

## On the Quantitative Analysis of Craniofacial Asymmetry in 3D

#### F.M. Sukno<sup>1</sup>, M.A, Rojas<sup>2,3</sup>, J.L- Waddington<sup>2</sup> and P.F. Whelan<sup>3</sup>

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# Research context: Craniofacial Dysmorphology

- Craniofacial geometry has been suggested as an index of early brain dysmorphogenesis in neuropsychiatric disorders
  - Down syndrome
  - Autism
  - Schizophrenia
  - Bipolar disorder
  - Fetal alcohol syndrome
  - Velocardiofacial syndrome
  - Cornelia de Large syndrome
  - Shape differences can be <u>very subtle</u>
    - Need for highly accuracy analysis







### The interest in symmetry

### Wide application scope in computer vision

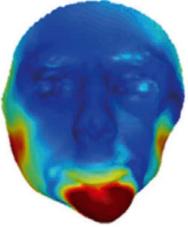
- Face recognition
- Gender classification
- Landmark detection
- .. and beyond
  - Facial attractiveness, mate selection
  - Assessment of orthognatic surgery outcome
- Developmental dysmorphology
  - Autism spectrum disorders
  - Schizophrenia
  - Fetal alcohol syndrome
  - Differences can be very subtle

## Measuring bilateral facial symmetry in 3D

What is our accuracy in asymmetry estimation?

- Shape-based definition
  - Difference between left and right hemispheres
  - Deviation from nearest symmetric shape
  - Estimation of the symmetry plane
    - Alignment of original and reflected shapes
- A synthetic motivation example
  - Traditional approaches perform poorly
  - Landmark- and surface-based discrepancies
- Quantitative evaluation
  - Point-wise 3D measurements of asymmetry
  - Comparison to ground-truth asymmetries
    - Synthetic patterns of asymmetry
    - Starting from a perfectly symmetric face





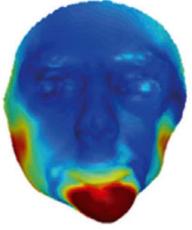
Claes et al (2011) Journal of Anatomy

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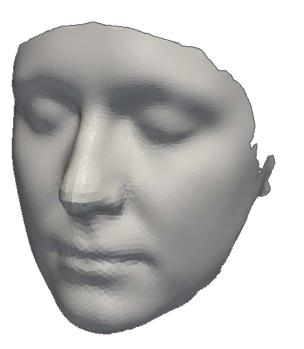
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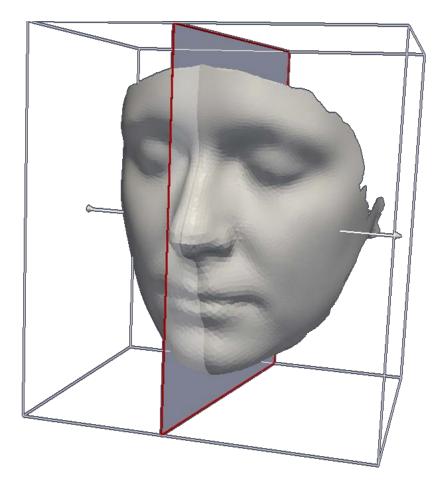


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### Bilateral symmetry: facial hemispheres

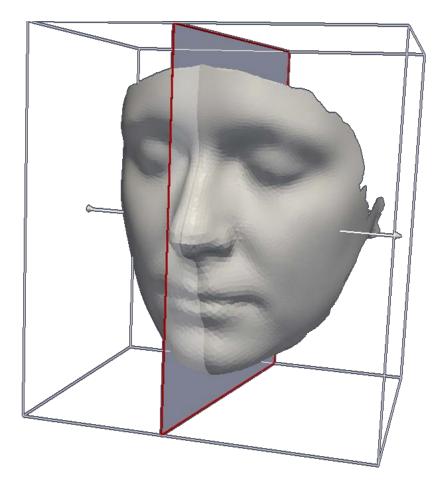


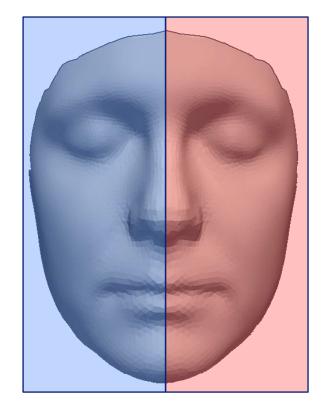
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Plane of bilateral symmetry

## Bilateral symmetry: facial hemispheres



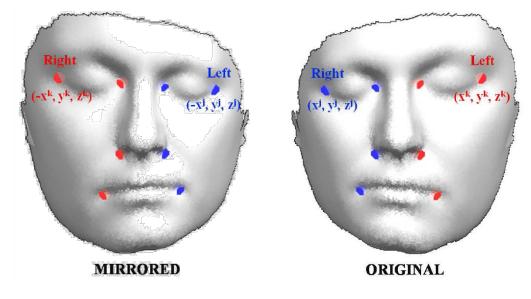


# Plane of bilateral symmetry

## Bilateral symmetry computation

### Original surface S

- I. Obtained mirrored surface S<sub>M</sub>
  - Arbitrary plane
- 2. Put S and S<sub>M</sub> in correspondence
  - Rigid transformation T
  - Vertex index reflection
- Compute asymmetry by point-wise difference between S and the mirrored-aligned S<sub>M</sub>



 $(\cdot)^{ref}$  Reflection operator: a function that swaps left and right vertex indices

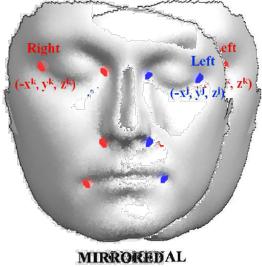
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- Let  $S_{symm}$  be a facial surface that is perfectly symmetric about the plane x = 0. Thus, symmetric paired
- Let us generate S<sub>1</sub> by expanding the left side of S<sub>symm</sub> linearly with respect to the coordinates of the x-axis. If we represent surface S<sub>symm</sub> by a set of n<sub>V</sub> vertices {v<sub>s</sub><sup>i</sup>}<sup>n<sub>V</sub></sup><sub>i=1</sub> with v<sub>s</sub><sup>i</sup> = (x<sub>s</sub><sup>i</sup>, y<sub>s</sub><sup>i</sup>, z<sub>s</sub><sup>i</sup>)<sup>T</sup> then:

$$\forall (\mathbf{v}_s^i \in \mathcal{S}_{symm}, \mathbf{v}_1^i \in \mathcal{S}_1) : \\ \mathbf{v}_1^i = \begin{cases} \mathbf{v}_s^i + (\alpha \, x_s^i, 0, 0)^T & \text{if } x_s^i > 0 \\ \mathbf{v}_s^i & \text{otherwise} \end{cases}$$

