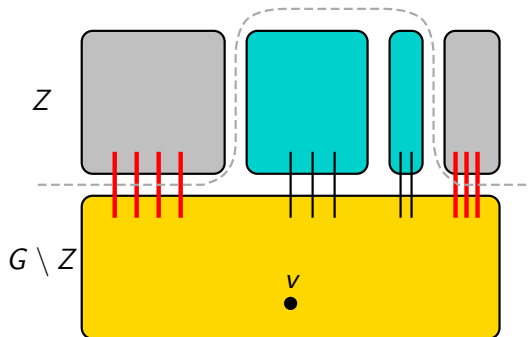
Finding good clusters



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Where are the edges of S ? Where is the good cluster?

Finding good clusters

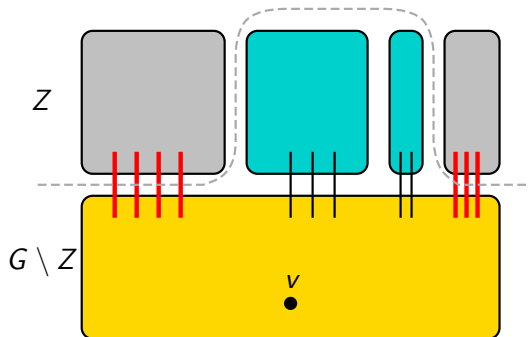


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Where are the edges of S ? Where is the good cluster?

Observe: Components of Z are either fully in the cluster or fully outside the cluster. What is this problem?

Finding good clusters



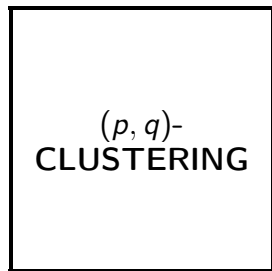
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KNAPSACK!

(p, q) -CLUSTERING



Random set Z
success probability:

$$2^{-O(k)}$$



Polynomial time

MULTIWAY CUT

(DIRECTED) MULTIWAY CUT

Input: Graph G , set of vertices T , integer k

Find: A set S of at most k vertices such that $G \setminus S$ has no (directed) $t_1 - t_2$ path for any $t_1, t_2 \in T$

The undirected version is fairly well understood: best known algorithm solves it in time $2^k \cdot n^{O(1)}$ [Cygan et al. IPEC 2011]

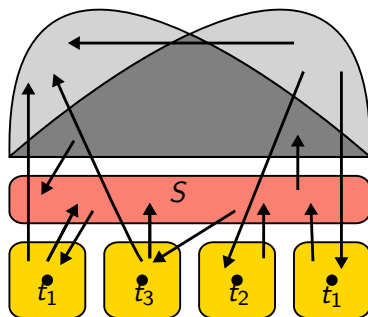
Theorem [Chitnis, Hajiaghayi, Marx 2012]

DIRECTED MULTIWAY CUT is FPT.

Can be formulated as minimum \mathcal{F} -transversal, where \mathcal{F} is the set of directed paths between vertices of T .

Directed Multiway Cut

Shadow: those vertices of $G \setminus S$ that cannot be reached from T
AND those vertices of $G \setminus S$ from which T cannot be reached.



The random sampling (directed vertex version)

Shadow: those vertices of $G \setminus S$ that cannot be reached from T
AND those vertices of $G \setminus S$ from which T cannot be reached.

Condition: for every $F \in \mathcal{F}$ and every vertex $v \in F$, there is a $T \rightarrow v$ and a $v \rightarrow T$ path in F .

Theorem

In $f(k) \cdot n^{O(1)}$ time, we can compute a set Z with the following property. If there exists an \mathcal{F} -transversal of at most k vertices, then with probability $2^{-O(k^2)}$ there is a minimum \mathcal{F} -transversal S with

- the shadow of S is covered by Z and
- $S \cap Z = \emptyset$.

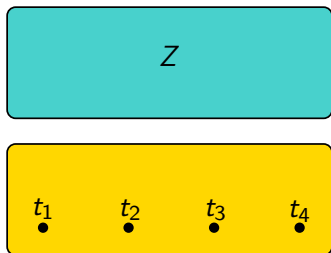
Now:

- T : terminals
- \mathcal{F} contains every directed path between two distinct terminals

Shadow removal

We can assume that Z is disjoint from the solution, so we want to get rid of Z .

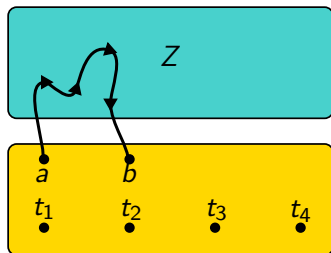
- Deleting Z is not a good idea: can make the problem easier.
- To compensate deleting Z , if there is an $a \rightarrow b$ path with internal vertices in Z , add a direct $a \rightarrow b$ edge.



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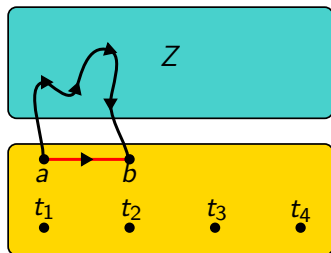
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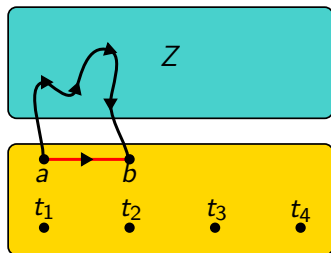
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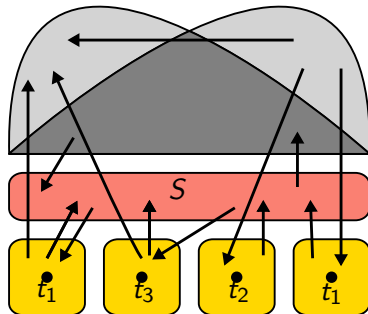


Crucial observation:

S remains a solution (since Z is disjoint from S) and S is a **shadowless solution** (since Z covers the shadow of S).

