## On the Quantitative Analysis of Craniofacial Asymmetry in 3D

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## Research context: Craniofacial Dysmorphology

■ Craniofacial geometry has been suggested as an index of early brain dysmorphogenesis in neuropsychiatric disorders

- Down syndrome
- Autism
- Schizophrenia
- Bipolar disorder
- Fetal alcohol syndrome
- Velocardiofacial syndrome
- Cornelia de Large syndrome - ...
- Shape differences can be very subtle
- Need for highly accuracy analysis



## The interest in symmetry

■ Wide application scope in computer vision

- Face recognition
- Gender classification
- Landmark detection

■ .. and beyond

- Facial attractiveness, mate selection
- Assessment of orthognatic surgery outcome

■ Developmental dysmorphology

- Autism spectrum disorders
- Schizophrenia
- Fetal alcohol syndrome
- Differences can be very subtle


## Measuring bilateral facial symmetry in 3D

What is our accuracy in asymmetry estimation?
■ Shape-based definition

- Difference between left and right hemispheres
- Deviation from nearest symmetric shape
- Estimation of the symmetry plane
- Alignment of original and reflected shapes
- A synthetic motivation example
- Traditional approaches perform poorly
- Landmark- and surface-based discrepancies

■ Quantitative evaluation

- Point-wise 3D measurements of asymmetry
- Comparison to ground-truth asymmetries
- Synthetic patterns of asymmetry
- Starting from a perfectly symmetric face


Claes et al (2011)
Journal of Anatomy

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## Bilateral symmetry: facial hemispheres

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Plane of bilateral symmetry

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## Bilateral symmetry computation

Original surface S
I. Obtained mirrored surface $S_{M}$

- Arbitrary plane

2. Put $S$ and $S_{M}$ in correspondence

- Rigid transformation T
- Vertex index reflection

3. Compute asymmetry by point-wise difference between $S$ and the mirrored-aligned $S_{M}$

$(\cdot)^{\text {ref }}$ Reflection operator: a function that swaps left and right vertex indices

$$
(j)^{r e f}=\bar{j}, \quad(\bar{j})^{r e f}=j
$$

Asymmetry $\sim S-T\left((S M)^{r e f}\right)$

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## A motivating example ... against traditional Procrustes alignment to estimate T

- Let $\mathcal{S}_{\text {symm }}$ be a facial surface that is perfectly symmetric about the plane $x=0$. Thus, symmetric paired
- Let us generate $\mathcal{S}_{1}$ by expanding the left side of $\mathcal{S}_{\text {symm }}$ linearly with respect to the coordinates of the $x$-axis. If we represent surface $\mathcal{S}_{\text {symm }}$ by a set of $n_{V}$ vertices $\left\{\mathbf{v}_{s}^{i}\right\}_{i=1}^{n_{V}}$ with $\mathbf{v}_{s}^{i}=\left(x_{s}^{i}, y_{s}^{i}, z_{s}^{i}\right)^{T}$ then:

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\begin{aligned}
& \forall\left(\mathbf{v}_{s}^{i} \in \mathcal{S}_{s y m m}, \mathbf{v}_{1}^{i} \in \mathcal{S}_{1}\right): \\
& \quad \mathbf{v}_{1}^{i}= \begin{cases}\mathbf{v}_{s}^{i}+\left(\alpha x_{s}^{i}, 0,0\right)^{T} & \text { if } x_{s}^{i}>0 \\
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where $\alpha$ is a constant that controls the degree of expansion.

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Asymmetry patterns must be themselves symmetric

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\frac{1}{2}\left(x_{s}^{i}, y_{s}^{i}, z_{s}^{i}\right)^{T}+\frac{1}{2}\left(-x_{s}^{\bar{i}}-\alpha x_{s}^{i}, y_{s}^{i}, z_{s}^{i}\right)^{T} & \text { otherwise }\end{cases} \\
\therefore \mathbf{a}_{1}^{i}= \begin{cases}\left(\frac{\alpha}{2} x_{s}^{i}, 0,0\right)^{T} & \text { if } x_{s}^{i}>0 \\
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\hat{\mathcal{A}}_{1}=\frac{\mathcal{S}_{1}-T\left(M_{x}^{r e f}\left(\mathcal{S}_{1}\right)\right)}{2}
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$$ symmetry plane is at $x=0$

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## Asymmetry estimation / Alignment methods

- LMS (Least mean of squares)

$$
\underset{\hat{T}}{\arg \min } \sum_{\forall i}\left\|\mathbf{v}_{S}^{i}-\hat{T}\left(\mathbf{v}_{M}^{\bar{i}}\right)\right\|^{2}
$$

■ CW-LMS (Confidence-weighted LMS)

$$
\begin{array}{ll}
\underset{\hat{T}}{\arg \min } \sum_{\forall i} w_{i}\left\|\mathbf{v}_{S}^{i}-\hat{T}\left(\mathbf{v}_{M}^{\bar{i}}\right)\right\|^{2} & e_{i}
\end{array}=\left\|\mathbf{v}_{S}^{i}-\hat{T}\left(\mathbf{v}_{M}^{\bar{i}}\right)\right\| ~\left(~ \sigma=\sqrt{\frac{\sum_{\forall i} w_{i} e_{i}}{\sum_{\forall i} e_{i}}} \begin{array}{ll}
\text { Gaussian distribution } \mathbf{N}(0, \sigma) \text { for inliers } & \lambda \\
\text { Uniform distribution } \lambda^{-1} \text { for outliers } & =\frac{1}{\sqrt{2 \pi \sigma}} \exp \left(-\frac{1}{2} K^{2}\right) \\
\text { Van Leemput et al. İEEE TMI, 20(8), 200I } &
\end{array}\right.
$$