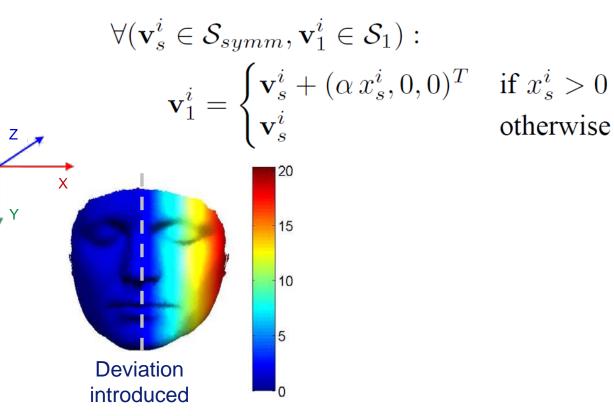
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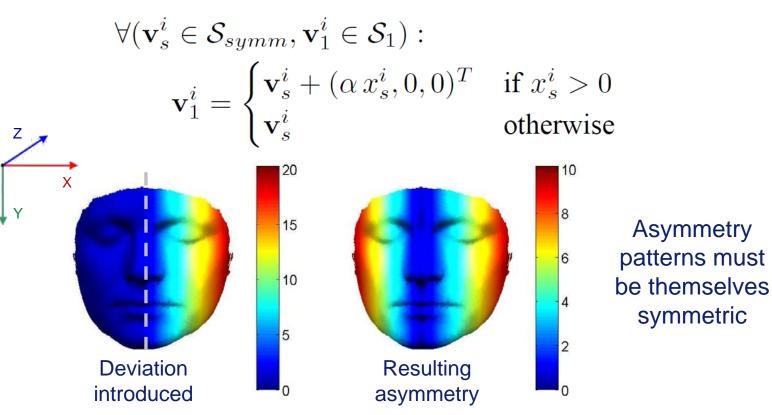
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A motivating example ... against traditional Procrustes alignment to estimate T What is the resulting asymmetry pattern?

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 Calculated as the difference w.r.t. the symmetrization of S<sub>1</sub>, namely the closest symmetric shape to S<sub>1</sub>:

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In the present example:

$$\mathbf{v}_{1s}^{i} = \begin{cases} \frac{1}{2} (x_{s}^{i} + \alpha \, x_{s}^{i}, y_{s}^{i}, z_{s}^{i})^{T} + \frac{1}{2} (-x_{s}^{\overline{i}}, y_{s}^{\overline{i}}, z_{s}^{\overline{i}})^{T} & \text{if } x_{s}^{i} > 0\\ \frac{1}{2} (x_{s}^{i}, y_{s}^{i}, z_{s}^{i})^{T} + \frac{1}{2} (-x_{s}^{\overline{i}} - \alpha x_{s}^{\overline{i}}, y_{s}^{\overline{i}}, z_{s}^{\overline{i}})^{T} & \text{otherwise} \end{cases}$$
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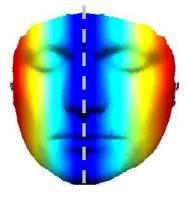
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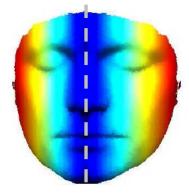
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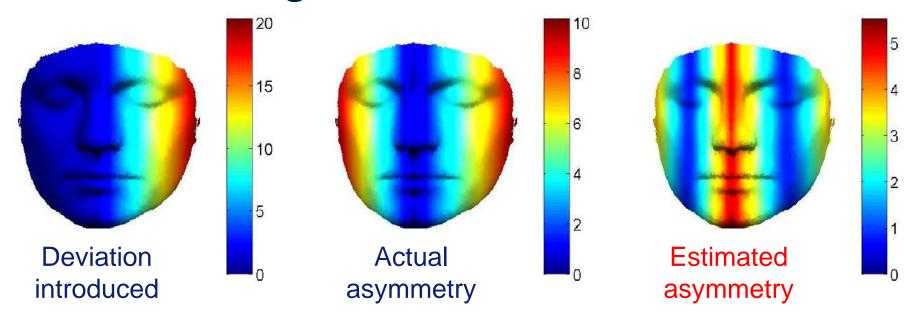
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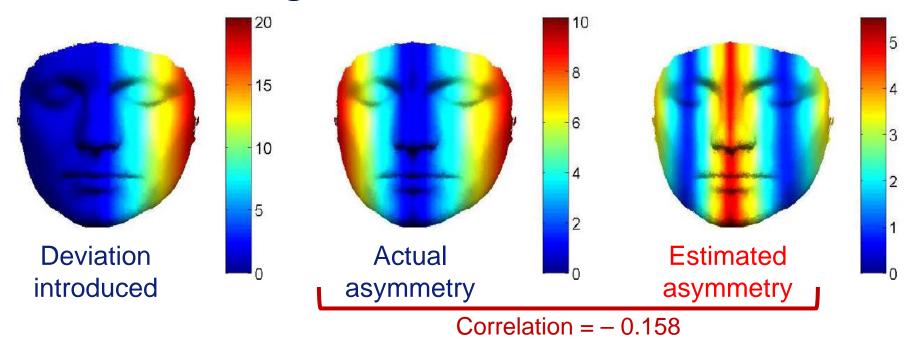
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### Asymmetry estimation / Alignment methods

# LMS (Least mean of squares) $\underset{\hat{T}}{\operatorname{arg\,min}} \sum_{\forall i} \|\mathbf{v}_{S}^{i} - \hat{T}(\mathbf{v}_{M}^{\overline{i}})\|^{2}$

### CW-LMS (Confidence-weighted LMS)

$$\underset{\hat{T}}{\arg\min} \sum_{\forall i} w_i \| \mathbf{v}_S^i - \hat{T}(\mathbf{v}_M^{\overline{i}}) \|^2$$

- Gaussian distribution  $N(0, \sigma)$  for inliers
- Uniform distribution  $\lambda^{-1}$  for outliers
- Van Leemput et al. ÌEEE TMI, 20(8), 200 I

### LMedS (Least median of squares)

$$\underset{\hat{T}}{\operatorname{arg\,min}} \left( \operatorname{median} \left\{ \| \mathbf{v}_{S}^{i} - \hat{T}(\mathbf{v}_{M}^{\overline{i}}) \|^{2} \right\}_{i=1}^{n_{V}} \right),$$

