Algorithms for Genome Rearrangement by Double Cut and Join

Jens Stoye

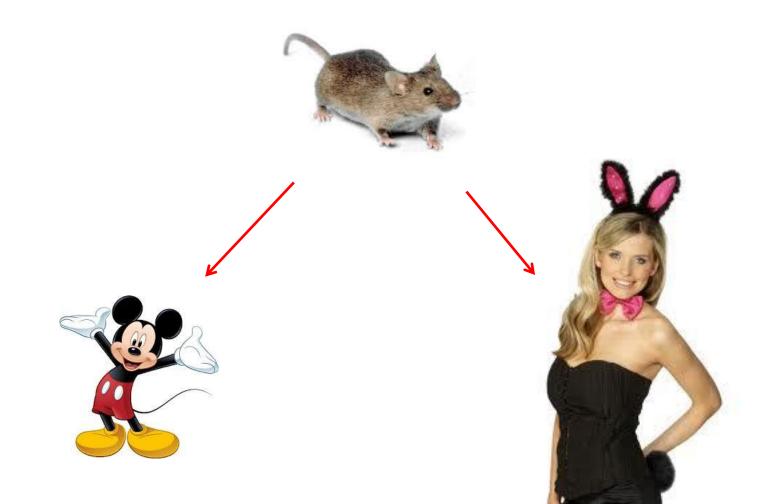
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Outline

- 1. Genome evolution
- 2. Double Cut and Join (DCJ)
- 3. DCJ distance and sorting
- 4. Relation to other models
- 5. Insertions, deletions, substitutions
- 6. On the weight of indels
- 7. Summary and Conclusion

1. Genome evolution

Species change over time.



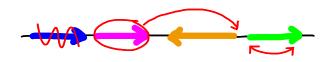
1. Genome evolution

At the molecular level:



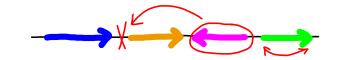
Local vs. global modifications:

- point mutations (sequence analysis)
- large-scale operations (comparative genomics)

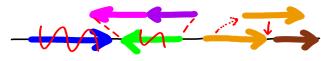


Organizational vs. content-modifying operations:

• rearrangement



• insertion, deletion, substitution, duplication

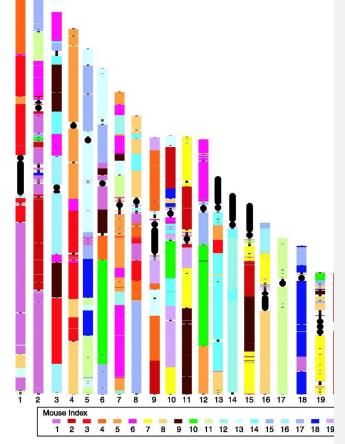


Motivation

Evolution at the whole genome level:

- Basic understanding of molecular processes at genomic scale
- Evolutionary distances, phylogenetic trees (phylogenomics)
- Ancestral genome reconstruction
- Insights into gene function
- Regulation of genes (e.g. operons in prokaryotic genomes)
- Comparative genome assembly and annotation
- Structural variations, cancer development
- Pathogen evolution, outbreak prediction, vaccination strategies

What hannons in detail?



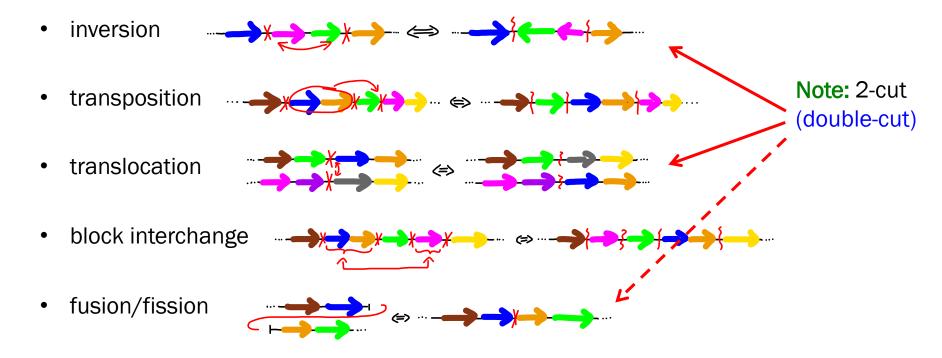
The mouse genome:

- 1: \circ -136 140 93 -95 -32 25 37 -38 39 -40 76 246 30 -29 33 -8 14 -11 10 -9 \circ 3: • 141 139 -57 56 58 68 -201 55 -70 -7 -66 -5 • 4: o 137 -142 -138 -97 146 153 148 145 4 -3 2 -1 o $6: \circ \ 117 \ 106 \ 123 \ 109 \ 65 \ -67 \ -23 \ 22 \ -21 \ -53 \ 42 \ 51 \ 41 \ -167 \ -187 \ 264 \ -188 \ 189 \ \circ$ 7: o 257 -255 254 -256 177 -210 212 211 -221 220 219 -218 -184 176 224 174 -175 -183 o 9:
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 \circ 223 -135 -265 59 61 -60 -52 261 \circ 17: \circ -102 -103 104 -75 -222 91 262 -90 -92 44 -26 249 77 -240 19 239 \circ 18: \circ 164 163 -166 243 -31 78 82 79 -83 241 245 242 -244 -247 \circ 19: • 182 -181 -147 144 -169 173 • The human genome: $1: \circ 1 \ 2 \ 3 \ 4 \ 5 \ 6 \ 7 \ 8 \ 9 \ 10 \ 11 \ 12 \ 13 \ 14 \ \circ$ $2:\ \circ\ 15\ 16\ 17\ 18\ 19\ 20\ 21\ 22\ 23\ 24\ 25\ 26\ 27\ 28\ 29\ 30\ 31\ 32\ 33\ 34\ 35\ 36\ 37\ 38\ 39\ 40\ \circ$ 3: \circ 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 \circ $4: \circ 62 \ 63 \ 64 \ 65 \ 66 \ 67 \ 68 \ 69 \ 70 \ 71 \ \circ$ $5: \circ 72 73 74 75 76 77 78 79 80 81 82 83 84 85 86 87 \circ$ $6: \circ 88 89 90 91 92 93 94 95 96 97 98 99 100 101 102 103 104 \circ$ $7: \circ \ 105 \ 106 \ 107 \ 108 \ 109 \ 110 \ 111 \ 112 \ 113 \ 114 \ 115 \ 116 \ 117 \ 118 \ 119 \ 120 \ 121 \ 122 \ 123 \ 124 \ 125 \ \circ$ $8: \circ \ 126 \ 127 \ 128 \ 129 \ 130 \ 131 \ 132 \ 133 \ 134 \ 135 \ 136 \ 137 \ 138 \ 139 \ 140 \ 141 \ 142 \ 143 \ \circ$ 9: \circ 144 145 146 147 148 149 150 151 152 153 154 155 156 157 158 159 \circ 10: \circ 160 161 162 163 164 165 166 167 168 169 170 171 172 173 174 \circ 11:
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- $17: \circ 228 \ 229 \ 230 \ 231 \ 232 \ 233 \ 234 \ 235 \ 236 \ 237 \ 238 \ \circ$
- $18: \circ 239 \ 240 \ 241 \ 242 \ 243 \ 244 \ 245 \ 246 \ 247 \ \circ$
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- 20: 0 258 259 260 0
- 21:
o 261 262 263 o
- 22:
o 264 265 266 267 268 269 270 o

Figure: Eichler & Sankoff 2003

What happens in detail?

Basic rearrangement operations:



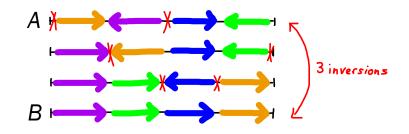
Assumption:

The number of rearrangements needed to transform one genome into another is a measure for the evolutionary distance between two species.

Questions to be asked:

How many rearrangement operations are needed?

- distance $d(A,B) \rightarrow$ "distance problem"
- diameter problems
- distribution of distances
- halving distance



How much can we reconstruct of the past?

- Ancestral genome(s)
- rearrangement scenario(s) → "sorting problem"
- complete phylogenies

Some history (2 genomes)

Inversions (reversals):

Watterson *et al.* 1982; Sankoff 1992; Bafna & Pevzner 1993; Hannenhalli & Pevzner 1995; Kaplan, Shamir & Tarjan 1999; Bader, Moret & Yan 2001; Bergeron 2001; Bergeron, Heber & S 2002; Bergeron, Mixtacki & S 2004

Translocations:

Hannenhalli 1996; Bergeron, Mixtacki & S 2005

Multichromosomal linear ("general HP model"):

Hannenhalli & Pevzner 1995; Tesler 2002; Ozery-Flato & Shamir 2003; Jean & Nikolski 2007; Bergeron, Mixtacki & S 2008; Erdős, Sokoup & S 2011

Double Cut and Join (DCJ):

Yancopoulos, Attie & Friedberg 2005; Bergeron, Mixtacki & S 2006; Kováč, Warren, Braga & S 2011

Other models:

Unsigned inversions: Kececioglu & Sankoff 1993; Christie 1998; Caprara 1999 Transpositions: Meidanis, Walter & Dias, 1997; Elias & Hartman 2006; Bulteau, Fertin, Rusu 2011 Inversions + Transpositions: Walter, Dias & Meidanis 1998; Christie & Irving 2001 Fusion/Fission + Transpositions: Meidanis & Dias 2001 Block interchanges: Christie 1996 Block interchanges + inversions: Mira & Meidanis 2007 Single Cut and Join: Bergeron, Medvedev & S 2010 Single Cut or Join: Feijão & Meidanis 2011

Some history (2 genomes)

All models so far: Strong assumption that all genomes contain exactly the same set of blocks

Inversions + Insertions and Deletions: El-Mabrouk 2001; Marron, Swenson & Moret 2004

Insertions + Duplications: Marron, Swenson & Moret 2004

DCJ + Insertions and Deletions:

Yancopoulos & Friedberg 2009; Braga, Willing & S 2010; Braga 2010; Braga, Machado, Ribeiro & S 2011b; Compeau 2012; da Silva, Braga, Machado & Dantas 2012; da Silva, Machado, Dantas & Braga 2012

DCJ + Insertions and Deletions + Duplications: Yancopoulos & Friedberg 2009

DCJ + Substitutions: Braga, Machado, Ribeiro & S 2011a

Towards formal modeling

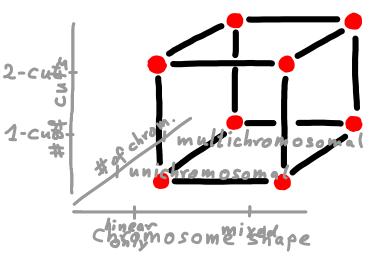
Definitions:

Genome: set of chromosomes

Chromosome: sequence of oriented unique blocks (genes or other markers)

Independent dimensions:

- Chromosome shapes
 - linear-only, (circular-only), mixed
- Number of chromosomes
 - unichromosomal, multichromosomal
- Rearrangement operations
 - single-cut, double-cut, (multi-cut)

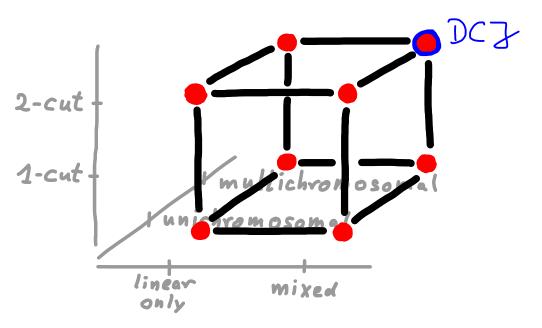


2. Double Cut and Join (DCJ)

(based on: Bergeron, Mixtacki & S: Proc. of WABI 2006)

The model we will concentrate on:

- mixed linear and circular chromosomes
- multichromosomal genome
- 2-cut operations

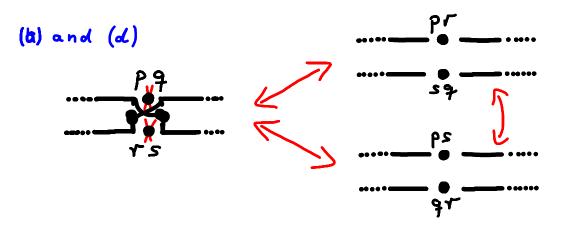


Graphs with vertices of degree one or two

Definition:

The DCJ operation acts on two vertices *u* and *v* of a graph with vertices of degree one or two in one of the following ways:

- (a) If both u = {p,q} and v = {r,s} are internal vertices, these are replaced by the two vertices {p,r} and {s,q} or by the two vertices {p,s} and {q,r}.
- (b) If $u = \{p,q\}$ is internal and $v = \{r\}$ is external, these are replaced by $\{p,r\}$ and $\{q\}$ or by $\{q,r\}$ and $\{p\}$.
- (c) If both $u = \{q\}$ and $v = \{r\}$ are external, these are replaced by $\{q,r\}$.
- (d) A single internal vertex $\{q, r\}$ can be replaced by two external vertices $\{q\}$ and $\{r\}$.



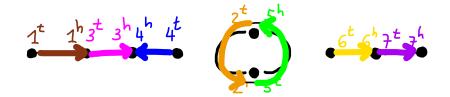
The formal problem

Definitions:

- A block (marker, gene) a is an oriented sequence of DNA that starts with a tail a^t and ends with a head a^h.
- Head and tail are called the extremities of a block.
- An adjacency of two consecutive blocks *a* and *b*, depending on their respective orientation, can be of four different types:

 $\{a^h, b^t\}, \{a^h, b^h\}, \{a^t, b^t\}, \{a^t, b^h\}$

 An extremity that is not adjacent to any other block is called a telomere, represented by a singleton set {a^h} or {a^t}.