■ LMedS (Least median of squares)
$\underset{\hat{T}}{\arg \min }\left(\operatorname{median}\left\{\left\|\mathbf{v}_{S}^{i}-\hat{T}\left(\mathbf{v}_{M}^{\bar{i}}\right)\right\|^{2}\right\}_{i=1}^{n_{V}}\right)$,

## Asymmetry estimation / Alignment methods

■ DW-LMedS (Distance-weighted LMedS)

- Symmetry enforcement of the recovered pattern of asymmetry
- Weights decrease with the distance to the mid-line $d_{M L}$


■ Facial asymmetry tends to grow as we depart from the mid-line

$$
\underset{\hat{T}}{\arg \min }\left(\operatorname{median}\left\{\left\|e_{D W}^{i}\right\|^{2}\right\}_{i=1}^{n_{V}}+\sum_{i=1}^{n_{V}} \frac{\left|e_{i}^{2}-e_{i}^{2}\right|}{n_{V}}\right)
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& e_{D W}^{i}=\exp \left(\frac{-1}{\tau} \frac{d_{M L}\left(\mathbf{v}_{S}^{i}\right)}{\max \left(d_{M L}\left(\mathbf{v}_{S}\right)\right)}\right)\left(\mathbf{v}_{S}^{i}-\hat{T}\left(\mathbf{v}_{M}^{\bar{i}}\right)\right) \\
& e_{i}=\left\|\mathbf{v}_{S}^{i}-\hat{T}\left(\mathbf{v}_{M}^{\bar{i}}\right)\right\|, \quad e_{\bar{i}}=\left\|\mathbf{v}_{S}^{\bar{i}}-\hat{T}\left(\mathbf{v}_{M}^{i}\right)\right\|
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## Quantitative evaluation with synthetic patterns of asymmetry



## ORIGINAL

Unknown asymmetry pattern

- 100 facial scans
- Healthy subjects to serve as controls in dysmorphology studies


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## SYMMETRIZED

Symmetrized to an arbitrary plane - Not useful to recover the original asymmetry


Deformation with synthetic asymmetry pattern

## SYNTHESIZED

The resulting shape
has a known
asymmetry pattern
(ground truth)

## 25 synthetic patterns applied applied to 100

 "real" facial scans- A few examples of the applied patterns (see suppl mat)