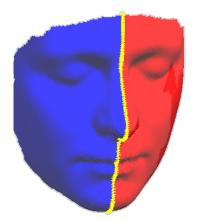
$$e_{i} = \|\mathbf{v}_{S}^{i} - \hat{T}(\mathbf{v}_{M}^{\overline{i}})\|$$
$$\sigma = \sqrt{\frac{\sum_{\forall i} w_{i} e_{i}}{\sum_{\forall i} e_{i}}}$$
$$\lambda = \frac{1}{\sqrt{2\pi\sigma}} \exp\left(-\frac{1}{2}K^{2}\right)$$

## Asymmetry estimation / Alignment methods

### DW-LMedS (Distance-weighted LMedS)

- Symmetry enforcement of the recovered pattern of asymmetry
- Weights decrease with the distance to the mid-line d<sub>ML</sub>
  - Facial asymmetry tends to grow as we depart from the mid-line

$$\underset{\hat{T}}{\operatorname{arg\,min}} \left( \operatorname{median} \left\{ \| e_{DW}^{i} \|^{2} \right\}_{i=1}^{n_{V}} + \sum_{i=1}^{n_{V}} \frac{|e_{i}^{2} - e_{\overline{i}}^{2}|}{n_{V}} \right)$$

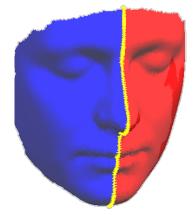


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$$e_{DW}^{i} = \exp\left( \frac{-1}{\tau} \frac{d_{ML}(\mathbf{v}_{S}^{i})}{\max\left(d_{ML}(\mathbf{v}_{S})\right)} \right) \left( \mathbf{v}_{S}^{i} - \hat{T}(\mathbf{v}_{M}^{\overline{i}}) \right)$$
$$e_{i} = \| \mathbf{v}_{S}^{i} - \hat{T}(\mathbf{v}_{M}^{\overline{i}}) \|, \qquad e_{\overline{i}} = \| \mathbf{v}_{S}^{\overline{i}} - \hat{T}(\mathbf{v}_{M}^{i}) \|$$



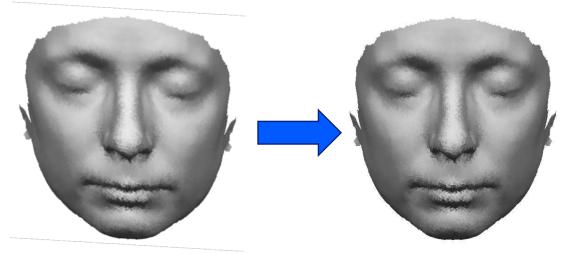
# Quantitative evaluation with synthetic patterns of asymmetry



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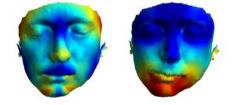
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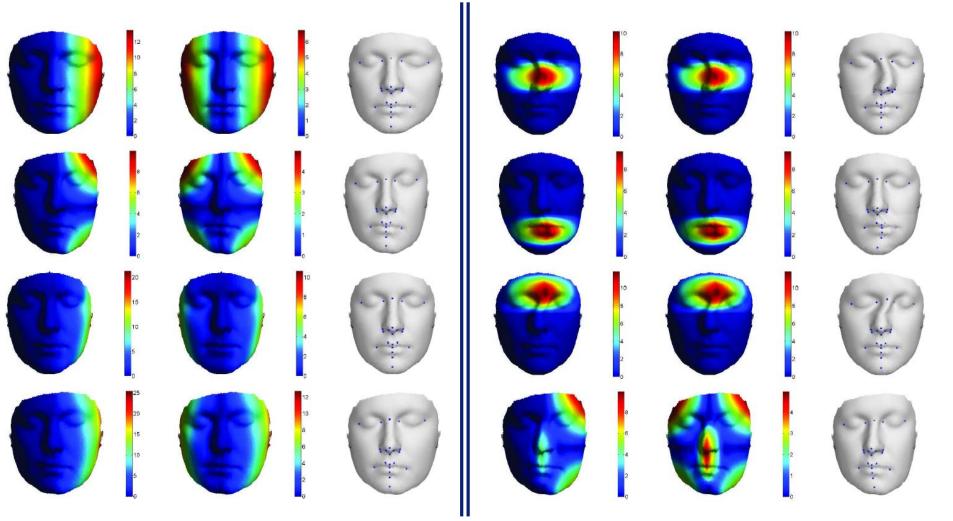
Deformation with synthetic asymmetry pattern

SYNTHESIZED

The resulting shape has a known asymmetry pattern (ground truth)

# 25 synthetic patterns applied applied to 100 "real" facial scans

A few examples of the applied patterns (see suppl mat)



## Results: average correlation coefficients

		Landmarks			Midline			Whole	Mixed			
Asymm Patterns		LMS	LMedS	DW-LMedS	TMS	LMedS	IMS	CW-LMS	LMedS	DW-LMedS	HM-LMedS	HM-DW-LMedS
Linear expansions of individual	1	0.92	0.95	0.94	1.00	1.00	-0.15	-0.17	-0.93	-0.92	0.82	0.87
axes (with the nose tip at the	2	0.87	1.00	1.00	1.00	1.00	0.90	0.90	0.97	0.98	0.98	0.99
origin)	3	0.73	0.99	1.00	1.00	1.00	0.70	0.77	0.95	0.95	0.96	0.96
	4	0.95	1.00	1.00	1.00	1.00	0.67	0.70	0.93	0.94	0.95	0.97
Module expansions with respect	5	0.95	0.99	1.00	1.00	1.00	0.48	0.50	0.87	0.86	0.93	0.94
to a given landmark	6	0.75	0.96	0.95	0.99	0.99	0.64	0.67	0.85	0.88	0.90	0.93
	7	0.93	0.98	0.98	0.99	0.99	0.62	0.64	0.84	0.88	0.92	0.94
Combinations of vertical shift $(y$	8	0.54	0.99	1.00	1.00	1.00	0.61	0.65	0.94	0.95	0.95	0.96
axis) and expansion of $x$ or $z$ axis	9	0.94	0.99	0.99	1.00	1.00	0.46	0.47	0.86	0.43	0.92	0.94
	10	0.89	0.94	0.95	1.00	1.00	0.51	0.51	-0.05	-0.05	0.88	0.90
	11	0.65	0.97	0.97	-0.13	1.00	0.84	0.92	1.00	1.00	1.00	1.00
Linear or rotational horizontal	12	0.95	1.00	1.00	-0.33	1.00	0.65	0.71	1.00	1.00	1.00	1.00
shifts of the upper or lower face	13	0.84	1.00	1.00	-0.01	1.00	0.92	0.97	1.00	1.00	1.00	1.00
	14	0.67	1.00	1.00	-0.14	1.00	0.60	0.64	1.00	1.00	1.00	1.00
Progressive horizontal shifts of	15	0.76	1.00	1.00	-0.18	1.00	0.88	0.94	1.00	1.00	1.00	1.00
specific regions (e.g. mouth, nose,	16	0.61	1.00	1.00	-0.04	1.00	0.92	0.97	1.00	1.00	1.00	1.00
eyes)	17	0.83	1.00	1.00	-0.47	1.00	0.76	0.84	1.00	1.00	1.00	1.00
	18	0.12	0.98	0.97	-0.25	1.00	0.82	0.90	1.00	1.00	1.00	1.00
Ouadratic distortions limited both	19	0.37	1.00	1.00	0.07	1.00	0.91	0.97	1.00	1.00	1.00	1.00
vertically and horizontally from specific landmarks	20	-0.53	0.27	0.09	-0.44	-0.42	0.97	1.00	1.00	1.00	0.98	0.97
	21	0.87	0.85	0.96	0.83	0.60	0.53	0.56	0.78	0.65	0.93	0.94
	22	0.72	1.00	1.00	-0.51	-0.33	0.84	0.91	1.00	1.00	0.94	0.94
Combinations of quadratic distortion and axes expansion	23	0.70	0.62	0.75	0.27	0.28	0.92	0.92	0.97	0.97	0.99	0.99
	24	0.95	0.85	0.86	0.96	0.95	-0.07	-0.18	-0.77	-0.76	0.67	0.84
	25	-0.08	0.78	-0.38	0.92	0.92	0.27	0.29	0.89	0.88	0.90	0.91