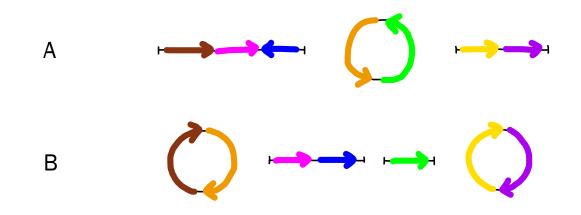


Genome: Set of adjacencies and telomeres such that the tail or head of a block appears in exactly one adjacency or telomere.

 $A = \{ \{1^t\}, \{1^h, 3^t\}, \{3^h, 4^h\}, \{4^t\}, \{2^h, 5^t\}, \{5^h, 2^t\}, \{6^t\}, \{6^h, 7^t\}, \{7^h\} \}$

The formal problem

Two genomes:



DCJ Sorting Problem:

Given two genomes A and B with the same set of blocks, find a shortest sequence of DCJ operations that transforms A into B. The length of such a sequence is called the DCJ distance between A and B, denoted by $d^{DCJ}(A,B)$.

3. DCJ distance and sorting

(based on: Bergeron, Mixtacki & S: Proc. of WABI 2006; Braga & S: JCB 2010)

History of formal studies:

- 1992 inversions (INV)
- 1995 Hannenhalli-Pevzner (HP) model
- 1995 translocations

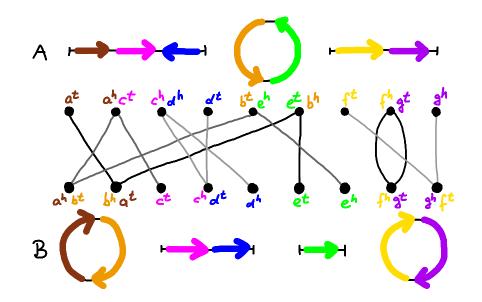
2005 – DCJ

 \rightarrow surprisingly simple (in particular compared to the earlier results)

Adjacency graph

Definition:

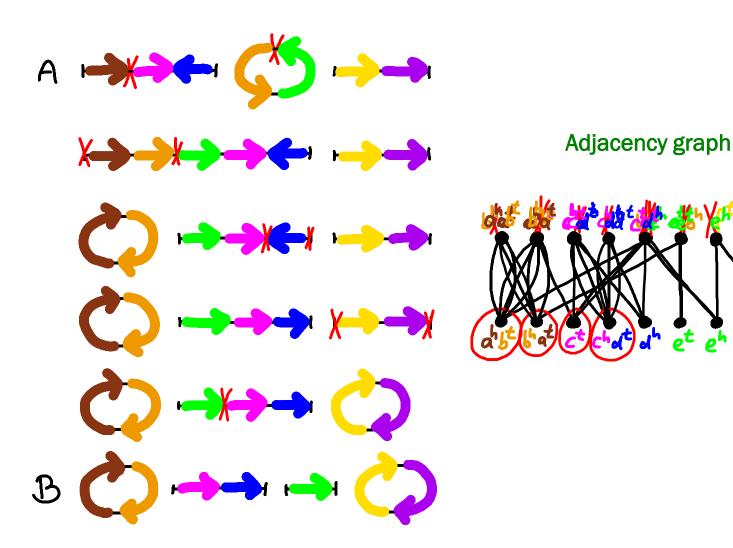
The adjacency graph AG(A,B) is a graph whose set of vertices are the adjacencies and telomeres of A and B. For each $u \in A$ and $v \in B$ there are $|u \cap v|$ edges between u and v.



Related to breakpoint graph (Bafna & Pevzner 1993)

Transforming A into B

et en



Algorithm

1: Let AG(A,B) be the adjacency graph of genomes A and B

// Generate the adjacencies of *B* that are not yet present in *A*

- 2: for each adjacency {p,q} in B do
- 3: let *u* be the vertex of *A* that contains *p*
- 4: let *v* be the vertex of *A* that contains *q*
- 5: if $u \neq v$ then
- 6: replace vertices u and v in A by $\{p,q\}$ and $(u \setminus \{p\}) \cup (v \setminus \{q\})$
- 7: end if
- 8: end for

//Generate the telomeres of B that are not yet present in A

- 9: for each telomere {p} in B do
- 10: let *u* be the vertex of *A* that contains *p*
- 11: **if** *u* is an adjacency **then**
- 12: replace vertex u in A by $\{p\}$ and $(u \setminus \{p\})$
- 13: end if
- 14: end for

Analysis: O(N) time where N = # of blocks

The DCJ distance

Theorem:

Let A and B be two genomes defined on the same set of N blocks, then we have

 $d^{DCJ}(A,B) = N - (C + I/2)$

where C = # of cycles and I = # of odd paths in AG(A,B). A sorting sequence can be found in optimal O(N) time.

