

Collaboratively Regularized Nearest Points for **Set Based Recognition**

Yang Wu, Michihiko Minoh, Masayuki Mukunoki

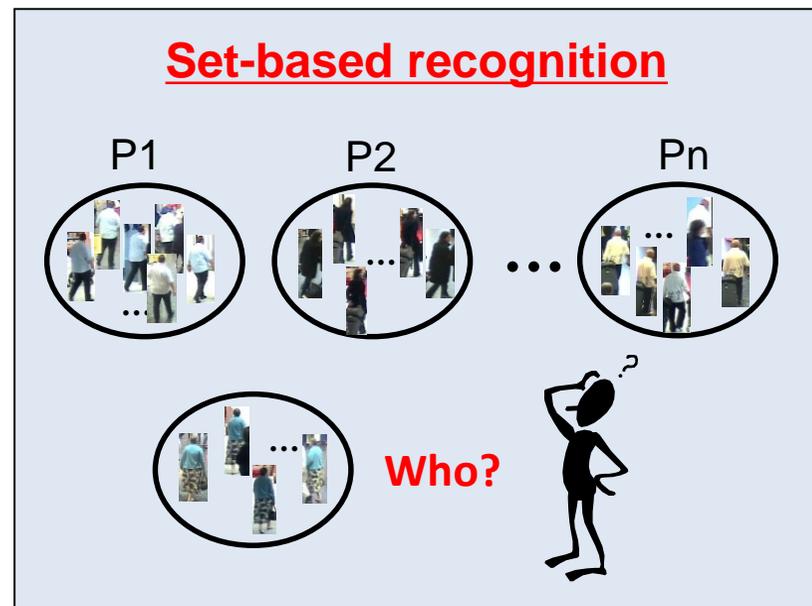
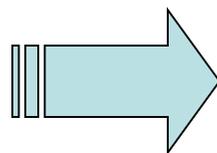
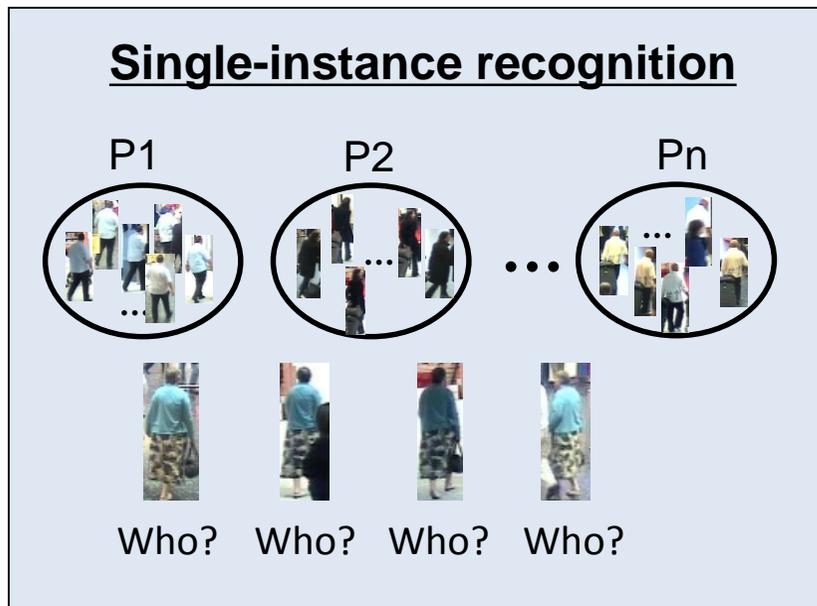
Kyoto University



Outline

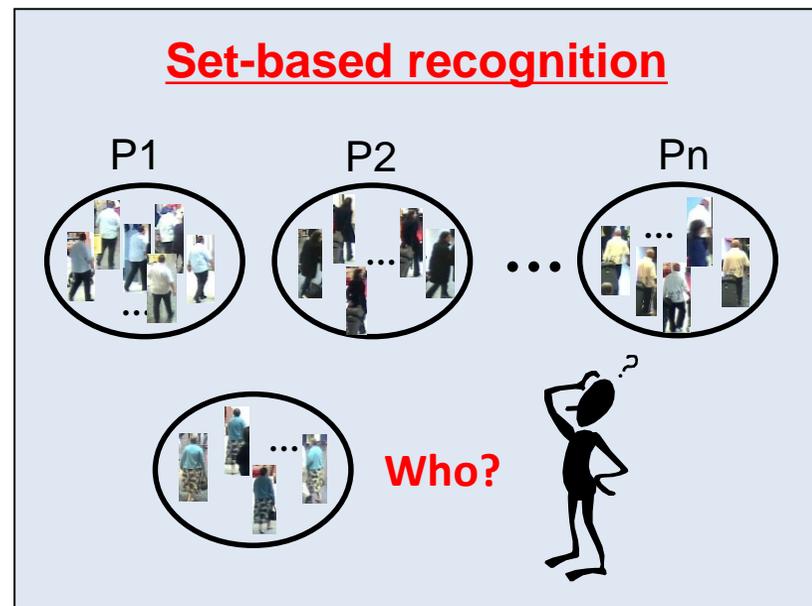
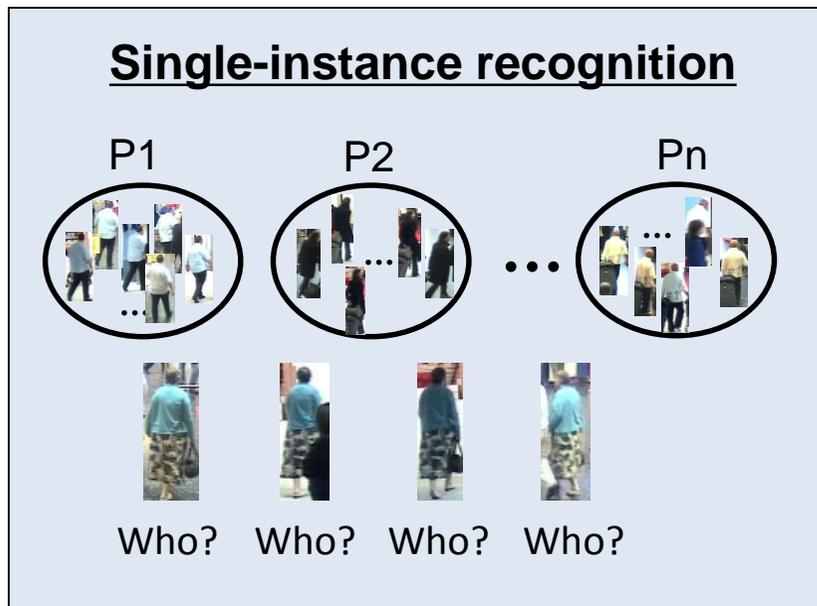
- Why set-based recognition?
- Related work
- Regularized Nearest Points (RNP)
- **Collaborative Regularized Nearest Points (CRNP)**
- Experimental results
- Findings and future work