## Results: average correlation coefficients

<b></b>												
	Landmarks			Midline			Whole	Mixed				
Asymm Patterns			n en en prn a nt nt a	ex.	TWS	LMedS	TWS	CW-LMS	LMedS	DW-LMedS	HM-LMedS	HM-DW-LMedS
Linear expansions of individual	1	1	ac sn ac	P	1.00	1.00	-0.15	-0.17	-0.93	-0.92	0.82	0.87
axes (with the nose tip at the	2		cph o is cph		1.00	1.00	0.90	0.90	0.97	0.98	0.98	0.99
origin)	3	ct	n li ch		1.00	1.00	0.70	0.77	0.95	0.95	0.96	0.96
	4		Pg •		1.00	1.00	0.67	0.70	0.93	0.94	0.95	0.97
Module expansions with respect	5	0.95	0.99	1.00	1.00	1.00	0.48	0.50	0.87	0.86	0.93	0.94
to a given landmark	6	0.75	0.96	0.95	0.99	0.99	0.64	0.67	0.85	0.88	0.90	0.93
	7	0.93	0.98	0.98	0.99	0.99	0.62	0.64	0.84	0.88	0.92	0.94
Combinations of vertical shift $(y$	8	0.54	0.99	1.00	1.00	1.00	0.61	0.65	0.94	0.95	0.95	0.96
axis) and expansion of $x$ or $z$ axis	9	0.94	0.99	0.99	1.00	1.00	0.46	0.47	0.86	0.43	0.92	0.94
	10	0.89	0.94	0.95	1.00	1.00	0.51	0.51	-0.05	-0.05	0.88	0.90
	11	0.65	0.97	0.97	-0.13	1.00	0.84	0.92	1.00	1.00	1.00	1.00
Linear or rotational horizontal	12	0.95	1.00	1.00	-0.33	1.00	0.65	0.71	1.00	1.00	1.00	1.00
shifts of the upper or lower face	13	0.84	1.00	1.00	-0.01	1.00	0.92	0.97	1.00	1.00	1.00	1.00
	14	0.67	1.00	1.00	-0.14	1.00	0.60	0.64	1.00	1.00	1.00	1.00
Progressive horizontal shifts of	15	0.76	1.00	1.00	-0.18	1.00	0.88	0.94	1.00	1.00	1.00	1.00
specific regions (e.g. mouth, nose,	16	0.61	1.00	1.00	-0.04	1.00	0.92	0.97	1.00	1.00	1.00	1.00
eyes)	17	0.83	1.00	1.00	-0.47	1.00	0.76	0.84	1.00	1.00	1.00	1.00
	18	0.12	0.98	0.97	-0.25	1.00	0.82	0.90	1.00	1.00	1.00	1.00
Quadratic distortions limited both vertically and horizontally from specific landmarks	19	0.37	1.00	1.00	0.07	1.00	0.91	0.97	1.00	1.00	1.00	1.00
	20	-0.53	0.27	0.09	-0.44	-0.42	0.97	1.00	1.00	1.00	0.98	0.97
	21	0.87	0.85	0.96	0.83	0.60	0.53	0.56	0.78	0.65	0.93	0.94
	22	0.72	1.00	1.00	-0.51	-0.33	0.84	0.91	1.00	1.00	0.94	0.94
	23	0.70	0.62	0.75	0.27	0.28	0.92	0.92	0.97	0.97	0.99	0.99
Combinations of quadratic distortion and axes expansion	24	0.95	0.85	0.86	0.96	0.95	-0.07	-0.18	-0.77	-0.76	0.67	0.84
unsiorition and axes expansion	25	-0.08	0.78	-0.38	0.92	0.92	0.27	0.29	0.89	0.88	0.90	0.91