## Results: average correlation coefficients

|  |  | Landmarks |  |  | Midline |  | Whole surface |  |  |  | Mixed |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | $\sum_{i}^{n}$ | $\sum_{3}^{\frac{y}{0}}$ |  | $\sum_{3}^{n}$ | $\sum_{-1}^{0}$ | $\sum_{1}^{n}$ | $\begin{aligned} & \frac{n}{2} \\ & \frac{1}{3} \\ & \hline \end{aligned}$ | $\sum_{\substack{0}}^{y}$ |  | $\begin{aligned} & \frac{n}{2} \\ & \sum_{i}^{n} \\ & \hline \end{aligned}$ | $\begin{aligned} & \frac{y}{0} \\ & \sum_{2}^{0} \\ & 3 \\ & i \\ & i \\ & i \end{aligned}$ |
| Linear expansions of individual axes (with the nose tip at the origin) | $\begin{aligned} & 1 \\ & 2 \\ & 3 \\ & \hline \end{aligned}$ | $\begin{aligned} & \hline 0.92 \\ & 0.87 \\ & 0.73 \end{aligned}$ | $\begin{aligned} & \hline 0.95 \\ & 1.00 \\ & 0.99 \end{aligned}$ | $\begin{aligned} & 0.94 \\ & 1.00 \\ & 1.00 \end{aligned}$ | $\begin{aligned} & 1.00 \\ & 1.00 \\ & 1.00 \end{aligned}$ | $\begin{aligned} & 1.00 \\ & 1.00 \\ & 1.00 \end{aligned}$ | $\begin{gathered} \hline-0.15 \\ 0.90 \\ 0.70 \end{gathered}$ | $\begin{gathered} \hline-0.17 \\ 0.90 \\ 0.77 \end{gathered}$ | $\begin{gathered} \hline-0.93 \\ 0.97 \\ 0.95 \end{gathered}$ | $\begin{gathered} \hline-0.92 \\ 0.98 \\ 0.95 \end{gathered}$ | $\begin{aligned} & \hline 0.82 \\ & 0.98 \\ & 0.96 \end{aligned}$ | $\begin{aligned} & \hline 0.87 \\ & 0.99 \\ & 0.96 \end{aligned}$ |
| Module expansions with respect to a given landmark | $\begin{aligned} & 4 \\ & 5 \\ & 6 \\ & 7 \end{aligned}$ | $\begin{aligned} & 0.95 \\ & 0.95 \\ & 0.75 \\ & 0.93 \end{aligned}$ | $\begin{aligned} & 1.00 \\ & 0.99 \\ & 0.96 \\ & 0.98 \end{aligned}$ | $\begin{aligned} & 1.00 \\ & 1.00 \\ & 0.95 \\ & 0.98 \end{aligned}$ | $\begin{aligned} & 1.00 \\ & 1.00 \\ & 0.99 \\ & 0.99 \end{aligned}$ | $\begin{aligned} & 1.00 \\ & 1.00 \\ & 0.99 \\ & 0.99 \end{aligned}$ | $\begin{aligned} & 0.67 \\ & 0.48 \\ & 0.64 \\ & 0.62 \end{aligned}$ | $\begin{aligned} & 0.70 \\ & 0.50 \\ & 0.67 \\ & 0.64 \end{aligned}$ | $\begin{aligned} & 0.93 \\ & 0.87 \\ & 0.85 \\ & 0.84 \end{aligned}$ | $\begin{aligned} & 0.94 \\ & 0.86 \\ & 0.88 \\ & 0.88 \end{aligned}$ | $\begin{aligned} & 0.95 \\ & 0.93 \\ & 0.90 \\ & 0.92 \end{aligned}$ | $\begin{aligned} & 0.97 \\ & 0.94 \\ & 0.93 \\ & 0.94 \end{aligned}$ |
| Combinations of vertical shift ( $y$ axis) and expansion of $x$ or $z$ axis | $\begin{gathered} 8 \\ 9 \\ 10 \end{gathered}$ | $\begin{aligned} & 0.54 \\ & 0.94 \\ & 0.89 \end{aligned}$ | $\begin{aligned} & 0.99 \\ & 0.99 \\ & 0.94 \end{aligned}$ | $\begin{aligned} & 1.00 \\ & 0.99 \\ & 0.95 \end{aligned}$ | $\begin{aligned} & 1.00 \\ & 1.00 \\ & 1.00 \end{aligned}$ | $\begin{aligned} & 1.00 \\ & 1.00 \\ & 1.00 \end{aligned}$ | $\begin{aligned} & 0.61 \\ & 0.46 \\ & 0.51 \end{aligned}$ | $\begin{aligned} & 0.65 \\ & 0.47 \\ & 0.51 \end{aligned}$ | $\begin{gathered} \hline 0.94 \\ 0.86 \\ -0.05 \end{gathered}$ | $\begin{gathered} \hline 0.95 \\ 0.43 \\ -0.05 \end{gathered}$ | $\begin{aligned} & 0.95 \\ & 0.92 \\ & 0.88 \end{aligned}$ | $\begin{aligned} & 0.96 \\ & 0.94 \\ & 0.90 \end{aligned}$ |