From single-instance recognition to set-based recognition:



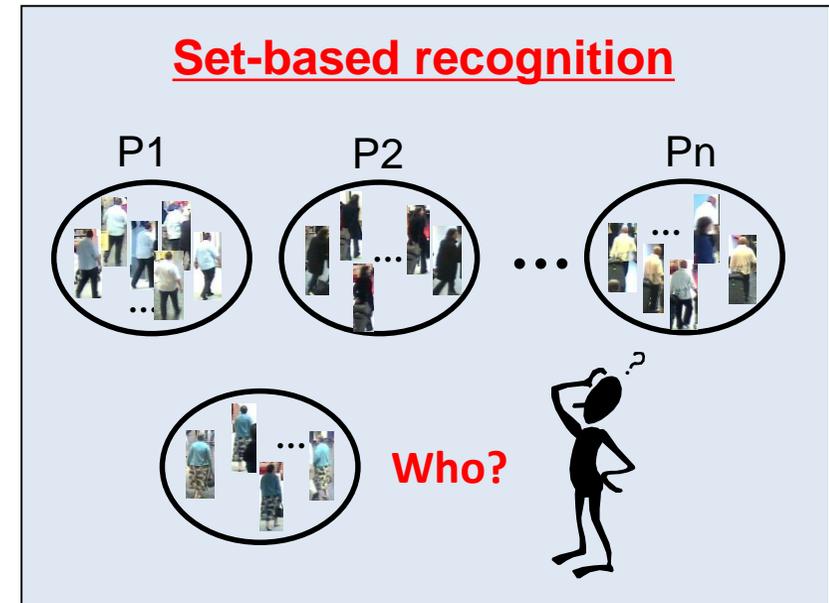
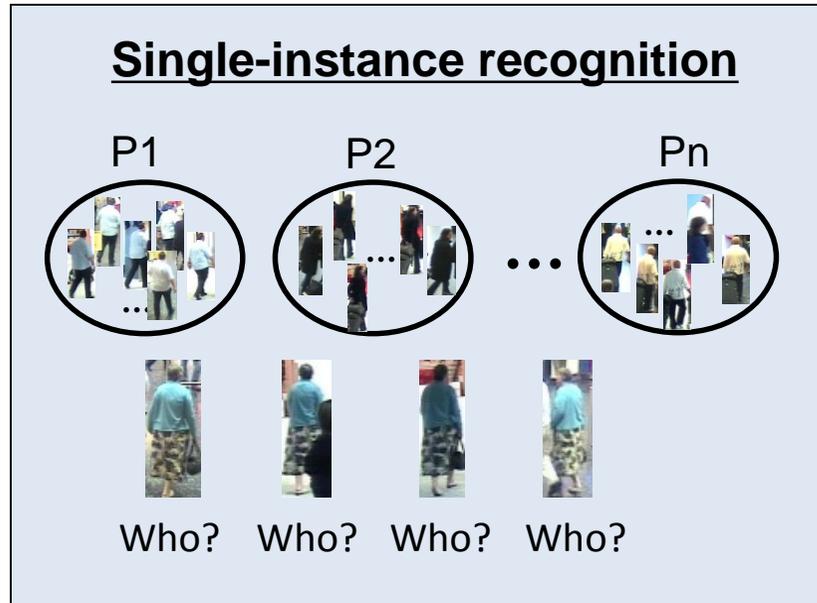
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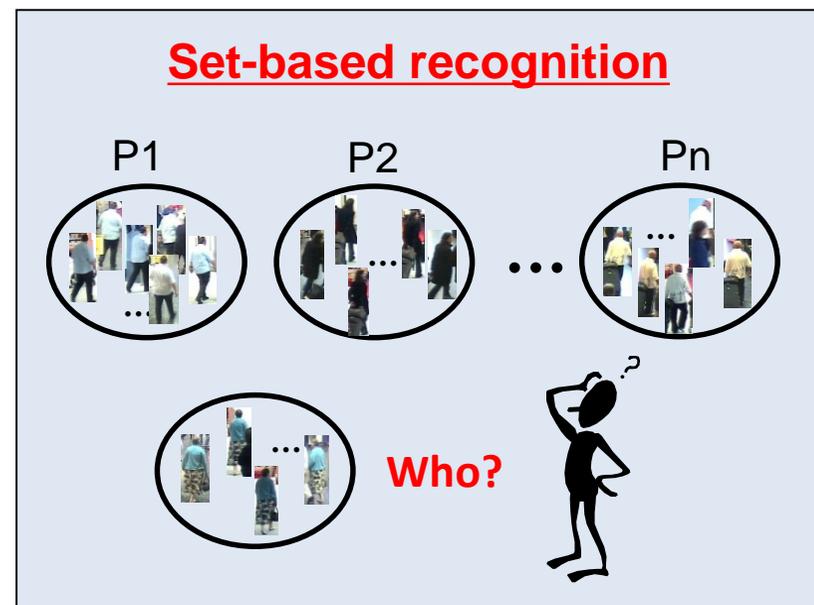
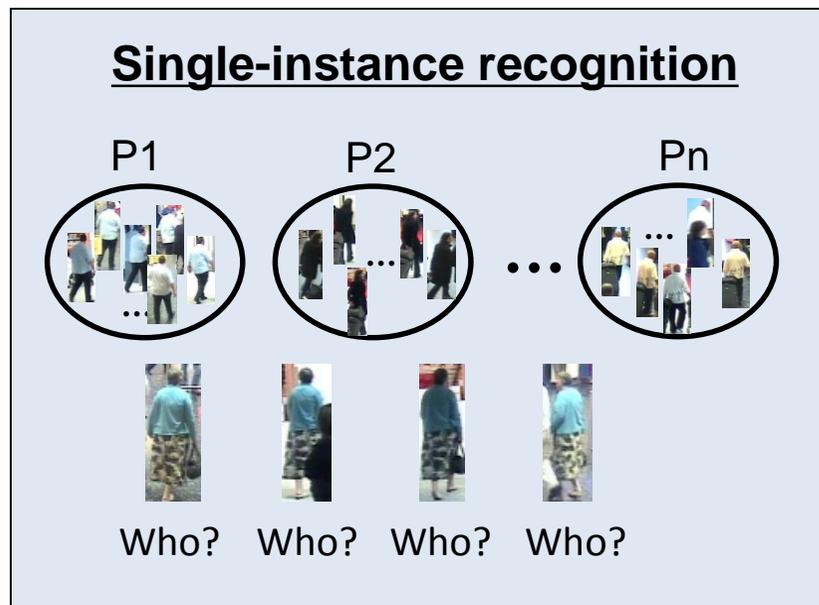
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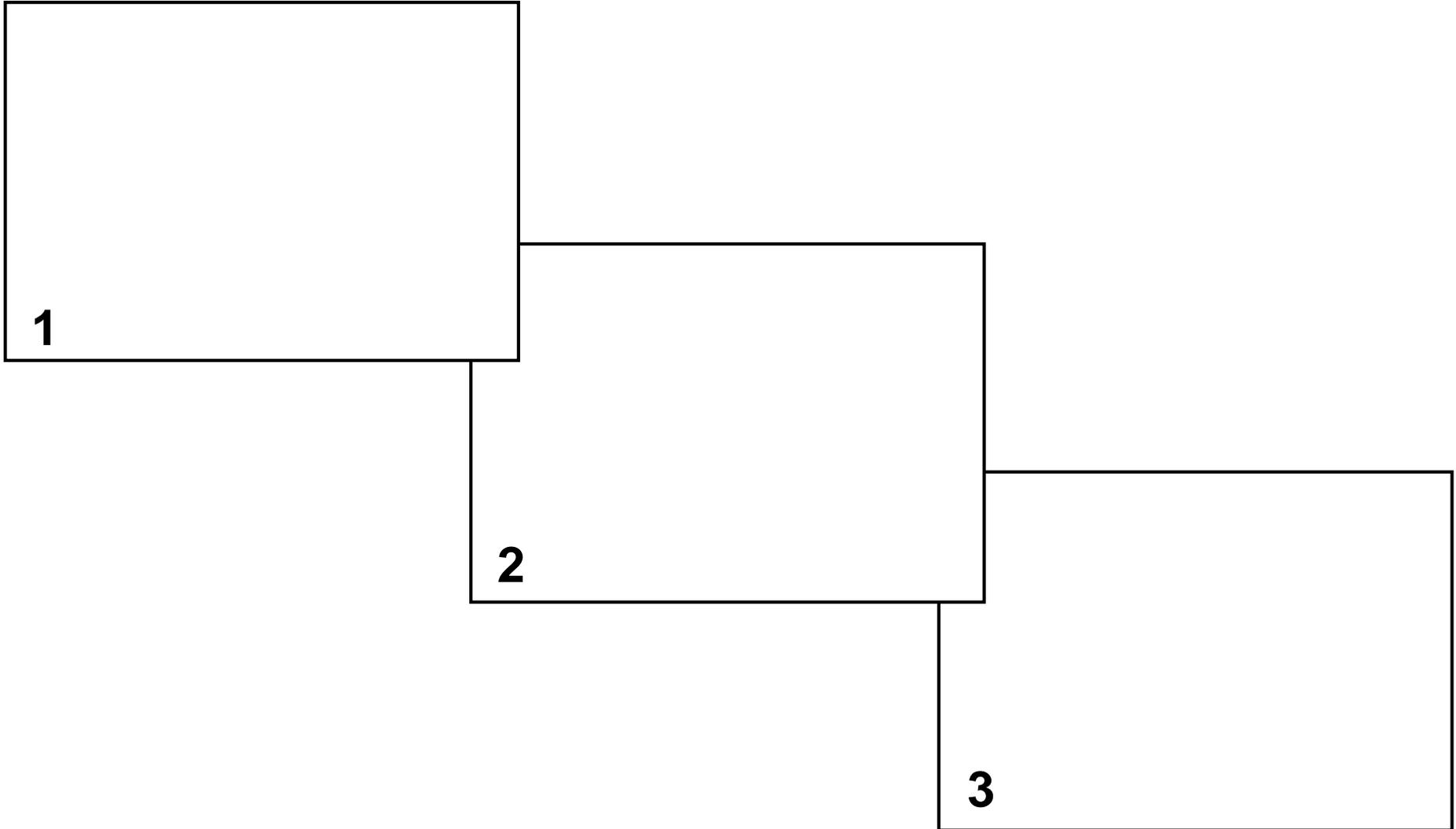
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 - > Face recognition
 - > Person re-identification (multiple-shot)
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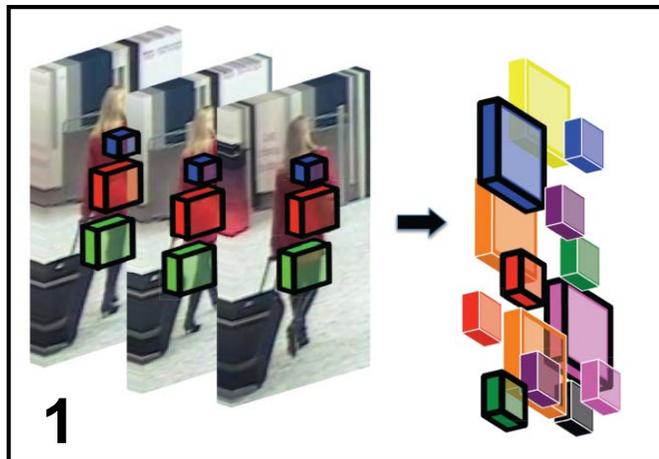