## Results: average correlation coefficients

		Landmarks			Midline			Whole	Mixed			
Asymm Patterns		ex en en ex					TWS	CW-LMS	LMedS	DW-LMedS	HM-LMedS	HM-DW-LMedS
Linear expansions of individual axes (with the nose tip at the	1 2	9	ac sn ac cph ls cph	P	6	2/	-0.15 0.90	-0.17 0.90	-0.93 0.97	-0.92 0.98	0.82 0.98	0.87 0.99
origin)	3		i li ch • sl			<u> </u>	0.70	0.77	0.95	0.95	0.96	0.96
	4	1	Pg•		1	.00	0.67	0.70	0.93	0.94	0.95	0.97
Module expansions with respect	5	0.95	0.99	1.00	1.00	1.00	0.48	0.50	0.87	0.86	0.93	0.94
to a given landmark	6	0.75	0.96	0.95	0.99	0.99	0.64	0.67	0.85	0.88	0.90	0.93
	7	0.93	0.98	0.98	0.99	0.99	0.62	0.64	0.84	0.88	0.92	0.94
Combinations of vertical shift $(y = axis)$ and expansion of $x$ or $z = axis$	8	0.54	0.99	1.00	1.00	1.00	0.61	0.65	0.94	0.95	0.95	0.96
	9	0.94	0.99	0.99	1.00	1.00	0.46	0.47	0.86	0.43	0.92	0.94
	10	0.89	0.94	0.95	1.00	1.00	0.51	0.51	-0.05	-0.05	0.88	0.90
	11	0.65	0.97	0.97	-0.13	1.00	0.84	0.92	1.00	1.00	1.00	1.00
Linear or rotational horizontal	12	0.95	1.00	1.00	-0.33	1.00	0.65	0.71	1.00	1.00	1.00	1.00
shifts of the upper or lower face	13	0.84	1.00	1.00	-0.01	1.00	0.92	0.97	1.00	1.00	1.00	1.00
	14	0.67	1.00	1.00	-0.14	1.00	0.60	0.64	1.00	1.00	1.00	1.00
Progressive horizontal shifts of	15	0.76	1.00	1.00	-0.18	1.00	0.88	0.94	1.00	1.00	1.00	1.00
specific regions (e.g. mouth, nose,	16	0.61	1.00	1.00	-0.04	1.00	0.92	0.97	1.00	1.00	1.00	1.00
eyes)	17	0.83	1.00	1.00	-0.47	1.00	0.76	0.84	1.00	1.00	1.00	1.00
Quadratic distortions limited both vertically and horizontally from specific landmarks	18	0.12	0.98	0.97	-0.25	1.00	0.82	0.90	1.00	1.00	1.00	1.00
	19	0.37	1.00	1.00	0.07	1.00	0.91	0.97	1.00	1.00	1.00	1.00
	20	-0.53	0.27	0.09	-0.44	-0.42	0.97	1.00	1.00	1.00	0.98	0.97
	21	0.87	0.85	0.96	0.83	0.60	0.53	0.56	0.78	0.65	0.93	0.94
	22	0.72	1.00	1.00	-0.51	-0.33	0.84	0.91	1.00	1.00	0.94	0.94
Combinations of quadratic distortion and axes expansion	23	0.70	0.62	0.75	0.27	0.28	0.92	0.92	0.97	0.97	0.99	0.99
	24	0.95	0.85	0.86	0.96	0.95	-0.07	-0.18	-0.77	-0.76	0.67	0.84
	25	-0.08	0.78	-0.38	0.92	0.92	0.27	0.29	0.89	0.88	0.90	0.91

	Landmarks			Mid	line	Whole surface				Mixed	
	TMS	LMedS	DW-LMedS	TWS	LMedS	LMS	CW-LMS	LMedS	DW-LMedS	HM-LMedS	HM-DW-LMedS
1	0.92	0.95	0.94	1.00	1.00	-0.15	-0.17	-0.93	-0.92	0.82	0.87
2	0.87	1.00	1.00	1.00	1.00	0.90	0.90	0.97	0.98	0.98	0.99
3	0.73	0.99	1.00	1.00	1.00	0.70	0.77	0.95	0.95	0.96	0.96
4	0.95	1.00	1.00	1.00	1.00	0.67	0.70	0.93	0.94	0.95	0.97
5	0.95	0.99	1.00	1.00	1.00	0.48	0.50	0.87	0.86	0.93	0.94
6	0.75	0.96	0.95	0.99	0.99	0.64	0.67	0.85	0.88	0.90	0.93
7	0.93	0.98	0.98	0.99	0.99	0.62	0.64	0.84	0.88	0.92	0.94
8	0.54	0.99	1.00	1.00	1.00	0.61	0.65	0.94	0.95	0.95	0.96
9	0.94	0.99	0.99	1.00	1.00	0.46	0.47	0.86	0.43	0.92	0.94
10	0.89	0.94	0.95	1.00	1.00	0.51	0.51	-0.05	-0.05	0.88	0.90
11	0.65	0.97	0.97	-0.13	1.00	0.84	0.92	1.00	1.00	1.00	1.00
12	0.95	1.00	1.00	-0.33	1.00	0.65	0.71	1.00	1.00	1.00	1.00
13	0.84	1.00	1.00	-0.01	1.00	0.92	0.97	1.00	1.00	1.00	1.00
14	0.67	1.00	1.00	-0.14	1.00	0.60	0.64	1.00	1.00	1.00	1.00
15	0.76	1.00	1.00	-0.18	1.00	0.88	0.94	1.00	1.00	1.00	1.00
16	0.61	1.00	1.00	-0.04	1.00	0.92	0.97	1.00	1.00	1.00	1.00
17	0.83	1.00	1.00	-0.47	1.00	0.76	0.84	1.00	1.00	1.00	1.00
18	0.12	0.98	0.97	-0.25	1.00	0.82	0.90	1.00	1.00	1.00	1.00
19	0.37	1.00	1.00	0.07	1.00	0.91	0.97	1.00	1.00	1.00	1.00
20	-0.53	0.27	0.09	-0.44	-0.42	0.97	1.00	1.00	1.00	0.98	0.97
21	0.87	0.85	0.96	0.83	0.60	0.53	0.56	0.78	0.65	0.93	0.94
22	0.72	1.00	1.00	-0.51	-0.33	0.84	0.91	1.00	1.00	0.94	0.94
23	0.70	0.62	0.75	0.27	0.28	0.92	0.92	0.97	0.97	0.99	0.99
24	0.95	0.85	0.86	0.96	0.95	-0.07	-0.18	-0.77	-0.76	0.67	0.84
25	-0.08	0.78	-0.38	0.92	0.92	0.27	0.29	0.89	0.88	0.90	0.91