| Linear or rotational horizontal shifts of the upper or lower face | $\begin{aligned} & 11 \\ & 12 \\ & 13 \\ & 14 \end{aligned}$ | $\begin{aligned} & 0.65 \\ & 0.95 \\ & 0.84 \\ & 0.67 \end{aligned}$ | $\begin{aligned} & 0.97 \\ & 1.00 \\ & 1.00 \\ & 1.00 \end{aligned}$ | $\begin{aligned} & 0.97 \\ & 1.00 \\ & 1.00 \\ & 1.00 \end{aligned}$ | $\begin{aligned} & \hline-0.13 \\ & -0.33 \\ & -0.01 \\ & -0.14 \end{aligned}$ | $\begin{aligned} & 1.00 \\ & 1.00 \\ & 1.00 \\ & 1.00 \end{aligned}$ | $\begin{aligned} & 0.84 \\ & 0.65 \\ & 0.92 \\ & 0.60 \end{aligned}$ | $\begin{aligned} & 0.92 \\ & 0.71 \\ & 0.97 \\ & 0.64 \end{aligned}$ | $\begin{aligned} & 1.00 \\ & 1.00 \\ & 1.00 \\ & 1.00 \end{aligned}$ | $\begin{aligned} & 1.00 \\ & 1.00 \\ & 1.00 \\ & 1.00 \end{aligned}$ | $\begin{aligned} & 1.00 \\ & 1.00 \\ & 1.00 \\ & 1.00 \end{aligned}$ | $\begin{aligned} & 1.00 \\ & 1.00 \\ & 1.00 \\ & 1.00 \end{aligned}$ |
| Progressive horizontal shifts of specific regions (e.g. mouth, nose, eyes) | $\begin{aligned} & 15 \\ & 16 \\ & 17 \end{aligned}$ | $\begin{aligned} & \hline 0.76 \\ & 0.61 \\ & 0.83 \\ & \hline \end{aligned}$ | $\begin{aligned} & \hline 1.00 \\ & 1.00 \\ & 1.00 \\ & \hline \end{aligned}$ | $\begin{aligned} & \hline 1.00 \\ & 1.00 \\ & 1.00 \\ & \hline \end{aligned}$ | $\begin{aligned} & \hline-0.18 \\ & -0.04 \\ & -0.47 \\ & \hline \end{aligned}$ | $\begin{aligned} & \hline 1.00 \\ & 1.00 \\ & 1.00 \\ & \hline \end{aligned}$ | $\begin{aligned} & \hline 0.88 \\ & 0.92 \\ & 0.76 \\ & \hline \end{aligned}$ | $\begin{aligned} & \hline 0.94 \\ & 0.97 \\ & 0.84 \\ & \hline \end{aligned}$ | $\begin{aligned} & \hline 1.00 \\ & 1.00 \\ & 1.00 \\ & \hline \end{aligned}$ | $\begin{aligned} & \hline 1.00 \\ & 1.00 \\ & 1.00 \\ & \hline \end{aligned}$ | $\begin{aligned} & \hline 1.00 \\ & 1.00 \\ & 1.00 \\ & \hline \end{aligned}$ | $\begin{aligned} & \hline 1.00 \\ & 1.00 \\ & 1.00 \\ & \hline \end{aligned}$ |
| Quadratic distortions limited both vertically and horizontally from specific landmarks | $\begin{aligned} & 18 \\ & 19 \\ & 20 \\ & 21 \\ & 22 \end{aligned}$ | $\begin{gathered} 0.12 \\ 0.37 \\ -0.53 \\ 0.87 \\ 0.72 \end{gathered}$ | $\begin{aligned} & \hline 0.98 \\ & 1.00 \\ & 0.27 \\ & 0.85 \\ & 1.00 \end{aligned}$ | $\begin{aligned} & 0.97 \\ & 1.00 \\ & 0.09 \\ & 0.96 \\ & 1.00 \end{aligned}$ | $\begin{gathered} \hline-0.25 \\ 0.07 \\ -0.44 \\ 0.83 \\ -0.51 \end{gathered}$ | $\begin{gathered} 1.00 \\ 1.00 \\ -0.42 \\ 0.60 \\ -0.33 \end{gathered}$ | $\begin{aligned} & \hline 0.82 \\ & 0.91 \\ & 0.97 \\ & 0.53 \\ & 0.84 \end{aligned}$ | $\begin{aligned} & 0.90 \\ & 0.97 \\ & 1.00 \\ & 0.56 \\ & 0.91 \end{aligned}$ | $\begin{aligned} & 1.00 \\ & 1.00 \\ & 1.00 \\ & 0.78 \\ & 1.00 \end{aligned}$ | $\begin{aligned} & 1.00 \\ & 1.00 \\ & 1.00 \\ & 0.65 \\ & 1.00 \end{aligned}$ | $\begin{aligned} & 1.00 \\ & 1.00 \\ & 0.98 \\ & 0.93 \\ & 0.94 \end{aligned}$ | $\begin{aligned} & 1.00 \\ & 1.00 \\ & 0.97 \\ & 0.94 \\ & 0.94 \end{aligned}$ |
| Combinations of quadratic distortion and axes expansion | $\begin{aligned} & 23 \\ & 24 \\ & 25 \end{aligned}$ | $\begin{gathered} 0.70 \\ 0.95 \\ -0.08 \end{gathered}$ | $\begin{aligned} & 0.62 \\ & 0.85 \\ & 0.78 \end{aligned}$ | $\begin{gathered} \hline 0.75 \\ 0.86 \\ -0.38 \end{gathered}$ | $\begin{aligned} & 0.27 \\ & 0.96 \\ & 0.92 \end{aligned}$ | $\begin{aligned} & 0.28 \\ & 0.95 \\ & 0.92 \end{aligned}$ | $\begin{gathered} 0.92 \\ -0.07 \\ 0.27 \end{gathered}$ | $\begin{gathered} 0.92 \\ -0.18 \\ 0.29 \end{gathered}$ | $\begin{gathered} 0.97 \\ -0.77 \\ 0.89 \end{gathered}$ | $\begin{gathered} 0.97 \\ -0.76 \\ 0.88 \end{gathered}$ | $\begin{aligned} & 0.99 \\ & 0.67 \\ & 0.90 \end{aligned}$ | $\begin{aligned} & \hline 0.99 \\ & 0.84 \\ & 0.91 \end{aligned}$ |

