# Algorithms for Genome Rearrangement by Double Cut and Join 

Jens Stoye

Bielefeld University, Germany

## Outline

1. Genome evolution
2. Double Cut and Join (DCJ)
3. DCJ distance and sorting
4. Relation to other models
5. Insertions, deletions, substitutions
6. On the weight of indels
7. Summary and Conclusion

## 1. Genome evolution

## Species change over time.



## 1. Genome evolution

At the molecular level:


Local vs. global modifications:

- point mutations (sequence analysis)
- large-scale operations (comparative genomics)


Organizational vs. content-modifying operations:

- rearrangement

- insertion, deletion, substitution, duplication



## Motivation

Evolution at the whole genome level:

- Basic understanding of molecular processes at genomic scale
- Evolutionary distances, phylogenetic trees (phylogenomics)
- Ancestral genome reconstruction
- Insights into gene function
- Regulation of genes (e.g. operons in prokaryotic genomes)
- Comparative genome assembly and annotation
- Structural variations, cancer development
- Pathogen evolution, outbreak prediction, vaccination strategies


## What hannans in detail？




[^0]The mouse genome：
1：$\circ-13614093-95-322537-3839-407624630-2933-814-1110-9 \circ$
2：$\circ-161162-159158-157156-15515434-3536-180179-178-213214-2428259-258260 \circ$
3：○ $141139-57565868-20155-70-7-66-5 \circ$
4：○ $137-142-138-971461531481454-3$ 2－1 ○
5：○ 116－115 $1201241862-63646-267195-196197-113-114-119105118200 \circ$
6：$\circ 11710612310965-67-2322-21-53425141-167-187264-188189 \circ \circ$
7：○ 257 － 255 254－256 $177-210212211-221220219-218-184176224174-175-183$ 。
8：$\circ 250205126-134133-132-127129-71130-253269-69-252225-22622712-165 \circ$
9：०－185 $251110-186216-215-9496-217-54-48-4647 \circ$
11． $0-268112-20-85-87-8084231-230229-228-232 \quad 233-234237-236235238$ 。
12：○－17 16－15－121－107－122 $207209-125-108 \circ$
13：○－160－13－111－89 $88-1511508681149152-72-74 \circ$
14：$\circ 50-45171-4943-168-172208206198-199203-128-131-202204 \circ$
15：○－73 143270190 。
16：○ $223-135-2655961-60-52261 \circ$
17：○－102－103 104－75－222 $91262-90-9244-2624977-24019239 \circ$
18：○ $164163-166243-31788279-83241245242-244-247 \circ$
19：。 $\circ 182-181-147144-169173$
X：○－274－275 $273281-272278-279280-276277-271 \circ$

## The human genome：

1：$\circ 1234567891011121314 \circ$
2： 01516171819202122232425262728293031323334353637383940 。
3：○ $414243444546474849505152535455565758596061 \circ$
4． 062636465666768697071

6：$\circ 888990919293949596979899100101102103104 \circ$
7：$\circ 105106107108109110111112113114115116117118119120121122123124125 \circ$
8：○ $126127128129130131132133134135136137138139140141142143 \circ$
9：○ 144145146147148149150151152153154155156157158159
10：。 $0160161162163164165166167168169170171172173174 \circ$
11：○ 175176177178179180181182183184185186 o
13：○ 198199200201202203204205 o
14：○ 206207208209210
15： 0211212213214215216217218219220221 o
16：○ 222223224225226227 。
17：○ 228229230231232233234235236237238 。
18：○ 239240241242243244245246247 。
19：० 248249250251252253254255256257 。
20：○ 258259260
22：○ 264265266267268269270 。
X：○ 271272273274275276277278279280281 。

## What happens in detail?

Basic rearrangement operations:

- inversion

- transposition

- translocation
- block interchange

- fusion/fission



## Assumption:

The number of rearrangements needed to transform one genome into another is a measure for the evolutionary distance between two species.

## Questions to be asked:

How many rearrangement operations are needed?

- distance $d(A, B) \rightarrow$ "distance problem"
- diameter problems
- distribution of distances
- halving distance


How much can we reconstruct of the past?