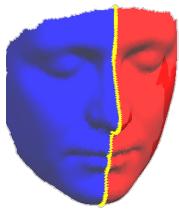
	Landmarks			Mid	lline		Whole	Mixed			
	TMS	LMedS	Sp.a.LMedS	TWS	LMedS	LMS	CW-LMS	LMedS	DW-LMedS	HM-LMedS	HM-DW-LMedS
1	0.92	0.95	0.94	1.00	1.00					0.82	0.87
2	0.87	1.00	1.00	1.00	1.00	0.90	0.90	0.97	0.98	0.98	0.99
3	0.73	0.99	1.00	1.00	1.00	0.70	0.77	0.95	0.95	0.96	0.96
4	0.95	1.00	1.00	1.00	1.00	0.67	0.70	0.93	0.94	0.95	0.97
5	0.95	0.99	1.00	1.00	1.00	0.48	0.50	0.87	0.86	0.93	0.94
6	0.75	0.96	0.95	0.99	0.99	0.64	0.67	0.85	0.88	0.90	0.93
7	0.93	0.98	0.98	0.99	0.99	0.62	0.64	0.84	0.88	0.92	0.94
8	0.54	0.99	1.00	1.00	1.00	0.61	0.65	0.94	0.95	0.95	0.96
9	0.94	0.99	0.99	1.00	1.00	0.46	0.47	0.86	0.43	0.92	0.94
10	0.89	0.94	0.95	1.00	1.00	0.51	0.51			0.88	0.90
11	0.65	0.97	0.97		1.00	0.84	0.92	1.00	1.00	1.00	1.00
12	0.95	1.00	1.00		1.00	0.65	0.71	1.00	1.00	1.00	1.00
13	0.84	1.00	1.00		1.00	0.92	0.97	1.00	1.00	1.00	1.00
14	0.67	1.00	1.00		1.00	0.60	0.64	1.00	1.00	1.00	1.00
15	0.76	1.00	1.00		1.00	0.88	0.94	1.00	1.00	1.00	1.00
16	0.61	1.00	1.00		1.00	0.92	0.97	1.00	1.00	1.00	1.00
17	0.83	1.00	1.00		1.00	0.76	0.84	1.00	1.00	1.00	1.00
18	0.12	0.98	0.97		1.00	0.82	0.90	1.00	1.00	1.00	1.00
19	0.37	1.00	1.00	0.07	1.00	0.91	0.97	1.00	1.00	1.00	1.00
20		0.27	0.09			0.97	1.00	1.00	1.00	0.98	0.97
21	0.87	0.85	0.96	0.83	0.60	0.53	0.56	0.78	0.65	0.93	0.94
22	0.72	1.00	1.00			0.84	0.91	1.00	1.00	0.94	0.94
23	0.70	0.62	0.75	0.27	0.28	0.92	0.92	0.97	0.97	0.99	0.99
24	0.95	0.85	0.86	0.96	0.95					0.67	0.84
25		0.78		0.92	0.92	0.27	0.29	0.89	0.88	0.90	0.91

	Landmarks			Mid	lline	Whole surface				Mixed	
	TWS	LMedS	Sp.a.LMedS	TWS	LMedS	TMS	CW-LMS	LMedS	DW-LMedS	HM-LMedS	HM-DW-LMedS
1	0.92	0.95	0.94	1.00	1.00					0.82	0.87
2	0.87	1.00	1.00	1.00	1.00	0.90	0.90	0.97	0.98	0.98	0.99
3	0.73	0.99	1.00	1.00	1.00	0.70	0.77	0.95	0.95	0.96	0.96
4	0.95	1.00	1.00	1.00	1.00	0.67	0.70	0.93	0.94	0.95	0.97
5	0.95	0.99	1.00	1.00	1.00			0.87	0.86	0.93	0.94
6	0.75	0.96	0.95	0.99	0.99	0.64	0.67	0.85	0.88	0.90	0.93
7	0.93	0.98	0.98	0.99	0.99	0.62	0.64	0.84	0.88	0.92	0.94
8	0.54	0.99	1.00	1.00	1.00	0.61	0.65	0.94	0.95	0.95	0.96
9	0.94	0.99	0.99	1.00	1.00			0.86		0.92	0.94
10	0.89	0.94	0.95	1.00	1.00	0.51	0.51			0.88	0.90
11	0.65	0.97	0.97		1.00	0.84	0.92	1.00	1.00	1.00	1.00
12	0.95	1.00	1.00		1.00	0.65	0.71	1.00	1.00	1.00	1.00
13	0.84	1.00	1.00		1.00	0.92	0.97	1.00	1.00	1.00	1.00
14	0.67	1.00	1.00		1.00	0.60	0.64	1.00	1.00	1.00	1.00
15	0.76	1.00	1.00		1.00	0.88	0.94	1.00	1.00	1.00	1.00
16	0.61	1.00	1.00		1.00	0.92	0.97	1.00	1.00	1.00	1.00
17	0.83	1.00	1.00		1.00	0.76	0.84	1.00	1.00	1.00	1.00
18		0.98	0.97		1.00	0.82	0.90	1.00	1.00	1.00	1.00
19		1.00	1.00		1.00	0.91	0.97	1.00	1.00	1.00	1.00
20						0.97	1.00	1.00	1.00	0.98	0.97
21	0.87	0.85	0.96	0.83	0.60	0.53	0.56	0.78	0.65	0.93	0.94
22	0.72	1.00	1.00			0.84	0.91	1.00	1.00	0.94	0.94
23	0.70	0.62	0.75			0.92	0.92	0.97	0.97	0.99	0.99
24	0.95	0.85	0.86	0.96	0.95					0.67	0.84
25		0.78		0.92	0.92			0.89	0.88	0.90	0.91

	Landmarks			Mid	lline		Whole	Mixed			
	TMS	LMedS	DW-LMedS	TMS	LMedS	TMS	CW-LMS	LMedS	DW-LMedS	HM-LMedS	HM-DW-LMedS
1	0.92	0.95	0.94	1.00	1.00					0.82	0.87
2	0.87	1.00	1.00	1.00	1.00	0.90	0.90	0.97	0.98	0.98	0.99
3	0.73	0.99	1.00	1.00	1.00	0.70	0.77	0.95	0.95	0.96	0.96
4	0.95	1.00	1.00	1.00	1.00	0.67	0.70	0.93	0.94	0.95	0.97
5	0.95	0.99	1.00	1.00	1.00			0.87	0.86	0.93	0.94
6	0.75	0.96	0.95	0.99	0.99	0.64	0.67	0.85	0.88	0.90	0.93
7	0.93	0.98	0.98	0.99	0.99	0.62	0.64	0.84	0.88	0.92	0.94
8	0.54	0.99	1.00	1.00	1.00	0.61	0.65	0.94	0.95	0.95	0.96
9	0.94	0.99	0.99	1.00	1.00			0.86		0.92	0.94
10	0.89	0.94	0.95	1.00	1.00	0.51	0.51			0.88	0.90
11	0.65	0.97	0.97		1.00	0.84	0.92	1.00	1.00	1.00	1.00
12	0.95	1.00	1.00		1.00	0.65	0.71	1.00	1.00	1.00	1.00
13	0.84	1.00	1.00		1.00	0.92	0.97	1.00	1.00	1.00	1.00
14	0.67	1.00	1.00		1.00	0.60	0.64	1.00	1.00	1.00	1.00
15	0.76	1.00	1.00		1.00	0.88	0.94	1.00	1.00	1.00	1.00
16	0.61	1.00	1.00		1.00	0.92	0.97	1.00	1.00	1.00	1.00
17	0.83	1.00	1.00		1.00	0.76	0.84	1.00	1.00	1.00	1.00
18		0.98	0.97		1.00	0.82	0.90	1.00	1.00	1.00	1.00
19		1.00	1.00		1.00	0.91	0.97	1.00	1.00	1.00	1.00
20						0.97	1.00	1.00	1.00	0.98	0.97
21	0.87	0.85	0.96	0.83	0.60	0.53	0.56	0.78	0.65	0.93	0.94
22	0.72	1.00	1.00			0.84	0.91	1.00	1.00	0.94	0.94
23	0.70	0.62	0.75			0.92	0.92	0.97	0.97	0.99	0.99
24	0.95	0.85	0.86	0.96	0.95					0.67	0.84
25		0.78		0.92	0.92			0.89	0.88	0.90	0.91