## Results: average correlation coefficients



## Results: average correlation coefficients



|  | Landmarks |  |  | Midline |  | Whole surface |  |  |  | Mixed |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $\sum_{-}^{n}$ | $\sum_{i}^{0}$ | $\begin{aligned} & \frac{0}{0} \\ & \sum_{1}^{0} \\ & 3 \\ & 3 \end{aligned}$ | $\sum_{-}^{n}$ | $\sum_{-}^{0}$ | $\sum_{-}^{n}$ | $\frac{\sum_{2}^{n}}{1}$ | $\sum_{-1}^{0}$ | \% 2 3 0 0 | $\frac{0}{8}$ $\sum_{i}^{0}$ $\sum_{i}$ |  |
| 1 | 0.92 | 0.95 | 0.94 | 1.00 | 1.00 | $-0.15$ | $-0.17$ | $-0.93$ | $-0.92$ | 0.82 | 0.87 |
| 2 | 0.87 | 1.00 | 1.00 | 1.00 | 1.00 | 0.90 | 0.90 | 0.97 | 0.98 | 0.98 | 0.99 |
| 3 | 0.73 | 0.99 | 1.00 | 1.00 | 1.00 | 0.70 | 0.77 | 0.95 | 0.95 | 0.96 | 0.96 |
| 4 | 0.95 | 1.00 | 1.00 | 1.00 | 1.00 | 0.67 | 0.70 | 0.93 | 0.94 | 0.95 | 0.97 |
| 5 | 0.95 | 0.99 | 1.00 | 1.00 | 1.00 | 0.48 | 0.50 | 0.87 | 0.86 | 0.93 | 0.94 |
| 6 | 0.75 | 0.96 | 0.95 | 0.99 | 0.99 | 0.64 | 0.67 | 0.85 | 0.88 | 0.90 | 0.93 |
| 7 | 0.93 | 0.98 | 0.98 | 0.99 | 0.99 | 0.62 | 0.64 | 0.84 | 0.88 | 0.92 | 0.94 |
| 8 | 0.54 | 0.99 | 1.00 | 1.00 | 1.00 | 0.61 | 0.65 | 0.94 | 0.95 | 0.95 | 0.96 |
| 9 | 0.94 | 0.99 | 0.99 | 1.00 | 1.00 | 0.46 | 0.47 | 0.86 | 0.43 | 0.92 | 0.94 |
| 10 | 0.89 | 0.94 | 0.95 | 1.00 | 1.00 | 0.51 | 0.51 | $-0.05$ | $-0.05$ | 0.88 | 0.90 |
| 11 | 0.65 | 0.97 | 0.97 | $-0.13$ | 1.00 | 0.84 | 0.92 | 1.00 | 1.00 | 1.00 | 1.00 |
| 12 | 0.95 | 1.00 | 1.00 | $-0.33$ | 1.00 | 0.65 | 0.71 | 1.00 | 1.00 | 1.00 | 1.00 |
| 13 | 0.84 | 1.00 | 1.00 | $-0.01$ | 1.00 | 0.92 | 0.97 | 1.00 | 1.00 | 1.00 | 1.00 |
| 14 | 0.67 | 1.00 | 1.00 | $-0.14$ | 1.00 | 0.60 | 0.64 | 1.00 | 1.00 | 1.00 | 1.00 |
| 15 | 0.76 | 1.00 | 1.00 | $-0.18$ | 1.00 | 0.88 | 0.94 | 1.00 | 1.00 | 1.00 | 1.00 |
| 16 | 0.61 | 1.00 | 1.00 | $-0.04$ | 1.00 | 0.92 | 0.97 | 1.00 | 1.00 | 1.00 | 1.00 |
| 17 | 0.83 | 1.00 | 1.00 | $-0.47$ | 1.00 | 0.76 | 0.84 | 1.00 | 1.00 | 1.00 | 1.00 |
| 18 | 0.12 | 0.98 | 0.97 | $-0.25$ | 1.00 | 0.82 | 0.90 | 1.00 | 1.00 | 1.00 | 1.00 |
| 19 | 0.37 | 1.00 | 1.00 | 0.07 | 1.00 | 0.91 | 0.97 | 1.00 | 1.00 | 1.00 | 1.00 |
| 20 | $-0.53$ | 0.27 | 0.09 | $-0.44$ | $-0.42$ | 0.97 | 1.00 | 1.00 | 1.00 | 0.98 | 0.97 |
| 21 | 0.87 | 0.85 | 0.96 | 0.83 | 0.60 | 0.53 | 0.56 | 0.78 | 0.65 | 0.93 | 0.94 |
| 22 | 0.72 | 1.00 | 1.00 | $-0.51$ | $-0.33$ | 0.84 | 0.91 | 1.00 | 1.00 | 0.94 | 0.94 |
| 23 | 0.70 | 0.62 | 0.75 | 0.27 | 0.28 | 0.92 | 0.92 | 0.97 | 0.97 | 0.99 | 0.99 |
| 24 | 0.95 | 0.85 | 0.86 | 0.96 | 0.95 | $-0.07$ | $-0.18$ | $-0.77$ | $-0.76$ | 0.67 | 0.84 |
| 25 | $-0.08$ | 0.78 | $-0.38$ | 0.92 | 0.92 | 0.27 | 0.29 | 0.89 | 0.88 | 0.90 | 0.91 |


|  | Landmarks |  |  | Midline |  | Whole surface |  |  |  | Mixed |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $\sum_{-1}^{\infty}$ | $\sum_{\Delta}^{\frac{y}{5}}$ |  | $\sum_{1}^{n}$ | $\sum_{3}^{5}$ | $\sum_{3}^{5}$ | $\frac{\sum_{3}^{n}}{3}$ | $\sum_{3}^{5}$ | 会 | 先 | 年 |
| 1 | 0.92 | 0.95 | 0.94 | 1.00 | 1.00 |  |  |  |  | 0.82 | 0.87 |
| 2 | 0.87 | 1.00 | 1.00 | 1.00 | 1.00 | 0.90 | 0.90 | 0.97 | 0.98 | 0.98 | 0.99 |
| 3 | 0.73 | 0.99 | 1.00 | 1.00 | 1.00 | 0.70 | 0.77 | 0.95 | 0.95 | 0.96 | 0.96 |
| 4 | 0.95 | 1.00 | 1.00 | 1.00 | 1.00 | 0.67 | 0.70 | 0.93 | 0.94 | 0.95 | 0.97 |
| 5 | 0.95 | 0.99 | 1.00 | 1.00 | 1.00 | 0.48 | 0.50 | 0.87 | 0.86 | 0.93 | 0.94 |
| 6 | 0.75 | 0.96 | 0.95 | 0.99 | 0.99 | 0.64 | 0.67 | 0.85 | 0.88 | 0.90 | 0.93 |
| 7 | 0.93 | 0.98 | 0.98 | 0.99 | 0.99 | 0.62 | 0.64 | 0.84 | 0.88 | 0.92 | 0.94 |
| 8 | 0.54 | 0.99 | 1.00 | 1.00 | 1.00 | 0.61 | 0.65 | 0.94 | 0.95 | 0.95 | 0.96 |
| 9 | 0.94 | 0.99 | 0.99 | 1.00 | 1.00 | 0.46 | 0.47 | 0.86 | 0.43 | 0.92 | 0.94 |
| 10 | 0.89 | 0.94 | 0.95 | 1.00 | 1.00 | 0.51 | 0.51 |  |  | 0.88 | 0.90 |
| 11 | 0.65 | 0.97 | 0.97 |  | 1.00 | 0.84 | 0.92 | 1.00 | 1.00 | 1.00 | 1.00 |
| 12 | 0.95 | 1.00 | 1.00 |  | 1.00 | 0.65 | 0.71 | 1.00 | 1.00 | 1.00 | 1.00 |
| 13 | 0.84 | 1.00 | 1.00 |  | 1.00 | 0.92 | 0.97 | 1.00 | 1.00 | 1.00 | 1.00 |
| 14 | 0.67 | 1.00 | 1.00 |  | 1.00 | 0.60 | 0.64 | 1.00 | 1.00 | 1.00 | 1.00 |
| 15 | 0.76 | 1.00 | 1.00 |  | 1.00 | 0.88 | 0.94 | 1.00 | 1.00 | 1.00 | 1.00 |
| 16 | 0.61 | 1.00 | 1.00 |  | 1.00 | 0.92 | 0.97 | 1.00 | 1.00 | 1.00 | 1.00 |
| 17 | 0.83 | 1.00 | 1.00 |  | 1.00 | 0.76 | 0.84 | 1.00 | 1.00 | 1.00 | 1.00 |
| 18 | 0.12 | 0.98 | 0.97 |  | 1.00 | 0.82 | 0.90 | 1.00 | 1.00 | 1.00 | 1.00 |
| 19 | 0.37 | 1.00 | 1.00 | 0.07 | 1.00 | 0.91 | 0.97 | 1.00 | 1.00 | 1.00 | 1.00 |
| 20 |  | 0.27 | 0.09 |  |  | 0.97 | 1.00 | 1.00 | 1.00 | 0.98 | 0.97 |
| 21 | 0.87 | 0.85 | 0.96 | 0.83 | 0.60 | 0.53 | 0.56 | 0.78 | 0.65 | 0.93 | 0.94 |
| 22 | 0.72 | 1.00 | 1.00 |  |  | 0.84 | 0.91 | 1.00 | 1.00 | 0.94 | 0.94 |
| 23 | 0.70 | 0.62 | 0.75 | 0.27 | 0.28 | 0.92 | 0.92 | 0.97 | 0.97 | 0.99 | 0.99 |
| 24 | 0.95 | 0.85 | 0.86 | 0.96 | 0.95 |  |  |  |  | 0.67 | 0.84 |
| 25 |  | 0.78 |  | 0.92 | 0.92 | 0.27 | 0.29 | 0.89 | 0.88 | 0.90 | 0.91 |