- Ancestral genome(s)
- rearrangement scenario(s) $\rightarrow$ "sorting problem"
- complete phylogenies



## Some history (2 genomes)

Inversions (reversals):
Watterson et al. 1982; Sankoff 1992; Bafna \& Pevzner 1993; Hannenhalli \& Pevzner 1995; Kaplan, Shamir \& Tarjan 1999; Bader, Moret \& Yan 2001; Bergeron 2001; Bergeron, Heber \& S 2002; Bergeron, Mixtacki \& S 2004

## Translocations:

Hannenhalli 1996; Bergeron, Mixtacki \& S 2005
Multichromosomal linear ("general HP model"):
Hannenhalli \& Pevzner 1995; Tesler 2002; Ozery-Flato \& Shamir 2003; Jean \& Nikolski 2007; Bergeron, Mixtacki \& S 2008; Erdős, Sokoup \& S 2011

Double Cut and Join (DCJ):
Yancopoulos, Attie \& Friedberg 2005; Bergeron, Mixtacki \& S 2006; Kováč, Warren, Braga \& S 2011
Other models:
Unsigned inversions: Kececioglu \& Sankoff 1993; Christie 1998; Caprara 1999
Transpositions: Meidanis, Walter \& Dias, 1997; Elias \& Hartman 2006; Bulteau, Fertin, Rusu 2011
Inversions + Transpositions: Walter, Dias \& Meidanis 1998; Christie \& Irving 2001
Fusion/Fission + Transpositions: Meidanis \& Dias 2001
Block interchanges: Christie 1996
Block interchanges + inversions: Mira \& Meidanis 2007
Single Cut and Join: Bergeron, Medvedev \& S 2010
Single Cut or Join: Feijão \& Meidanis 2011

## Some history (2 genomes)

All models so far: Strong assumption that all genomes contain exactly the same set of blocks

Inversions + Insertions and Deletions:
El-Mabrouk 2001; Marron, Swenson \& Moret 2004
Insertions + Duplications:
Marron, Swenson \& Moret 2004
DCJ + Insertions and Deletions:
Yancopoulos \& Friedberg 2009; Braga, Willing \& S 2010; Braga 2010; Braga, Machado, Ribeiro \& S 2011b;
Compeau 2012; da Silva, Braga, Machado \& Dantas 2012; da Silva, Machado, Dantas \& Braga 2012
DCJ + Insertions and Deletions + Duplications:
Yancopoulos \& Friedberg 2009
DCJ + Substitutions:
Braga, Machado, Ribeiro \& S 2011a

## Towards formal modeling

## Definitions:

Genome: set of chromosomes
Chromosome: sequence of oriented unique blocks (genes or other markers)

Independent dimensions:

- Chromosome shapes
$>$ linear-only, (circular-only), mixed
- Number of chromosomes
$>$ unichromosomal, multichromosomal
- Rearrangement operations
$>$ single-cut, double-cut, (multi-cut)



## 2. Double Cut and Join (DCJ)

## (based on: Bergeron, Mixtacki \& S: Proc. of WABI 2006)

The model we will concentrate on:

- mixed linear and circular chromosomes
- multichromosomal genome
- 2-cut operations



## Graphs with vertices of degree one or two

## Definition:

The DCJ operation acts on two vertices $u$ and $v$ of a graph with vertices of degree one or two in one of the following ways:
(a) If both $u=\{p, q\}$ and $v=\{r, s\}$ are internal vertices, these are replaced by the two vertices $\{p, r\}$ and $\{s, q\}$ or by the two vertices $\{p, s\}$ and $\{q, r\}$.
(b) If $u=\{p, q\}$ is internal and $v=\{r\}$ is external, these are replaced by $\{p, r\}$ and $\{q\}$ or by $\{q, r\}$ and $\{p\}$.
(c) If both $u=\{q\}$ and $v=\{r\}$ are external, these are replaced by $\{q, r\}$.
(d) A single internal vertex $\{q, r\}$ can be replaced by two external vertices $\{q\}$ and $\{r\}$.
(b) and (d)



## The formal problem

## Definitions:

- A block (marker, gene) $a$ is an oriented sequence of DNA that starts with a tail $a^{t}$ and ends with a head $a^{h}$.
- Head and tail are called the extremities of a block.
- An adjacency of two consecutive blocks $a$ and $b$, depending on their respective orientation, can be of four different types:

$$
\left\{a^{h}, b^{t}\right\},\left\{a^{h}, b^{h}\right\},\left\{a^{t}, b^{t}\right\},\left\{a^{t}, b^{h}\right\}
$$

- An extremity that is not adjacent to any other block is called a telomere, represented by a singleton set $\left\{a^{h}\right\}$ or $\left\{a^{t}\right\}$.