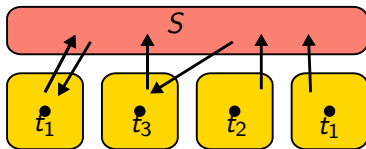
Shadowless solutions

How does a shadowless solution look like?



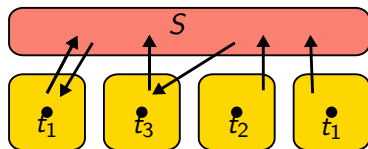
Shadowless solutions

How does a shadowless solution look like?



Shadowless solutions

How does a shadowless solution look like?



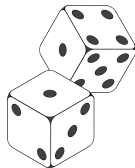
It is an undirected multiway cut in the underlying undirected graph!
⇒ **Problem can be reduced to undirected multiway cut.**

DIRECTED MULTIWAY CUT

DIRECTED
MULTIWAY
CUT

Random set Z
success probability:

$$2^{-O(k^2)}$$



UNDIRECTED
MULTIWAY
CUT

$2^k \cdot n^{O(1)}$ time

Cut and count

A very powerful technique for many problems on graphs of bounded-treewidth.

Classical result:

Theorem

Given a tree decomposition of width k , HAMILTONIAN CYCLE can be solved in time $k^{O(k)} \cdot n^{O(1)} = 2^{O(k \log k)} \cdot n^{O(1)}$.

Very recently:

Theorem [Cygan, Nederlof, Pilipczuk, Pilipczuk, van Rooij, Wojtaszczyk 2011]

Given a tree decomposition of width k , HAMILTONIAN CYCLE can be solved in time $4^k \cdot n^{O(1)}$.

Isolation Lemma

Isolation Lemma [Mulmuley, Vazirani, Vazirani 1987]

Let \mathcal{F} be a nonempty family of subsets of U and assign a weight $w(u) \in [N]$ to each $u \in U$ uniformly and independently at random. The probability that there is a **unique** $S \in \mathcal{F}$ having minimum weight is at least

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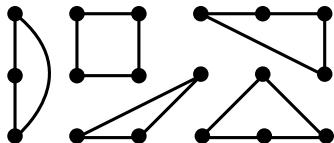
$$1 - \frac{|U|}{N}.$$

Let $U = E(G)$ and \mathcal{F} be the set of all Hamiltonian cycles.

- By setting $N := |V(G)|^{O(1)}$, we can assume that there is a unique minimum weight Hamiltonian cycle.
- If N is polynomial in the input size, we can guess this minimum weight.
- So we are looking for a Hamiltonian cycle of weight **exactly** C , under the assumption that there is a **unique** such cycle.

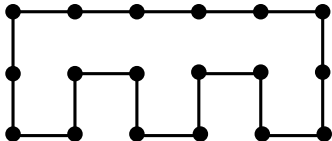
Cycle covers

- **Cycle cover:** A subgraph having degree exactly two at each vertex.



Cycle covers

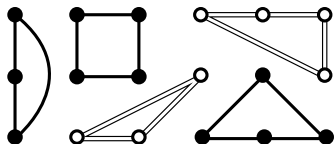
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- A Hamiltonian cycle is a cycle cover, but a cycle cover can have more than one component.

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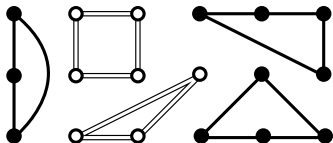
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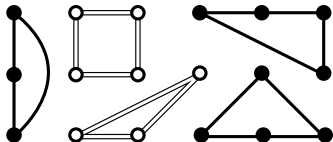
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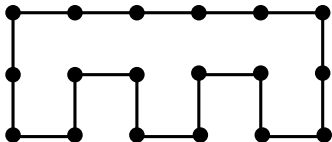
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 - If there is no weight- C Hamiltonian cycle: the number of weight- C colored cycle covers is $0 \pmod 4$.
 - If there is a unique weight- C Hamiltonian cycle: the number of weight- C colored cycle covers is $2 \pmod 4$.

Cycle covers

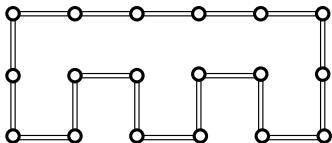
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Cut and Count

- Assign random weights $\leq 2|E(G)|$ to the edges.
- If there is a Hamiltonian cycle, then with probability $1/2$, there is a C such that there is a **unique** weight- C Hamiltonian cycle.
- Try all possible C .
- Count the number of weight- C colored cycle covers: can be done in time $4^k \cdot n^{O(1)}$ if a tree decomposition of width k is given.
- Answer YES if this number is $2 \pmod 4$.

Cut and Count

HAMILTONIAN
CYCLE

Random weights
success probability:

$1/2$



Counting
weighted
colored cycle
covers

$4^k \cdot n^{O(1)}$ time

Conclusions

- Randomization gives elegant solution to many problems.
- Derandomization is sometimes possible (but less elegant).
- Small (but $f(k)$) success probability is good for us.
- Reducing the problem we want to solve to a problem that is easier to solve.