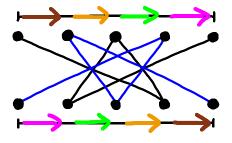
Example (Human-Mouse):

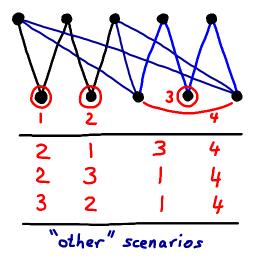
 $N = 281, C = 27, I = 16 \rightarrow d^{DCJ}(Human, Mouse) = 246$

Note 1: Same as HP distance (no circular chromosomes necessary) Note 2: Sorting scenarios can be of different types (1-cut vs. 2-cut operations) Note 3: This can lead to different breakpoint reuse rates $0.89 \le r \le 1.51$

The solution space of sorting by DCJ

There are really many rearrangement scenarios for a given pair of genomes:



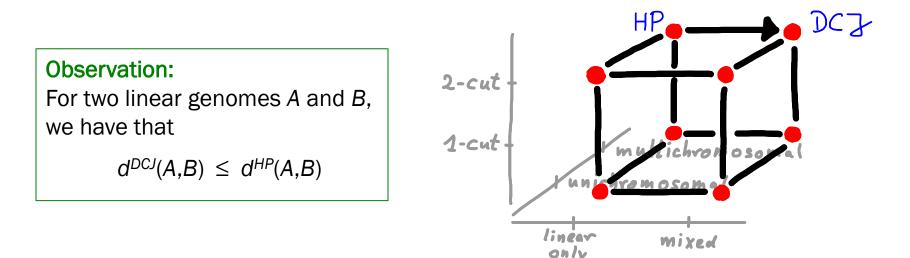


Simplified case (<i>k</i> components with distances $\ell_1,, \ell_k$):	1 component (distance ℓ)	number of scenarios
$S_{sep} = rac{(\ell_1 + \ell_2 + + \ell_k)!}{\ell_1!\ell_2!\ell_k!} imes \prod_{i=1}^k (\ell_i + 1)^{\ell_i - 1}$	1	1 3
i=1	3	16
	4	125
General case: more complicated due to recombinations	5	1296
	6	16807

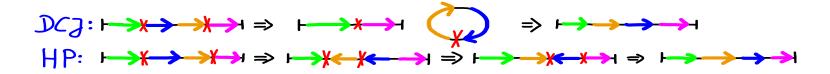
4. Relation to other models

(based on: Bergeron, Medvedev & S: JCB 2010; Bergeron, Mixtacki & S: TCS 2009)

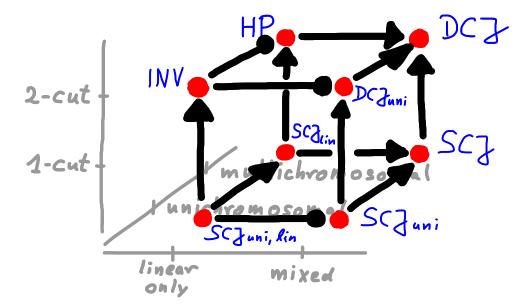
Hannenhalli-Pevzner (HP) model: 2-cut, linear-only, multichromosomal



In fact, for A = (1,3,2,4) and B = (1,2,3,4) we have $d^{DCJ}(A,B) = 2 < 3 = d^{HP}(A,B)$.