## Hybrid methods

Hemispheres + midline (HM-LMedS & HM-DW.LMedS)



- The midline provides complementary performance to surface-based methods
- Large imbalance between the number of surface and midline points

$$\arg\min_{\hat{T}} \left( \operatorname{median} \left\{ \| e_{DW}^{i} \|^{2} \right\}_{\forall i \notin \mathcal{I}_{ML}} + \frac{1}{2} \operatorname{median} \left\{ e_{i}^{2} \right\}_{\forall i \in \mathcal{I}_{ML}} + \sum_{i=1}^{n_{V}} \frac{|e_{i}^{2} - e_{\overline{i}}^{2}|}{n_{V}} \right)$$
$$e_{DW}^{i} = \exp\left(\frac{-1}{\tau} \frac{d_{ML}(\mathbf{v}_{S}^{i})}{\max\left(d_{ML}(\mathbf{v}_{S})\right)}\right) (\mathbf{v}_{S}^{i} - \hat{T}(\mathbf{v}_{M}^{\overline{i}}))$$
$$e_{i} = \|\mathbf{v}_{S}^{i} - \hat{T}(\mathbf{v}_{M}^{\overline{i}})\|, \quad e_{\overline{i}} = \|\mathbf{v}_{S}^{\overline{i}} - \hat{T}(\mathbf{v}_{M}^{i})\| \qquad \mathcal{I}_{ML} = \left\{ i \in [1; n_{V}] \mid \overline{i} = i \right\}$$

## Hybrid methods

Hemispheres + midline (HM-LMedS & HM-DW.LMedS)



- The midline provides complementary performance to surface-based methods
- Large imbalance between the number of surface and midline points

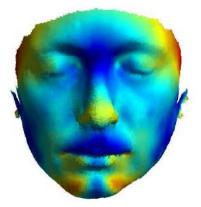
$$\begin{aligned} \arg\min_{\hat{T}} \left( \operatorname{median} \left\{ \| e_{DW}^{i} \|^{2} \right\}_{\forall i \notin \mathcal{I}_{ML}} + \\ &+ \frac{1}{2} \operatorname{median} \left\{ e_{i}^{2} \right\}_{\forall i \in \mathcal{I}_{ML}} + \sum_{i=1}^{n_{V}} \frac{|e_{i}^{2} - e_{\overline{i}}^{2}|}{n_{V}} \right) \\ e_{DW}^{i} &= \exp\left( \frac{-1}{\tau} \frac{d_{ML}(\mathbf{v}_{S}^{i})}{\max\left(d_{ML}(\mathbf{v}_{S})\right)} \right) (\mathbf{v}_{S}^{i} - \hat{T}(\mathbf{v}_{M}^{\overline{i}})) \\ e_{i} &= \| \mathbf{v}_{S}^{i} - \hat{T}(\mathbf{v}_{M}^{\overline{i}}) \|, \qquad e_{\overline{i}} = \| \mathbf{v}_{S}^{\overline{i}} - \hat{T}(\mathbf{v}_{M}^{i}) \| \qquad \mathcal{I}_{ML} = \left\{ i \in [1; n_{V}] \, | \, \overline{i} = i \right\} \end{aligned}$$

#### Conclusions

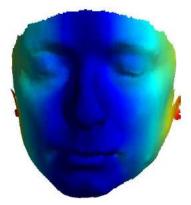
- We tested different alignment methods for the estimation of point-wise 3D surface asymmetry
  - Important differences between methods
  - Widespread least-squares cost functions performed the worst
  - Landmark-based methods were generally better than methods using the whole surface
- A hybrid approach combining surface and mid-line terms achieved the best performance
  - Exponential decaying of weights as points depart from the midline
  - As a byproduct we get more robustness to the exact definition of face boundaries