Genome: Set of adjacencies and telomeres such that the tail or head of a block appears in exactly one adjacency or telomere.

$$
A=\left\{\left\{1^{t}\right\},\left\{1^{h}, 3^{\dagger}\right\},\left\{3^{h}, 4^{h}\right\},\left\{4^{\dagger}\right\},\left\{2^{h}, 5^{\dagger}\right\},\left\{5^{h}, 2^{\dagger}\right\},\{6\},\left\{6^{h}, 7^{t}\right\},\left\{7^{h}\right\}\right\}
$$

## The formal problem

Two genomes:


## DCJ Sorting Problem:

Given two genomes $A$ and $B$ with the same set of blocks, find a shortest sequence of DCJ operations that transforms $A$ into $B$. The length of such a sequence is called the DCJ distance between $A$ and $B$, denoted by $d^{D C J}(A, B)$.

## 3. DCJ distance and sorting

(based on: Bergeron, Mixtacki \& S: Proc. of WABI 2006; Braga \& S: JCB 2010)

History of formal studies:
1992 - inversions (INV)
1995 - Hannenhalli-Pevzner (HP) model
1995 - translocations
2005 - DCJ
$\rightarrow$ surprisingly simple (in particular compared to the earlier results)

## Adjacency graph

## Definition:

The adjacency graph $A G(A, B)$ is a graph whose set of vertices are the adjacencies and telomeres of $A$ and $B$. For each $u \in A$ and $v \in B$ there are $|u \cap v|$ edges between $u$ and $v$.


Related to breakpoint graph (Bafna \& Pevzner 1993)

## Transforming $A$ into $B$



Adjacency graph


B ゆ $\rightarrow x \rightarrow-1$


## Algorithm

1: Let $A G(A, B)$ be the adjacency graph of genomes $A$ and $B$
// Generate the adjacencies of $B$ that are not yet present in $A$
2: for each adjacency $\{p, q\}$ in $B$ do
3: $\quad$ let $u$ be the vertex of $A$ that contains $p$
4: $\quad$ let $v$ be the vertex of $A$ that contains $q$
5: if $u \neq v$ then
6: $\quad$ replace vertices $u$ and $v$ in $A$ by $\{p, q\}$ and $(u \backslash\{p\}) \cup(v \backslash\{q\})$
end if
8: end for
//Generate the telomeres of $B$ that are not yet present in $A$
9: for each telomere $\{p\}$ in $B$ do
10: let $u$ be the vertex of $A$ that contains $p$
11: if $u$ is an adjacency then
12: $\quad$ replace vertex $u$ in $A$ by $\{p\}$ and ( $u \backslash\{p\}$ )
13: end if

Analysis: $O(N)$ time where $N=$ \# of blocks

14: end for

## The DCJ distance

## Theorem:

Let $A$ and $B$ be two genomes defined on the same set of $N$ blocks, then we have

$$
d^{D C J}(A, B)=N-(C+I / 2)
$$

where $C=\#$ of cycles and $I=\#$ of odd paths in $A G(A, B)$. A sorting sequence can be found in optimal $O(N)$ time.

Example (Human-Mouse):

$$
N=281, C=27, I=16 \rightarrow d^{D C J}(\text { Human }, \text { Mouse })=246
$$

Note 1: Same as HP distance (no circular chromosomes necessary)
Note 2: Sorting scenarios can be of different types (1-cut vs. 2-cut operations)
Note 3: This can lead to different breakpoint reuse rates $0.89 \leq r \leq 1.51$

## The solution space of sorting by DCJ

There are really many rearrangement scenarios for a given pair of genomes:


Simplified case ( $k$ components with distances $\ell_{1}, \ldots, \ell_{k}$ ):

$$
S_{\text {sep }}=\frac{\left(\ell_{1}+\ell_{2}+\ldots+\ell_{k}\right)!}{\ell_{1}!\ell_{2}!\ldots \ell_{k}!} \times \prod_{i=1}^{k}\left(\ell_{i}+1\right)^{\ell_{i}-1}
$$