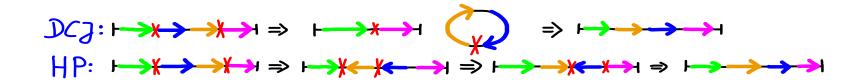


Relationship of distances



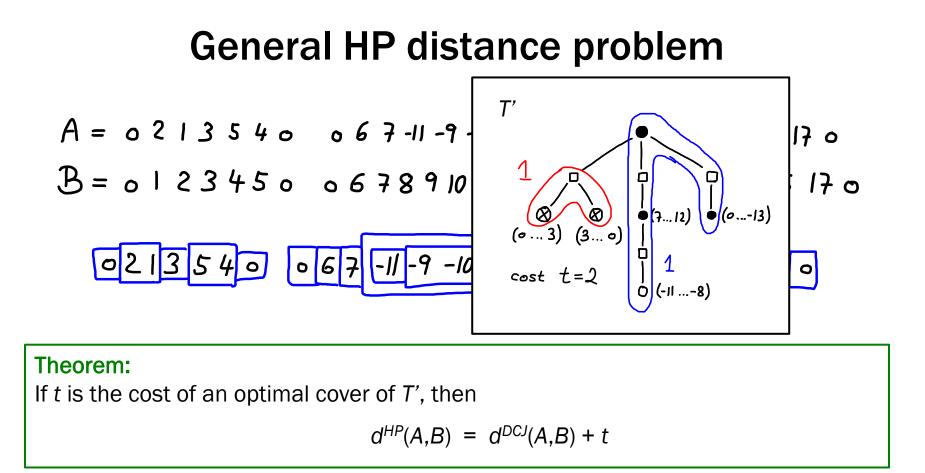
Unexpected asymmetry: IN V - HP

General HP distance problem



Sometimes HP needs more steps than DCJ: hurdle, fortress, knot, semi-knot, real-knot, semi-real-knot, weak-fortress-of-real-knots, etc.

Can we quantify this relative to DCJ? $d^{HP}(A,B) = d^{DCJ}(A,B) + t$

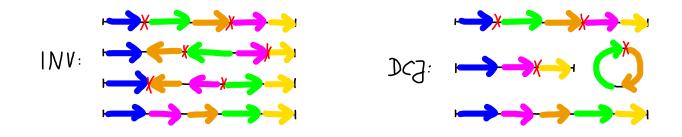


- Closed formula for t (Erdős, Soukup & S: Appl. Math. Lett. 2011)
- Linear-time algorithm for distance computation (Bergeron, Mixtacki & S: TCS 2009)
- Similar result for inversion distance (Bergeron, Mixtacki & S: *Proc. of CPM* 2004)
- Similar result for translocation distance (Bergeron, Mixtacki & S: JCB 2006)

Restricted DCJ

(based on: Kováč, Warren, Braga & S: JCB 2011)

Original motivation for DCJ (Yancopoulos, Attie & Friedberg 2005): block interchange in 2 steps (instead of 3 as in the INV model)



Observation:

We need never more than 1 circular chromosome at a time, $d^{rDCJ}(A,B) = d^{DCJ}(A,B)$.

Algorithmic results:Distance calculation in O(N) timeSorting in O(N log N) time [lower bound?]

Software: UNIMoG

🛓 Unified Model of Genomic Distance Computation via Double Cut & Join			
Load File(s) Clear Example Help	Scenario: ODCJ INTER HP Inversion Translocation All Genomes Adjacencies Graphics		
 S. kluyveri S. kluyveri tv-CAC tT-UGU tA-UGC tK-UUU tI-UAU tC-GCA tY-GUA tR-ACG tR-ACG tH-GUG tI-AAU tv-AAC tA-AGC tR-UCU tM-CAU tQ-UUG tv S. bayanus tE-UUC tC-GCA tS-UGA tv-UAC tD-GUC tR-UCU tQ-UUG tL-UAA tR-ACG tv-AAC tv-AAC tS-UGA tK-CUU tM-CAU tS-AGA tG-GC C. glabrata tS-GCU tS-UGA tG-CCC tN-GUU tA-UGC tE-UUC tR-UCU tI-AAU tC-GCA tK-UUU tS-AGA tR-ACG tT-UGU tA-AGC tQ-UUG tK-CU K. polysporus tT-AGU tL-CAA tW-CCA tF-GAA tQ-UUG tY-GUA tT-UGU tM-CAU tY-GUA tG-UCC tR-UCU tD-GUC tL-UAA tG-GCC tS-AGA 	S. kluyveri S. bayanus C. qlabrata K. polysporus I.S. kluyveri 0 32 30 30 I.S. kluyveri 0 32 30 30 I.S. bayanus - 0 29 21 # I.S. bayanus - 0 29 21 # I.S. bayanus - 0 29 21 #		
Genomes Adjacencies Graphics DCJ restricted conversion of genome "S. kluyveri" to "C. glabrata":	S. kluyver S. bayanus32 C. glabrat30 29 K. polyspo30 21 29 Restricted DCJ sorting scenario of the genomes "S. kluyveri" & "S. bayanus		
Step 0: tv-cAC tT-UGU tA-UGC tK-UUU tI-UAU tC-GCA tY-GUA tR-ACG tH-GUG tI-AAU tV-AAC tA-AGC tR-UCU tN-CAU tQ-UUG tF-GAA Step 1: ts-GCU tG-GCC tW-CCA tL-UAA tN-GUU tL-CAA tb-GUC tT-AGU tP-UGG tS-UGA tK-CUU tS-AGA tE-UUC tG-CCC	D.: tV-CAC tT-UGU tA-UGC tK-UUU tI-UAU tC-GCA tY-GUA tR-ACG tH-GUG tI-AAU tS-AGA tE-UUC tG-CCC tK-CUU tS-GCU tG-GCC tW-CCA tL-UAA tN-GUU tL-CAA tD-GUC tT-A tO-GUC tT-A tV-CAC tT-UGU tA-UGC tK-UUU tI-UAU tC-GCA tY-GUA tR-ACG tH-GUG tI-AAU tV-AAC tA-AGC tR-UC		
Step 2: • * * * * * * * * * * * * * * * * * *	CAC TT-UGU TA-UGC TK-UUU TI-UAU TC-GCA TY-GUA TR-ACG TH-GUG TI-AAU TV-AAC TA-AGC TR-UCU TM-C		
ts-gcu ts-uga tb-guc tr-agu tp-ugg ts-aga te-uuc tg-ccc tk-cuu tg-gcc tw-cca tL-uaa th-guu tL-caa tv-cuu ti-uau tc-gca tv-gua tR-acg th-gug tI-aug tv-cac tr-ugu ta-ugc tk-uuu tI-uau tc-gca tv-gua tR-acg th-gug tI-aug tv-cac tr-ucu tm-c			
ts-GCU ts-JUGA tG-CCC tk-CUU tG-GCC tw-CCA tL-UAA th-GUU tL-CAA			
Step 5: • > > > > > > > > > > > > > > > > > >	-GCA TY-GUA TR-ACG TH-GUG TI-AAU TV-AAC TA-AGC TR-UCU TN-GUU TL-CAA		
Sten R	-AAC TA-AGC TR-UCU TK-CUU TG-GCC TW-CCA TL-UAA TN-CAU TQ-UUG TF-GAA TD-GUC TT-AGU TP-U		
Run 🗹 Show Steps Colored chromosomes Save Text Save Graphics Exit			