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 - > Taking and sharing pictures/videos gets easier
- **The direction of set based recognition recently gets hotter and hotter.**
 - > Face recognition
 - > Person re-identification (multiple-shot)
- **Set based recognition models have the potential to outperform single-instance based recognition approaches under the same conditions.**

Existing solutions



Existing solutions



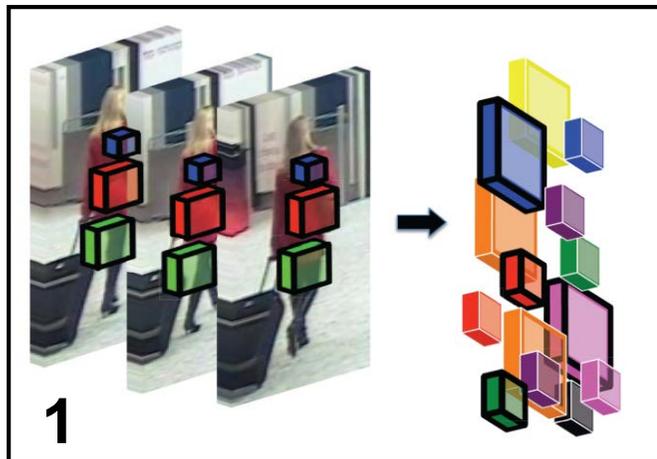
1. Set-based signature generation

- Largely explored for person re-identification.
- Compatible with single instance based learning algorithms.
- Needs manual design, which is task-dependent and hard.