General case: more complicated due to recombinations

| 1 <br> component <br> (distance $\ell$ ) | number of <br> scenarios |
| ---: | ---: |
| 1 | 1 |
| 2 | 3 |
| 3 | 16 |
| 4 | 125 |
| 5 | 1296 |
| 6 | 16807 |

## 4. Relation to other models

(based on: Bergeron, Medvedev \& S: JCB 2010; Bergeron, Mixtacki \& S: TCS 2009)

Hannenhalli-Pevzner (HP) model: 2-cut, linear-only, multichromosomal

## Observation: <br> For two linear genomes $A$ and $B$, we have that <br> $$
d^{D C J}(A, B) \leq d^{H P}(A, B)
$$



In fact, for $A=(1,3,2,4)$ and $B=(1,2,3,4)$ we have $d^{D C J}(A, B)=2<3=d^{H P}(A, B)$.


HP: $\longmapsto k \longrightarrow x \rightarrow 1 \Rightarrow H \leftarrow * \longleftrightarrow 1$


## Relationship of distances



Unexpected asymmetry: IN V $\longrightarrow H^{P}$

## General HP distance problem



Sometimes HP needs more steps than DCJ: hurdle, fortress, knot, semi-knot, realknot, semi-real-knot, weak-fortress-of-real-knots, etc.

Can we quantify this relative to DCJ?

$$
d^{H P}(A, B)=d^{D C J}(A, B)+t
$$

## General HP distance problem

$$
\begin{aligned}
& A=0213540 \\
& B=0123450 \\
& B
\end{aligned}
$$

$$
0013 \sqrt{3} \sqrt{0} 667-(11-9-10
$$



## Theorem:

If $t$ is the cost of an optimal cover of $T^{\prime}$, then

$$
d^{H P}(A, B)=d^{D C J}(A, B)+t
$$

- Closed formula for $t$ (Erdős, Soukup \& S: Appl. Math. Lett. 2011)
- Linear-time algorithm for distance computation (Bergeron, Mixtacki \& S: TCS 2009)
- Similar result for inversion distance (Bergeron, Mixtacki \& S: Proc. of CPM 2004)
- Similar result for translocation distance (Bergeron, Mixtacki \& S: JCB 2006)


## Restricted DCJ

Original motivation for DCJ (Yancopoulos, Attie \& Friedberg 2005): block interchange in 2 steps (instead of 3 as in the INV model)


## Observation:

We need never more than 1 circular chromosome at a time, $d^{r D C J}(A, B)=d^{D C J}(A, B)$.

Algorithmic results: Distance calculation in $O(N)$ time Sorting in $O(N \log N)$ time [lower bound?]

## Software: UNIMoG


(Hilker et al.: Bioinformatics 2012; http://bibiserv.techfak.uni-bielefeld.de/dcj)

## Further applications of the DCJ model

Estimating the true evolutionary distance:
Lin \& Moret 2008
Perfect rearrangement:
Bérard, Chateau, Chauve, Paul, Tannier 2008
Genome halving:
Warren \& Sankoff 2008; Mixtacki 2008; Thomas, Ouangraoua \& Varré 2012

DCJ Median:
Xu \& Sankoff 2008; Lenne et al. 2008; Zhang, Arndt \& Tang 2009; Xu 2009; Aganezov \& Alekseyev 2012

Multiple genome rearrangement:
Adam \& Sankoff 2008; Kováč, Brejová \& Vinař 2011