|  | Landmarks |  |  | Midline |  | Whole surface |  |  |  | Mixed |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $\sum_{-1}^{\infty}$ | $\sum_{\Delta}^{\frac{y}{5}}$ |  | $\sum_{1}^{n}$ | $\sum_{3}^{5}$ | $\sum_{1}^{n}$ | $\frac{\sum_{3}^{n}}{3}$ | $\sum_{3}^{5}$ |  | 先 | 年 |
| 1 | 0.92 | 0.95 | 0.94 | 1.00 | 1.00 |  |  |  |  | 0.82 | 0.87 |
| 2 | 0.87 | 1.00 | 1.00 | 1.00 | 1.00 | 0.90 | 0.90 | 0.97 | 0.98 | 0.98 | 0.99 |
| 3 | 0.73 | 0.99 | 1.00 | 1.00 | 1.00 | 0.70 | 0.77 | 0.95 | 0.95 | 0.96 | 0.96 |
| 4 | 0.95 | 1.00 | 1.00 | 1.00 | 1.00 | 0.67 | 0.70 | 0.93 | 0.94 | 0.95 | 0.97 |
| 5 | 0.95 | 0.99 | 1.00 | 1.00 | 1.00 |  |  | 0.87 | 0.86 | 0.93 | 0.94 |
| 6 | 0.75 | 0.96 | 0.95 | 0.99 | 0.99 | 0.64 | 0.67 | 0.85 | 0.88 | 0.90 | 0.93 |
| 7 | 0.93 | 0.98 | 0.98 | 0.99 | 0.99 | 0.62 | 0.64 | 0.84 | 0.88 | 0.92 | 0.94 |
| 8 | 0.54 | 0.99 | 1.00 | 1.00 | 1.00 | 0.61 | 0.65 | 0.94 | 0.95 | 0.95 | 0.96 |
| 9 | 0.94 | 0.99 | 0.99 | 1.00 | 1.00 |  |  | 0.86 |  | 0.92 | 0.94 |
| 10 | 0.89 | 0.94 | 0.95 | 1.00 | 1.00 | 0.51 | 0.51 |  |  | 0.88 | 0.90 |
| 11 | 0.65 | 0.97 | 0.97 |  | 1.00 | 0.84 | 0.92 | 1.00 | 1.00 | 1.00 | 1.00 |
| 12 | 0.95 | 1.00 | 1.00 |  | 1.00 | 0.65 | 0.71 | 1.00 | 1.00 | 1.00 | 1.00 |
| 13 | 0.84 | 1.00 | 1.00 |  | 1.00 | 0.92 | 0.97 | 1.00 | 1.00 | 1.00 | 1.00 |
| 14 | 0.67 | 1.00 | 1.00 |  | 1.00 | 0.60 | 0.64 | 1.00 | 1.00 | 1.00 | 1.00 |
| 15 | 0.76 | 1.00 | 1.00 |  | 1.00 | 0.88 | 0.94 | 1.00 | 1.00 | 1.00 | 1.00 |
| 16 | 0.61 | 1.00 | 1.00 |  | 1.00 | 0.92 | 0.97 | 1.00 | 1.00 | 1.00 | 1.00 |
| 17 | 0.83 | 1.00 | 1.00 |  | 1.00 | 0.76 | 0.84 | 1.00 | 1.00 | 1.00 | 1.00 |
| 18 |  | 0.98 | 0.97 |  | 1.00 | 0.82 | 0.90 | 1.00 | 1.00 | 1.00 | 1.00 |
| 19 |  | 1.00 | 1.00 |  | 1.00 | 0.91 | 0.97 | 1.00 | 1.00 | 1.00 | 1.00 |
| 20 |  |  |  |  |  | 0.97 | 1.00 | 1.00 | 1.00 | 0.98 | 0.97 |
| 21 | 0.87 | 0.85 | 0.96 | 0.83 | 0.60 | 0.53 | 0.56 | 0.78 | 0.65 | 0.93 | 0.94 |
| 22 | 0.72 | 1.00 | 1.00 |  |  | 0.84 | 0.91 | 1.00 | 1.00 | 0.94 | 0.94 |
| 23 | 0.70 | 0.62 | 0.75 |  |  | 0.92 | 0.92 | 0.97 | 0.97 | 0.99 | 0.99 |
| 24 | 0.95 | 0.85 | 0.86 | 0.96 | 0.95 |  |  |  |  | 0.67 | 0.84 |
| 25 |  | 0.78 |  | 0.92 | 0.92 |  |  | 0.89 | 0.88 | 0.90 | 0.91 |