2

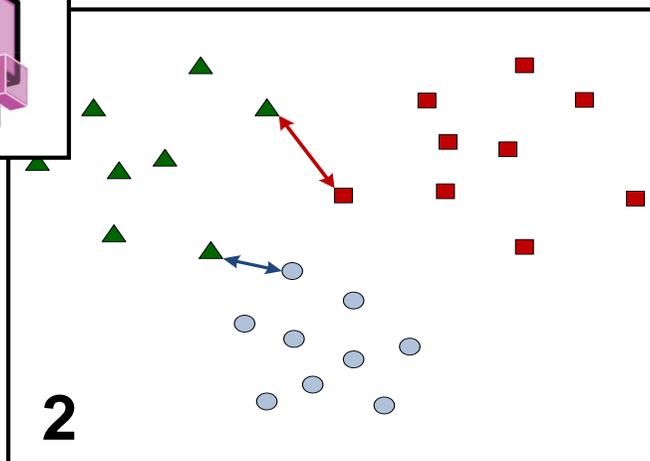
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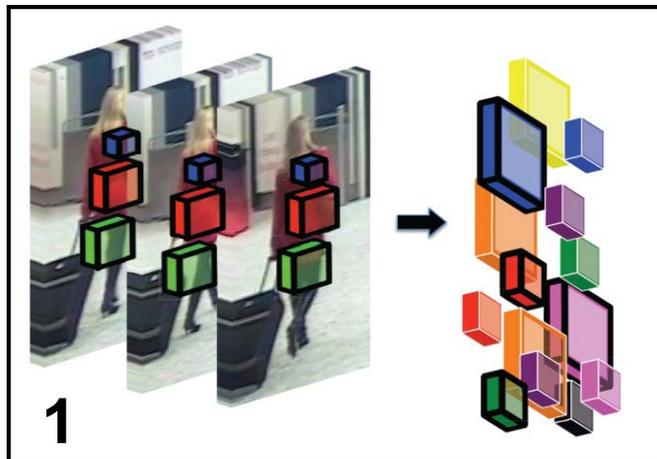


2. Direct set-to-set matching

- Uses simple minimum point-wise distance for set-to-set matching.
- Relies on good features for single instances.
- Sensitive to noises/outliers.
- Unsupervised.

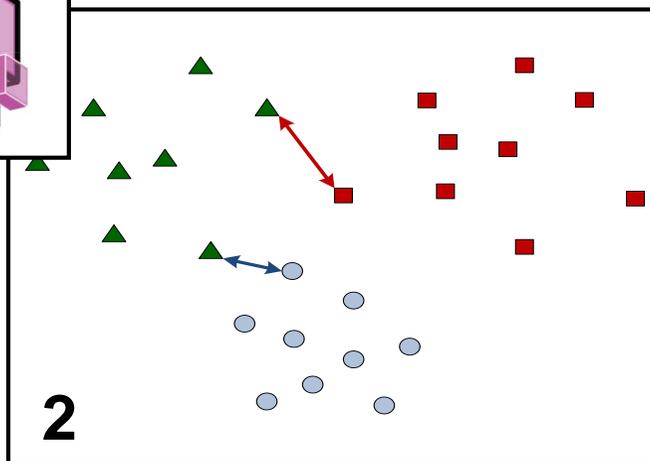
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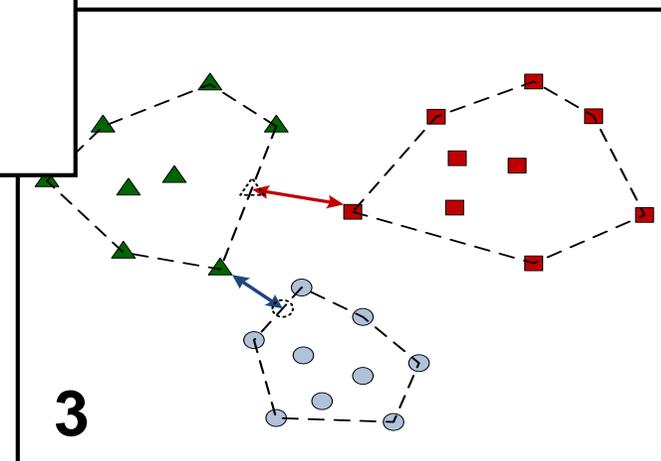


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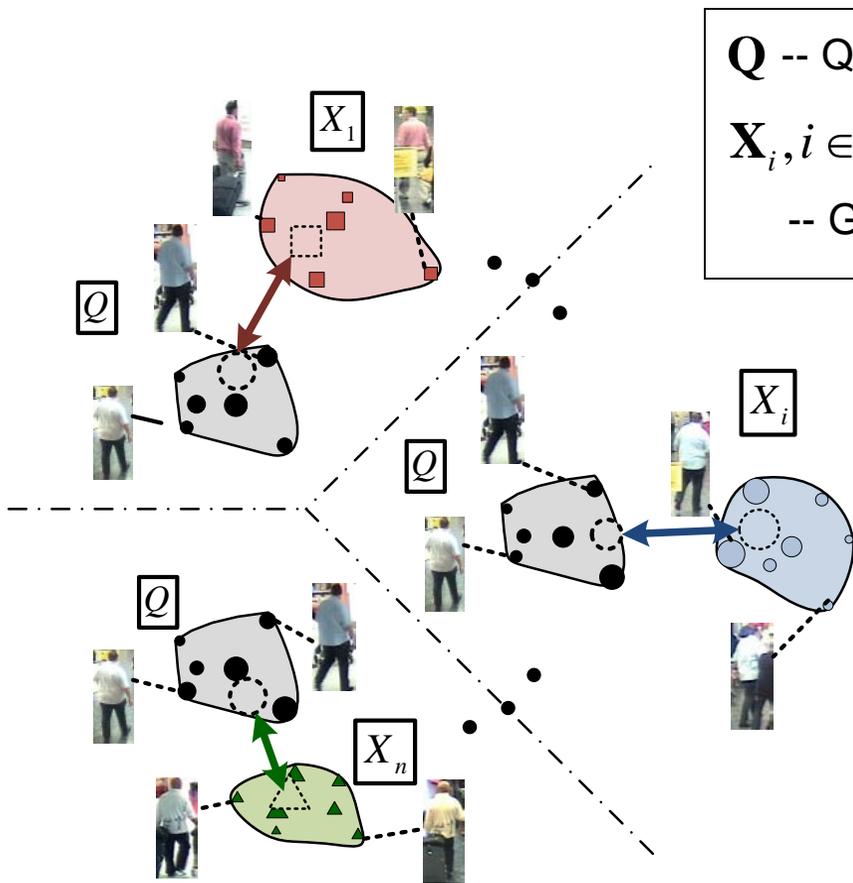
3. Geometric dist. finding

- Mainly for face recognition.
- Explores set structure.
- Robust to noises/outliers.
- Unsupervised (can be supervised).