# A qualitative example estimating the unknown asymmetry of a real scan

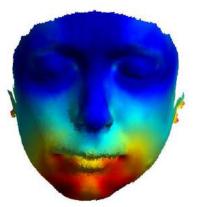
Landmarkbased LMS



Midline-based LMS

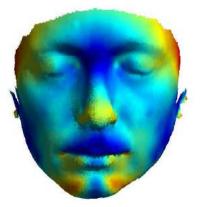


DW-HM-LMdeS

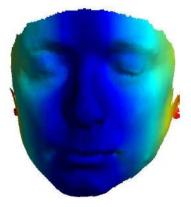


# A qualitative example estimating the unknown asymmetry of a real scan

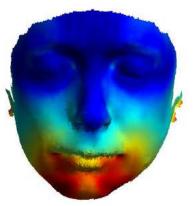
Landmarkbased LMS

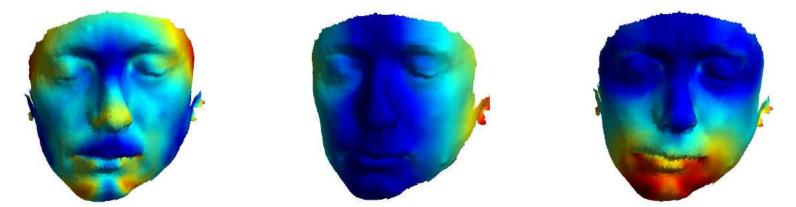


Midline-based LMS



DW-HM-LMdeS





Asymmetry patterns magnified by 2.0 : 1

#### Thank you for your attention

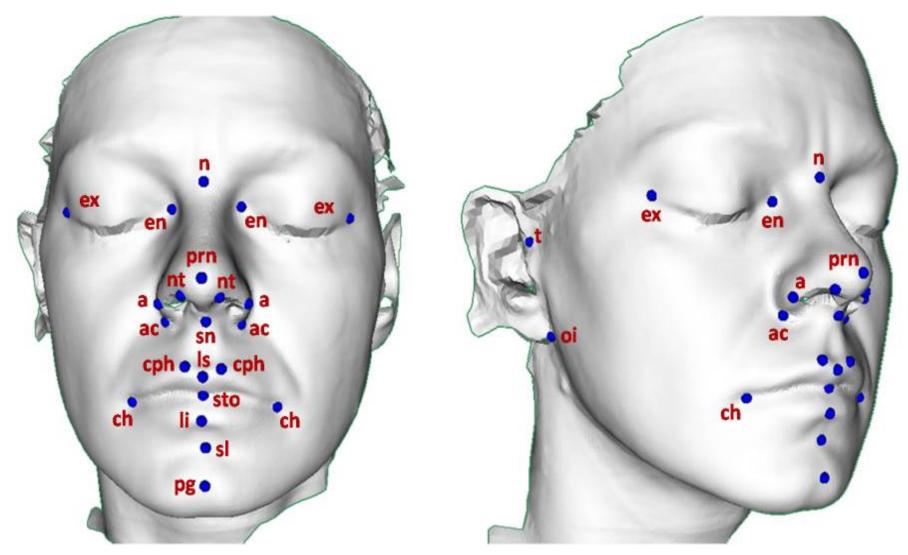
For more information please visit: <u>http://fsukno.atspace.eu</u>







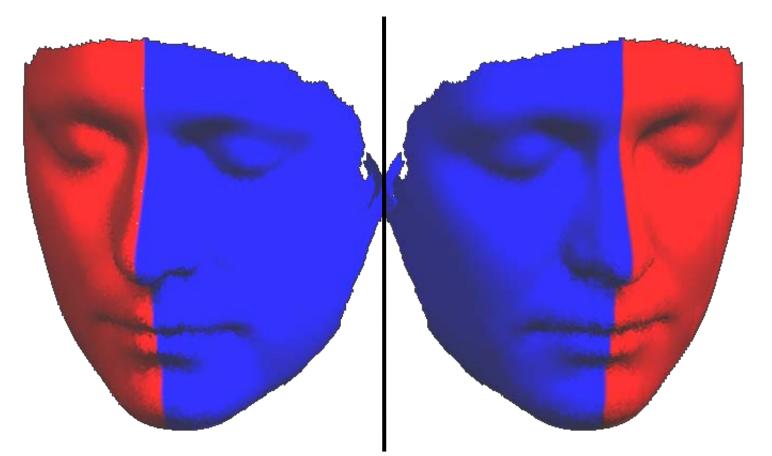
#### Craniofacial landmarks



Manual annotations from: R. Hennessy et al. Biol Psychiat 51 (2002) 507-514

#### Comparison of hemispheres by reflection

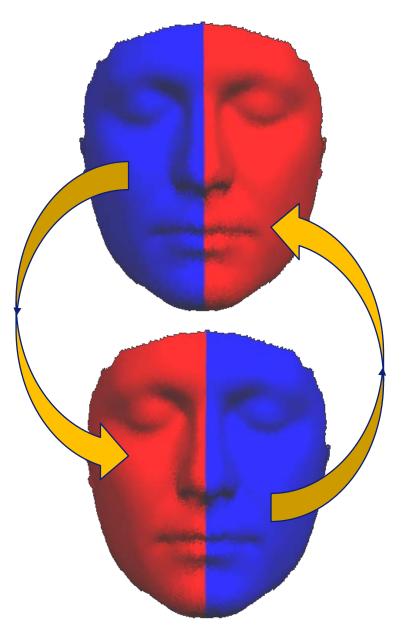
Reflection into an arbitrary plane



We can register the mirrored surface with the original

### Comparison of hemispheres by reflection

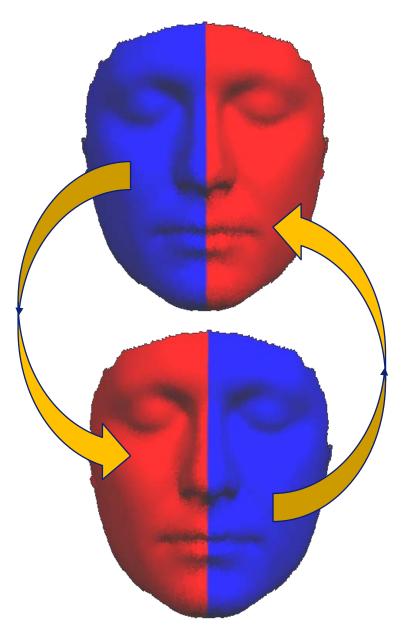
- Reflection into an arbitrary plane
- Alignment of the original and mirrored surfaces
- Straight-forward comparison
  - Left w/ right
  - Right w/ left



### Comparison of hemispheres by reflection

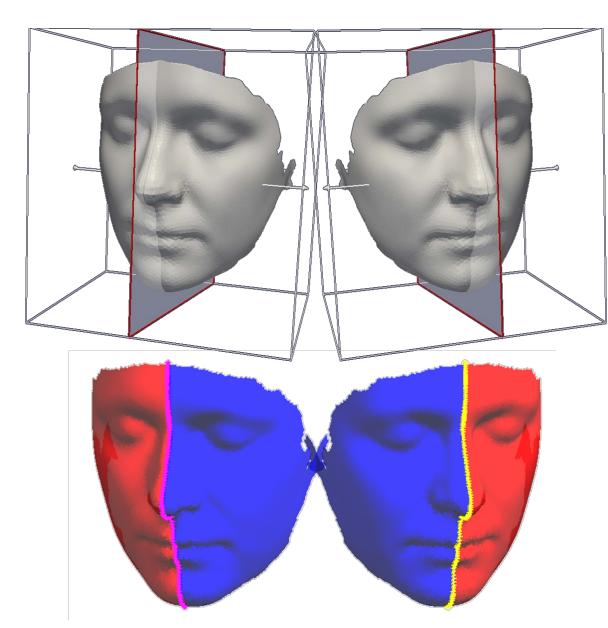
- Reflection into an arbitrary plane
- Alignment of the original and mirrored surfaces
- Straight-forward comparison
  - Left w/ right
  - Right w/ left

How do we align both surfaces ?

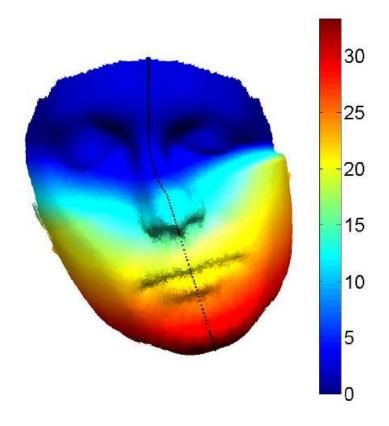


## Alignment of the mid-sagittal plane

- The mid-sagittal plane of the original and mirrored surfaces should be the same
- Hence, making both mid-sagittal planes coincide should align the surfaces



#### Complex example I



#### Complex example I