|  | Landmarks |  |  | Midline |  | Whole surface |  |  |  | Mixed |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $\sum_{-1}^{\infty}$ | $\sum_{\Delta}^{\frac{y}{5}}$ |  | $\sum_{1}^{n}$ | $\sum_{3}^{5}$ | $\sum_{1}^{n}$ | $\begin{aligned} & \sum_{1}^{n} \\ & \frac{1}{3} \\ & \hline \end{aligned}$ | $\sum_{3}^{5}$ |  | 先 | 年 |
| 1 | 0.92 | 0.95 | 0.94 | 1.00 | 1.00 |  |  |  |  | 0.82 | 0.87 |
| 2 | 0.87 | 1.00 | 1.00 | 1.00 | 1.00 | 0.90 | 0.90 | 0.97 | 0.98 | 0.98 | 0.99 |
| 3 | 0.73 | 0.99 | 1.00 | 1.00 | 1.00 | 0.70 | 0.77 | 0.95 | 0.95 | 0.96 | 0.96 |
| 4 | 0.95 | 1.00 | 1.00 | 1.00 | 1.00 | 0.67 | 0.70 | 0.93 | 0.94 | 0.95 | 0.97 |
| 5 | 0.95 | 0.99 | 1.00 | 1.00 | 1.00 |  |  | 0.87 | 0.86 | 0.93 | 0.94 |
| 6 | 0.75 | 0.96 | 0.95 | 0.99 | 0.99 | 0.64 | 0.67 | 0.85 | 0.88 | 0.90 | 0.93 |
| 7 | 0.93 | 0.98 | 0.98 | 0.99 | 0.99 | 0.62 | 0.64 | 0.84 | 0.88 | 0.92 | 0.94 |
| 8 | 0.54 | 0.99 | 1.00 | 1.00 | 1.00 | 0.61 | 0.65 | 0.94 | 0.95 | 0.95 | 0.96 |
| 9 | 0.94 | 0.99 | 0.99 | 1.00 | 1.00 |  |  | 0.86 |  | 0.92 | 0.94 |
| 10 | 0.89 | 0.94 | 0.95 | 1.00 | 1.00 | 0.51 | 0.51 |  |  | 0.88 | 0.90 |
| 11 | 0.65 | 0.97 | 0.97 |  | 1.00 | 0.84 | 0.92 | 1.00 | 1.00 | 1.00 | 1.00 |
| 12 | 0.95 | 1.00 | 1.00 |  | 1.00 | 0.65 | 0.71 | 1.00 | 1.00 | 1.00 | 1.00 |
| 13 | 0.84 | 1.00 | 1.00 |  | 1.00 | 0.92 | 0.97 | 1.00 | 1.00 | 1.00 | 1.00 |
| 14 | 0.67 | 1.00 | 1.00 |  | 1.00 | 0.60 | 0.64 | 1.00 | 1.00 | 1.00 | 1.00 |
| 15 | 0.76 | 1.00 | 1.00 |  | 1.00 | 0.88 | 0.94 | 1.00 | 1.00 | 1.00 | 1.00 |
| 16 | 0.61 | 1.00 | 1.00 |  | 1.00 | 0.92 | 0.97 | 1.00 | 1.00 | 1.00 | 1.00 |
| 17 | 0.83 | 1.00 | 1.00 |  | 1.00 | 0.76 | 0.84 | 1.00 | 1.00 | 1.00 | 1.00 |
| 18 |  | 0.98 | 0.97 |  | 1.00 | 0.82 | 0.90 | 1.00 | 1.00 | 1.00 | 1.00 |
| 19 |  | 1.00 | 1.00 |  | 1.00 | 0.91 | 0.97 | 1.00 | 1.00 | 1.00 | 1.00 |
| 20 |  |  |  |  |  | 0.97 | 1.00 | 1.00 | 1.00 | 0.98 | 0.97 |
| 21 | 0.87 | 0.85 | 0.96 | 0.83 | 0.60 | 0.53 | 0.56 | 0.78 | 0.65 | 0.93 | 0.94 |
| 22 | 0.72 | 1.00 | 1.00 |  |  | 0.84 | 0.91 | 1.00 | 1.00 | 0.94 | 0.94 |
| 23 | 0.70 | 0.62 | 0.75 |  |  | 0.92 | 0.92 | 0.97 | 0.97 | 0.99 | 0.99 |
| 24 | 0.95 | 0.85 | 0.86 | 0.96 | 0.95 |  |  |  |  | 0.67 | 0.84 |
| 25 |  | 0.78 |  | 0.92 | 0.92 |  |  | 0.89 | 0.88 | 0.90 | 0.91 |