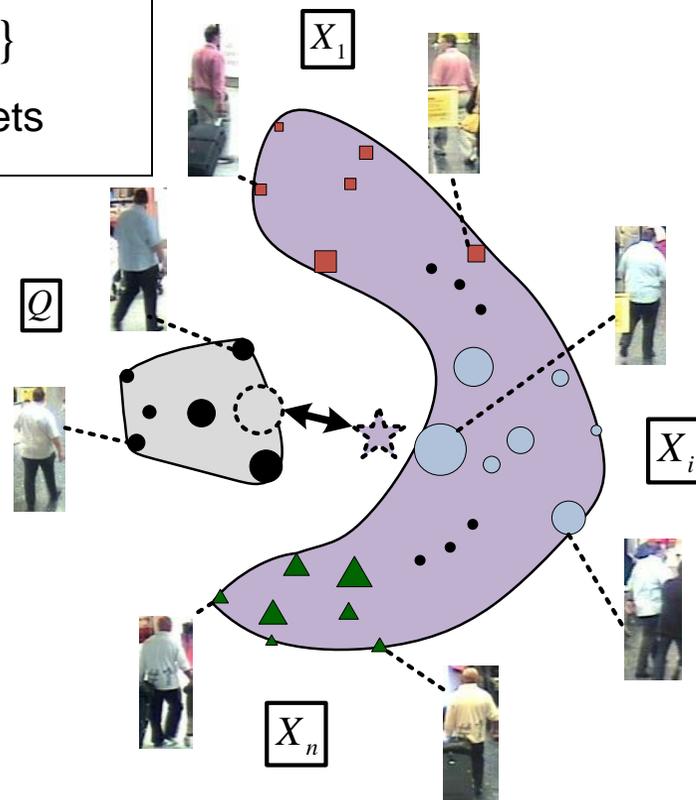


Set-to-set distance finding

Q -- Query/Probe Set
 $X_i, i \in \{1, \dots, n\}$
 -- Gallery Sets



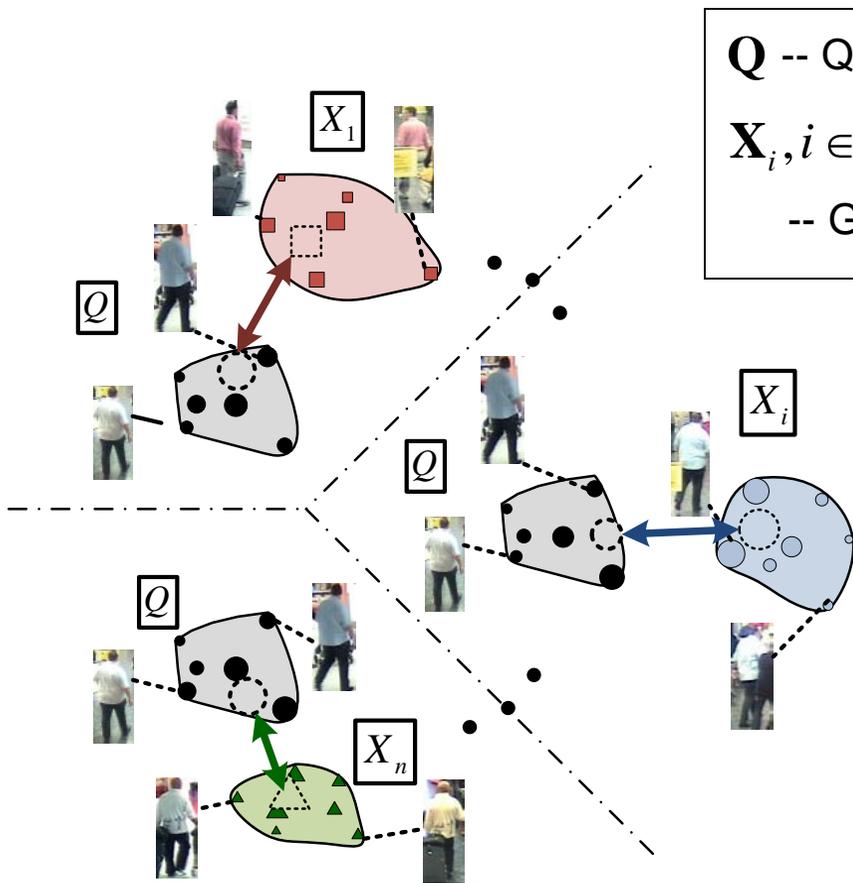
(a) Set-to-set distances



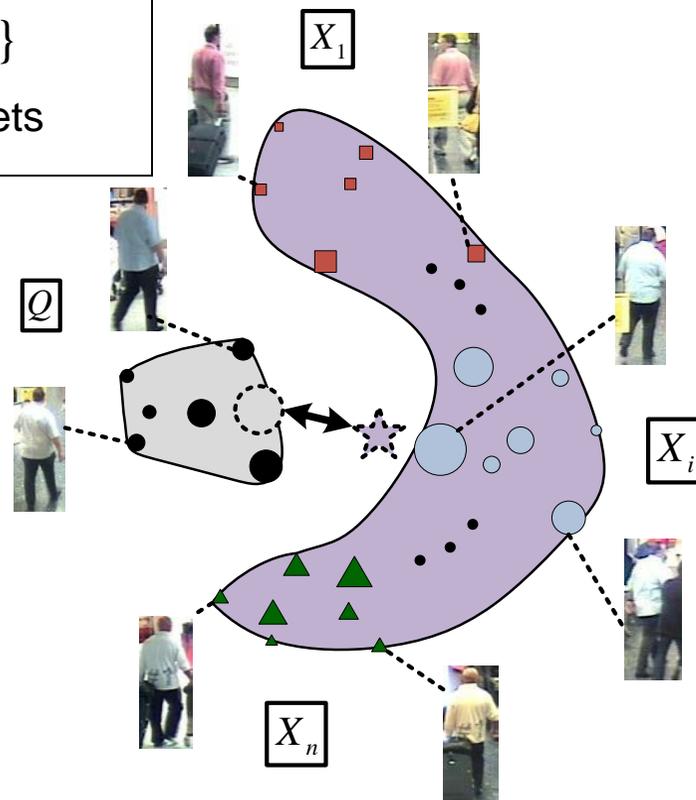
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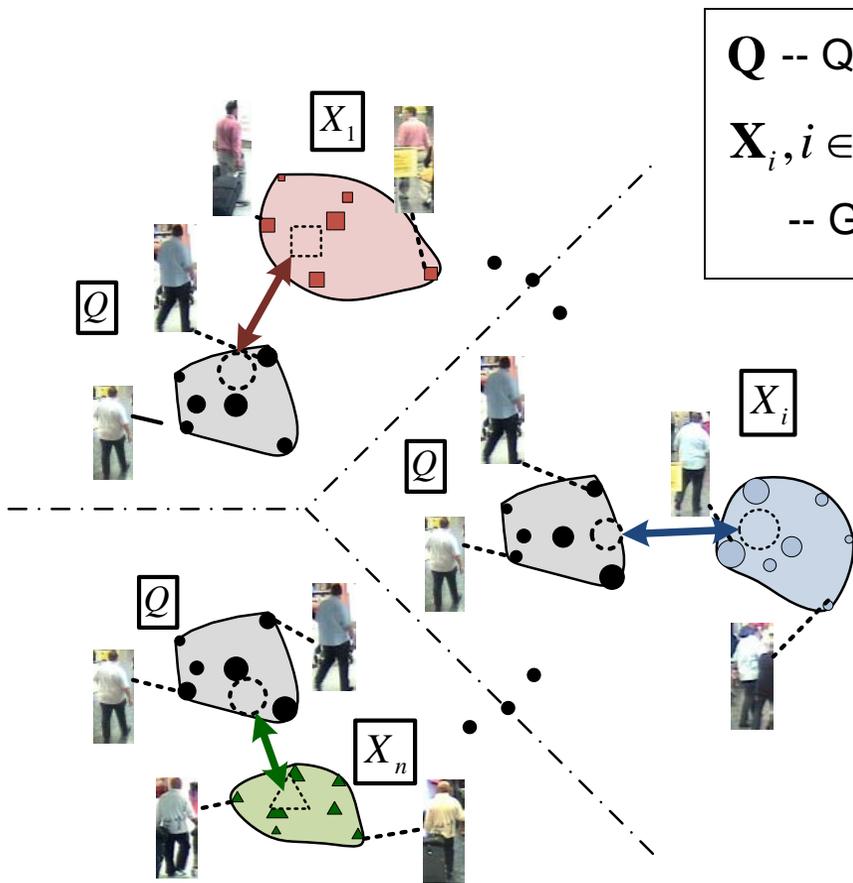


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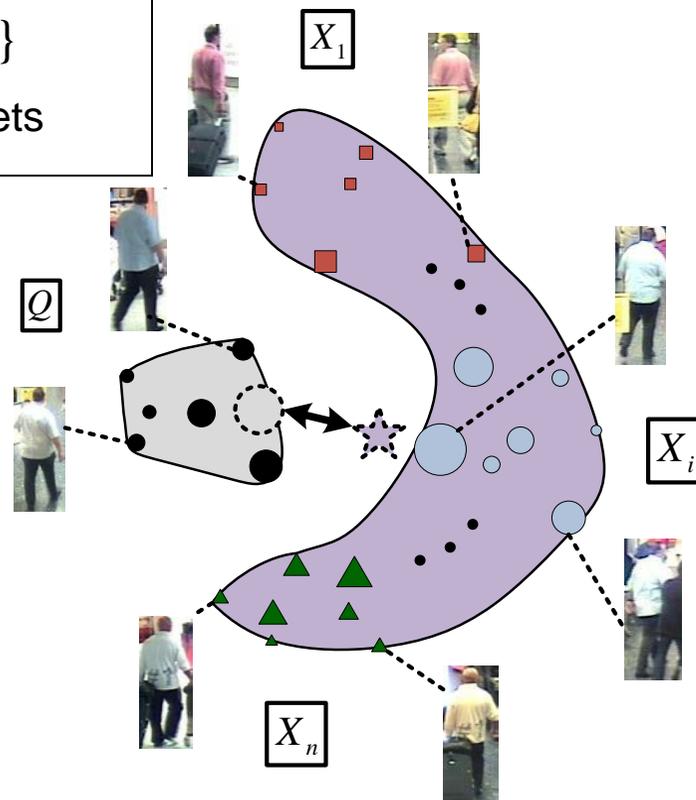