(Hilker et al.: Bioinformatics 2012; http://bibiserv.techfak.uni-bielefeld.de/dcj)

Further applications of the DCJ model

Estimating the true evolutionary distance: Lin & Moret 2008

Perfect rearrangement: Bérard, Chateau, Chauve, Paul, Tannier 2008

Genome halving: Warren & Sankoff 2008; Mixtacki 2008; Thomas, Ouangraoua & Varré 2012

DCJ Median:

Xu & Sankoff 2008; Lenne et al. 2008; Zhang, Arndt & Tang 2009; Xu 2009; Aganezov & Alekseyev 2012

Multiple genome rearrangement:

Adam & Sankoff 2008; Kováč, Brejová & Vinař 2011

5. Insertions, deletions, substitutions

(based on: Braga, Willing & S, JCB 2011)

So far: Only organizational operations

Now: Mixture of organizational and content-modifying operations

History:

Inversions + indels: El-Mabrouk 2001; Marron, Swenson & Moret 2004

Here:

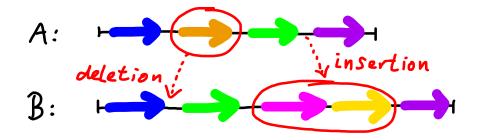
DCJ + indels: Yancopoulos & Friedberg 2008; Braga, Willing & S 2010; Braga 2010; Braga, Machado, Ribeiro & S 2011b; Da Silva, Braga, Machado & Dantas 2012

Again, the results in the DCJ model are much simpler than in INV or HP. But we also run into modeling questions, as we will see later.

Insertion/Deletion

Extended model: Genomes with possibly unequal gene content

Unique blocks: Blocks only occurring in one of the two genomes



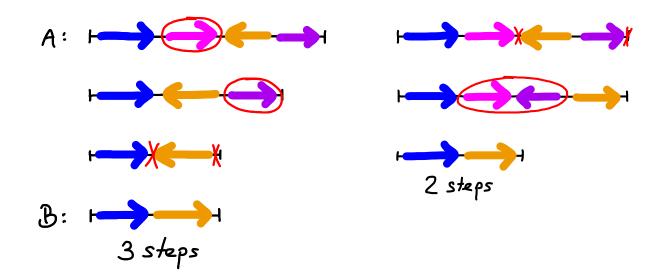
DCJ-indel distance:

Given two genomes A and B, find the minimum number of steps (DCJ and indel operations) d^{DCJ-id} (A,B) necessary to sort A into B.