## Hybrid methods

■ Hemispheres + midline
(HM-LMedS \& HM-DW.LMedS)


- The midline provides complementary performance to surface-based methods
- Large imbalance between the number of surface and midline points

$$
\begin{aligned}
& \underset{\hat{T}}{\arg \min }\left(\operatorname{median}\left\{\left\|e_{D W}^{i}\right\|^{2}\right\}_{\forall i \notin \mathcal{I}_{M L}}+\right. \\
& \left.\quad+\frac{1}{2} \text { median }\left\{e_{i}^{2}\right\}_{\forall i \in \mathcal{I}_{M L}}+\sum_{i=1}^{n_{V}} \frac{\left|e_{i}^{2}-e_{\vec{i}}^{2}\right|}{n_{V}}\right)
\end{aligned}
$$

$e_{D W}^{i}=\exp \left(\frac{-1}{\tau} \frac{d_{M L}\left(\mathbf{v}_{S}^{i}\right)}{\max \left(d_{M L}\left(\mathbf{v}_{S}\right)\right)}\right)\left(\mathbf{v}_{S}^{i}-\hat{T}\left(\mathbf{v}_{M}^{\bar{i}}\right)\right)$
$e_{i}=\left\|\mathbf{v}_{S}^{i}-\hat{T}\left(\mathbf{v}_{M}^{\bar{i}}\right)\right\|, \quad e_{\bar{i}}=\left\|\mathbf{v}_{S}^{\bar{i}}-\hat{T}\left(\mathbf{v}_{M}^{i}\right)\right\|$

$$
\mathcal{I}_{M L}=\left\{i \in\left[1 ; n_{V}\right] \mid \bar{i}=i\right\}
$$

## Hybrid methods

■ Hemispheres + midline
(HM-LMedS \& HM-DW.LMedS)

- The midline provides complementary performance
 to surface-based methods
- Large imbalance between the number of surface and midline points

$$
\begin{aligned}
& \underset{\hat{T}}{\arg \min }\left(\text { median }\left\{\left\|e_{D W}^{i}\right\|^{2}\right\}_{\forall i \notin \mathcal{I}_{M L}}+\right. \\
& \left.\quad+\frac{1}{2} \text { median }\left\{e_{i}^{2}\right\}_{\forall i \in \mathcal{I}_{M L}}+\sum_{i=1}^{n_{V}} \frac{\left|e_{i}^{2}-e_{\hat{i}}^{2}\right|}{n_{V}}\right)
\end{aligned}
$$

$e_{D W}^{i}=\exp \left(\frac{-1}{\tau} \frac{d_{M L}\left(\mathbf{v}_{S}^{i}\right)}{\max \left(d_{M L}\left(\mathbf{v}_{S}\right)\right)}\right)\left(\mathbf{v}_{S}^{i}-\hat{T}\left(\mathbf{v}_{M}^{\bar{i}}\right)\right)$
$e_{i}=\left\|\mathbf{v}_{S}^{i}-\hat{T}\left(\mathbf{v}_{M}^{\bar{i}}\right)\right\|, \quad e_{\bar{i}}=\left\|\mathbf{v}_{S}^{\bar{i}}-\hat{T}\left(\mathbf{v}_{M}^{i}\right)\right\|$

$$
\mathcal{I}_{M L}=\left\{i \in\left[1 ; n_{V}\right] \mid \bar{i}=i\right\}
$$

## Conclusions

- We tested different alignment methods for the estimation of point-wise 3D surface asymmetry
- Important differences between methods
- Widespread least-squares cost functions performed the worst
- Landmark-based methods were generally better than methods using the whole surface
- A hybrid approach combining surface and mid-line terms achieved the best performance
- Exponential decaying of weights as points depart from the midline
- As a byproduct we get more robustness to the exact definition of face boundaries


# A qualitative example estimating the unknown asymmetry of a real scan 

Landmarkbased LMS


Midline-based LMS


DW-HM-
LMdeS

## A qualitative example estimating the unknown asymmetry of a real scan

Landmarkbased LMS


Midline-based LMS



DW-HMLMdeS


Asymmetry patterns magnified by $2.0: 1$

## Thank you for your attention

For more information please visit: http://fsukno.atspace.eu


## Craniofacial landmarks



Manual annotations from: R. Hennessy et al. Biol Psychiat 51 (2002) 507-514

## Comparison of hemispheres by reflection

■ Reflection into an arbitrary plane


We can register the mirrored surface with the original

## Comparison of hemispheres by reflection

- Reflection into an arbitrary plane
- Alignment of the original and mirrored surfaces

■ Straight-forward comparison

- Left w/ right
- Right w/ left



## Comparison of hemispheres by reflection

- Reflection into an arbitrary plane
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How do we align both surfaces?

## Alignment of the mid-sagittal plane

■ The mid-sagittal plane of the original and mirrored surfaces should be the same

■ Hence, making both mid-sagittal planes coincide should align the surfaces


## Complex example I



## Complex example I