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(CSA)

Regularized Nearest Points – distance finding

RNP models each image set by a regularized affine hull (RAH):

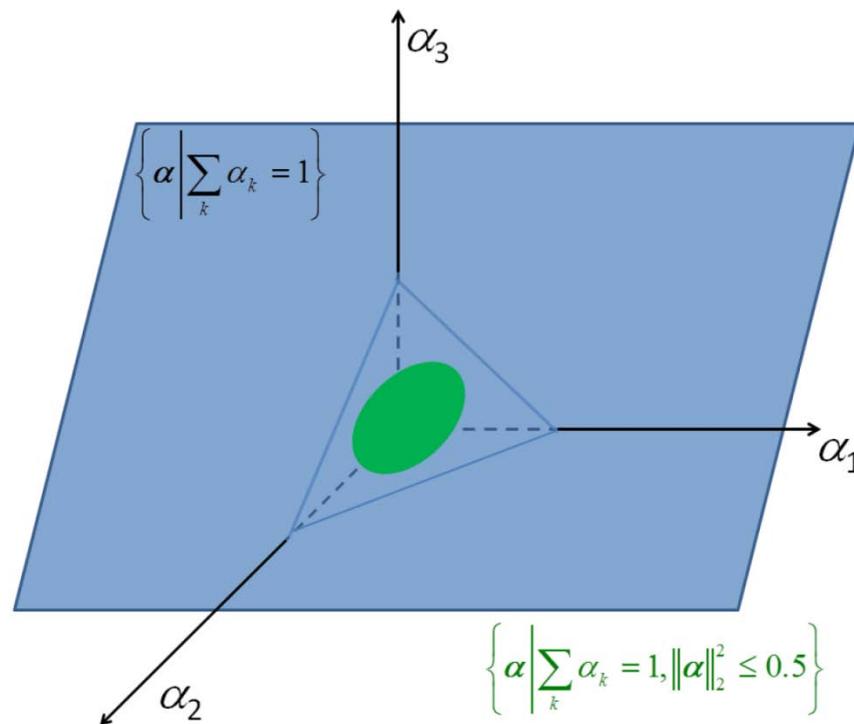
$$RAH = \left\{ \mathbf{x} = \mathbf{X}_i \boldsymbol{\alpha} \mid \sum_k \alpha_k = 1, \|\boldsymbol{\alpha}\|_2 \leq \sigma \right\},$$