We consider: cost for 1 insertion = cost for 1 deletion = cost for 1 DCJ

The DCJ-indel model

Saving indel operations:



Group unique blocks during sorting \rightarrow less indel operations

The DCJ-indel model

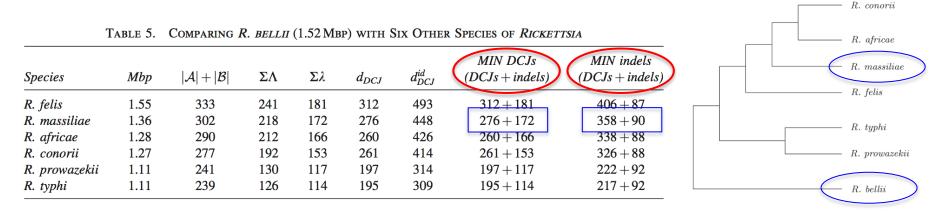
Result:

$$d^{DCJ-id}(A,B) = d^{DCJ}(A,B) + \sum_{C \in AG(A,B)} \lambda(C) - W$$

Theorem:

Given two genomes A and B, $d^{DCJ-id}(A,B)$ and a shortest sorting scenario can be computed in linear time O(|A|+|B|).

In fact, indels can be traded for DCJ operations, for example:

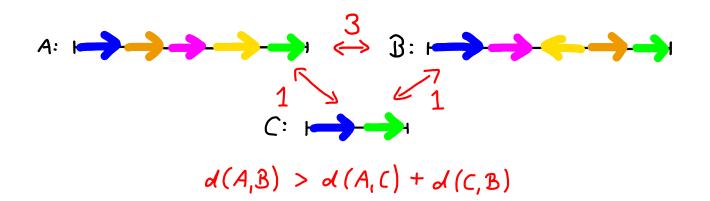


6. On the weight of indels

(based on: Braga, Machado, Ribeiro & S: BMC Bioinformatics 2011b)

Observation (Yancopoulos & Friedberg 2008):

When indel operations of multiple blocks are allowed, the triangle inequality may be disrupted.



Question: Is there a distance definition that does not disrupt the triangle inequality?

A posteriori correction

Lemma:

Applying an a posteriori correction, the triangle inequality holds for the function

$$d_{1,k}^{DCJ-id}(A,B) = d^{DCJ-id}(A,B) + k \cdot u(A,B)$$

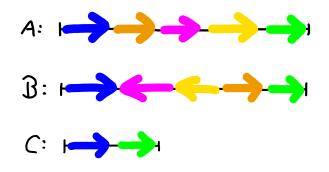
and for any constant $k \ge 1$, where u(A,B) = # of unique markers in A and B.

Algorithm:

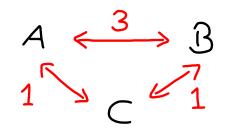
- **1**. Compute $d^{DCJ-id}(A,B)$ by the standard algorithm
- 2. Add $k \cdot u(A,B)$ to obtain the corrected metric distance

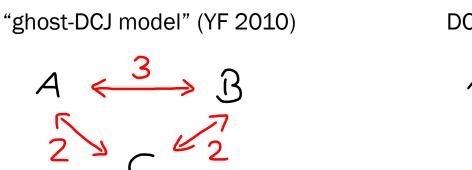
Question: What is the best choice of k?

More plausible distances?

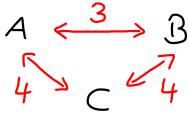


uncorrected distances





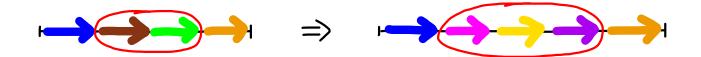
DCJ-indel model d_{1,1}^{DCJ-id}



DCJ with substitutions

(based on: Braga, Machado, Ribeiro & S: BMC Bioinformatics 2011a)

Consider the simultaneous substitution of $m \ge 0$ markers by $n \ge 0$ markers.



- subsumes the DCJ-indel model
- distances become slightly smaller

Lemma:

The corrected DCJ-substitution distance $d_{1,k}^{DCJ-sb}$ satisfies the triangular inequality if and only if $k \ge 3/4$.

7. Summary and Conclusion

- Genome evolution, rearrangement
- DCJ, distance and sorting, restricted DCJ
- Relation to HP, INV, translocation models
- DCJ + indels, DCJ + substitutions, indel/substitution weights
- Power of DCJ: simple + tractable, generalizable
- More advanced questions can be asked
- (not talked about median, but there is a lot)
- More formal/algorithmic than biological results \rightarrow typical for the field
- Analysis is still very manual, e.g. no software where I can upload a few genomes ...
- But the field is changing, more and more biological studies are upcoming

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Thank you!

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