## 5. Insertions, deletions, substitutions

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(based on: Braga, Willing \& S, JCB 2011)
```

So far: Only organizational operations
Now: Mixture of organizational and content-modifying operations

History:
Inversions + indels: El-Mabrouk 2001; Marron, Swenson \& Moret 2004

## Here:

DCJ + indels: Yancopoulos \& Friedberg 2008; Braga, Willing \& S 2010; Braga 2010; Braga, Machado, Ribeiro \& S 2011b; Da Silva, Braga, Machado \& Dantas 2012

Again, the results in the DCJ model are much simpler than in INV or HP. But we also run into modeling questions, as we will see later.

## Insertion/Deletion

Extended model: Genomes with possibly unequal gene content
Unique blocks: Blocks only occurring in one of the two genomes


DCJ-indel distance:
Given two genomes $A$ and $B$, find the minimum number of steps (DCJ and indel operations) $d^{D C J-i d}(A, B)$ necessary to sort $A$ into $B$.

We consider: cost for 1 insertion $=$ cost for 1 deletion $=$ cost for 1 DCJ

## The DCJ-indel model

Saving indel operations:


$$
B: \longrightarrow-
$$

$$
3 \text { steps }
$$



Group unique blocks during sorting $\boldsymbol{\rightarrow}$ less indel operations

## The DCJ-indel model

## Result:

$$
d^{D C J-i d}(A, B)=d^{D C J}(A, B)+\sum_{C \in A G(A, B)} \lambda(C)-W
$$

## Theorem:

Given two genomes $A$ and $B, d^{D C-I d}(A, B)$ and a shortest sorting scenario can be computed in linear time $O(|A|+|B|)$.

In fact, indels can be traded for DCJ operations, for example:

Table 5. Comparing R. bellif ( 1.52 MbP ) with Six Other Species of Rickettsia

| Species | Mbp | $\|\mathcal{A}\|+\|\mathcal{B}\|$ | $\Sigma \Lambda$ | $\Sigma \lambda$ | $d_{D C J}$ | $d_{D C J}^{\text {id }}$ | MIN DCJS <br> $($ DCJs + indels $)$ | MIN indels <br> DCJs + indels $)$ |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| R. felis | 1.55 | 333 | 241 | 181 | 312 | 493 | $312+181$ | $406+87$ |
| R. massiliae | 1.36 | 302 | 218 | 172 | 276 | 448 | $276+172$ | $358+90$ |
| R. africae | 1.28 | 290 | 212 | 166 | 260 | 426 | $260+166$ | $338+88$ |
| R. conorii | 1.27 | 277 | 192 | 153 | 261 | 414 | $261+153$ | $326+88$ |
| R. prowazekii | 1.11 | 241 | 130 | 117 | 197 | 314 | $197+117$ | $222+92$ |
| R. typhi | 1.11 | 239 | 126 | 114 | 195 | 309 | $195+114$ | $217+92$ |



## 6. On the weight of indels

(based on: Braga, Machado, Ribeiro \& S: BMC Bioinformatics 2011b)

Observation (Yancopoulos \& Friedberg 2008):
When indel operations of multiple blocks are allowed, the triangle inequality may be disrupted.


Question: Is there a distance definition that does not disrupt the triangle inequality?

## A posteriori correction

## Lemma:

Applying an a posteriori correction, the triangle inequality holds for the function

$$
d_{1, k}^{D C J-i d}(A, B)=d^{D C J-i d}(A, B)+k \cdot u(A, B)
$$

and for any constant $k \geq 1$, where $u(A, B)=\#$ of unique markers in $A$ and $B$.


Algorithm:

1. Compute $d^{D C J-i d}(A, B)$ by the standard algorithm
2. Add $k \cdot u(A, B)$ to obtain the corrected metric distance

Question: What is the best choice of $k$ ?

## More plausible distances?


uncorrected distances

"ghost-DCJ model" (YF 2010)


DCJ-indel model d ${ }_{1,1}^{\text {DOLI }}$


## DCJ with substitutions

(based on: Braga, Machado, Ribeiro \& S: BMC Bioinformatics 2011a)

Consider the simultaneous substitution of $m \geq 0$ markers by $n \geq 0$ markers.


- subsumes the DCJ-indel model
- distances become slightly smaller


## Lemma:

The corrected DCJ-substitution distance $d_{1, k}^{D C-s b}$ satisfies the triangular inequality if and only if $k \geq 3 / 4$.

## 7. Summary and Conclusion

- Genome evolution, rearrangement
- DCJ, distance and sorting, restricted DCJ
- Relation to HP, INV, translocation models
- DCJ + indels, DCJ + substitutions, indel/substitution weights
- Power of DCJ: simple + tractable, generalizable
- More advanced questions can be asked
- (not talked about median, but there is a lot)
- More formal/algorithmic than biological results $\rightarrow$ typical for the field
- Analysis is still very manual, e.g. no software where I can upload a few genomes ...
- But the field is changing, more and more biological studies are upcoming


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