Yang et al., FG'13

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$$\min_{\boldsymbol{\alpha}, \boldsymbol{\beta}} \|\mathbf{Q}\boldsymbol{\alpha} - \mathbf{X}_i\boldsymbol{\beta}\|_2^2, \quad s.t. \sum_k \alpha_k = 1, \sum_j \beta_j = 1, \|\boldsymbol{\alpha}\|_2 \leq \sigma_1, \|\boldsymbol{\beta}\|_2 \leq \sigma_2,$$

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which can be solved by

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where

$$\sum_k \alpha_k = 1, \sum_j \beta_j = 1 \text{ help avoiding the trivial solution } \boldsymbol{\alpha} = \boldsymbol{\beta} = \mathbf{0}$$

Regularized Nearest Points – classification

After getting the solution α^*, β^* , the set-to-set distance between \mathbf{Q} and \mathbf{X}_i is defined to be

$$d_{RNP}^i = \left(\|\mathbf{Q}\|_* + \|\mathbf{X}_i\|_* \right) \cdot \left\| \mathbf{Q}\alpha^* - \mathbf{X}_i\beta^* \right\|_2^2,$$

where $\|\mathbf{Q}\|_*$ is the nuclear norm of \mathbf{Q} , i.e. the sum of the singular values of it.

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Finally, \mathbf{Q} is classified by:

$$C(\mathbf{Q}) = \arg \min_i \left\{ d_{RNP}^i \right\}.$$

Collaboratively Regularized Nearest Points

- Collaborative distance finding

Collaboratively Regularized Nearest Points

Collaborative distance finding

RNP:

$$\min_{\alpha, \beta} \left\{ \|\mathbf{Q}\alpha - \mathbf{X}_i\beta\|_2^2 + \lambda_1 \|\alpha\|_2^2 + \lambda_2 \|\beta\|_2^2 \right\}, \quad s.t. \sum_k \alpha_k = 1, \sum_j \beta_j = 1,$$

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where $\mathbf{X} = [\mathbf{X}_1, \dots, \mathbf{X}_n]$

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Collaboratively Regularized Nearest Points

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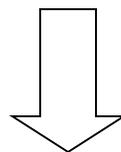
Distance finding optimization

$$\min_{\alpha, \beta} \left\{ \|\mathbf{Q}\alpha - \mathbf{X}\beta\|_2^2 + \lambda_1 \|\alpha\|_2^2 + \lambda_2 \|\beta\|_2^2 + \gamma_1 (1 - \sum_k \alpha_k)^2 + \gamma_2 (1 - \sum_{i=1}^n \sum_j \beta_i^j)^2 \right\},$$

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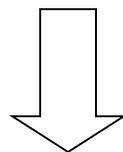


$$\min_{\alpha, \beta} \left\{ \|\mathbf{z} - \mathbf{Q}\alpha - \mathbf{X}\beta\|_2^2 + \lambda_1 \|\alpha\|_2^2 + \lambda_2 \|\beta\|_2^2 \right\},$$

Collaboratively Regularized Nearest Points

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$$\min_{\alpha, \beta} \left\{ \|\mathbf{z} - \bar{\mathbf{Q}}\alpha - \bar{\mathbf{X}}\beta\|_2^2 + \lambda_1 \|\alpha\|_2^2 + \lambda_2 \|\beta\|_2^2 \right\},$$

$$\mathbf{z} = [\mathbf{0}_{1,m}, \sqrt{\gamma_1}, \sqrt{\gamma_2}]^T$$

$$\bar{\mathbf{Q}} = [\mathbf{Q}^T, \sqrt{\gamma_1} \mathbf{1}_{N_q,1}, \mathbf{0}_{N_q,1}]^T$$

$$\bar{\mathbf{X}} = [-\mathbf{X}^T, \mathbf{0}_{N_x,1}, \sqrt{\gamma_2} \mathbf{1}_{N_x,1}]^T$$

Collaboratively Regularized Nearest Points

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One-step closed-form solution?

Yes!

But,

- it is expensive,
- the whole optimization is needed for each query/probe set.

Collaboratively Regularized Nearest Points

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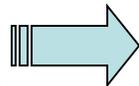
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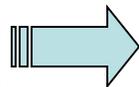
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Iterative Optimization:

Fix β , and optimize α :

$$\alpha^* = \mathbf{P}_q (\mathbf{z} - \mathbf{X}\beta), \text{ with } \mathbf{P}_q = (\mathbf{Q}^T \mathbf{Q} + \lambda_1 \mathbf{I})^{-1} \mathbf{Q}^T.$$

Fix α , and optimize β :

$$\beta^* = \mathbf{P}_x (\mathbf{z} - \mathbf{Q}\alpha), \text{ with } \mathbf{P}_x = (\mathbf{X}^T \mathbf{X} + \lambda_2 \mathbf{I})^{-1} \mathbf{X}^T.$$

Collaboratively Regularized Nearest Points

Distance finding optimization

Algorithm 1 COLLABORATIVELY REGULARIZED NEAREST POINTS (CRNP):

Require: The training/gallery sets $\mathbf{X} \in \mathbb{R}^{m \times N_x}$, an arbitrary test/query set $\mathbf{Q} \in \mathbb{R}^{m \times N_q}$, the pre-computed \mathbf{z} , $\hat{\mathbf{X}}$ and \mathbf{P}_x (using Equation 10), and four trade-off parameters $\{\lambda_1, \lambda_2, \gamma_1, \gamma_2\}$.

Ensure: The representation coefficients for distance finding: α^* and β^* .

- 1: Construct $\hat{\mathbf{Q}} = [\mathbf{Q}^T, \sqrt{\gamma_1} \mathbf{1}_{N_q,1}, \mathbf{0}_{N_q,1}]^T$.
 - 2: Compute the project matrix $\mathbf{P}_q = (\hat{\mathbf{Q}}^T \hat{\mathbf{Q}} + \lambda_1 \mathbf{I})^{-1} \hat{\mathbf{Q}}^T$.
 - 3: Initialize $\beta_0 = 1/N_x$.
 - 4: **while** not converged **or** not exceeding the maximum number of iterations **do**
 - 5: Update the representation coefficients:
 - 6: $\alpha_{t+1} = \mathbf{P}_q(\mathbf{z} - \hat{\mathbf{X}}\beta_t)$.
 - 7: $\beta_{t+1} = \mathbf{P}_x(\mathbf{z} - \hat{\mathbf{Q}}\alpha_{t+1})$;
 - 8: **end while**
 - 9: Return α^* and β^* .
-

Collaboratively Regularized Nearest Points

■ Classification

Collaboratively Regularized Nearest Points

Classification

Like sparse/collaborative representation models for single-instance based recognition, here the set-specific coefficients $\beta^* = [\beta_1^*, \dots, \beta_n^*]$ is implicitly made to have some discrimination power.

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Therefore, we design our classification model as follows.

$$C(\mathbf{Q}) = \arg \min_i \{ d_{CRNP}^i \},$$

where

$$d_{CRNP}^i = \left(\|\mathbf{Q}\|_* + \|\mathbf{X}_i\|_* \right) \cdot \left\| \mathbf{Q}\boldsymbol{\alpha}^* - \mathbf{X}_i\boldsymbol{\beta}_i^* \right\|_2^2 / \left\| \boldsymbol{\beta}_i^* \right\|_2^2.$$

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Recall that RNP doesn't directly use the coefficients themselves which are actually also discriminative.

$$d_{RNP}^i = \left(\|\mathbf{Q}\|_* + \|\mathbf{X}_i\|_* \right) \cdot \left\| \mathbf{Q}\boldsymbol{\alpha}^* - \mathbf{X}_i\boldsymbol{\beta}_i^* \right\|_2^2,$$

Experimental Results

- Experimental settings -- datasets

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Face recognition

Honda/UCSD dataset and **CMU MoBo** dataset:

1. **Honda/UCSD** – 20 subjects (20 specified seq. for the gallery, and the other 39 seq. for testing.);
2. **CMU MoBo** -- 24 subjects (randomly select 1 seq. out of 4 for each subject for the gallery, and the rest for testing.).
3. The gallery/probe **set size** for both datasets is set to be **50 or 100** (collected from the beginning of each sequence.)

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Person re-identification

3 widely used datasets: **iLIDS-MA**, **iLIDS-AA**, and **CAVIAR4REID**.

- iLIDS-MA: 40 subjects, 1 gallery set & 1 probe set for each, set size 10;
- iLIDS-AA: 100 subjects, 1 gallery set & 1 probe set for each, set size 10;
- CAVIAR4REID : 50 subjects, 1 gallery set & 1 probe set for each, set size 5;

Experimental Results

■ Experimental settings -- comparisons

Methods

MPD (CVPR10),

SRC (TPAMI09), **CRC** (ICCV11),

CHISD (CVPR10), **SANP** (CVPR11), **KSANP** (PAMI12),

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SRC (TPAMI09), **CRC** (ICCV11),

CHISD (CVPR10), **SANP** (CVPR11), **KSANP** (PAMI12),

SBDR (ECCV12),

CSA (AVSS12) , **RNP** (FG13).

Parameters

For **CRNP**: $\lambda_1 = \lambda_2 = 4, \gamma_1 = \gamma_2 = 1$

For other methods:

- default settings or originally suggested parameters were used.

Experimental Results

Results

Face recognition accuracy (%) comparison on the Honda/UCSD dataset.

Method	MPD[4]	SRC[8]	CRC[14]	CHISD[2]	SANP[13]	KSANP[6]	SBDR[10]	CSA[9]	RNP[12]	CRNP
50 frames	79.49	76.92	76.92	79.49/82.05*	84.62/84.62*	87.18*	87.69*	84.62	66.67/87.18*	89.74
100 frames	87.18	94.87	82.05	79.49/84.62*	89.74/92.31*	94.87*	89.23*	92.31	92.31/94.87*	97.44

Face recognition accuracy (%) comparison on the CMU MoBo dataset.

Method	MPD[4]	SRC[8]	CRC[14]	CHISD[2]	SANP[13]	SBDR[10]	CSA[9]	RNP[12]	CRNP
50 frames	92.22	88.89	89.72	90.83	90.14	95.00*	86.25	91.81/91.9*	93.33
100 frames	94.31	92.36	93.06	94.17	93.61	96.11*	94.44	94.58/94.7*	94.44

Performance comparison for **person re-identification** on three benchmark datasets.

Dataset	MPD[4]	SRC[8]	CRC[14]	CHISD[2]	SANP[13]	CSA[9]	RNP[12]	CRNP
iLIDS-MA	50.0(75.0)	57.3(74.8)	28.5(50.0)	52.5(72.8)	46.8(74.8)	59.0 (71.3)	53.3(76.0)	59.0(78.3)
iLIDS-AA	23.8(60.4)	36.0 (68.9)	24.7(54.1)	24.6(58.2)	19.2(57.3)	22.5(59.6)	25.5(59.9)	35.4(71.6)
CAVIAR4REID	19.0(47.2)	25.4(50.8)	16.6(37.6)	25.4(51.2)	25.2(52.4)	24.6(48.8)	24.0(50.2)	26.8(63.6)

Experimental Results

Computational cost

For those methods which can have (parts of) their models pre-computed using the training data, the total pre-computation time (in seconds) is listed for comparison.

Dataset	Honda/UCSD		CMU MoBo		iLIDS-MA	iLIDS-AA	CAVIAR4REID
	50 frames	100 frames	50 frames	100 frames			
SBDR[10]	9.23×10^3	1.46×10^4	1.23×10^4	3.14×10^4	N/A	N/A	N/A
CSA[9]	0.59	0.74	28.7	50.2	0.39	0.62	0.26
RNP[12]	0.06	0.20	0.17	0.64	0.02	0.05	0.02
CRNP	0.22	0.87	0.64	2.66	0.04	0.22	0.02

Computational cost comparison with all the related methods on all of the recognition tasks (in the ``*milliseconds per sample*'' manner, excluding the time for feature extraction).

Dataset	MPD[4]	SRC[8]	CRC[14]	CHISD[2]	SANP[13]	SBDR[10]	CSA[9]	RNP[12]	CRNP
Honda/UCSD (50)	3.2	1.2×10^3	0.28	77.7	19.6	259	17.4	11.5	0.32
Honda/UCSD (100)	6.4	4.1×10^3	0.55	330	17.3	97.8	32.6	14.5	0.46
CMU MoBo (50)	12.4	7.6×10^3	0.94	89.0	47.2	85.0	29.0	3.5	2.1
CMU MoBo (100)	71.4	2.7×10^4	1.8	394	53.0	79.3	39.1	5.9	2.5
iLIDS-MA	3.9	741	0.51	58.7	121	N/A	9.6	24.5	3.3
iLIDS-AA	9.9	2337	1.2	150	344	N/A	36.8	83.4	7.2
CAVIAR4REID	3.8	214	0.35	55.3	249	N/A	15.8	30.8	8.0

Findings and Future Work

Findings

- o **Collaborative representation** is effective for set-based recognition.
- o The computationally efficient **L2-norm based regularization** works well with collaborative representation.

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Code: *available soon on my personal webpage.*

<http://mm.media.kyoto-u.ac.jp/members/yangwu/>

Thank you!

Q & A?